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## The Clinical Picture of the ERCC6L2 Disease - from Bone Marrow Failure to Acute Leukemia

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#### Abstract:

Biallelic germline ERCC6L2 variants strongly predispose to bone marrow failure (BMF) and myeloid malignancies characterized by somatic TP53-mutated clones and erythroid predominance. We present a series of 52 subjects (35 families) with ERCC6L2 biallelic germline variants collected retrospectively in 11 centers globally, including follow-up of 1165 person-years. At initial investigations, 32 individuals were diagnosed with BMF and 15 with a hematological malignancy (HM). Subjects presented with 19 different variants across ERCC6L2, and we identified a founder mutation c.1424delT in the Finnish patients. The median age of subjects at baseline was 18 years (range 2-65). Changes in complete blood count (CBC) were mild despite severe bone marrow hypoplasia and somatic TP53 mutations, with no significant difference between subjects with or without (HM). Signs of a progressive disease were increasing TP53 variant allele frequency, dysplasia in megakaryocytes and/or erythroid lineage, and erythroid predominance in bone marrow morphology. The median age at onset of HM was 37.0 years (95% CI: 31.5-42.5; range 12-65). Overall survival (OS) at 3 years was 95% (95% CI: 85-100) and 19% (95% CI: 0-39) for patients with BMF and HM, respectively. Patients with myelodysplastic syndrome or acute myeloid leukemia with mutated TP53 undergoing hematopoietic stem cell transplantation had a poor outcome: 3-year OS is 28% (95% CI: 0-61). Our results demonstrate the importance of early recognition and active surveillance of patients with biallelic germline ERCC6L2 variants.

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#### 66 Key points

# 67 - ERCC6L2 disease causes bone marrow failure requiring timely stem cell 68 transplantation before progressing to a high-risk myeloid malignancy.

 Frequent somatic *TP53* mutation screening and bone marrow examinations are essential in the surveillance of ERCC6L2 patients.

#### 69 Abstract

70 Biallelic germline ERCC6L2 variants strongly predispose to bone marrow failure (BMF) and 71 myeloid malignancies characterized by somatic TP53-mutated clones and erythroid 72 predominance. We present a series of 52 subjects (35 families) with ERCC6L2 biallelic 73 germline variants collected retrospectively in 11 centers globally, including follow-up of 74 1165 person-years. At initial investigations, 32 individuals were diagnosed with BMF and 15 75 with a hematological malignancy (HM). Subjects presented with 19 different variants across 76 ERCC6L2, and we identified a founder mutation c.1424delT in the Finnish patients. The 77 median age of subjects at baseline was 18 years (range 2–65). Changes in complete blood 78 count (CBC) were mild despite severe bone marrow hypoplasia and somatic TP53 mutations, 79 with no significant difference between subjects with or without HM. Signs of a progressive 80 disease were increasing TP53 variant allele frequency, dysplasia in megakaryocytes and/or 81 erythroid lineage, and erythroid predominance in bone marrow morphology. The median 82 age at onset of HM was 37.0 years (95% CI: 31.5–42.5; range 12–65). Overall survival (OS) at 83 3 years was 95% (95% CI: 85–100) and 19% (95% CI: 0–39) for patients with BMF and HM, 84 respectively. Patients with myelodysplastic syndrome or acute myeloid leukemia with 85 mutated TP53 undergoing hematopoietic stem cell transplantation had a poor outcome: 388

89 Introduction

90 Excision repair cross-complementing 6 like 2 (ERCC6L2) is one of the most recently discovered genes linked to inherited bone marrow failure (BMF). The ERCC6L2 protein 91 contributes to nucleotide excision repair and non-homologous end joining.<sup>1-7</sup> Additionally, 92 93 ERCC6L2-depleted cells have been shown to exhibit increased reactive oxygen species levels, and ERCC6L2 is suggested to play a role in mitochondrial function.<sup>1</sup> Recessively 94 inherited ERCC6L2 disease ranks highly among the drivers of BMF syndromes.<sup>4</sup> Like most of 95 96 the BMF-causing germline gene defects, biallelic variants in ERCC6L2 also predispose patients to development of myeloid malignancies.<sup>8</sup> We previously reported that all 97 ERCC6L2-driven hematological malignancies (HM) harbored somatic TP53 mutations, and 98 the somatic mutagenesis seemed to occur already in the BMF phase.<sup>8</sup> Concerningly, the 99 TP53 mutations surreptitiously lead to a HM with extremely poor survival.<sup>9</sup> In distinction 100 101 from other BMFs with leukemia predisposition, acute myeloid leukemias (AMLs) stemming from ERCC6L2 disease seem to be restricted to erythroid lineage.<sup>8</sup> The propensity for 102 103 developing myeloid malignancy with TP53 mutations in ERCC6L2 disease renders it a 104 hematological disorder with extremely high-risk for morbidity and mortality.

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Since the first depiction of patients with defective *ERCC6L2*, altogether 37 cases with biallelic germline *ERCC6L2* variants have been described in the literature (including 14 patients from Finland).<sup>1-3,10-15</sup> In prior studies among Finnish patients, all cases have been homozygous for the variant *ERCC6L2*(NM\_020207.7): c.1424delT (p.lle475ThrfsTer36, rs768081343).<sup>8,10</sup> Moreover, a twenty-times-higher minor allele frequency of the variant in
 the Finnish population compared to the rest of the Europeans<sup>16</sup> suggests an accumulation of
 ERCC6L2 disease due to genetic drift as recognized in Finnish disease heritage (FDH).<sup>17</sup>
 Nevertheless, ERCC6L2 disease is not limited to Finland.

