

1 **Sensitive periods for social development: Interactions between**
2 **predisposed and learned mechanisms**

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19 **Abstract**

20 We analysed research that makes use of precocial species as animal models to describe
21 the interaction of predisposed mechanisms and environmental factors in early learning,
22 in particular for the development of social cognition. We also highlight the role of
23 sensitive periods in this interaction, focusing on domestic chicks as one of the main
24 animal models for this field. In the first section of the review, we focus on the
25 emergence of early predispositions to attend to social partners. These attentional
26 biases appear before any learning experience about social stimuli. However, non-
27 specific experiences occurring during critical periods of the early post-natal life
28 determine the emergence of these predisposed mechanisms for the detection of social
29 partners. Social predispositions have an important role for the development learning-
30 based social cognitive functions, showing the interdependence of predisposed and
31 learned mechanisms in shaping social development. In the second part of the review
32 we concentrate on the reciprocal interactions between filial imprinting and
33 spontaneous (not learned) social predispositions. Reciprocal influences between these
34 two sets of mechanisms ensure that, in the natural environment, filial imprinting will
35 target appropriate social objects. Neural and physiological mechanisms regulating the
36 sensitive periods for the emergence of social predispositions and for filial imprinting
37 learning are also described.

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41 **Keywords:** sensitive periods, predispositions, learning, social cognition, domestic chicks

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44 **Glossary**

45 **Altricial:** animal born or hatched in a very immature condition so that the young
46 require intense parental care.

47 **c-Fos:** protein product of the corresponding Immediate Early Gene *c-fos* that is acting
48 as a transcription factor and is commonly used as a marker to quantify neural activity
49 and plasticity.

50 **Deprivation experiment:** involves keeping an animal in an environment that has been
51 systematically impoverished of a given type of stimuli. The rationale of these
52 experiments is usually to deprive animals of a certain experience, to see whether the
53 behaviour or trait of interest is nonetheless displayed.

54 **Filial imprinting:** rapid form of learning by exposure, through which the young of
55 precocial bird species learn to recognize the object(s) to which they are exposed
56 during a sensitive period. By this process, the young chick restricts its affiliative
57 behaviour towards the first salient object(s) it encounters. In the natural environment,
58 filial imprinting usually results in social attachment towards the mother hen and/or the
59 brood mates.

60 **Immediate Early Genes (IEG):** a class of genes that are rapidly expressed in response
61 to neural activation. Their products are often used as neural activity markers.

62 **IMM (Intermediate Medial Mesopallium):** associative brain region of the avian
63 pallium (homolog to mammalian cortexes), involved in the memory storage for filial
64 imprinting learning in domestic chicks.

65 **Mesotocin:** this hormone, which regulates many social behaviours, is the oxytocin-
66 equivalent of nonmammalian tetrapods. Receptors for these neuropeptides are present
67 in areas of the Social Behaviour Network.

68 **Point-light display:** animation created by placing light-points on the major joints of a
69 walking body and obscuring the rest of the image. This sort of stimulus conveys many
70 of the dynamic properties of the portrayed motion while removing most information
71 on the configuration and appearance of the moving body.

72 **Precocial:** animal born or hatched in a very mature condition so that the young do not
73 need intensive care, being able to perform autonomously many behavioural and
74 physiological functions.

75 **Predispositions:** unlearned tendencies to react in predetermined ways (e.g., with
76 approach or avoidance) to stimuli featuring specific characteristics (motion, colour,
77 configuration, etc.). Predispositions are displayed by newborn or newly-hatched animals
78 or by naïve animals, deprived of any experience with a given category of stimuli.
79 Usually, the features that elicit predispositions are typical of objects of great biological
80 significance, such as potential social companions, preys or predators.

81 **Self-propulsion:** propulsion powered by an energy source internal to the moving
82 object. It is one of the main features that distinguish animate creatures from inanimate
83 objects, which can be set in motion only by the action of external forces.

84 **Sensitive period:** limited periods during which specific mechanisms are more likely to
85 take place and the effects of experience on developing organisms are stronger than in
86 the rest of the lifespan.

87 **Semi-rigid motion:** Kind of movement, typically associated with biological motion.
88 Some points maintain a fixed distance from each other, while their distance to other
89 points varies. This motion pattern is exhibited by vertebrates and other legged animals
90 and differs from the cinematics of rigid translation and random movement shown by
91 most inanimate objects.

92 **Social Behaviour Network:** a set of interconnected subcortical areas, rich of sex-
93 steroid hormone receptors, highly conserved across vertebrates and in charge of many
94 social behaviours.

95 **T3 (triiodothyronine):** tyrosine-based hormone synthesized by the thyroid gland
96 involved in the regulation of many physiological processes in the body. T3 represents
97 the primary metabolically active thyroid hormone in avian species and has been
98 recently implicated in the control of the sensitive window for filial imprinting.

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101 **1. General introduction**

102 Throughout the tradition of developmental psychology, the role of predispositions
103 (spontaneous preferences and behaviours) and experience-based learning mechanisms
104 in determining cognitive development has been a central topic. The writings of Jacques
105 Mehler pay a special attention towards the way evolution equipped infants with
106 specialised mechanisms, predisposed to perform specific tasks of great adaptive value.
107 These mechanisms provide pre-representations at the basis of all subsequent
108 knowledge (Mehler & Dupoux, 1994). Interestingly, although the early predisposed
109 mechanisms envisioned by Mehler and Dupoux do not depend on direct learning
110 experience, they are not experience-independent. On the contrary, environmental
111 influences act by selecting, triggering and regulating them. Here, we provide an
112 overview of the research on the dynamic interplay of predisposed and learned
113 mechanisms in the development of social cognition during the early post-natal life. In
114 doing so, we focus on the temporal dynamics of these interactions.

115 Experiences occurring during specific ontogenetic stages are particularly influential on
116 subsequent cognitive, social and neural development (Bateson & Gluckman, 2012;
117 Chan, 2014; Charil et al., 2010; Hubel & Wiesel, 1970; Kalcher-Sommersguter et al.,
118 2015; Lorenz, 1937; Zeanah et al., 2009), as shown by the influential notion of sensitive
119 periods. These are time windows in which the effects of environmental stimuli on
120 developing organisms are stronger than in the rest of the lifespan ((Bodin, Yeates, &
121 Cass, 2011) for the distinction between sensitive and critical periods, Dehorter & Del

122 Pino, 2020; Knudsen, 2004). We describe the sensitive periods in which different
123 environmental factors are particularly effective and how they are modulated by genetic
124 or environmental influences. This shows how the timing of events occurring in the
125 species-typical environment can shape social development. As model systems, we will
126 mostly refer to domestic chicks and their social development (Di Giorgio, Loveland, et
127 al., 2017; Lorenzi et al., 2020; Rosa-Salva, Mayer, & Vallortigara, 2015). Precocial birds
128 offer advantages such as the presence of a mature sensory-motor system and of fast
129 learning mechanisms already in hatchlings (e.g., filial imprinting). For these reasons,
130 they have been central to understand the interface between predisposed and learned
131 mechanisms at the beginning of life.

132 Research in precocial birds revealed that, even in the pre-hatching phase, experience
133 and genetically determined mechanisms interact in the development of socio-cognitive
134 skills. Thanks to the *in ovo* development and the possibility to test behavioural
135 responses already shortly after hatching, precocial birds (Versace, 2017) provide
136 optimal models for the investigation of pre-natal influences on behavioural outcomes.
137 This has been exploited by studies on the development of neuroanatomical and
138 functional lateralization in domestic chicks, revealing how the interaction of genetic
139 and experience-based mechanism within specific critical periods of the pre-natal life
140 can modulate cognitive functions ((Rogers, 1982, 1997); (Deng & Rogers, 1997; Rogers
141 & Bolden, 1991; Rogers & Deng, 1999; Rogers & Sink, 1988); (Deng & Rogers, 2002a);
142 (Rogers, 1990); (Dharmaretnam & Rogers, 2005) (Lorenzi et al., 2019); (Chiandetti,
143 2011; Chiandetti & Vallortigara, 2019); (Chiandetti et al., 2013)).

