1 Abstract

We conducted a bidirectional Mendelian randomization study to examine the causal effects of six 2 3 personality traits (anxiety, neuroticism, extraversion, openness to experience, agreeableness and conscientiousness) on back pain associated with health care use and the causal effect of back pain 4 on the same risk factors. Genetic instruments for the personality traits and back pain were obtained 5 6 from the largest published genome-wide association studies conducted in individuals of European ancestry. We used inverse weighted variance meta-analysis and Causal Analysis Using Summary 7 8 Effect for primary analyses and sensitivity analyses to examine evidence for causal associations. 9 We interpreted exposure-outcome associations as being consistent with a causal relationship if results of at least one primary analysis were statistically significant after accounting for multiple 10 11 statistical testing (p-value < 0.0042), and the direction and magnitude of effect estimates were concordant between primary and sensitivity analyses. We found evidence for statistically 12 significant bidirectional causal associations between neuroticism and back pain, with odds ratio 13 1.51 (95% confidence interval 1.37; 1.67) of back pain per neuroticism sum score standard 14 deviation, p-value = 7.80e-16; and beta = 0.12, se = 0.04 of neuroticism sum score standard 15 deviation per log odds of back pain, p-value = 2.48e-03. Other relationships did not meet our 16 predefined criteria for causal association. 17

18 **Perspective**

19 The significant positive feedback loop between neuroticism and back pain highlights the20 importance of considering neuroticism in the management of patients with back pain.

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22 Key words: Big Five, anxiety, low back pain, risk factor, causality

23 Introduction

Back pain is a major cause of disability worldwide. According to the Global Burden of Disease 24 25 Study 2015, low back pain together with neck pain were the leading causes of global years lived with disability in 1990-2015⁵⁰. The lifetime prevalence of back pain is as high as 39% and varies 26 widely depending on the population ¹⁹. There are many known risk factors of back pain, including 27 genetics, lifestyle traits, social status, psychological factors and personality ^{11,38,52}. Investigating 28 the causal relationships between possible risk factors and back pain is an important step toward 29 30 understanding the disease etiology and discovering new methods of pain management and medical 31 treatment. The gold standard of studying causal relations is randomized control trials (RCTs). However, for most lifestyle, social and personality traits RCTs are not a feasible option. Modern 32 developments in genomics research offer an opportunity to conduct a good approximation of an 33 RCT - Mendelian randomization (MR) analysis. MR has a level of evidence somewhere between 34 traditional observational studies and RCTs 9. 35

Personality traits and mental health problems are an important group of back pain risk factors. 36 Among them depression is by far the most common mental disorder associated with back pain 37 ^{38,52}. Anxiety and the "Big Five" personality traits (neuroticism, extraversion, openness to 38 experience, agreeableness, conscientiousness) are associated with chronic back pain as well ^{20,43}. 39 Emotions and personality not only influence the risk of back pain but also modify the perception 40 of pain and can influence the results of treatment ^{1,14}. For instance, higher scores of agreeableness 41 may reflect that people are more likely to relieve their emotional distress through relying on social 42 support ²⁰. This may be protective against chronic back pain, since it is known that there is an 43 association between its development and stressful stimuli⁸. Also, patients with high levels of 44 conscientiousness may suffer less from back pain because of acceptance of life with the condition 45

²², whereas those with low levels of conscientiousness may have more difficulties due to lack of 46 motivation to follow recommendations for the treatment of pain ³⁷. Moreover, previously we have 47 shown that major depressive disorder (MDD) has a moderate-magnitude causal association with 48 chronic back pain (odds ratio [OR] = 1.41, 95% confidence interval [CI] 1.27; 1.58)⁵². This might 49 be partially explained by central sensitization being more likely to develop in patients with anxiety 50 and depression disorders and playing a vital role in the chronification of back pain ^{16,41}. On the 51 other hand, disease experience may alter patients' behavior, for instance making them less prone 52 to seeking new experiences and induce patients to control their movements carefully ²⁰. These 53 54 various examples illustrate why causal relationships of back pain with personality traits are an important area of inquiry. 55

The aim of this study was to conduct a bidirectional MR study between back pain and six personality traits: anxiety and the "Big Five" personality traits (extraversion, agreeableness, openness, conscientiousness, and neuroticism). We hypothesized that personality traits would have causal effects on back pain and that, conversely, back pain would have causal effects on personality traits.