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115 In this study, our multinational study group brings together detailed clinical and molecular 116 features of both novel and previously identified ERCC6L2 patients (n=52). Our aim is to 117 highlight the typical diagnostic clues and the course of ERCC6L2 disease providing clinicians 118 means for recognition and planning of interventions in a timely manner.

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120 Methods

121 Study Design

122 The study was approved by Helsinki University Hospital Ethics Committee (#206/13/03/03/2016 and #303/13/03/01/2011) and local Institutional Review Boards. All 123 124 study subjects provided written informed consent. A retrospective chart review was 125 performed in eleven centers globally. Individuals with a genetic diagnosis of biallelic 126 germline variants in ERCC6L2 were included with no additional restrictions (n=50). Two 127 Finnish individuals had deceased prior to genetic confirmation but were additionally 128 considered in the study. One presented with severe aplastic anemia (AA) and the other with 129 AML M6. They had one and two siblings with verified biallelic ERCC6L2 variants 130 (Supplemental Table 1). The investigators from multiple centers reviewed the medical 131 records and pseudonymized the patient data. Bone marrow (BM) examinations, including 132 defining the BM cellularity, were carried out in each participating center according to local 133 practices (detailed original hematopathology reports were available for most of the Finnish patients, n=19/23). The disease courses and treatment responses are reported as defined by the local treating physicians. Family pedigrees were studied when possible (n=26/35 families). We performed a genealogical study to explore the possible founder effect of the variant *ERCC6L2* c.1424delT in Finland in accordance with previously described criteria.<sup>18</sup> We defined families as consanguineous if the parents were second cousins or closer.<sup>19</sup>

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140 In this study, patients with a diagnosis of AA or a mention of hypocellular BM were defined 141 as BMF. The MDS diagnoses were classified by the World Health Organization classification in 2016.<sup>20</sup> The diagnosis of AML was reported according to the standardized European 142 LeukemiaNet 2022 criteria,<sup>21</sup> and if of erythroid root (AML M6) by morphological French-143 144 American-British classification. We considered a relative increase in the erythroid lineage of 145 at least 50% of total BM cells as erythroid predominance. Individuals with biallelic ERCC6L2 146 variants, but without a diagnosis of BMF, MDS, or AML, were included in the statistical analyses if the result of a BM examination was available. 147

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#### 149 <u>Statistical analyses</u>

We evaluated differences in continuous variables with an unpaired t-test and categorical variables with Chi-square test. All tests were two-tailed. P-values <0.05 were considered statistically significant. We studied the overall survival probability and the age of onset of HM by calculating Kaplan-Meier curves and compared with the log-rank test. We used Cox proportional hazard models for hazard ratios. Initial contact with a hematologist was set as the first time point in the follow-up. Death from any cause was defined as an event and surviving patients were censored on the last day known to be alive. Among the patients with an initial diagnosis of BMF, we observed only two deaths during the follow-up, andtherefore report the mean survival time for them.

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#### 160 Data Sharing Statement

161 The data supporting the findings of this study is available upon request from the 162 corresponding authors (O.K., <u>outi.kilpivaara@helsinki.fi</u> and U.W.-K., <u>ulla.wartiovaara-</u> 163 <u>kautto@hus.fi</u>).

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165 Results

#### 166 <u>Baseline characteristics of the subjects with biallelic *ERCC6L2* variants</u>

167 The study included 52 individuals with ERCC6L2 disease from nine countries and ten 168 different ethnic groups (including 33 previously reported cases). Table 1 and Figure 1 169 summarize the patient characteristics and the ERCC6L2 mutation types. Out of the 35 170 families, 12 (34%) were consanguineous. The median age at referral to a hematologist was 171 18 years. There was no change in the median age even if individuals with biallelic ERCC6L2 172 variants but without diagnosis of BMF, MDS, or AML, were excluded. The most common 173 initial diagnosis was BMF (n=32; 62%). HM was diagnosed in every fourth individual at first 174 contact with a hematologist (MDS or AML n=14; T cell acute lymphoblastic leukemia [T-ALL] 175 n=1). The individual characteristics of study subjects are presented in Table 2 and in more 176 detail in Supplemental Table 1. The median age at the diagnosis of BMF was significantly 177 lower than for a HM (12.0 years and 29.0 years, respectively, p=0.0007; Table 3). Genetic 178 testing of the patients' families identified five siblings with biallelic ERCC6L2 variants without 179 a previous diagnosis of a hematological disease (Table 2; Supplemental Table 1).

The major CBC values at baseline investigation are shown in Table 3 and Figure 2. Almost all study subjects presented initially with various degrees of thrombocytopenia (93%). Additionally, we observed anemia, macrocytosis, leukopenia, and neutropenia in 64%, 53%, 72%, and 68% of the subjects, respectively. Reticulocytes were within the normal range in 83% of the subjects. Patients in malignant and non-malignant stages of ERCC6L2 disease had similar CBC values at referral to a hematologist (Table 3, Figure 2).

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We studied the original hematopathological reports of 19 Finnish patients from the first BM sample (trephine biopsy n=18, aspirate n=19; Supplemental Table 2). Six out of thirteen BMF patients (46%) and four out of six patients with HM (67%) had erythroid predominance in their BM. Dysplastic features were present in at least one cell lineage in nine patients with BMF (69%) and all the patients with HM. Dysplasia was restricted to erythropoiesis and megakaryocytes. Two patients initially experienced increased reticulin fibrosis and one patient developed severe fibrosis (grade 3/3) during the follow-up.