144 In this review, however, we analyse how social and cognitive development are affected
145 by the interplay between predisposed and learning mechanisms during the first stages
146 of post-natal life. The review is divided into two main sections that follow an
147 ontogenetic order, describing mechanisms occurring in the early postnatal life and then
148 in the filial imprinting phase. In the first section, we focus on the mechanisms
149 underlying the emergence of early predispositions to attend to social partners. These
150 predispositions develop in the earliest stages of the postnatal life, in visually naïve
151 chicks. To clarify whether a behaviour is spontaneous or acquired, researchers have
152 used deprivation experiments. This method consists in depriving animals of a certain
153 experience to see whether the behaviour of interest is displayed nonetheless (Lorenz,
154 1965). Animals are either tested before they have performed the activity, at birth or
155 hatching, or reared in isolation to eliminate opportunities for learning through
156 observation. These procedures are facilitated when embryos can be directly controlled
157 and animals hatch individually, making deprivation experiments particularly suitable for
158 oviparous species. Hence, it comes as no surprise that avian species have been central
159 to investigate the ontogenetic origins of behaviour. The ethological tradition has
160 emphasized stereotypical and species-specific fixed action patterns that do not depend
161 on experience (Schleidt, 2010). Originally, behavioural biologists focused on the notion
162 of instinct and complex sequences of relatively fixed adult behaviour (Burghardt &
163 Bowers, 2017; Eibl-Eibesfeldt, 1975). More recently, scientists have identified early
164 cognitive traits and spontaneous preferences (predispositions) that orient the behaviour
165 of neonate, inexperienced animals, towards particular stimuli. As detailed below (see
166 2.1), converging evidence across neonates of different amniote species (e.g. domestic

167 chicks, human infants, tortoise hatchlings) tested with the deprivation method suggests
168 the presence of predisposed preferences to attend to stimuli associated with the
169 presence of animate, living beings, the so-called "animacy detectors" (Di Giorgio et al.,
170 2017a; Rosa-Salva et al., 2015; Vallortigara, 2012; Vallortigara, *in press*). These
171 preferences, in the literature on domestic chicks and human infants, are often called
172 "social predispositions". This is because, in the natural environment of social species,
173 these early predispositions will result in preferential attention towards appropriate
174 social partners. Differently from the fully structured, species-specific behaviours
175 targeted by classical behavioural biologists, early predispositions appear as building
176 blocks of the developing cognitive abilities of the vertebrate mind. These early social
177 predispositions, whose neural basis we are starting to uncover in chicks (2.2), appear to
178 contribute to the development and specialization of the brain circuits that, in adults,
179 carry out sophisticated social information processing (Johnson, 2005). This may be
180 achieved even by simply directing visual attention towards appropriate social stimuli,
181 biasing the visual input received by the subject during a critical period of the postnatal
182 development. Indeed, anomalies of early social predispositions have been associated
183 with abnormalities in the development of social cognition, such as those associated
184 with disorders of the autistic spectrums (Di Giorgio et al., 2016). However, even though
185 social predispositions do not require any specific learning experience about social
186 stimuli, their emergence unfolds also through the action of environmental influences. In
187 the first section of the review, we thus describe how non-specific experiences occurring
188 during well-defined critical periods of the early post-natal life determine the
189 emergence of predisposed mechanisms for the detection of social partners (and

190 animate creatures in general) (see 2.2). These predispositions have an important role in
191 shaping the future development of more sophisticated, learning-based social cognitive
192 functions. This literature beautifully shows the crucial interdependence of predisposed
193 and learned mechanisms in shaping social development.

194 In the second section of the review (section 3), we will focus on filial imprinting
195 (Vallortigara & Versace, 2018), a very prominent form of learning, which is crucial for
196 the survival and subsequent social adaptation of young chicks. Through filial
197 imprinting, young precocial birds learn to recognize the object(s) to which they are
198 exposed during a sensitive period. By this process, in the absence of any overt
199 reinforcement, the young chick restricts its affiliative behaviour towards the first salient
200 object(s) it encounters. In the natural environment, filial imprinting usually results in
201 social attachment towards the mother hen and/or the brood mates (Bateson, 1966;
202 Bolhuis, 1991; McCabe, 2019). This has, of course, crucial implications for social
203 development, allowing chicks not only to receive parental care from the mother hen,
204 but also to maintain group cohesion. Filial imprinting can thus provide the foundations
205 for the formation and maintenance of stable social groups, whose internal hierarchy
206 (pecking order) regulates "chicken societies". By being exposed to conspecifics during
207 development, chickens develop sophisticated social skills. These include the ability to
208 recognize familiar conspecifics and their dominance hierarchy (Deng & Rogers, 2002b;
209 Rogers & Workman, 1989; Vallortigara & Andrew, 1994; Vallortigara & Andrew, 1991;
210 Vallortigara, 1992), the capacity to acquire information about food sources by
211 observing others' behaviour (Nicol, 2004) and even forms of perspective-taking and
212 social deception (Marino, 2017). In the last part of the review, we will describe how

213 experience-driven mechanisms and social predispositions can influence the course of
214 filial imprinting, directing it towards appropriate objects. We will discuss how the
215 emergence of social predispositions facilitates imprinting also towards non-predisposed
216 features of the stimuli. Moreover, we will see that even after an initial imprinting
217 towards an inanimate object, predispositions can emerge, which then direct
218 subsequent secondary imprinting to appropriate social companions. We will see how
219 experience occurring in specific time windows of the perinatal life in turn affects the
220 duration of the sensitive period for imprinting. At least some of the brain regions
221 involved in filial imprinting have been identified with a good degree of certainty (e.g.,
222 the Intermediate Medial Mesopallium, or IMM, see Horn, 2004). Some of the
223 physiological mechanisms that control the opening and closing of the sensitive period
224 for filial imprinting, though the actions of specific hormones and neurotransmitters,
225 have been recently identified also (Aoki et al., 2018; Yamaguchi et al., 2012). This
226 literature reveals the presence of interdependent relationships between experience-
227 based and predisposed mechanisms.

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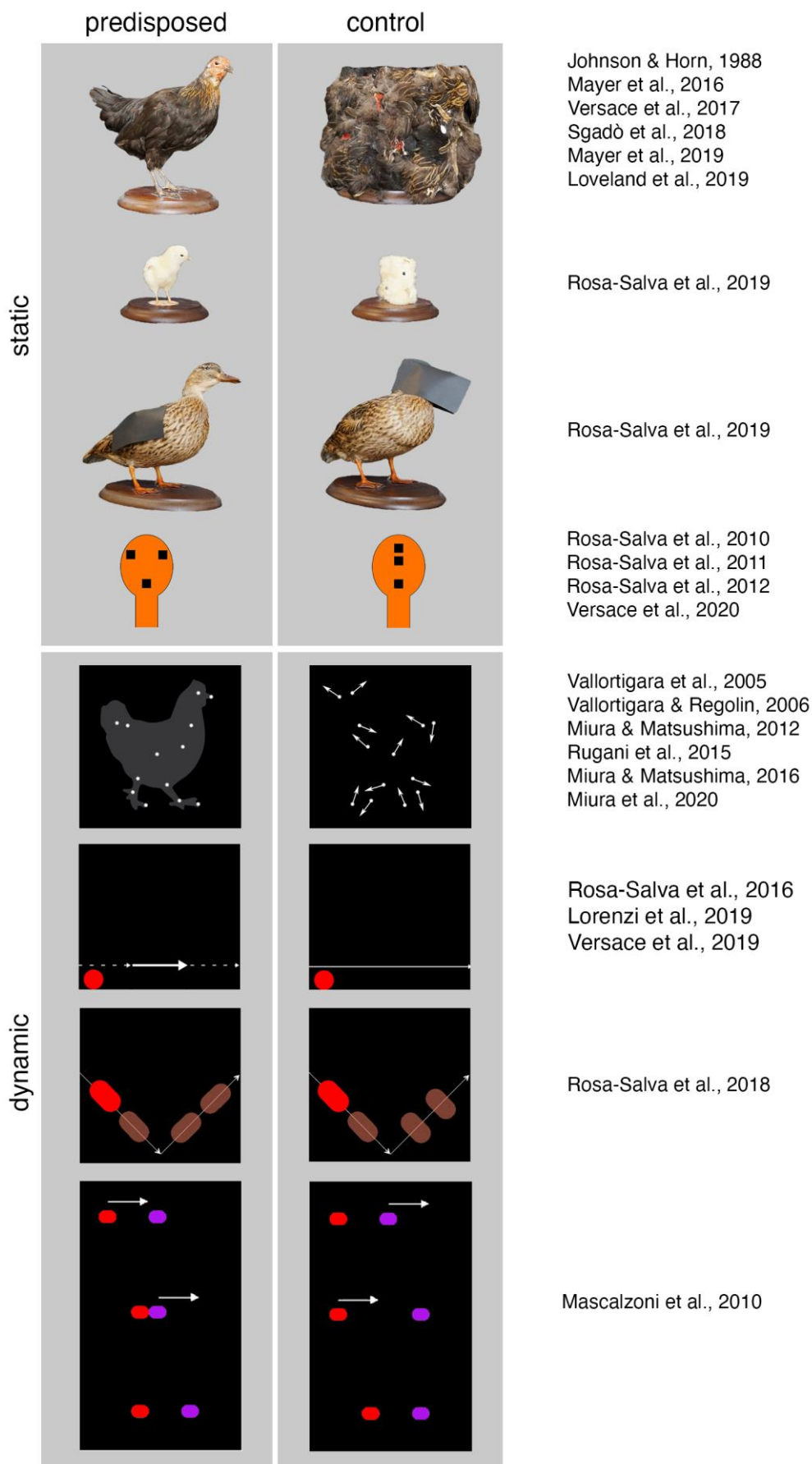
229 **2. Early post-natal life: sensitive periods for the emergence of predispositions**