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63 Methods

64 Data used

The study was conducted using the largest publicly available genome-wide association study (GWAS) results for the respective traits. Research approvals involved the UK Biobank Research Ethics Committee (#11/NW/0382) and the VA Puget Sound Health Care System (MIRB 00903). The informed consent was obtained from each subject involved in the study. All the data were obtained from individuals of European ancestry, providing greater sample size and statistical power and mitigating confounding by ancestry ⁹. Each dataset passed the quality control and unification procedure employing tools integrated into the GWAS-MAP platform ⁴².

72 In the primary analyses, we utilized GWAS data on six personality traits (anxiety, neuroticism, 73 extraversion, openness to experience, agreeableness, and conscientiousness) and a GWAS metaanalysis of back pain (Table 1). Anxiety was measured in the UK Biobank ⁴⁵ sample and defined 74 as a binary trait with 1,092 cases and 360,102 controls. Results of GWAS were obtained from the 75 open access Neale Lab database (http://www.nealelab.is/uk-biobank). This GWAS was conducted 76 using linear regression analysis. The phenotype "Anxiety disorders" is coded in the Neale Lab 77 database as "KRA PSY ANXIETY", which is a code manually curated by collaborators from the 78 FinnGen project ²⁵. It combines the F40 through F48 medical codes ("Neurotic, stress-related and 79 somatoform disorders") from the 10th Revision of the International Statistical Classification of 80 81 Diseases (ICD-10) (see https://risteys.finngen.fi/endpoints/KRA_PSY_ANXIETY for more details on phenotype definition). GWAS results for neuroticism were also provided by UK 82 Biobank ³⁶. The phenotype was evaluated based on a summed neuroticism score obtained from 12 83 dichotomous items of the Eysenck Personality Questionnaire Revised Short Form (EPQ-RS)¹², 84 85 and then standardized before association analysis. Data on other personality traits were obtained

from the Genetics of Personality Consortium (GPC) (https://tweelingenregister.vu.nl/gpc). 86 Phenotypic values of openness to experience, agreeableness and conscientiousness were assessed 87 as summed scores from NEO Five-Factor Inventory ¹⁰, while the extraversion latent score was 88 calculated using an Item-Response Theory (IRT) approach harmonizing data from various 89 inventories ^{47,48}. Unlike the GWAS on back pain, GWASs on all personality traits were conducted 90 using linear regression models. The back pain GWAS used for main analyses represents the results 91 of meta-analysis performed by the DBDS Genetic Consortium and GO consortium², comprising 92 119,110 cases and 909,847 controls in the total sample. The binary back pain phenotype was 93 94 reconstructed from the ICD-10 M54 code "Dorsalgia". Here we refer to this phenotype as "back pain associated with health care use" (BP-HC). The corresponding GWAS results were transferred 95 from the GRCh38 to GRCh37 genomic build using liftOver 96 the tool (https://github.com/broadinstitute/liftover/) prior to the analyses. 97

98

99 Table 1. GWAS summary statistics for personality traits and back pain associated with health care100 use (BP-HC) employed in the main analyses

Another GWAS on back pain was employed for *post hoc* MR analyses excluding sample overlap between exposure and outcome. These data were derived from the seventh release of the FinnGen study ²⁵. The phenotype was defined as "M13 Dorsalgia" based on ICD-10 codes from electronic health records (see <u>https://r7.risteys.finngen.fi/phenocode/M13_DORSALGIA</u> for more details). The GWAS was performed with logistic regression and included 44,509 cases and 227,388 controls. Additionally, we used data on sixteen potential confounders representing psychosocial (smoking, education, alcohol consumption, physical activity, sleep duration and depression) and cardiovascular (blood pressure, plasma lipid levels, type 2 diabetes and obesity) risk factors of back pain to conduct sensitivity analyses. More information on all the data used is provided in Supplemental Table 1.