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196 We detected a complex karyotype, defined as at least three independent chromosomal 197 abnormalities, in 56% of patients with HM (n=9/16, including 14 initial diagnoses and two 198 whose disease progressed during follow-up). TP53 mutation analysis had been performed 199 from BM/blood for 29 patients, 90% of whom had at least one mutated clone (n=26/29, not 200 including copy number analyses in most of the patients). The number of observed mutations 201 varied from 0 to 4, with a median of 1. The median of TP53 VAF was 19.0 (range 1.3–94.0%). 202 Patients without HM had significantly smaller TP53 clones compared to those with 203 malignant conditions: the median VAFs of TP53 clones were 12.0 and 38.0%, respectively 204 (p=0.002). Definite information on whether the TP53 mutations were mono- or biallelic was unavailable. Two patients had *TP53* mutation VAF of  $\geq$  50% and one patient had a chromosome 17 deletion, presumptive for biallelic *TP53* alteration.<sup>22</sup> Data on the few somatic mutations other than *TP53* was available for 22/52 patients (Table 2; Supplemental Table 1).

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#### 210 <u>Genealogical study</u>

211 Figure 1 illustrates the ERCC6L2 variants present in the study subjects. The various types of 212 mutations are dispersed across the gene. We identified a founder mutation (NM\_020207.7) 213 c.1424delT (p.lle475ThrfsTer36) in the Finnish patients (homozygotes n=22, compound 214 heterozygotes n=1). To further study the phenomenon, we performed a genealogical study 215 in the Finnish families. We traced the ancestors from the Finnish Population Registries and 216 microfilm copies accessible through the National Archives of Finland. The majority of the 217 grandparents originated from an isolated region in Northeastern Finland (Figure 4A). Four 218 out of the nine most geographically clustered families show a distant interfamilial 219 relationship in a small rural village confirming the founder effect of the c.1424delT variant in 220 ERCC6L2 in the Finnish population (Figure 4B).

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#### 222 Extra-hematological features

Extra-hematological features are described for some ERCC6L2 patients: four had neurologic or neuropsychiatric conditions. Two of the four affected were from consanguineous families. Three had microcephaly. One had experienced recurrent bacterial and/or viral infections and two patients had been diagnosed with autoimmune diseases. Two adult patients had a history of solid tumor malignancy (melanoma and breast cancer, at the age of 21 and 35, respectively). The breast cancer patient suffered from a severe radiation injuryfollowing 50 Gy postoperative radiation (Supplemental Table 1).

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#### 231 Treatments and survival

232 We had treatment data available for 41 subjects. Thirteen individuals had surveillance with 233 or without occasional transfusions as their only treatment strategy. Two BMF patients had 234 androgen (oxymetholone and danazol) as the initial treatment. Treatment outcomes were 235 not reported, but one of the patients underwent allogeneic hematopoietic stem cell 236 transplantation (HSCT) subsequently. In addition, one BMF patient received 237 immunosuppressive treatment of antithymocyte globulin, steroid and cyclosporine for eight 238 days before the diagnosis of ERCC6L2 disease and proceeded to HSCT. A patient with MDS 239 with fibrosis (MDS-F), treated initially with decitabine, reached a complete response prior to 240 HSCT. One patient with MDS/AML with mutated TP53 received investigational therapy 241 within a clinical trial (anti-TIM3 antibody combined with a hypomethylating agent) reaching 242 a complete morphologic remission with incomplete hematologic recovery before HSCT. All 243 patients with AML M6 (n=6) went through induction chemotherapies (Supplemental Table 244 1). Three of the AML M6 patients reached remission but relapsed after HSCT (at four, seven, 245 and 13 months, respectively) and three were resistant to chemotherapy and not 246 transplanted (Supplemental Table 1). One patient presented with an initial diagnosis of T-247 ALL and achieved remission after induction chemotherapy. While continuing T-ALL therapy, 248 the patient developed MDS/AML with mutated TP53 and was carried to HSCT. As an initial 249 treatment, 15 patients underwent HSCT (BMF n=9, MDS n=6).

251 We had follow-up data available for 40 individuals (77%) whose initial conditions were: 252 BMF, n= 26; MDS or AML, n= 12; T-ALL, n=1; and one sibling with biallelic *ERCC6L2* mutation 253 without a diagnosis of BMF or HM. The overall median follow-up time was 3.0 years (range 254 0.2–28 years). During the follow-up time, three patients with BMF developed an HM: one at 255 four months and one at 25 years from diagnosis. For one case the progression time was not 256 reported. In addition, three patients with an initial diagnosis of MDS progressed into AML at 257 two months, six months, and eight months, respectively. Patients with an initial diagnosis of 258 BMF had a mean survival of 26.7 years (95% CI 23.3–30.1; median not definable as only two 259 patients succumbed during the follow-up) and three- and five-year overall survival (OS) of 260 95% (95% CI 85–100%). For MDS and AML patients, and one patient with an initial diagnosis 261 of T--ALL who progressed to MDS/AML with mutated TP53, the three-year OS was 19% (95% 262 CI 0–39) and median survival 1.6 years (95% CI 0.6–2.6) (Figure 3A). The initial diagnosis of 263 HM (all with TP53 status tested were TP53-mutated) was associated with a remarkable 264 increase in the risk of mortality compared to the initial diagnosis of BMF (HR 34.5, 95% CI 265 4.3–273.8, p<0.001).

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The median age at onset of a HM in all the patients was 37.0 years (95% Cl 31.5–42.5; range 12–65; Figure 3B). Interestingly, patients with a homozygous *ERCC6L2* c.1424delT, Finnish founder mutation, were significantly older than other patients regarding the median age at onset of HM; 40.0 years (95% Cl 36.1–43.9) and 22.0 years (95% Cl 18.8–25.2), respectively (*p*=0.000026, Figure 3C). However, the OS was similar in both groups (*p*=0.267, Supplemental Figure 1).

