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Title page

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1 **Abstract**

2 **Background**

3 Depression is the second most common chronic condition affecting women of reproductive age;
4 23.4% of women enter pregnancy with depression and use of Selective Serotonin Reuptake
5 Inhibitors (SSRIs) in pregnancy is often necessary for maternal wellbeing. However, SSRI use during
6 pregnancy can cause congenital malformations, post-partum haemorrhage (PPH) and Persistent
7 Pulmonary Hypertension of the newborn (PPHN). In UK primary care, prescribing formularies are one
8 medium by which prescribers are provided with local medicines advice.

9 **Aim**

10 To review all local prescribing formularies with respect to prescribing SSRIs in women of
11 reproductive age, during pregnancy and during breastfeeding.

12 **Design and setting**

13 Prescribing formularies in England and Wales.

14 **Method**

15 A systematic keyword search of all Clinical Commissioning Group (CCG) and Integrated Care Board
16 (ICB) websites in England and Local Health Board (LHB) websites in Wales was undertaken between
17 December 2021-22 to identify prescribing formularies. Data were extracted on prescribing guidance
18 for SSRIs.

19 **Results**

20 74 prescribing formularies were reviewed. 14.9% (11/74) provided links to the Medicines and
21 Healthcare Regulatory products Agency guidance on congenital abnormalities associated with SSRIs,
22 28.4% (21/74) to guidance on PPH risk and 1.4% (1/74) to guidance on PPHN. Specific local guidance
23 was given on SSRI prescribing for women of reproductive age, during pregnancy and during
24 breastfeeding in 12.2% (9/74), 23% (17/74) and 21.6% (16/74) of formularies respectively.

25 **Conclusion**

26 Our results suggest that prescribers may be poorly informed by local formularies about the risks of
27 SSRI use around pregnancy. This may place babies at increased risk of unintentional SSRI exposure.

28 **Keywords:** General practice, Depression, Anxiety, Preconception care, Pregnancy, Breastfeeding

29 **How this fits in**

30 Continuation of SSRIs during pregnancy and postpartum is often essential to adequately treat
31 maternal depression and anxiety. However, SSRI use during pregnancy carries small but significant
32 risks to mother and baby. Women of reproductive age prescribed SSRIs should therefore be
33 informed about these risks, ideally prior to conception so they can make informed decisions about
34 future treatment and pregnancy plans. This study demonstrates that advice given to primary care
35 prescribers regarding these risks is suboptimal and may place women and babies at risk of
36 unintended SSRI exposure during pregnancy.

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40 **Main text**

41 **Introduction**

42 National and international prevalence rate estimates for antidepressant use in women of
43 reproductive age range from 11% to 20%. (1-5) Depression is the second most common chronic
44 condition affecting women of reproductive age, and depression and anxiety are the two most
45 prevalent health conditions affecting pregnant women in the UK at 23.4% and 19%, respectively.
46 (6,7) Selective Serotonin Reuptake Inhibitors (SSRIs), the medication class recommended for first line
47 pharmacological management of depression and anxiety, are used in nearly 5% of all pregnancies
48 and in 17.4% of pregnancies carried by women with two or more long term conditions. (6-9) SSRI use
49 around pregnancy is likely to continue increasing alongside the prevalence of depression and
50 anxiety; antidepressant prescription rates have doubled in the past decade and prevalence of SSRI
51 use for anxiety increased from 16.6/1000 person-years-at-risk (PYAR) to 34.9/1000 PYAR between
52 2003 and 2018.(10-16) This trend is likely to continue to disproportionately affect women living in
53 lower income households or more deprived areas; 17% of England's poorest women receive
54 antidepressants, versus 7% of the richest, and a similar pattern is seen by area
55 deprivation.(2,3,14,17,18)

56 Untreated maternal mental illness can lead to increased risk of maternal pregnancy complications,
57 preterm birth and low birth weight, postpartum suicidality and offspring cognitive and behavioural
58 difficulties.(19-22) Indeed, mental illness was the fourth most common cause of maternal deaths in
59 the UK between 2019-2020 and maternal suicide is the leading cause after 6 weeks
60 postpartum.(23,24) It is essential therefore for maternal and infant wellbeing that maternal mental
61 health conditions are adequately treated and this may involve SSRIs.