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113 Genetic correlations and heritability

We assessed the genetic correlations between personality traits and BP-HC to check whether there is a shared genetic background that can mediate their co-occurrence. At first, we reformatted the GWAS data using GenomicSEM v0.0.2 R package ¹³ and then utilized the LD Score regression tool ⁵ to compute SNP-based heritability of the traits and genetic correlations between them. The statistical significance threshold for heritability estimates was set at p-value < 0.007 = 0.05/7 and for genetic correlation coefficients it was defined as p-value < 0.008 = 0.05/6.

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121 Mendelian randomization analysis

122 Overview of Mendelian randomization and analysis pipeline

Mendelian randomization (MR) is an *in silico* approach that aims to test for causal relationship between two traits: an exposure (considered as a cause) and an outcome (considered as a consequence of the exposure). The concept of MR is quite similar to the one utilized in RCTs. In MR, genetic variants associated with a higher risk of development of exposure trait are analogues to the intervention in RCTs. Thus, the carriers of such exposure-associated genetic variants can be

related to the affected group and the non-carriers refer to the control group. The genetic variants 128 are usually represented as single nucleotide polymorphisms (SNPs) and traditionally called 129 instrumental variables (IVs). Classical MR requires IVs to meet specific assumptions: (i) IVs must 130 be associated with the exposure; (ii) IVs should not affect the outcome apart from through the 131 exposure (no horizontal pleiotropy); and (iii) there should be no association of IVs with any of the 132 133 confounders of the exposure-outcome relationship. The information on association between the genetic variants and exposure or outcome is commonly taken from the results of GWAS of a 134 corresponding trait. Similar to the randomization of intervention in RCTs, there is a random 135 136 allocation of genetic variants in MR, but unlike RCTs, this is a "natural" randomization due to the random distribution of genotypes in populations under the genetic laws, such as Mendel's laws of 137 segregation and independent assortment, crossing over and random fertilization. Genetic variants 138 are independent of environmental factors, so these environmental factors are assumed to be equally 139 distributed between individuals with different genotypes. This makes MR less prone to 140 confounding in comparison to observational studies ⁴⁴. The notable distinction between effect 141 estimates in MR and RCTs is that MR provides estimates of a life-long "intervention", whereas 142 RCTs assess short-term effects ⁴⁶. Negative MR results should be interpreted with caution: absence 143 144 of the statistically significant signal does not necessarily mean no causality between traits.

In the current study, we used a protocol which we developed previously ⁵². This protocol applies
two MR methods: inverse variance weighted meta-analysis, IVW ^{18,23}, and Causal Analysis Using
Summary Effect estimates, CAUSE ³⁴ and includes primary, sensitivity and *post hoc* analyses.
This protocol was previously used for studying causal effects of psychosocial ⁵² and cardiovascular (Suri, 2023) risk factors on back pain and *vice versa*. The present study continues this

earlier work, considering these risk factors as potential confounders of causal relationshipsbetween personality traits and back pain (Supplemental Table 1).

152 Selection of instrumental variables

Instrumental variables for both IVW and CAUSE were selected in three steps. First, we found 153 genetic variants overlapping between exposure and outcome datasets. Then, we performed 154 clumping of these variants utilizing PLINK 1.9^{7,39} (10000 kb window, $r^2 > 0.001$ threshold for 155 correlation between SNPs, minor allele frequency (MAF) < 0.05 filter, p-value \le 5e-08 and p-156 value \leq 1e-03 statistical significance thresholds for IVW and CAUSE, respectively). Finally, we 157 kept only those SNPs that passed the harmonization of exposure and outcome data procedure 158 18 TwoSampleMR v0.5.5 159 implemented in the and cause v1.0.0274 (https://github.com/jean997/cause) R packages. The selected IVs can be considered as strong (F-160 statistic > 10) and meeting the first MR assumption, though we did not require IVs to be replicated 161 in other GWASs as it is recommended for the IVW analysis ¹⁸. 162