274 Twenty-three subjects (44%) underwent HSCT (follow-up data available for 20/23). Two 275 pediatric patients with BMF did not have TP53 clones (aged 11 and 10 years at the time of 276 testing) but all the others tested had TP53 mutations. The median follow-up time after HSCT 277 was 1.5 years (range 0.1–12.5). Figure 3D demonstrates the post-HSCT survival data: the 278 mean survival time of BMF patients was 10.8 years (95% CI 7.6–13.9; n=9 with one death 279 during the monitoring) and the median survival time was 1.9 years for those with HM at 280 HSCT (95% CI 0.6–3.2; n=11 with six deaths during the follow-up). Patients with BMF had a 281 one- and three-year OS of 88% (95% CI 64-100) compared to patients with HM at HSCT with 282 one-year OS of 46% (95% CI 13–79) and two and -three-year OS of 28% (95% CI 0–61, 283 p=0.07). We observed seven deaths after HSCT: four due to disease relapse (three AML and 284 one MDS-F at the time of HCST) and three transplant-related mortality (two MDS and one 285 BMF).

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#### 287 Discussion

Recessively inherited ERCC6L2 disease is a novel entity among life-threatening inherited BMFs. Since the first two patients were described in 2014,<sup>1</sup> a substantial amount of information has accumulated enabling us to draw the clinical picture of ERCC6L2 disease (Figure 5). In addition to the analysis of clinical data and foundational characteristics, we present follow-up data of 1165 person-years.

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294 Classical inherited BMFs, such as Fanconi anemia (FA) and Shwachman-Diamond syndrome 295 (SDS), are usually recognized in childhood. They characteristically present with extra-296 hematopoietic features, including visible skeletal abnormalities, suggestive of a congenital 297 syndrome.<sup>23</sup> In comparison, our data propose that ERCC6L2 disease manifestations are 298 more disguised, leading to the identification of the underlying hematological condition later299 in life, usually in adolescence.

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301 Suspicion of an underlying blood disease is generally raised based on changes in the 302 patient's CBC. The vast majority (90%) of ERCC6L2 subjects presented with 303 thrombocytopenia independent of the initial diagnosis. Notably in ERCC6L2 disease, we 304 detected on average only modest alterations in CBC, which may be easily overlooked in 305 most subjects. Furthermore, we did not observe reticulocytopenia in the patients with BMF. 306 Despite the ambiguity of the CBC, our data shows that BM examination most often 307 uncovered an underlying BM pathology in patients with biallelic ERCC6L2 variants, especially 308 evident in trephine biopsy. The inconclusiveness of the CBC in regard to the state of the BM is a phenomenon also described in SDS.<sup>24</sup> 309

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311 A limitation of the study is the lack of central pathology review. Based on the subanalysis of 312 hematopathological reports of 19 Finnish patients at initial investigations, we observed 313 erythroid predominance in a considerable number of patients. The effect was more 314 prominent in patients with HM. It is tempting to propose that the erythroid proliferation is a 315 pathognomonic feature of ERCC6L2 disease indicating a susceptibility for HM of erythroid root (Figure 5).<sup>8</sup> Furthermore, we note a shift from hypocellular BM towards hypercellularity 316 317 in patients with or progressing to a HM. Another potential ERCC6L2-specific feature is a 318 tendency for developing secondary reticulin fibrosis in the BM, which was detected in six 319 cases (Supplemental Table 1). The importance of the BM niche was advocated in a recent 320 study using both patient samples and ERCC6L2-silenced cells which suggested that biallelic 321 *ERCC6L2* mutations also affect the mesenchymal stromal cells, which are paramount for 322 fibrogenesis.<sup>14</sup>

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324 A prototype for somatic rescue is described in SAMD9/9L -mutated MDS with a nonrandom loss of chromosome seven, which was identified in 61% of the patients in a recent study.<sup>25</sup> 325 326 Our data demonstrates that identifying somatically TP53-mutated clones at the initial 327 presentation is essential. The mutagenesis occurs in ERCC6L2 patients already at the stage 328 of BMF. Similar to SDS, we hypothesize that TP53 mutations in ERCC6L2 disease act in somatic restoration,<sup>26</sup> a phenomenon in which acquired somatic genetic alterations can 329 improve failing hematopoietic fitness<sup>27</sup>. We observed no other recurrent somatic mutations, 330 but further genetic studies are needed to validate our observations. Supporting the 331 332 hypothesis of somatic genetic compensation, at least three patients had experienced severe 333 pancytopenia in their childhood/teens but later regained a normal CBC (Supplemental Table 334 1). On the other hand, TP53 mutagenesis most likely contributes to the strikingly younger 335 median age of onset of HM at 37 years in ERCC6L2 patients, in comparison to the median 336 age of onset at 60–70 in patients with MDS or AML with mutated TP53, but without inherited predisposition.9 337

338

We found no specific genotype-phenotype association in ERCC6L2 disease. Notably, subjects with homozygous c.1424delT mutation, the Finnish founder mutation, were significantly older at the onset of HM than subjects with other biallelic *ERCC6L2* variants. Although the age of onset for HM is different, the disease courses including *TP53* mutations and aggressive HM with complex karyotype were alike across the ERCC6L2 genotypes. Selection bias may, however, skew the results since ERCC6L2 disease is a novel entity among the inherited BMF syndromes, and individuals with modest disease patterns may yet to be
discovered. With increasing recognition of ERCC6L2 disease we aspire to clarify the
genotype-phenotype correlation in more detail and in all the disease stages.

348

349 From our data, we were unable to conclude syndromic features in ERCC6L2 disease. First 350 reports describe neurological involvement in subjects with biallelic ERCC6L2 mutations in consanguineous families.<sup>1,2</sup> Thereafter, our studies among Finnish patients did not detect 351 neurological symptoms.<sup>8,10</sup> Similarly, the great majority of subjects in this study (92%) 352 353 presented no neurologic or neuropsychiatric symptoms. We suspect that neurological 354 defects may be a result of reduced heterozygosity in consanguineous families, and we 355 recommend awareness of these features. Regarding further extra-hematopoietic 356 involvement, we marked only two ERCC6L2 patients with solid malignancies. Therefore, it is 357 likely that cancer predisposition of ERCC6L2 disease is limited to HMs. However, considering the relatively young median age of disease onset and limited follow-up time, further 358 359 surveillance and re-visiting the solid tumor predisposition is warranted.