62 However, the Medicines and Healthcare products Regulatory Agency (MHRA) 2014 alert highlighted
63 an increased risk of congenital malformations when the SSRIs paroxetine and fluoxetine are used in
64 the first trimester of pregnancy, and Persistent Pulmonary Hypertension in the Newborn (PPHN)
65 when SSRIs are used close to delivery.(25,26) A 2021 alert advised of the increased risk of Post-
66 Partum Haemorrhage (PPH) when SSRIs are used in the third trimester.(27)

67 Therefore, treatment with SSRIs in women of reproductive age should be accompanied by
68 appropriate counselling and shared decision making as advised by national recommendations such
69 as pre-conception discussions regarding contraception, risks of treatment during pregnancy and
70 during breastfeeding, and possible discontinuation of SSRIs during pregnancy in cases of mild to
71 moderate depression.(8,28,29). However, rates of unplanned pregnancies have risen by 61% since
72 the COVID-19 pandemic and are also more common in women living with depression; this challenges
73 the provision of such pre-conception care and counselling. (30,31) Thus, in the context of increasing
74 SSRI use there is a significant concern of potential unplanned SSRI exposure during pregnancy and
75 the associated risk but significant consequences highlighted by the MHRA.(25-27)

76 Previous work on other teratogenic medications regularly prescribed in primary care and feedback
77 from women suggests such counselling is not often provided.(32,33) Suggested possible reasons for
78 this suboptimal care include lack of time, opportunity, financial incentive and prescriber
79 knowledge.(34)

80 Primary care prescribers (including GPs and non-medical prescribers) issue most SSRIs in the UK and
81 are guided by the British National Formulary, National Institute for Health and Care Excellence

82 (NICE), royal colleges, and local prescribing formularies. Integrated Care Boards (ICBs) in England and
83 Local Health Boards (LHBs) in Wales are responsible for generating and managing local prescribing
84 formularies. Local formularies benefit from being able to update quickly in response to new safety
85 concerns, acknowledge local population needs, improve cost effective prescribing and provide
86 prescriber education; NICE recommends that regulator medicine safety advice is routinely
87 included.(35,36) Clinician adherence to local formulary guidance has been reported to be superior to
88 other guidance sources, in view of the tailored contents local formularies can provide.(35)
89 Consequently, prescribing formularies are important in the landscape of prescribing resources
90 available to primary care and it is essential they reflect the risks of SSRI use around pregnancy
91 outlined.

92 We therefore sought to review local prescribing formularies across England and Wales with respect
93 to prescribing of SSRIs in women of reproductive age, during pregnancy and during breastfeeding.

94 We have used the term ‘women’ throughout; however, we acknowledge that our findings are
95 relevant to all people who can become pregnant.

96 **Methods**

97 **Setting**

98 Prescribing formularies generated and managed by ICBs (previously managed by Clinical
99 Commissioning Groups (CCGs)) in England and LHBs in Wales.

100 **Data collection**

101 A list of CCGs in England and LHBs in Wales were identified using NHS England and NHS Wales
102 websites. A web search was then undertaken to identify individual CCG and LHB websites and their
103 associated prescribing formularies in December 2021, (Supplementary data S1).

104 On 1st July 2022, all CCGs were abolished and responsibility for providing NHS care on a local level,
105 including prescribing formulary provision, was transferred to ICBs. Therefore, the above search
106 strategy was repeated in July 2022 for ICBs and LHBs and all ICB websites and their associated
107 formularies were reviewed (and LHB formularies re-reviewed if any updates had occurred). Any
108 formulary previously identified that was not also identified during our subsequent July 2022 review,
109 was removed. Only results from the July 2022 review are presented. Data are correct as of 9th
110 December 2022.

111 Only documents or weblinks entitled ‘Formulary’ or ‘Prescribing Formulary’ were reviewed. If such
112 documents or weblinks contained links or references to other documents, then these were also
113 reviewed.