163 Primary Mendelian randomization analysis

For IVW and CAUSE analyses we employed standard functions (with default settings) from the TwoSampleMR and cause R packages, respectively. The statistical significance threshold for MR results was set at p-value < 0.0042 = 0.05/(6*2), where 6 is the total number of exposure-outcome trait pairs and 2 reflects the bidirectionality of the analyses. For those trait pairs that passed the significance threshold at least in one of the methods in primary analysis (criterion 1) and provided effect estimates concordant by direction and magnitude between IVW and CAUSE (criterion 2), we conducted sensitivity analyses.

171 Sensitivity Mendelian randomization analysis

Sensitivity analyses attempted to control for horizontal pleiotropy and confounding effects 172 reflecting violation of the second and the third MR assumptions, respectively. In IVW sensitivity 173 analysis this was implemented in two steps: (i) we filtered out the IVs associated with potential 174 confounders and personality traits other than the one considered as exposure or outcome in each 175 exposure-outcome trait pair being analyzed; (ii) and we used the MR-PRESSO v1.0⁴⁹ tool to 176 identify and exclude horizontal pleiotropy outliers. In CAUSE sensitivity analysis, we used a 177 similar approach, but only controlled for potential confounding (without excluding horizontal 178 pleiotropy outliers); no manual correction for horizontal pleiotropy was made since it is embedded 179 180 in the model of the CAUSE method.

When all the sensitivity analyses were done, we collated the direction of the effect estimates from all the primary and sensitivity analyses. If the direction of effect estimates were the same across these analyses (criterion 3), we inferred the data to be concordant with the hypothesis of causal effect of exposure on outcome.

185 *Post hoc analysis*

For exposure-outcome trait pairs that had statistically significant MR effects in the primary analysis, but involved sample overlap, we conducted a *post hoc* analysis using an independent GWAS of back pain from the FinnGen biobank to account for bias due to overlapping samples. This analysis was restricted to the IVW method only, with no sensitivity analyses. If the effect estimate was concordant by its direction and magnitude with estimates from the main MR analysis, we concluded that the effect was observed not due to sample overlap but because of causality.

193 Rescaling the Mendelian randomization effect estimates to the logistic scale

For anxiety, which is a binary trait analyzed using a linear regression model, we transformed the IVW and CAUSE effect estimates from the linear to the logistic scale. We performed this both in forward and reverse MR using the formulae described in ^{31,32}. Thus, in forward MR we multiplied the effect estimate beta MR by the coefficient pr * (1 - pr), while for the reverse MR the coefficient was equal to $\frac{1}{pr*(1-pr)}$. We set the prevalence (pr) of anxiety to 0.003 based on the number of cases and controls in the corresponding GWAS.

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201 Estimation of the detectable Mendelian randomization effect

To evaluate the magnitude of detectable MR effect under the defined statistical threshold p-202 203 value < 0.0042 and statistical power of 80% we assessed the corresponding non-centrality parameter (NCP) of the chi-squared distribution with one degree of freedom to be 13.74. Then for 204 205 every exposure-outcome pair we calculated the proportion of exposure trait variance explained (*R*²) by instrumental variables (IVs) as follows: $R^2 = \frac{\sum_i z_i^2}{N_{exposure}}$, where $z_i^2 = \left(\frac{\beta_{exposure_i}}{se_{exposure_i}}\right)^2$ is the 206 test statistics for the *i*th IV from the GWAS on exposure and $N_{exposure}$ is its sample size. Only the 207 208 IVs from primary IVW analyses were accounted for. Further we computed the linear beta MR effect as $\beta_{MR_{linear}} = \sqrt{\frac{NCP}{R^2 * N_{outcome}}}$, where $N_{outcome}$ is the sample size of outcome GWAS. 209 Since back pain and anxiety are binary traits, we had to transform the linear effect estimates to the 210 This was done according to the formula: $\beta_{MR_{logistic}} = \beta_{MR_{linear}} *$ logistic scale. 211