230 2.1 The study of early predispositions as adaptive responses

231 In this section, we analyse the evidence of preparedness to attend to social stimuli in
232 the early post-natal life, as revealed by social predispositions in domestic chicks (the
233 main model considered in this review). Early predispositions to orient towards and

234 engage with particular stimuli have been observed for both static features and motion
235 dynamics. Interestingly, the preferred features are associated with the presence of
236 animate creatures (see Figure 1). Among static features, preferences have been
237 observed for particular colours (e.g. red and blue are preferred to yellow and green
238 (Kovach, 1971; Miura, Nishi, & Matsushima, 2020; Salzen, Lily, & McKeown, 1971;
239 Salzen & Meyer, 1968; Taylor, Sluckin, & Hewitt, 1969), shapes (Hess & Goodwin, 1969;
240 Salzen & Meyer, 1968; Schulman, Hale, & Graves, 1970), for hollow objects (Versace et
241 al., 2016), for faces and for face-like configurations (in newborns, Johnson & Morton,
242 1991; Morton & Johnson, 1991; chicks, Rosa-Salva, Regolin, & Vallortigara, 2010, 2012;
243 Rosa Salva et al., 2011; monkeys, Sugita, 2008; and tortoises Versace, Damini, &
244 Stancher, 2020). A seminal work by Johnson and Horn (Johnson & Horn, 1988) has
245 shown that, in the first hours after hatching, dark-reared chicks deprived from
246 experience with conspecifics exhibit a preference to orient towards a stuffed fowl
247 compared to a disassembled version of a similar fowl (Bolhuis, Johnson, & Horn, 1989;
248 Egorova & Anokhin, 2003; Rosa-Salva et al., 2015; Versace et al., 2017). Subsequent
249 experiments have shown that this predisposition is not specifically tuned to hens or
250 fowls, but is based on low-level cues present in the area of the neck and face (Johnson
251 & Horn, 1988; Rosa-Salva, Mayer & Vallortigara, 2019).

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Fig. 1. Schematic representation of the main classes of stimuli used to test social predispositions for

256 static and dynamic features typical animate objects. For each pair of stimuli, the preferred object
257 featuring the predisposed trait and a control stimulus are presented side by side, followed by a selection
258 of papers reporting a behavioural preference for the predisposed object in naïve animals. From above,
259 the first two images of the first panel represent the stimuli used to test the preference for hen-like
260 objects (a stuffed junglefowl-like hen versus a scrambled version of a similar specimen). In the following
261 rows: a pair of similar stimuli obtained from stuffed chick models; a stuffed duck with her wings
262 occluded compared to a similar exemplar with the head region occluded; a schematic face-like stimulus
263 and a non-face control image. The first two images of the second panel represent a point light display
264 of a walking hen and a control stimulus with random motion of the same dots (the silhouette of the hen
265 has been added for illustrative purposes). In the following two rows: a schematic representation of a
266 speed changing stimulus and its speed-constant control; an object that always moves in the direction of
267 its main body axis and its control stimulus. In the last row, we represented on the left the sequence of
268 movement of a self-propelled red object hitting and putting in motion a non-self-propelled purple
269 object (the sequence has to be read from above to below). In this case, chicks preferentially imprint on
270 the red objects. On the right, both objects appear self-propelled and chicks display no preferences
271 between the two. In all dynamic stimuli arrows have been added for illustrative purposes.

272 Deprivation studies on social predispositions have been conveniently conducted on
273 domestic chicks and other precocial species, thanks to the ease of testing precocial
274 animals that are born with a mature sensory and motor system (Versace, 2017).
275 However, the preference for faces and face-like configurations has been extensively
276 observed also in human infants (Buiatti et al., 2019; Di Giorgio et al., 2016; Goren, Sarty
277 & Wu, 1975; Morton & Johnson, 1991; Simion & Di Giorgio, 2015), human fetuses
278 (Reid et al., 2017), monkeys (Sugita, 2008) and recently in tortoise hatchlings (Versace
279 et al., 2020). This suggests the presence of an ancient mechanism for the detection of
280 faces, which is tuned to low-level features associated with the presence of faces. This

281 mechanism, active soon after birth or hatching, does not specify the species-specific or
282 individual details of faces, but increases the chances that newborn animals orient
283 towards other animals by directing their attention toward any face-like configuration.

284 Dynamic patterns are also very effective in attracting the attention of newborn animals.
285 Although precocial avian species can exhibit affiliative responses to still objects, the
286 phenomenon of filial imprinting is enhanced by the presence of moving objects (ten
287 Cate, 1986). This suggests that the first orienting responses of animals, before they
288 developed affiliative responses to particular objects they have experienced, might be
289 driven by cues of animacy, the property of "being alive". In line with this idea, we have
290 observed early preferences of visually inexperienced animals for motion dynamics
291 associated with the presence of animate, living beings. This supports the idea of an
292 animacy-detector tuned to some of the features that distinguish the biological motion
293 of animate creatures (Mascalzoni, Regolin, & Vallortigara, 2010; Rosa-Salva et al., 2016;
294 Rosa-Salva et al., 2018; Vallortigara, Regolin, & Marconato, 2005). Vallortigara and
295 colleagues (2005) have initially discovered a spontaneous preference for point-light
296 displays that move according to semi-rigid biological motion. In this kind of
297 movement, points located on different parts of the body move relative to one another
298 within an elastic, constrained range. This is different from the cinematics of rigid
299 translation and random movement shown by most inanimate objects. The preference
300 for biological motion has been documented in human neonates too (Simion, Regolin,
301 & Bulf, 2008). Social predispositions for animate motion can be observed using very
302 simplified visual stimuli. For instance, visually naïve chicks prefer to imprint on objects
303 that start to move on their own, rather than being pushed in motion by a collision with

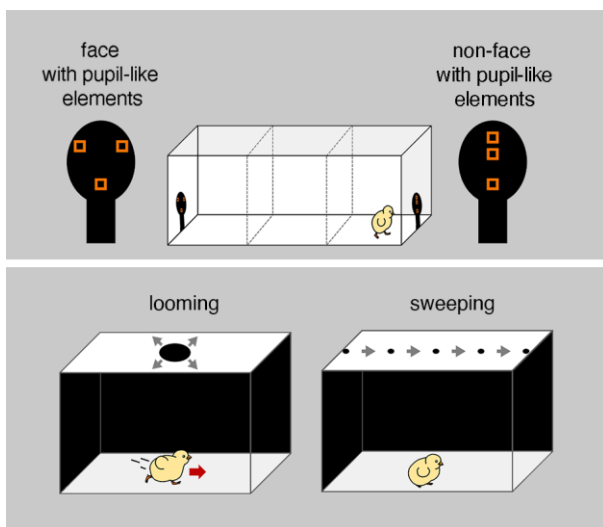
304 another object (Mascalzoni et al., 2010; Simion et al., 2008). Likewise, the ability to
305 spontaneously start to move from a resting state ("start from rest") elicits visual
306 preferences also in human newborns (Di Giorgio et al., 2017b). Similarly, both chicks
307 and human infants prefer to approach objects that change in speed and, in the case of
308 chicks, rotate autonomously (Frankenhuis et al., 2013; Hernik, Fearon, & Csibra, 2014;
309 Rosa-Salva et al., 2016, 2018). These are all features that signal the presence of an
310 internal energy source in self-propelled objects (a defining feature for the
311 discrimination of animate creatures). Moreover, a predisposition for objects that move
312 in the direction of their main body-symmetry axis, as most bilaterians, is present in
313 both infants (Hernik et al., 2014) and visually-inexperienced chicks (Rosa-Salva et al.,
314 2018). Overall, compelling evidence suggests that early approach responses are
315 facilitated by cues associated with animate objects.