114 Excel spreadsheet data collection templates were piloted with a sample of formularies and a
115 codebook was developed. EL and AMS each extracted data independently from 20% of formularies
116 and results were compared. Discrepancies were resolved by discussion between the data extractors
117 or a third reviewer if required. A discrepancy rate of 3.51% was found; the majority due to
118 typographical or transcription error. The remaining 80% of formularies were reviewed by at least
119 one reviewer.

120 For all formularies, the data outlined in table 1 were extracted.

121 If a formulary contained a listing for an SSRI with associated prescribing guidance for women of
122 reproductive age, during pregnancy or during breastfeeding (Supplementary data S2), then following
123 data were extracted:

- 124 • Source of guidance e.g., locally generated guidance or externally linked guidance to national
125 bodies or organisations
- 126 • Presence or absence of a hyperlink to, or description of, MHRA alerts regarding SSRI use in
127 women of reproductive age, during pregnancy or during breastfeeding

128 If a formulary contained an SSRI listing with associated locally generated guidance for women of
129 reproductive age, during pregnancy or during breastfeeding, then data on recommended medication
130 counselling and contraception, SSRI prescribing recommendations, risks of SSRI use and advice
131 regarding specialist services referrals, were collected (table 2).

132 **Data analysis**

133 Data were collected and analysed using Excel version 2208. Averages are presented as the mean and
134 percentages rounded to 1 decimal place unless otherwise stated.

135 **Patient and public involvement**

136 Patient and public involvement in preconception health research has previously been undertaken by
137 EL and continued alongside this review. Patients and the public identified a need to explore the
138 safety of teratogen prescribing in primary care, particularly regarding commonly prescribed
139 medications such as SSRIs, and highlighted that improving our understanding of what guidance is
140 available to prescribers as a key priority.

141 **Results**

142 As of July 2022, 42 ICBs and seven LHBs were in existence in England and Wales. 39 of 42 ICBs and all
143 LHBs either provided publicly accessible formularies on their website, or formularies were identified
144 via keyword web search, or were made available following an email request. Three ICBs failed to
145 respond to our request for formulary access. However, their previously associated CCG formulary
146 remained active and updated, thus data were extracted from these formularies in this instance.

147 107 formularies were recommended by ICBs/LHBs in July 2022; 33 were shared across different
148 ICBs/LHBs. Following removal of duplicates, 74 individual ICB/LHB formularies were reviewed, and
149 data extracted (figure 1). Of the 74 formularies reviewed, 25.7% (n=19) displayed an update date.
150 The oldest update date was 1/6/2012 and the most recent update was 1/11/2022.

151 **Provision of general SSRI prescribing guidance**

152 Of those formularies that included SSRIs (n=73), 93.1% (n=68) contained some prescribing guidance
153 and 90.4% (n=66) contained prescribing guidance for specific patient groups, such as the elderly, or
154 adolescents.

155 **Provision of SSRI prescribing guidance for women of reproductive age, during pregnancy or during 156 breastfeeding**

157 Of those formularies that contained SSRIs (n=73), the majority contained some guidance for
158 prescribing SSRIs in women of reproductive age (79.5%, n=58), during pregnancy (86.3%, n=63) or
159 during breastfeeding (82.2%, n=60). Figure 2 shows the percentage of formularies for each patient

160 group that provided locally generated, external (most commonly via hyperlink to NICE guidance or
161 MHRA guidance), or both locally generated and external guidance.

162 Nine formularies provided local guidance for women of reproductive age; one recommended
163 sertraline be prescribed first line and another stated paroxetine should not be used due to potential
164 teratogenicity. Five formularies recommended that healthcare professionals (HCPs) should counsel
165 women on contraception and two highlighted the potential future risk of congenital abnormalities
166 with SSRI use.

167 Seventeen formularies provided local guidance for SSRI use in pregnancy, of which 58.8% (n=10) and
168 41.2% (n=7) provided advice on which SSRIs should be prescribed first line and second line:
169 sertraline was recommended first line most commonly, followed by fluoxetine. A further 41.2%
170 (n=7) of these formularies advised against the use of fluoxetine during pregnancy. A minority of
171 formularies (35.3%, n=6) recommended that counselling should be provided to pregnant women
172 when prescribing SSRIs.