²¹² $\frac{\sqrt{pr_{exposure}*(1-pr_{exposure})}}{\sqrt{pr_{outcome}*(1-pr_{outcome})}}$, where $pr_{exposure}$ and $pr_{outcome}$ are the prevalence of exposure and

outcome, respectively. If one of the traits from the exposure-outcome pair was continuous, wesubstituted the corresponding part of the fraction (numerator or denominator) with 1.

215 For those continuous personality traits that were not standardized (all of them apart from 216 neuroticism) we estimated the standard deviation (SD) of the phenotype to transform the estimates of the detectable MR effect to the scale of the corresponding GWAS (see Supplemental Table 1). 217 218 The SD values were evaluated as the square root of the phenotypic variance calculated based on 219 the subset of independent statistically insignificant SNPs as described by Winkler et al. ⁵³. A list 220 of independent genetic variants was obtained using PLINK v1.9 software, using the --indep-221 pairwise option. The MR estimates were divided by SD in cases in which the personality trait was considered as the exposure and was multiplied by SD otherwise. 222

Finally, we hypothesized the expected direction of the causal effect based on the other studies ^{20,22,37}. Thus, we assumed neuroticism to be positively correlated with BP-HC, whereas agreeableness, conscientiousness, extraversion and openness to experience to have a negative direction of effect. Since anxiety is positively correlated with neuroticism, we expected anxiety to have a positive correlation with BP-HC as well.

All the intermediate parameter values used for estimation of the detectable MR effect and the finaleffect estimates are given in Supplemental Tables 2 and 3.

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231 Overlapping sample bias estimation

The GWAS samples for analyses of BP-HC, anxiety and neuroticism involved UK Biobank participants. According to Burgess et al. ⁶, this could bias the causal effect estimate in IVW in case of weak IVs. Although we performed our analyses using IVs strongly associated with the exposure 235 trait (p-value < 5e-08, F-statistic > 10), and reinforced the IVW results with estimates from CAUSE method which is robust to sample overlap, we additionally evaluated the bias in IVW 236 effect estimates and inflation of type 1 error introduced by sample overlap between exposure and 237 238 outcome traits both in forward and reverse MR. To do this we employed the online tool (https://sb452.shinyapps.io/overlap/) implementing the approach described by Burgess et al. ⁶. The 239 proportion of exposure variance explained by IVs (R^2) was assessed as described above and 240 represented in Supplemental Tables 2 and 3 alongside the information on outcome trait prevalence. 241 The sample sizes for corresponding traits are given in Supplemental Table 1. The observational 242 estimate bias was approximated as 1/E(F - statistic) = 0.1. 243

245 **Results**

246 Genetic correlations and heritability

Analysis of trait heritability estimates showed that only four (BP-HC, neuroticism, extraversion and openness to experience) out of seven traits demonstrated statistically significant SNP-based heritability (Supplemental Table 4). These heritability estimates varied from 5.31% for extraversion to 10.07% for openness to experience. Only two traits (neuroticism and openness to experience) were statistically significantly genetically correlated with BP-HC. Both traits showed moderate magnitude of genetic correlation of about 35%.

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254 Mendelian randomization analysis

255 In the forward MR primary analysis, only neuroticism showed a statistically significant effect on 256 BP-HC (see Table 2, Supplemental Tables 5 and 6). Both IVW and CAUSE primary results passed 257 the significance threshold and demonstrated close effect estimates (IVW: beta = 0.41, OR = 1.51, 95% CI 1.37; 1.67; CAUSE: gamma = 0.38). We detected statistically significant heterogeneity 258 259 between the 91 IVs used in primary IVW analysis (see Supplemental Table 5), which was eliminated after performing sensitivity analysis. Results of sensitivity analysis (Table 2, 260 Supplemental Tables 5 and 7) for neuroticism were concordant with the results from primary MR. 261 262 The post hoc analysis using GWASs without sample overlap was concordant with the primary MR results as well (Supplemental Table 8). For other personality traits we did not observe a sufficient 263 number of IVs for IVW analyses. For anxiety, extraversion and conscientiousness there were no 264 265 IVs at all.