360

361 We also want to highlight the young female patient with breast cancer who suffered from 362 extreme radiation toxicities. ERCC6L2 involvement in DNA repair is well-established in the literature<sup>1-7</sup> and radiosensitivity in the loss of ERCC6L2 has also been reported in human 363 haploid cell line HAP1.<sup>6</sup> Additionally, Zhang *et al*<sup>2</sup> observed that fibroblasts from a patient 364 365 with biallelic *ERCC6L2* germline variant showed increased sensitivity to ionizing radiation. 366 Although a single case in our series, the defective ERCC6L2 may translate to a tendency for 367 excessive chemotherapy- or radiation-related toxicities and further monitoring of adverse 368 events is needed. Susceptibility to infections or autoimmune conditions were not over-

- represented in our study, noted only in three subjects. Nonetheless, Liu *et al*<sup>5</sup> showed that
   ERCC6L2 is required in immunoglobulin class-switching in murine B cell lines, suggesting that
   immunological impacts should be further investigated in ERCC6L2 disease.
- 372

373 The median OS time from birth was 49.6 years (95% CI 36.7-62.5, Supplemental Figure 2) for 374 all ERCC6L2 subjects, placing ERCC6L2 disease in the middle among other inherited BMFs. 375 The reported median OS times from birth are 67 years in Diamond-Blackfan anemia, 51 in dyskeratosis congenita, 41 in SDS, and 39 in FA.<sup>28</sup> The overall survival of ERCC6L2 individuals 376 377 was significantly dependent on the disease stage at referral. The poor survival rate of 378 patients with TP53-mutated myeloid malignancy is known and it is also reflected in our data. Similar OS is reported in SDS patients with MDS or AML.<sup>29</sup> Contributing to the same notion 379 380 regarding disease rigor, most observed deaths were due to HM.

381

Myeloid malignancies with TP53 mutations remain almost incurable.<sup>9</sup> Similarly to SDS,<sup>30</sup> this 382 383 poses a major challenge in ERCC6L2 disease and performing allogeneic HSCT prior to HM 384 ameliorates the prognosis. The survival data for patients who underwent HSCT is limited and 385 needs to mature as the surveillance time is still relatively short (median 1.5 years) and the 386 number of study subjects transplanted is small thus far. The timing of HSCT is essential and 387 should be weighed together with excessive susceptibility for toxicities associated with DNA 388 repair defects in general and transplant-related complexities, but also with individual 389 psychosocial circumstances. Furthermore, clinically asymptomatic siblings considered as 390 potential stem cell donors should be thoroughly examined.

392 While walking a tightrope with the timing of HSCT, ERCC6L2 patients require surveillance 393 with repetitive blood and BM analyses, including the screening of TP53 mutations. 394 Uniformly with SDS, the CBC abnormalities may be minor or even absent, despite disease progression.<sup>29</sup> Thus, regular BM examination is needed to gauge the potential progression 395 396 of the disease by detecting prominent changes in cellularity, increasing erythroid 397 predominance or dysplasia, and somatic TP53 mutations. Reticulin content is only shown in 398 a trephine biopsy, which is also more accurate in the assessment of BM cellularity. Despite 399 the potential discomfort for the patients, we suggest annual BM analysis (preferably with 400 trephine biopsy) at least for those with TP53 mutation(s). Regular BM monitoring is supported by Myers et al<sup>29</sup> who showed better OS for SDS patients with BM surveillance 401 402 prior to development of malignancy compared to those without.

403

404 In this study, ERCC6L2 disease was markedly over-represented among individuals of Finnish 405 ethnicity (44%), as compatible with the definition of FDH diseases. The allele frequency (AF) 406 of c.1424delT in the Finnish population is 0.6%. In comparison, the European non-Finns AF is 0.0029%, indicating the allele enrichment in Finland (gnomAD v.3.1.2.).<sup>16</sup> Typical for FDH 407 408 diseases, the AF is even higher in the Northeastern part of Finland, reaching up to 1.36% (Finngen DF5).<sup>31</sup> Additionally, we recognized 15 distinct Finnish core families in our study 409 410 fulfilling the criteria for FDH diseases. Here, we conclude that the ERCC6L2 c.1424delT is a 411 Finnish founder variant and ERCC6L2 disease is the first cancer syndrome that can be added 412 to the FDH.

413

In summary, our study draws the continuum of ERCC6L2 disease from an inconspicuous BMF to a dire HM (Figure 5). The findings indicate the importance of early recognition and active surveillance of patients with biallelic germline *ERCC6L2* variants. Until the 419

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429

#### 430 Authorship Contributions

M.H. collected and analyzed the clinical data, drafted the manuscript and performed the
statistical analyses; I.K. created the figures, performed the statistical analyses and
contributed to the final content of the manuscript; S.P.M.D. shared collected data of Finnish
patients and revised the manuscript; O.K. and U.W.-K. designed the study, coordinated data
collection from multinational collaborators, and finalized the manuscript; T.Vu.; I.D.; J.S.;
L.L.; R.P.L.; T.LB.; F.S.F.; T.S.; O.L.; E.H.L.; G.B.; B.T.; A.S.: F.B.; S.J.; A.A.K.; T.Z.; H.T.; C.M.; I.C.;
K.J.; U.S.; R.N.; contributed to the recruitment of patients in the study and to their