316 An important function of early preferences might be to direct the attention of the
317 young animals towards the animate objects that will provide care, and towards which
318 young animals should develop affiliative responses and sexual responses later on
319 (Morton & Johnson, 1991; Versace et al., 2018). As mentioned in the introduction, this
320 would also enable the subsequent development of neural mechanisms specialised for
321 the processing of various aspects of social information (Di Giorgio et al., 2016; Johnson,
322 2005). This idea is supported by evidence of enhanced imprinting responses elicited by
323 predisposed stimuli, such as red colour on the head of a creature that moves
324 according to biological motion (Lemaire et al., 2020; Miura et al., 2020; Miura &
325 Matsushima, 2016) or such as "start from rest" (Mascalzoni et al., 2010). However,
326 similar early predispositions have been found in solitary animals with no parental care,

327 such as land tortoises (Versace et al., 2020). This suggests that mechanisms to direct
328 attention towards animate objects might respond to a general need of identifying
329 living agents to gain information about important aspects of life, including the
330 presence of resources, preys and predators (Lorenzi & Vallortigara, 2020; Vallortigara,
331 2012; Versace et al., 2020). The predispositions for some stimuli exhibited at the
332 beginning of life, though, are not rigidly prescriptive, as revealed by the fact that both
333 chicks (Versace et al., 2017) and young tortoises (Versace et al., 2018) can show
334 exploration of alternative stimuli. The propensity to focus only on the predisposed
335 stimuli or explore alternative stimuli has a genetic basis in chicks, as shown by the
336 differences in perseverance in approaching a stuffed hen in visually naïve chicks
337 (Versace et al., 2017).

338 In line with the idea that predispositions direct attention to stimuli relevant for an
339 individual's fitness, we have recently shown that spontaneous responses that do not
340 require experience include anti-predator defensive behaviours. Although this topic has
341 received less attention than social predispositions, to date studies in chicks (and
342 mallard ducklings, Dessborn et al., 2012) have shown that precocial birds are able to
343 recognize and appropriately react to various threats, and this in the absence of
344 previous experience. A few decades ago, Schiff reported that dark-hatched and -reared
345 chicks escape a stimulus rapidly looming in front of them (Schiff, 1965). Recently, we
346 have shown that young chicks reared with no experience with moving stimuli
347 spontaneously assess the difference between distant and approaching threats posed by
348 stimuli moving overhead. Being presented with stimuli that mimicked either an
349 approaching threat (a 'looming' stimulus increasing in size like an approaching

350 predator) or a distant threat (a 'sweeping' stimulus moving at a constant distance like a
351 cruising prey bird), naïve chicks modulated their defensive responses accordingly
352 (Hébert, Versace, & Vallortigara, 2019). They ran away from an approaching stimulus
353 and froze in the presence of a distant threat (see Fig. 2). Static visual stimuli can also
354 trigger innate anti-predatory responses: while chicks are attracted by 'face-like' stimuli
355 (a silhouette containing three dark blobs organized in a triangular fashion) over a
356 control stimulus (Rosa-Salva et al., 2009) (Fig. 1), they avoid stimuli with a black 'pupil-
357 like' feature (Rosa-Salva, Regolin, & Vallortigara, 2012) (see Fig. 2) (see also (Gagliardi,
358 Gallup, & Boren, 1976; Jones, 1980; Scaife, 1976) and (Rosa-Salva, Regolin, &
359 Vallortigara, 2007)).



360

361 Fig. 2 Illustration of stimuli and setups used to test predisposed anti-predator responses in naïve chicks.
362 In the upper panel, a face-like and a non-face-like schematic stimulus are shown, both featuring three
363 internal features with a central pupil-like element. Only in the face-like configuration these resemble a
364 pair of predator eyes. Naïve chicks tend to avoid the face-like stimulus in this test. In the lower panel,
365 prototypical chicks' reactions to looming and sweeping stimuli (fleeing and freezing, respectively) are
366 shown.

367 2.2 Neural and physiological mechanisms underlying early predispositions

368 Our recent research started to shed some light on the neural mechanism underlying
369 early predispositions for social stimuli. We did this visualising c-Fos to map neural
370 activation. In one of our first studies on this regard we found higher activation of IMM
371 in chicks that preferred a scrambled version of a stuffed fowl hen compared to chicks
372 that preferred the normal fowl. It was already known that the IMM (Bolhuis & Honey,
373 1998; Horn, 1986, 1990; Horn & McCabe, 1984; McCabe & Horn, 1994; McCabe, Horn,
374 & Bateson, 1981) is not required for the preference for hen-like objects. Bilateral
375 lesions of the IMM impair the recognition of imprinting objects, but do not suppress
376 the predisposed preference for hen-like objects (Horn & McCabe, 1984). However,
377 although this region does not cause the expression of the predisposition, IMM
378 responds differently to naturalistic and artificial stimuli in inexperienced chicks (Mayer
379 et al., 2016). At least three explanations are possible for this finding. The mismatch
380 between the template provided by the predisposition for hen-like objects and the
381 artificial object experienced may require increased plasticity to imprint on the
382 scrambled stimulus. This could cause increased c-Fos expression in the chicks that
383 choose the non-predisposed stimulus, since c-Fos is a marker of learning and memory
384 related plasticity (Lanahan & Worley, 1998; Okuno, 2011; Sauvage, Kitsukawa, &
385 Atucha, 2019). Another possibility is that the choice to approach the scrambled fowl
386 reflects the lack of a predisposed preference for hen-like objects and that c-Fos
387 expression in IMM could be systematically higher individuals with this abnormal
388 developmental outcome. Last but not least, it has been proposed that the neural

389 circuits responsible for the expression of predispositions in chicks with hen preference
390 suppress the neuronal activity in the IMM (McCabe, 2019).

391 Other studies have investigated the involvement of the social behaviour network in
392 chicks' predispositions. This network includes interconnected brain regions that are rich
393 in sex-steroid receptors and modulate various social behaviours in adult vertebrates
394 (Goodson, 2005; Newman, 1999; O'Connell & Hofmann, 2011). Until recently, however,
395 it was unclear whether areas of this network participate in the expression of early social
396 behaviours in newborn animals too. We found activation of important social behaviour
397 network nodes, such as septum, preoptic area and amygdaloid areas (arcopallium and
398 nucleus taeniae of the amygdala) in visually naïve chicks exposed for the first time to
399 social stimuli (Lorenzi et al., 2017; Mayer et al., 2017, 2019; Mayer, Rosa-Salva, &
400 Vallortigara, 2017). Among the amygdaloid nuclei, nucleus taeniae of the amygdala and
401 parts of arcopallium selectively responded to the static features of predisposed stimuli
402 (e.g., hen-like objects) (Mayer et al., 2019). This is similar to what happens in humans,
403 where amygdala has been implicated in early orienting responses towards face-like
404 configurations (Johnson, 2005). An interesting dissociation appeared when we tested
405 the response to the animate motion of social companions. In this case, the amygdaloid
406 nuclei were not responsive, while the activation of septum and preoptic area was
407 increased. In a first study, we found that septum was responsive to the motion of a
408 living conspecific compared to the rigid motion of a similarly stimulus (a stuffed chick
409 rotating at a constant speed) (Mayer et al., 2017). In a follow-up work, we found that
410 both septum and preoptic area were also activated by a highly controlled stimulus
411 showing speed changes in the motion of a simple object (Lorenzi et al., 2017). Overall,

412 these nodes of the social behaviour network show selective responses to features of
413 animate objects already in visually naïve animals. Previous visual experience and
414 specific learning events do not seem to be necessary to establish these functions, in
415 line with what has been recently reported for cortical areas specialised for face
416 processing in humans (Ratan Murty et al., 2020). Areas of the social behaviour network
417 might be involved in processing the social valence of, and bonding to, social stimuli in
418 the absence of previous social experience.