Table 2. Causal effect of personality risk factors on back pain associated with health care use (BPHC)

268 Similar to the forward MR analysis, in the reverse MR we observed only one statistically 269 significant signal, for neuroticism (see Table 3, Supplemental Tables 9 - 11). It was significant in both methods used in the primary analysis and the effect estimates for each were consistent (IVW: 270 271 beta = 0.12; CAUSE: gamma = 0.10). IVs used in primary IVW analysis were heterogeneous (Supplemental Table 9). The heterogeneity was removed after sensitivity IVW analysis. Although 272 there were only two IVs that remained in sensitivity IVW analysis, the direction of the effect 273 274 observed for these was the same as that in the primary MR and sensitivity CAUSE analyses. In 275 *post hoc* analysis (Supplemental Table 8) we obtained an effect estimate which was close to the 276 values from the primary MR. No other personality traits had statistically significant associations in the reverse MR. 277

Table 3. Causal effect of back pain associated with health care use (BP-HC) on personality riskfactors

280 Detectable Mendelian randomization effect

We evaluated the magnitude of detectable MR effect in forward (Supplemental Table 2) and reverse (Supplemental Table 3) primary analyses assuming 80% statistical power. The largest detectable effect in forward MR was found for neuroticism (beta = 0.11, OR = 1.12) assuming a positive direction of the effect. For conscientiousness and openness to experience the estimated detectable MR effect on BP-HC was beta = -0.04 assuming a negative correlation, which corresponds to OR = 0.96. In reverse MR detectable effect estimates on average were more extreme and varied from beta = -2.40 for conscientiousness to beta = 1.25 for anxiety (OR = 3.50). Notably, a large detectable effect in the reverse MR was also estimated for openness to experience
(beta = -2.28).

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291 Overlapping sample bias

Analysis of relative bias in IVW effect estimates from forward and reverse MR analyses considering anxiety and neuroticism showed modest bias of 0.003 and stable type 1 error of 0.05. It should be noted that for these traits the detected CAUSE effects were similar to those obtained in IVW in both magnitude and direction, and the results from *post hoc* analysis for neuroticism confirmed findings from the main analysis. This all adds credibility to the observed IVW effect estimates and allows us to conclude that IVW results are reliable, despite the sample overlap.

299 Discussion

Investigating the causal relationships between risk factors and back pain is an important step 300 301 toward understanding its underlying mechanisms and developing novel trajectories of pain 302 management and treatment. We have demonstrated bidirectional causal associations between neuroticism level and BP-HC. These results are concordant with epidemiological observations that 303 304 a high level of neuroticism increases the risk of pain in general, modifies pain perception and treatment response, and increases chances of pain chronification ^{4,24,26,37}. One of the possible 305 mechanisms of the neuroticism influence on BP-HC is through pain catastrophizing and increasing 306 of pain anxiety ²⁸. Possible mechanisms of the reverse influence (BP-HC on neuroticism levels) 307 could be partially explained by the fact that the quality of life of patents with back pain decreases 308 as back pain severity increases. To our knowledge, this is the first time a causal effect of back pain 309 on neuroticism has been reported. Both forward and reverse effects were positive in the current 310 work, indicating a possible positive feedback loop between neuroticism and BP-HC, meaning that 311 312 back pain could lead to greater neuroticism, which in turn can cause more pain. Our findings are in line with the diathesis-stress model of personality and pain ⁵¹ which assumes that a diathesis 313 (such as a personality trait or premorbid personality functioning) and stressors (such as 314 315 physiological stress of pain and the psychosocial stress associated with chronic pain disability) interact in a nonlinear way and may aggravate each other. It means that not only may a diathesis 316 317 influence the probability of being exposed to stressful stimuli, but also diatheses may determine which types of events a person interpret as stressors ³³. The diathesis-stress model shows that 318 319 comprehensive assessment of both personality function and stressful factors prior to manifestation of pain is crucial for better understanding of the aims, expectations, and limitations of pain 320 321 treatment.