- 438 management; T.Va. performed genealogical studies. All authors revised and approved the
- 439 final version of the manuscript.
- 440

#### 441 Conflict of Interest Disclosures

- 442 T.S. has provided consulting for Celgene, AbbVie, Janssen-Cilag, and Bristol-Myers-Squibb,
- 443 and has had a congress fee provided by Novartis, Takeda; not related to this study. U.W.K.
- 444 has received honoraria from Sanofi, Novartis, and Pfizer; and has provided consulting for
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- 446 competing financial interests.
- 447

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Age at investigation	Years (range)
Median	18 (2 - 65)
Sex	n (%)
Female	32 (62)
Male	20 (38)
Ethnicity	n (%)
British Indian	1 (2)
British Pakistani	4 (8)
Druze	1 (2)
East African	3 (6)
-ijian Indian	1 (2)
Finnish	23 (44)
North African	1 (2)
Puerto Rican	1 (2)
Swedish	2 (4)
White (not specified)	15 (28)
Initial condition	n (%)
Sibling with biallelic <i>ERCC6L2</i> mutation without diagnosis of BMF, MDS or AML	5 (10)
BMF	32 (62)
MDS	9 (17)
MDS/AML*	2 (4)
AML	3 (6)
Other hematological malignancy (ALL)	1 (2)
ERCC6L2 genotype	n (%)
Homozygous	39 (75)
Compound heterozygous	13 (25)
Individuals by ERCC6L2 mutation type	n (%)
Biallelic frameshift	29 (55)
Biallelic nonsense	5 (10)
Biallelic splicing	1 (2)
Biallelic exon deletion	4 (8)
Biallelic missense	4 (8)
Mixed	9 (17)

548 **Table 1.** Summary of patient characteristics, n = 52.

549 \*According to the ELN2022 classification.<sup>21</sup>

550 Abbreviations: ALL, acute lymphoblastic leukemia; AML, acute myeloid leukemia; BMF, bone

551 marrow failure; MDS, myelodysplastic syndrome.

## **Table 2.** The individual characteristics of study subjects at initial investigations.

Patient ID	Family ID	conditio n	Age (yrs)	ERCC6L2 genotype c.(NM_0202027.7)	ERCC6L2 genotype p.(NP_064592.3)	Somatic <i>TP53</i> clones in BM or PB (NM_000546.6; VAF)	Other somatic clones (VAF)
EP1*	EF1	BMF	2	c.[3409_3410del]; [3763C>T]	p.[(Met1137GlufsTe r7)];[(Arg1255Ter)]	N/A	N/A
EP2*	EF2	BMF	5	c.[1973G>A];[1973G>A]	p.[(Ser658Asn)];[(Se r658Asn)]	N/A	N/A
EP3*	EF3	BMF	6	c.[1930C>T];[1930C>T]	p.[(Arg644Ter)];[(Ar g644Ter)]	Yes, variant N/A (4.8%)	No
EP4*	EF4	BMF	7	c.[2156del];[3675- 2A>T]	p.[(Gly719AspfsTer 50)];[(?)]	N/A	N/A
EP5	EF5	BMF	7	c.[3492+2T>G];[3492+2 T>G]	p.[(?)];[(?)]	N/A	N/A
EP6*	EF6	BMF	8	c.[2734del];[2734del]	p.[(Glu912ArgfsTer8 )]; [(Glu912ArgfsTer8)]	N/A	N/A
EP7*	EF7	BMF	8	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	Not detected initially, at age 15 yrs c.638G>T p.(Arg213Leu) (3%)	N/A
EP8*	EF8	BMF	8	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	N/A	N/A
EP9	EF9	BMF	9	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	Not detected (latest screen at 10 yrs)	N/A
EP10*	EF7	BMF	9	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.742C>T, p.(Arg248Trp) (1.5%)	N/A
EP11*	EF10	BMF	10	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.659A>G, p.(Tyr220Cys) (36%); c.725G>T, p.(Cys242Phe) (3%)	No
EP12	EF11	BMF	11	c.[1424del];[1424del]	p.[(Ile475ThrfsTer3 6)];[(Ile475ThrfsTer 36)]	c.723del, p.(Cys242AlafsTer5) (3%), c.376-2A>G (4.2%), c. 733G>A, p.(Gly245Ser) (41%)	TET2 c.4102T>C, p.(Phe1368Leu) (2 %)
EP13	EF12	BMF	11	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	Not detected (latest screen at 11 yrs)	No
EP14	EF13	BMF	12	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.524G>A, p. (Arg175His)(7%)	N/A
EP15	EF14	BMF	12	c.[19C>T];[2156del]	p.[(Gln7Ter)];[(Gly7 19AspfsTer50)]	N/A	N/A
EP16*	EF2	BMF	12	c.[1973G>A];[1973G>A]	p.[(Ser658Asn)];[(Se r658Asn)]	N/A	N/A
EP17	EF15	BMF	12	c.[1930C>T];[1930C>T]	p.[(Arg644Ter)];[(Ar g644Ter)]	c.742C>T, p.(Arg248Trp) (18.7%)	No
EP18*	EF4	BMF	13	c.[2156del];[3675- 2A>T]	p.[(Gly719AspfsTer 50)];[(?)]	N/A	N/A
EP19*	EF16	BMF	13	c.[1930C>T];[1930C>T]	p.[(Arg644Ter)];[(Ar g644Ter)]	N/A	N/A
EP20*	EF17	BMF	14	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.646G>A, p.(Val216Met) (1.3%)	No
EP21*	EF18	BMF	17	c.[2156del];[3300_3303 del]	p.[(Gly719AspfsTer 50)];[(Asn1100Lysfs Ter12)]	N/A	N/A
EP22*	EF19	BMF	18	c.[1471C>T];[3796C>T]	p.[(Gln491Ter)];[(Ar g1266Ter)]	N/A	N/A