419 In mammals and birds, social behaviours are mediated by neuropeptide signalling
420 based on vasopressin and oxytocin receptors, present in medial amygdala and lateral
421 septum (Goodson et al., 2009). A recent study investigated the role of vasotocin and
422 mesotocin (the avian homologs of vasopressin and oxytocin) in mediating the social
423 predisposition for hen-like objects. This revealed that intracranial mesotocin
424 administration increased the level of preference for the stuffed fowl model compared
425 to saline-injected controls (Loveland, Stewart, & Vallortigara, 2019). This suggests that
426 mesotocin signalling may regulate the expression of social predispositions, probably
427 acting on areas of the social behaviour network.

428 Intriguingly, in two of our works investigating the physiological substrate of chicks'
429 predispositions for social stimuli, we found that their development can be disrupted
430 exposing embryos to valproic acid during the last week of incubation (Lorenzi et al.,
431 2019; Sgadò et al., 2018). In humans, prenatal exposure to this drug increases the risk
432 to develop disorders of the autistic spectrum (Christensen et al., 2013). For this reason,
433 embryonic exposure to valproic acid is used to develop models of autism in rodents

434 (Nicolini & Fahnstock, 2018) and chicks (Lorenzi et al., 2019; Nishigori et al., 2013;
435 Sgadò et al., 2018). The embryonic administration of valproic acid to chicks induces
436 selective anomalies in social aggregation behaviours (Nishigori et al., 2013), impairment
437 in the recognition of familiar conspecifics (Zachar et al., 2019), a loss of the
438 predisposition for hen-like objects (Sgadò et al., 2018) and speed-changing stimuli
439 (Lorenzi et al., 2019). These results support the idea that, in chicks like in human
440 newborns (Di Giorgio et al., 2016), disturbances of early social predisposition may derail
441 the normal development of social cognition, causing abnormal developmental
442 outcomes such as those observed in autistic disorders. The mechanisms subtending to
443 the effects of valproic acid in chicks are currently unknown. However, in mammals,
444 valproic acid seems to act on an inhibitory pathway (GABAergic parvalbumine cells)
445 that controls critical periods for cortical plasticity (eg., Gervain et al., 2013; Gogolla et
446 al., 2009; Lauber, Filice, & Schwaller, 2016; Reh et al., 2020; Silingardi et al., 2010). This
447 opens the way for future studies investigating how valproic acid may affect similar
448 pathways in birds, deepening our understanding of the mechanisms that control
449 sensitive periods in non-mammalian species.

450 Overall, evidence suggests that the neural mechanisms to detect living animals are
451 widespread across species and might fulfill a range of adaptive functions from the first
452 stages of life.

453 2.3 Transient time windows for the emergence of early predispositions and their
454 hormonal correlates

455 Early predispositions are not fixed and crystallised responses that are present
456 throughout life (differently from the fixed-action patterns investigated by classical
457 ethologists) (see (Shultz, Klin, & Jones, 2018 for a review on human neonates).

458 The preference for face-like stimuli, for instance, changes during the first months of
459 life. This preference is apparent in human neonates in the first hours after birth,
460 declines at around two months of age before reappearing again at five months for
461 more complex stimuli (Buiatti et al., 2019; Johnson et al., 1991; Shultz et al., 2018;
462 Simion & Di Giorgio, 2015) and being detectable up to adulthood (Tomalski, Csibra, &
463 Johnson, 2009). Likewise, at hatching, chicks of both sexes have a spontaneous
464 preference to approach other females (Pallante, Rucco, & Versace, in preparation). This
465 preference rapidly fades when chicks are expose to other chicks. The loss of this
466 preference occurs at the age in which in the will chicks leave the nest. This dynamic
467 may be important to promote social cohesion with the flock, that is composed of both
468 male and female chicks.

469 Transient time windows in the appearance of predispositions have been well
470 documented for chicks' preferences to approach stuffed hens (Bolhuis, Johnson, &
471 Horn, 1985; Egorova & Anokhin, 2003; Horn, Bolhuis, & Hampton, 1995; Johnson,
472 Bolhuis, & Horn, 1985). This preference emerges only after the animals have been
473 stimulated through some activating experience, such as motoric activity, exposure to
474 unrelated visual patterns, handling or acoustical stimulation. These activating
475 experiences do not provide any specific information about the predisposed visual
476 stimuli. Indeed, the activating experiences can even involve modalities other than

477 vision. To have an effect, the activating experiences that trigger the appearance of the
478 predisposition for hen-like objects must happen within a specific time window in the
479 early post-natal life (between 24 and 36 hours after hatching) (Bolhuis & Horn, 1997;
480 Bolhuis et al., 1989; Davies, Johnson, & Horn, 1992; Johnson, Davies, & Horn, 1989).
481 Moreover, the ensuing predisposition will be detectable only at precise time points
482 after the activating experiences (between 5 and 24h after the stimulation, Davies et al.,
483 1992). This timing, however, is modulated by the type of activating experience to which
484 chicks are exposed. For instance, if chicks also receive visual stimulation while
485 performing motoric activity, the preference for hen-like objects is detectable already
486 after 2 hours (Bolhuis et al., 1985). However, it is unclear whether this acceleration
487 depends on the level of arousal or the sensory modality stimulated by the activating
488 experience (see also Lickliter, 2000; Rosa-Salva et al., 2015). Studies on the role of
489 stimulating experiences during sensitive developmental periods also revealed
490 information on the physiological mechanisms involved. An intriguing case is that of
491 noradrenaline, which is not directly involved in the expression of the preference for
492 hen-like objects (Bolhuis, McCabe, & Horn, 1986; Davies, Horn, & McCabe, 1985;
493 Davies et al., 1992; see Rosa-Salva et al., 2015 for a review). This neurotransmitter,
494 however, seems to play a role in the opening of the sensitive period related to its
495 emergence. Indeed, the administration of a noradrenaline antagonist delays the onset
496 of the sensitive period during which activating experiences cause the subsequent
497 emergence of the predisposition for hen-like objects (Davies et al., 1992; for similar
498 evidence after the administration of the anaesthetic equithisin see Bolhuis & Horn,
499 1997).

500 Similar developmental properties also characterise the predispositions for self-
501 propelled objects and biological motion. The preference for objects that change in
502 speed is present 24 hours after hatching, but fades two days later (Versace, Ragusa, &
503 Vallortigara, 2019). The development of a preference for the semi-rigid biological
504 motion typical of legged animals may require previous exposure to moving visual
505 stimuli (Miura & Matsushima, 2012) or motoric activity such as walking on a treadmill
506 (Vallortigara et al., 2005). In the case of biological motion, the behavioural preference is
507 detectable already thirty minutes after the exposure to moving visual stimuli and
508 persists one day later. A sensitive period was also present for the preference for
509 biological motion, which was visible in two- but not in five-day old chicks (Miura et al.,
510 2020).

511 In some studies, the development of biological motion preferences were limited to
512 males (Miura & Matsushima, 2012). This is in line with the evidence of the involvement
513 of sex hormones, such as testosterone, in the expression of the predisposed preference
514 for hen-like objects (e.g., Bolhuis et al., 1986; see Rosa-Salva et al., 2015 for a review).
515 This may indicate that at least some of the underlying physiological mechanisms may
516 be shared between different social predispositions.