173 Sixteen formularies provided local guidance for SSRI use during breastfeeding, of which 68.8% (n=11)
174 provided advice on which SSRIs should be prescribed first or second line: sertraline was
175 recommended first line in all formularies and citalopram and paroxetine were recommended equally
176 frequently as second line agents. Some formularies described some SSRIs as being contraindicated in
177 breastfeeding including citalopram, fluoxetine and vortioxetine (18.8%, n=3). A small number of
178 these formularies (37.5%, n=6) advised on what information HCPs should provide to women when
179 prescribing SSRIs during breastfeeding.

180 In addition to the guidance outlined for specific patient groups above, a further eight (11%)
181 formularies highlighted the risk of congenital abnormalities with SSRI use, nine (12.3%) provided
182 advice regarding the risk of neonatal serotonergic effects or withdrawal, and eight (11%) advised on
183 referral criteria for specialist services. However, it was unclear whether these guidance items were
184 intended for women of reproductive age, during pregnancy or during breastfeeding.

185 **MHRA alerts**

186 Formularies containing SSRI prescribing guidance were reviewed for the inclusion of a hyperlink to,
187 or a description of the contents of, specific MHRA alerts regarding SSRI use including the risk of
188 congenital abnormalities, PPH and PPHN (figure 3).

189 **Discussion**

190 **Summary**

191 The majority of formularies reviewed provided some SSRI prescribing guidance for women of
192 reproductive age (79.5%, n=58), during pregnancy (86.3%, n=63) or during breastfeeding (82.2%,
193 n=60). However, this was largely via hyperlinks to external sources which may be easily missed or
194 overlooked by clinicians. In those formularies where local guidance was provided, only just over half
195 of formularies recommended prescribers undertake discussions regarding contraception in women
196 of reproductive age and just over a third advised prescribers to counsel women regarding SSRI use
197 during pregnancy. Furthermore, in 11.1%, 58.8% and 68.8% of formularies that provided local
198 guidance, specific first line SSRIs were recommended for women of reproductive age, pregnancy and
199 breastfeeding respectively, and the medication recommended varied considerably. This contrasts
200 with national advice, which does not make similarly specific recommendations. Such discordance is
201 likely to cause confusion to prescribers and is concerning if formularies are relied on solely for
202 medication safety information.

203 Concerningly, our review also identified poor translation and communication of MHRA alerts
204 regarding SSRI use into prescribing formularies; 14.9% included or referred to the MHRA alert
205 regarding congenital abnormalities, 28.4% the risk of PPH and 1.4% the risk of PPHN.(36)

206 **Strengths and limitations**

207 Our review is the first UK based study to reveal the large gaps in provision of prescribing advice
208 within local formularies regarding SSRI use in women of childbearing age, during pregnancy and
209 during breastfeeding. Our systematic approach and low inter-reviewer discrepancy rate, supports
210 our important findings to be accurate and subject to minimal interpretation error.

211 Due to the continuously changing landscape of local health care provision and organisation,
212 including provision of prescribing formularies and clinical updates to such formularies, it is
213 challenging to present a contemporaneous national picture across 49 ICBs/LHBs hosting 74
214 formularies between them, at any one time. Therefore, in the time elapsed between data collection
215 and publication, formularies may have been updated. However, our repeat review of all formularies
216 in July 2022, following the CCG to ICB transition period, revealed no changes regarding SSRI
217 prescribing guidance. Our review was limited to formularies in England and Wales, which may limit
218 the international generalisability of our results. Furthermore, only prescribing formulary websites
219 and guidance documents explicitly linked to these websites (i.e., by functioning hyperlink) were
220 included in our review; prescribing guidance may be available elsewhere.

221 **Comparison with existing literature**

222 Previous studies have found that women are keen to discuss medication use in relation to pregnancy
223 with their prescribers, however teratogenic medication counselling is rarely given in primary care
224 nor recorded.(32,33) This is the first UK based review to provide some insight into why prescribers
225 may not be providing such information to their patients; possibly due to suboptimal and
226 contradictory sources of local formulary prescribing advice. Thus, this review provides essential
227 groundwork for further quantitative and qualitative work (already underway by the authors), to
228 better understand the facilitators and barriers to providing such medication counselling in primary
229 care and allow for future intervention development.