EP23*	EF20	BMF	20	c.[1203_1206del];[1203 _1206del]	p.[(Thr402CysfsTer2 )];[(Thr402CysfsTer 2)]	N/A	N/A
EP24	EF21	BMF	20	c.[1606_1751del];[1606 _1751del]	p.[(Leu536GlyfsTer1 8)];[(Leu536GlyfsTe r18)]	c.745A>T, p.(Arg249Trp) (15%); c.514G>T, p.(Val172Phe) (4%); c.737T>A, p.(Met246Lys) (2%)	No
EP25	EF22	BMF	21	c.[1606-141_1752- 2788del];[1606- 141_1752-2788del]	p.[(?)];[(?)]	c.569C>T, p.(Pro190Leu) (5%)	No
EP26	EF23	BMF	24	c.[1606-141_1752- 2788del];[1606- 141_1752-2788del]	p.[(?)];[(?)]	c.743G>A, p.(Arg248GIn) (35%)	No
EP27	EF24	BMF	30	c.[2474_2484delinsAAA G];[2474_2484delinsAA AG]	p.[(Thr825LysfsTer2 5)];[(Thr825LysfsTer 25)]	Not detected	No
EP28	EF25	BMF	32	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.713G>A, p.(Cys238Tyr), (19%)	N/A
EP29	EF25	BMF	33	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.659A>G, p.(Tyr220Cys) (3%); later new clone c.716A>G p.(Asn239Ser) (5%)	No
EP30*†	EF26	BMF	34	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	N/A	N/A
EP31*	EF27	BMF	36	c.[1424del];[1424del]	p.[(Ile475ThrfsTer3 6)];[(Ile475ThrfsTer 36)]	c.742C>T, p.(Arg248Trp) (15%); c.818G>T, p.(Arg273Leu) (2.5%); c.814G>A, p.(Val272Met) (2%); later new clone c.41T>C, p.(Leu14Pro) (1.5%)	No
EP32*	EF17	BMF	57	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.743G>A, p.(Arg248GIn) (5%); c.830G>T, p.(Cys277Phe) (23%); c.843C>A, p.(Asp281Glu) (11%); later new clone c.528C>G, p.(Cys176Trp) (9%)	No
EP33*	EF28	MDS	12	c.[2919_2923del];[2919 _2923del]	p.[(Lys974HisfsTer3 )];[(Lys974HisfsTer3 )]	N/A	N/A
EP34	EF29	T-ALL	12	c.[1424del];[1930C>T]	p.[(Ile475ThrfsTer3 6)];[(Arg644Ter )]	c.730G>A, p.(Gly244Ser) (94%)	<i>TET2</i> c.5860G>A (p.Ala1954Thr) (52.4%)
EP35*	EF19	MDS	21	c.[1471C>T];[3796C>T]	p.[(Gln491Ter)];[(Ar g1266Ter)]	N/A	N/A
EP36*	EF30	MDS	22	c.[814G>A];[814G>A]	p.[(Asp272Asn)];[(A sp272Asn)]	N/A	N/A
EP37*	EF23	MDS	22	c.[1606-141_1752- 2788del];[1606- 141_1752-2788del]	p.[(?)];[(?)]	Variant unknown (20%)	N/A
EP38*	EF31	MDS-F	25	c.[4421_4422del];[1424 del]	p.[(Arg1474ThrfsTer 10)];[(Ile475ThrfsTe r36)]	c.742C>T, p.(Arg248Trp) (9%); c.818G>T, p.(Arg273Leu) (13%)	No
EP39	EF32	MDS	29	c.[1424del];[1847+1G> A]	p.[(Ile475ThrfsTer3 6)];[(?)]	c.524G>A, p.Arg175His (27%); c.818G>A, p.(Arg273His) (5%)	No

EP40*	EF33	MDS	36	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.517G>A, p.(Val173Met)(72%)	No
EP41	EF34	MDS	36	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.524G>A, p.(Arg175His) (6%)	NRAS c.38G>A p. (Gly13Asp) (2%); CBL c.1273_1281del p.(Gly425_Glu4 27del) (0.5%)
EP42*†	EF17	MDS	38	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	N/A	N/A
EP43*	EF33	MDS/A ML	38	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.532C>G, p.(His178Asp) (35%)	No
EP44	EF35	MDS/A ML	40	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.817C>T, p.(Arg273Cys) (38 %)	No
EP45	EF32	AML	20	c.[1424del];[1847+1G> A]	p.[(lle475ThrfsTer3 6)];[(?)]	IHC TP53+++	NA
EP46*	EF17	AML	59	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.818G>T, p.(Arg273Leu) (VAF N/A)	N/A
EP47*	EF26	AML	65	c.[1424del];[1424del]	p.[(lle475ThrfsTer3 6)];[(lle475ThrfsTer 36)]	c.577C>T, p.(His193Tyr); c.818G>A, p.(Arg273His) (VAF N/A)	N/A
EP48*	EF28	Sibling with biallelic <i>ERCC6L2</i> variants	3	c.[2919_2923del];[2919 _2923del]	p.[(Lys974HisfsTer3 )];[(Lys974HisfsTer3 )]	N/A	N/A
EP49*	EF2	Sibling with biallelic <i>ERCC6L2</i> variants	4	c.[1973G>A];[1973G>A]	p.[(Ser658Asn)];[(Se r658Asn)]	N/A	N/A
EP50*	EF1	Sibling with biallelic <i>ERCC6L2</i> variants	11	c.[3409_3410del];[3763 C>T]	p.[(Met1137GlufsTe r7)];[(Arg1255Ter)]	N/A	N/A
EP51*	EF1	Sibling with biallelic <i>ERCC6L2</i> variants	18	c.[3409_3410del];[3763 C>T]	p.[(Met1137GlufsTe r7)];[(Arg1255Ter)]	N/A	N/A
EP52	EF35	Sibling with biallelic <i>ERCC6L2</i> variants	41	c.[1424del];[1424del]	p.[(Ile475ThrfsTer3 6)];[(Ile475ThrfsTer 36)]	c.490A>G, p.(Lys164Glu) (9%)	No

554 \* Previously reported; + Not tested

555 Note the updated transcript (NM\_0202027.7), most previous studies reported variants in a previous

transcript version, hence the discrepancy in some cases.