517 Thyroid hormones too have been implicated in the development of social
518 predispositions for animate motion. These hormones play essential roles in the brain
519 development of vertebrate species (McNabb & King, 1993). Among thyroid hormones,
520 3,5,3'-triiodothyronine (T3) has the highest affinity with avian thyroid receptors and is,
521 therefore, the primary metabolically active thyroid hormone (Bellabarba et al., 1988;

522 Weirich & McNabb, 1984). We recently obtained preliminary evidence that T3 affects
523 the emergence of chicks' predisposition for speed-changing objects, by modulating its
524 timing (Lorenzi et al., 2020). Blocking the thyroid hormone receptors with an
525 antagonist, at the age when domestics chicks normally show a preference for speed
526 changing objects (day 1 post-hatching), leads to the disappearance of the preference.
527 Contrariwise, injecting T3 when the sensitive period normally terminates (day 3 post-
528 hatching), reinstates the preference for self-propelled objects, at least in females
529 (Lorenzi et al., 2020). Whether T3 only controls the emergence of the predisposition for
530 self-propelled objects or it acts on a more general brain mechanism common to most
531 predispositions, remains however to be univocally determined.

532

533 **3. Experience-driven effects and the control of sensitive periods for imprinting**

534 The predisposed preference for biological motion has facilitating effects on filial
535 imprinting for non-predisposed features, such as the colour of the moving stimulus.
536 Chicks that developed a stronger preference for a biological motion stimulus are better
537 able to imprint on the colour in which this stimulus was depicted (Miura &
538 Matsushima, 2016). Miura and Matsushima (2020) found evidence of a complex
539 interaction between the predisposition for biological motion, the predisposition for the
540 red colour (typical of hens' combs and junglefowl faces) and filial imprinting. This work
541 revealed a predisposed preference for approaching and imprinting on stimuli in which
542 the biological motion of a walking hen is associated with the colour red. On the
543 contrary, the same stimulus presented in yellow or performing a simple linear motion is

544 a less effective imprinting stimulus. This might reflect a predisposed preference for a
545 walking animal with a red comb/crest or a reddish face. Notably, this effect seemed
546 driven by the movement of the hen's head, since presenting only the head of the
547 stimulus was as effective as presenting the whole body, whereas the tail region was not
548 effective. Crucially, however, the capacity to imprint on biological motion stimuli
549 presented in a yellow colour could be rescued, if chicks were pre-exposed to visual
550 moving stimuli. When chicks had been stimulated by exposure to moving objects
551 before imprinting, they could also imprint on a biological motion stimulus with the less
552 predisposed yellow colour. Similarly, previous visual exposure to a group of
553 conspecifics enhances imprinting preferences (Deng & Rogers, 2002b). Only chicks that
554 were exposed to a group of conspecifics, in a later test showed a preference for the
555 individual chick with whom they had been subsequently reared. This effect was due to
556 an improvement of the performance of the right-eye system (left hemisphere), in line
557 with the dominance of the right hemisphere in biological motion processing (Rugani et
558 al., 2015) or in familiarity recognition (Vallortigara & Rogers, 2005). On the contrary,
559 the left eye-system showed a stably superior performance, also in the absence of this
560 priming experience. See also (Lickliter, Dyer, & McBride, 1993; Lickliter & Gottlieb, 1985;
561 Lickliter & Gottlieb, 1988) for similar effects in ducklings.

562 Overall, the studies reviewed so far suggest a dynamic and potentially enhancing
563 interplay between experience-based and predisposed mechanisms. On the one hand,
564 as we saw in the previous paragraph, non-specific experiences, occurring within specific
565 sensitive periods, drive the emergence of predispositions for animate stimuli. On the

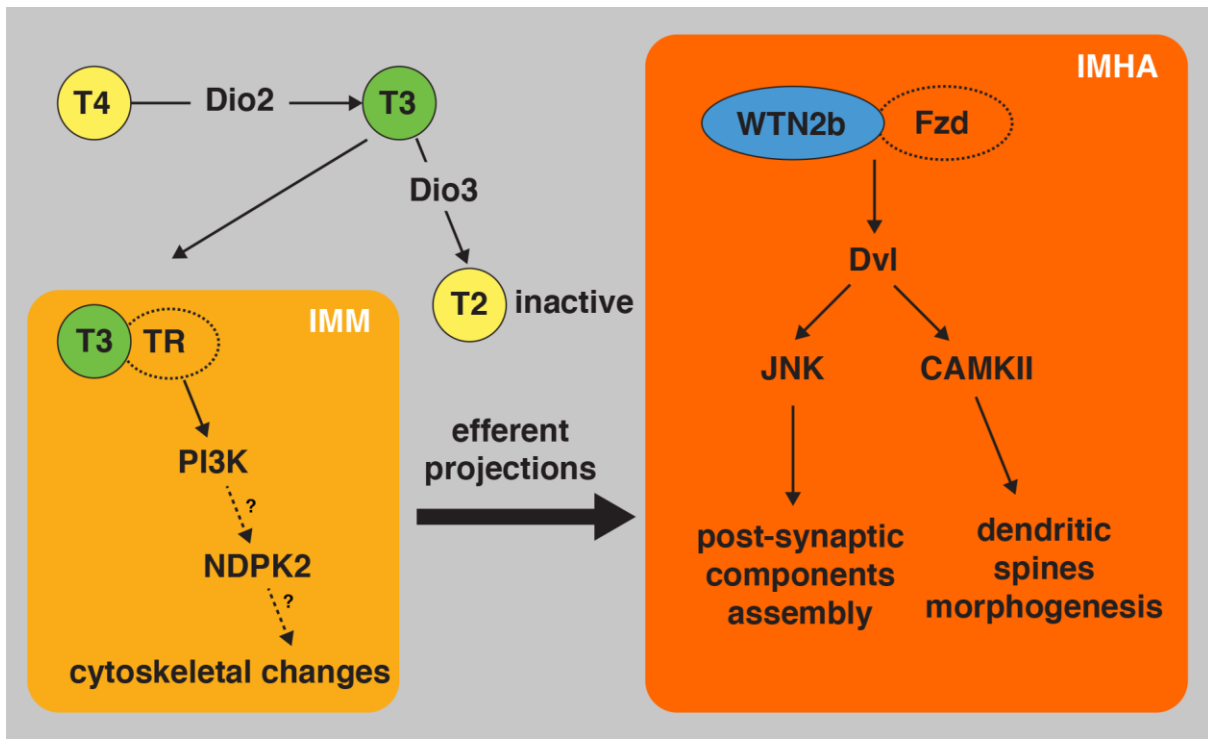
566 other hand, predispositions are associated with enhanced learning of additional
567 features of the stimulus.

568 A well-known feature of filial imprinting is the presence of a limited sensitive period
569 during which this form of learning can occur. Behaviourally, the sensitive period for
570 imprinting begins as soon as precocial birds start following an object and terminates
571 once the birds start to avoid unfamiliar ones (Bolhuis, 1991). The sensitive period for
572 imprinting usually lasts a couple of days after hatching; for instance, Yamaguchi et al.
573 (2012) reported that 4 day-old, dark-reared chicks can no longer be imprinted (but see
574 Case & Graves, 1978; Sluckin, 1972 for reports of imprintability up to 7 days in dark
575 reared chicks, indicating potential inter-breed variability). However, the duration of the
576 sensitive period can substantially vary, being influenced by different factors (Bateson,
577 1966; Bolhuis, 1991; Sluckin, 1972). Both pre-hatch and post-hatch experiences
578 influence the determination of the sensitive period (Dimond, 1968; Landsberg, 1976;
579 Simner, 1973). For instance, rearing ducklings in diffuse, non-patterned light
580 (preventing structured visual experiences) extends the sensitive period in this species
581 (Moltz & Stettner, 1961).

582 At the neurophysiological level, an increase of the concentration of the
583 neurotransmitter glutamate extends the imprinting period, suggesting a possible role
584 of NMDA glutamate receptors (Parsons & Rogers, 1997, 2000). The sensitive period for
585 filial imprinting may be influenced by hormones too (Bateson, 1983; Knudsen, 2004).
586 For instance, in ducklings a rise of corticosterone has been described around the end
587 of the sensitive period for imprinting (Weiss, Köhler, & Landsberg, 1977). This

588 hormonal change may be the source for the increasing fear observed when imprinting
589 terminates (Bolhuis, 1991).