Since neuroticism is a risk factor for depression ⁵⁵, bidirectionality of the association between neuroticism and BP-HC contrasts with our findings for MDD. Previously we have observed causal relationship of MDD on back pain but not *vice versa* ⁵². Given the current results for neuroticism and conservative nature of our MR protocol, which resulted in a single IV in sensitivity analysis of back pain against MDD in our recent study, we may speculate, that back pain still can have reverse causation on MDD as on neuroticism level. However, further studies are needed.

We did not observe evidence for causality between other personality traits and back pain. This 328 might be due to the lack of statistical power and absence of IVs for the IVW MR for several traits. 329 330 However, the CAUSE method was not impacted by a paucity of IVs, yet it also failed to find evidence of statistically significant causal associations for other personality traits. The GWASs for 331 extraversion, openness to experience, agreeableness and conscientiousness had smaller sample 332 sizes than the one for neuroticism (N < 65,000). We did not detect significant result for anxiety 333 334 either and had no IVs in forward IVW analysis at all; however, anxiety had sample size comparable 335 with neuroticism. Most likely, we simply had low statistical power for anxiety, as for other personality traits but neuroticism. We may also speculate that alternative approaches to anxiety 336 337 phenotype measurement may have resulted in a bigger number of cases, providing greater 338 statistical power in GWAS and more IVs for MR analyses. For the given sample sizes and current phenotype definitions available, the estimated detectable effects were substantial, varying from 339 beta = -2.40 to beta = 1.25. We cannot claim the absence of causal signals before much bigger 340 GWASs are incorporated in frames of the MR analysis. 341

Beside the modest sample size for several personality traits, the study has other limitations. Firstly, for the primary analyses for neuroticism and anxiety, we used GWASs that had sample overlap with the GWAS of BP-HC. This potentially increases the type 1 error of the analysis and could

bias effect estimates ⁶. However, our estimates of overlapping sample bias suggested that the 345 likelihood of such bias is negligible. Moreover, the results of post hoc analyses when using non-346 overlapping samples were concordant with the main analyses. Secondly, the results are applicable 347 only for European population. GWASs of samples with other ancestries are much needed so that 348 the relationships between personality traits and BP-HC in other populations can be studied using 349 350 MR. Thirdly, it is known that personality traits as well as back pain are vulnerable to assortative mating bias ^{29,30}. There is no general solution for this possible issue, but there is a growing evidence 351 for efficiency of a family-based design in MR^{3,17}. However, our *post hoc* results obtained on 352 353 GWAS from another population (from Finland biobank) increases the confidence that our results are valid. Finally, in the current study we utilized medical codes to identify back pain cases, which 354 creates a heterogeneous sample with highly varying pain locations and duration. In general, this 355 might decrease the statistical power of the analysis and reduce the number of IVs, though in this 356 case it could be compensated by a very large sample size ²⁷. In addition, it is possible that we did 357 not detect the expected causal effects of psychological factors because the back pain phenotype 358 we used was not restricted to chronic back pain exclusively, while many previously described 359 associations were observed specifically in the setting of chronic back pain ^{16,20,43}. Although we can 360 361 hypothesize that patients with back pain seeking healthcare treatment most likely have chronic pain ^{15,21,35}, there may still be a substantial proportion of people with acute back pain in our GWAS 362 363 sample and this might have affected our findings.

Our results support the general clinical practice of considering personality traits in multidisciplinary treatment programs for chronic back pain ^{20,37,40,54}. The significant positive feedback loop between neuroticism and back pain highlights the potential importance of recognizing neuroticism in patients with back pain.

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