557 Abbreviations: AML, acute myeloid leukemia; BM, bone marrow; BMF, bone marrow failure; MDS,

558 myelodysplastic syndrome; N/A, not applicable; PB, peripheral blood; T-ALL, T-cell acute lymphoblastic

559 leukemia; VAF, variant allele frequency; yrs, years.

Variable	ERCC6L2 subjects without malignancy, n = 33	ERCC6L2 subjects with malignancy, n = 15	P	Data available, n
Age at diagnosis				
Median, years (range)	12 (2–57)	29 (12–65)	0.0007	33; 15
Complete Blood Count				
Median Leukocytes, 1x10º/L (range)	3.25 (1.3–7.7)	2.85 (1.2–5.8)	0.2891	28; 12
Median ANC, 1x10 <sup>9</sup> /L (range)	1.16 (0.25–3.9)	0.65 (0.1–4.7)	0.6350	26; 12
Median Hemoglobin, g/dL (range)	10.80 (3.4–15)	10.10 (5.9–14)	0.1918	29; 13
Median MCV, fL (range)	101.5 (90–114)	98.0 (87–105)	0.3720	8; 5
Median Platelets, 1x10º/L (range)	63.50 (4–195)	80.0 (10–175)	0.9623	30; 13
Median reticulocytes, 1x10º/L (range)	58.0 (33.0–104.1)	29.1 (11.9–98.0)	0.0530	15; 6
TP53 status				
N of patients with TP53 clone (%)	15* (84.2)	11 (100)	0.1845	19; 11
N of mutations, range	0–4	1–2		18; 11
Median VAF % (range)	12.0 (1.3–36.0)	38.0 (6.0–94.0)	0.0020	16; 9
Bone marrow				
Hypocellular n (%)	25 (96)	4 (36)	<0.0001	26; 11
Normal n (%)	1 (4)	2 (18)		
Hypercellular n (%)	N/A	5 (45)		

560 **Table 3.** Clinical features in ERCC6L2 subjects with and without hematological malignancy.

561 \*A clone was identified in one additional patient during follow-up

562 Abbreviations: ANC, absolute neutrophile count; MCV, mean corpuscular volume; VAF,

563 variant allele frequency.

#### 564 Figure legends

Figure 1. Germline *ERCC6L2* variants identified in the study subjects. Various types of mutations are detected across ERCC6L2 (NM\_20207.7). A Finnish founder mutation (p.lle475ThrfsTer36) constitutes nearly half of the identified mutations. Figure created with ProteinPaint proteinpaint.stjude.org.<sup>32</sup>

Figure 2. Comparison of ERCC6L2 subjects with and without malignancy. (A) Median age at
diagnosis with 95% CI, Mann Whitney test p=0.0007. (B-F) Complete blood count (CBC)
parameter median values in subjects with CBC data available with 95% CI, unpaired t-test
non-significant (ns). Blue-shaded area denotes Finnish reference values for CBC parameters.
Abbreviations: E6L2, ERCC6L2; ANC, absolute neutrophil count; Hb, hemoglobin; MCV, mean
corpuscular volume.

575 Figure 3. Overall survival and cumulative incidence of hematological malignancy of 576 subjects with biallelic ERCC6L2 variants. (A) Kaplan-Meier curves displaying overall survival 577 of patients with biallelic *ERCC6L2* variants and initial diagnosis of bone marrow failure (BMF) 578 or myelodysplastic syndrome (MDS) or acute myeloid leukemia (AML), including one patient 579 with T-ALL progressing to MDS/AML in this patient group. (B) Onset of a hematological 580 malignancy (HM) by age (n=48). (C) Onset of a HM by age in subjects with and without 581 homozygous mutation of ERCC6L2 1424delT (Finnish founder mutation). (D) Overall survival 582 of patients with biallelic ERCC6L2 variants and allogeneic hematopoietic stem cell 583 transplantation (HSCT) according to the diagnosis at transplantation.

Figure 4. Genealogical study of the Finnish families. (A) Allele frequency (AF) distribution of
 the *ERCC6L2* 1424delT variant in Finland and birthplaces of the grandparents of nine Finnish

families. Blue dots display the birthplaces of the grandparents. AFs according to the gnomAD and Finngen databases.<sup>16,31</sup> (B) A pedigree of the four Finnish families with *ERCC6L2* indices shows that the parents share a common ancestor in the 17th century. Diamond symbol indicates the index.

590

591 Figure 5. ERCC6L2 disease. Characteristic features of ERCC6L2 disease are illustrated in a 592 three-phase continuum. In Phase 1 individuals present with hypocellular bone marrow with 593 or without small TP53 mutated clonal hematopoiesis. Phase 2 depicts disease acceleration 594 including bone marrow failure (BMF) with increasing (possible biallelic) TP53 mutations 595 progressing towards myeloid malignancy. Phase 3 represents overt hematological 596 malignancy with complex karyotype. Abbreviations: PB, peripheral blood; AML, acute myeloid leukemia; M6, The French-American-British<sup>25</sup> subtype for acute erythroid 597 598 leukemia; MDS, myelodysplastic syndrome. Figure created with BioRender.com.



### Figure 1.

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