590 Recently, a role of thyroid hormones has been identified in domestic chicks. In
591 precocial galliform birds, the thyroid hormones T4 and T3 peak around hatching and
592 then gradually decrease (Lu, McMurtry, & Coon, 2007; McNabb, 2006; Yamaguchi et al.,
593 2012). The level of T3 in the brain correlates with the strength of imprinting and
594 artificially injecting T3 facilitates it. The action of T3 appears to be quick, being
595 detectable already in 30 minutes, similarly to what reported above for the induction of
596 the predisposition for biological motion. Importantly, T3 is strongly implicated in the
597 control of the sensitive period for imprinting in chicks. Inhibiting it (either systemically
598 or via brain injections) impairs visual imprinting, whereas injecting it extends and even
599 re-opens its sensitive period up to 8 days after hatching. After this age, the capability
600 to form imprinting attachment cannot be rescued by T3, indicating the presence of
601 further, yet unknown, closing mechanisms (Yamaguchi et al., 2012). Recent studies have
602 also elucidated the neural mechanisms subtending to the action of T3, which seem to
603 be specifically localised to IMM (a region classically implicated in imprinting, Horn,
604 2004) (summarised in Fig. 3). Blockage of the nucleotide diphosphate kinase 2 in IMM
605 impairs the reopening of the sensitive window by T3 (Yamaguchi et al., 2016). Likewise,
606 blocking the Wnt-2b glycoprotein, related to neuronal growth, in an area connected to
607 IMM (IMHA, intermediate medial hyperpallium apicale) also prevents T3 action (Aoki et
608 al., 2015; Yamaguchi et al., 2018) (see Fig. 3).



609

610 Fig. 3. Known pathways for the action of T3 on IMM (Intermediate Medial Mesopallium) and its efferent
 611 IMHA (Intermediate Medial Hyperpallium Apicale). The concentration of the thyroid hormone T3 (3,5,3'-
 612 triiodothyronine) is balanced in the brain by the enzyme Dio2 (type 2 iodothyronine deiodinase), which
 613 converts T4 into T3 (the active form) and by Dio3, which converts T3 into its inactive metabolite T2 (3,3'-
 614 diiodothyronine). In IMM, T3 binds a thyroid hormone receptor (TR), which activates the enzyme
 615 phosphatidylinositol 3'-kinase (PI3K). PI3K is hypothesised (dotted arrow) to act on another enzyme,
 616 NDPK2 (Nucleoside diphosphate kinase II), which would in turn interact with proteins that regulate the
 617 actin cytoskeleton, potentially leading to changes in the dendritic spines, supporting learning related
 618 plasticity. In IMHA, the molecular mechanisms that regulate the sensitive period for imprinting may
 619 involve the Wnt2b protein, which binds to a Fzd (frizzled) receptor, causing the activation of Dvl
 620 (Dishvelled) protein. This in turn modulates the activity of two enzymes, JNK (c-Jun N-terminal kinase)
 621 and CAMKII (Ca²⁺/calmodulin-dependent protein kinase II). The activation of this pathway induces
 622 changes in actin and reorganization of the microtubules, modulating the morphogenesis of dendritic
 623 spines and post-synaptic components assembly. Overall, the activation of the Wtn pathway in IMHA,
 624 concurrently with the input that this structure receives from the IMM that has been activated by T3

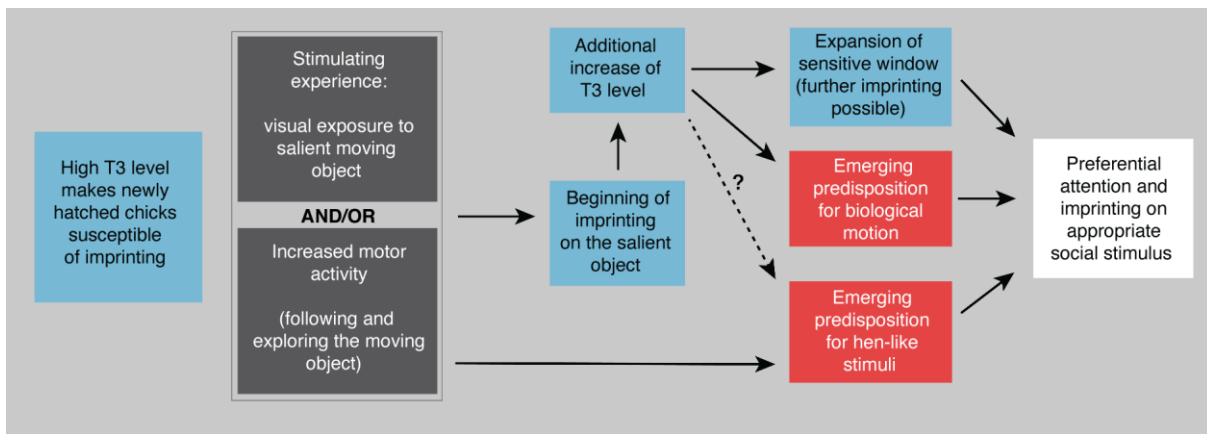
625 influx, may strengthen the connectivity between these two areas, contributing to the opening of the
626 critical period for imprinting.

627

628 Moreover, it has been recently shown that the expression of GABA_A-GABA_B receptors
629 within IMM defines the opening and closing of the sensitive period downstream to T3.
630 While GABA_B initiates imprinting, GABA_A contributes to its termination (Aoki et al., 2018;
631 see also McCabe, 2019 for a comprehensive review of this literature). In mammals, the
632 brain-region specific maturation parvalbumin-positive GABAergic inhibitory
633 interneurons have a crucial role in the neurobiology of sensitive periods. This allows
634 the refinement of cortical circuits that build up advanced cognitive functions (reviewed
635 in Reh et al., 2020). Once again, similar mechanisms might be present in avian species
636 (e.g., Aoki et al., 2018, see above), opening the way for exciting comparative
637 investigations.

638 Similar to what described for the behavioural effects of the biological motion
639 preference, the relationship between imprinting and T3 hormonal levels seems to be a
640 circular one. The process of imprinting increases the forebrain concentration of T3
641 hormone, by increasing the expression of Dio2, the enzyme that converts T4 to T3 (the
642 active form) (see also Takemura et al., 2018). This "primes" the mechanisms for the
643 development of further secondary imprinting learning. As a consequence, after this
644 initial priming even chicks as old as 4-8 days became susceptible to further imprinting
645 (Yamaguchi et al., 2012). The similarity with the mechanisms described above for the
646 action of the biological motion preference is not only a superficial one. Indeed, the

647 induction of the predisposition for biological motion is linked to an increase in the
648 gene expression of Dio2 (Miura et al., 2018; Takemura et al., 2018). The level of
649 preference for biological motion developed by chicks primed with exposure to moving
650 stimuli correlates with the level of telencephalic Dio2 expression (Takemura et al.,
651 2018). Moreover, injections of IOP (iopanoic acid, that inhibits Dio2) reduced the level
652 of biological motion preference developed by newly-hatched chicks after priming with
653 motion stimuli (Miura et al., 2018). This reveals a direct causal link between the action
654 of thyroid hormones and predispositions for biological motion. According to the model
655 proposed by Miura and Matsushima (2020), the first encounter of an animal with a
656 salient moving object would cause a surge of telencephalic T3 concentration. This
657 would have consequences both at the level of inborn predispositions (causing the
658 rapid emergence of the predisposition for biological motion), and at the level of
659 learning mechanisms (causing the acute activation of imprinting learning and priming
660 the memory system for further imprinting learning in the later days). Thanks to the
661 induction of the predisposition for biological motion, even if the first moving object
662 seen by the chick would be an inanimate object, the subsequent imprinting would be
663 directed towards more biologically plausible objects. Please note that secondary
664 imprinting towards naturalistic stimuli overrides previous imprinting learning on
665 artificial ones, but not vice versa (Boakes & Panter, 1985; Bolhuis & Trooster, 1988).
666 Moreover, the first "wave" of imprinting will determine at least some degree of
667 following behaviour (i.e., motoric and visual stimulation). Thus, this first visual
668 experience with any moving object is also likely to activate the emergence of the
669 predispositions for hen-like objects, and specifically for their red face region (Fig. 4).