230 Our finding of contradictory prescribing guidance between local and national sources, is congruent
231 with results from other systematic reviews of formulary guidance on different clinical topics.(37,38)
232 This may be the result of a large number of CCGs merging and then transitioning to ICBs within the
233 past five years, resulting in amalgamation of various local sources of information.

234 **Implications for research and/or practice**

235 Our results provide two main considerations for future research, clinical practice and future policy.
236 Firstly, we and others have demonstrated that locally produced prescribing guidance, if it is
237 available, is often outdated and contradictory to that produced by national bodies. Our results draw
238 into question the utility of prescribing formularies in providing medicines advice, and highlight their
239 potential for causing confusion amongst prescribers, thus potentially contributing to suboptimal
240 clinical management. We conclude their position as a guidance provider should be reconsidered in
241 future policy reviews of local healthcare provision.

242 Secondly, we acknowledge the critical importance of pharmacologically treating maternal mental
243 illness. However, accompanying adequate medication counselling is essential. Our results not only
244 suggest suboptimal provision of prescribing advice for SSRIs, increasing the risk of inadvertent
245 pregnancy exposure, but also highlight a wider issue of inadequate provision for preconception care

246 and teratogen counselling within primary care. In the context of increasing SSRI prescription rates,
247 along with rates of unplanned pregnancy, our results have significant implications for current
248 practice and policy. Studies to further elucidate the risks of a variety of teratogenic medications are
249 underway.(39) Further research to inform policy, involving patients and prescribers to ascertain how
250 preconception care can be provided in an already overburdened health care system is required to
251 improve maternal and child outcomes.

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259 **Ethical approval**

260 This study did not require ethical approval.

261 **Competing interests:**

262 None

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Tables

Topic area	Detail of data extracted
ICB/LHB name	The name and location of the ICB/LHB e.g. NHS Dorset ICB
Formulary location	The URL of the formulary was recorded and the method by which the formulary was located (via ICB/LHB website or web key word search)
Date of extraction	The date the data was extracted from the formulary
Formulary version	The last date the formulary was updated
Formulary structure	How the formulary was structured; by body system (e.g. central nervous system), by medication class (e.g. SSRI or antidepressant) or individual medication name (e.g. sertraline)

Table 1. Data points extracted for all formularies included in the review. ICB: Integrated Care Board, LHB: Local Health Board, URL: Uniform Resource Locator

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Patient group		Data points extracted for each patient group
	Women of reproductive age	<ul style="list-style-type: none"> • Advice regarding 1st and 2nd line SSRIs to be used in this group • Advice regarding contraindicated SSRIs in this group • Advice regarding counselling that HCPs should provide to this group regarding SSRIs • Advice regarding contraception in this group in relation to SSRIs • Advice regarding congenital abnormalities in this group in relation to SSRIs
	Pregnant women	<ul style="list-style-type: none"> • Advice regarding 1st and 2nd line SSRIs to be used in this group • Advice regarding contraindicated SSRIs in this group • Advice regarding counselling that HCPs should provide to this group regarding SSRIs
	Breastfeeding women	<ul style="list-style-type: none"> • Advice regarding 1st and 2nd line SSRIs to be used in this group • Advice regarding contraindicated SSRIs in this group • Advice regarding counselling that HCPs should provide to this group regarding SSRIs
All (women of reproductive age AND pregnant women AND breastfeeding women)	<ul style="list-style-type: none"> • Advice regarding contraception in relation to SSRIs • Advice regarding congenital abnormalities in relation to SSRIs • Advice regarding when to refer to specialist perinatal mental health • Advice regarding risk PPHN with SSRI use • Advice regarding neonatal withdrawal with SSRI use • Advice regarding PPH risk with SSRI use 	

Table 2. Data points extracted from formularies containing locally generated guidance regarding SSRI prescribing in women of reproductive age, during pregnancy or during breastfeeding. SSRI: Selective Serotonin Reuptake Inhibitor, HCP: Health Care Professional, PPHN: Persistent Pulmonary Hypertension of the Newborn, PPH: Post Partum Haemorrhage.