670

671 Fig. 4. Schematic illustration of a model representing the emergence of multiple social predispositions as
 672 a consequence of the exposure to any salient moving stimulus, in newly hatched chicks. T3 level peak at
 673 hatching, making these animals susceptible to imprinting. The exposure to the stimulus and the
 674 associated motoric activity will cause the emergence of the predisposition for the head region of hen-
 675 like stimuli. Moreover, by being exposed to a salient stimulus the animals will start to learn its features
 676 through filial imprinting. This process will cause an additional increase in the concentration of T3. This
 677 will have two consequences: extending the sensitive window for imprinting and causing the emergence
 678 of the predisposition for biological motion. (It is unknown whether T3 has any effect on the
 679 predisposition for hen-like object, dotted arrow). The combined presence of the two predispositions will
 680 direct chicks' attention towards appropriate social stimuli (e.g., the mother hen) if they are at all available
 681 in the environment. Since the animals are still susceptible to (secondary) imprinting learning, this will
 682 ensure filial attachment towards the mother hen.

683 It seems that multiple mechanisms evolved to ensure this crucial step of social
 684 development (Versace et al., 2018). Filial attachment towards the mother hen has
 685 important short-term beneficial consequences for the chicks, that gain heat, protection
 686 and guidance from the hen. Thanks to their notable social-learning abilities, chicks can
 687 also acquire crucial information (e.g., on the position and palatability of various food
 688 sources) from the hen and other brood-mates (e.g., Marino, 2017; Nicol, 2004).

689 Unfortunately, compared to the earlier developmental stages, less is known about how
690 these interactive processes extend to later stages of chicks' life. For instance, there is
691 relatively little evidence of the impact of these early environmental influences on the
692 social adaptation of adult chickens (but see Rogers & Workman, 1989 for longitudinal
693 data on lateralization and social interaction in chicks up to 16 days of age; see also
694 Leonard et al., 1993; Leonard, Zanette, & Wayne Fairfull, 1993; Widowski, Lo Fo Wong,
695 & Duncan, 1998 for the long-term effect of group composition on adult mating and
696 agonistic behaviours). This aspect has been perhaps better studied in other bird
697 species such as zebra finches, where sexual imprinting has been the object of
698 numerous studies (see Bischof, 1994, 2018; ten Cate & Vos, 1999 for reviews). In sexual
699 imprinting, the early experience the birds have with social companions, within a specific
700 sensitive period of the post-natal life, affects their mate choice later in life. After this
701 early acquisition phase, the formation of stable mate-preferences by sexual imprinting
702 involves a second sensitive period, at the time when the young bird performs its first
703 courtship. The availability of an appropriate partner to court can modify or consolidate
704 the preference acquired in the earlier phase, crystallising it in its definitive form. It has
705 been proposed that the first stage of sexual imprinting in zebra finches could
706 correspond to filial imprinting as described for altricial birds. In this phase, the young
707 bird would acquire general information that allow it to recognise parents, siblings and
708 other members of its social group. During the subsequent consolidation phase, its first
709 courtship attempts are directed towards individuals resembling this template, if at all
710 available, and the tendency is further consolidated. Similar to what we saw for filial
711 imprinting, also in sexual imprinting the preferences of young birds are not completely

712 unconstrained, revealing the presence of genetic biases or unlearned predispositions.
713 Indeed, cross-fostering experiments with birds of different species revealed that sexual
714 imprinting is usually easier to achieve for the own species. This two-phase process,
715 involving the interaction of predisposed and learned mechanisms, thus increases the
716 chances to obtain sexual imprinting towards the bird's own species, while allowing still
717 sufficient behavioural flexibility to cope with different environmental circumstances
718 (Bischof, 2018). The neural correlates of learning occurring in sexual imprinting during
719 the consolidation phase have been also well investigated (Bischof, 2007). In the case of
720 visual sexual imprinting, changes occurring during the second sensitive period involved
721 mostly an irreversible reduction of dendritic spines in the Lateral Nido-Mesopallium
722 (LNM). Similarities with the processes observed for filial imprinting include a clear
723 involvement of hormonal signalling (testosterone, in this case) in the opening of the
724 sensitive window for the consolidation phase, and of GABAergic inhibition for its
725 closing. Future studies should be devoted to compare how predispositions and
726 learning mechanisms interact during the earlier stages of life and later in development
727 (see Vidal, 1980 for a behavioural study of the relationship between sexual and filial
728 imprinting in chicks). It would thus be important to perform longitudinal
729 developmental studies, targeting how early environmental influences shape adult social
730 behaviour.

731 **4. Conclusions**

732 In a world rich in stimuli, social predispositions help young animals to direct their
733 attention and learning toward social partners, which provide protection and guidance,

734 and to ignore less relevant stimuli. Predispositions are not fixed and immutable
735 mechanisms, though, but respond in different ways to the environmental and social
736 stimulation present at specific time-points during the ontogenetic development. We
737 have described how the relation between predisposed and environmental factors in the
738 first phases of life has profound influences on subsequent development (see also
739 Versace et al., 2018; Versace & Vallortigara, 2015). However, long term effects have just
740 started to be elucidated.

741 We have shown how distinct sensitive periods shape the development of social
742 behaviour via complex interactions of environmental and genetic influences. This is
743 apparent, for instance, in the development of affiliative responses for the mother
744 through predispositions that orient the newborn towards animate objects (reviewed in
745 Di Giorgio et al., 2017a) and enhance learning of the particular features of the social
746 partners. The latter mechanism is shown for example by the enhancement of
747 imprinting in chicks whose predispositions for biological motion have been activated
748 by previous exposure to moving objects (Miura & Matsushima, 2016; Miura et al.,
749 2020). Importantly, we have shown how environmental events can modulate the timing
750 and duration of sensitive periods, maximising the chances of optimal developmental
751 outcomes. This is well-exemplified by in the surge of T3 elicited by the first wave of
752 imprinting learning after encountering a salient object, which allows subsequent
753 secondary imprinting to take place (Yamaguchi et al., 2012).

754 Domestic chicks are currently an elective model system to investigate connection
755 between predispositions and learning at the behavioural and neurobiological level. This

756 is mostly due to the advantages of precocial animals that respond to behavioural tests
757 immediately after birth, as well as to the opportunity to investigate the fast learning
758 mechanism of filial imprinting. These advantages allowed researchers to shed some
759 light on the neural and physiological bases of the early mechanisms (e.g., Horn, 2004;
760 Lorenzi et al., 2017; Loveland et al., 2019; Mayer et al., 2016, 2017a, 2017b, 2019),
761 including those for the opening and closing of sensitive periods (Aoki et al., 2018;
762 Yamaguchi et al., 2012). While the notion of sensitive period has been traditionally
763 applied to filial imprinting, mounting evidence shows that specific time windows
764 regulate the emergence of predispositions too (e.g., (Bolhuis & Horn, 1997; Bolhuis et
765 al., 1989; Davies, Johnson, & Horn, 1992; Johnson, Davies & Horn, 1989; Miura et al.,
766 2020; Versace, Ragusa & Vallortigara, 2019).

767 More recently, chicks have been used for the study of how predispositions influence
768 typical and pathological development, as they are being proposed as a model for the
769 social impairment of autism spectrum disorders (Sgadò et al., 2018; Lorenzi al., 2019;
770 see also Di Giorgio et al., 2016; Zachar et al., 2019). This is facilitated by the fact that
771 predispositions appear to be very similar across taxa. In fact, organisms as different as
772 human babies, chicks and tortoises display similar social predispositions (e.g., Johnson,
773 2005; Rosa-Salva et al., 2010; Versace et al., 2020). This further points at the adaptive
774 value of mechanisms that enable young animals to cope with their environment from
775 the early stages of life, as already envisioned in the pre-representations conceptualised
776 by Mehler and Dupoux for human infants (Mehler & Dupoux, 1994).

777

778

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785

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- precocial avian species are optimal models to study social cognition development
- predisposed and environmental factors interact in the development of domestic chicks
- social predispositions require activating experiences, occurring in critical periods
- social predispositions and filial imprinting are reciprocally interacting mechanisms
- hormonal and neural mechanisms control critical periods for social development

