1 Patients with gastrointestinal irritability after TGN1412-induced cytokine storm displayed selective expansion of gut-homing  $\alpha\beta$  and  $\gamma\delta$  T-cells 2 3 4 Neil E McCarthy<sup>1,3</sup>, Andrew J Stagg<sup>1,4</sup>, Claire L Price<sup>1,5</sup>, Elizabeth R Mann<sup>1,6</sup>, Nichola L Gellatly<sup>1</sup>, Hafid O Al-Hassi<sup>1,7</sup>, Stella C Knight<sup>1,8</sup> & Nicki 5 Panoskaltsis<sup>1,2,9</sup> 6 7 8 This work was undertaken at: <sup>1</sup>Antigen Presentation Research Group, 9 Imperial College London, Northwick Park & St. Mark's campus, London, UK; 10 <sup>2</sup>Department of Haematology, Imperial College London, Northwick Park & St. 11 Mark's campus, London, UK. 12 13 Author Affiliations: 14 <sup>3</sup>MRC Career Development Fellow, Centre for Immunobiology, The Blizard 15 Institute, Bart's and the London School of Medicine and Dentistry, Queen Mary 16 University of London, London, UK. 17 18 19 <sup>4</sup>Reader, Centre for Immunobiology, The Blizard Institute, Bart's and the 20 London School of Medicine and Dentistry, Queen Mary University of London, 21 London, UK. 22 23 <sup>5</sup>Scientific Director, Lucid Group Communications, Buckinghamshire, UK. 24 25 <sup>6</sup>Wellcome Trust and Royal Society Sir Henry Dale Fellow, Lydia Becker 26 Institute of Immunology and Inflammation, University of Manchester 27 28 <sup>7</sup>Senior Lecturer in Cancer Research, Research Institute in Healthcare Science, 29 Faculty of Science and Engineering, University of Wolverhampton, UK. 30 31 <sup>8</sup>Professor of Immunopathology, Imperial College London, and Consultant in Immunopathology, London North West University Healthcare NHS Trust, 32 33 Antigen Presentation Research Group, Northwick Park and St. Mark's Campus, 34 London, UK. 35 <sup>9</sup>Associate Professor, Department of Hematology and Medical Oncology, 36 37 Winship Cancer Institute, Emory University School of Medicine; BioMedical 38 Systems Engineering Laboratory, Wallace H. Coulter Department of 39 Biomedical Engineering, Georgia Institute of Technology, Atlanta, USA. 40 41 42 43 44

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Conflicts of Interest: None of the authors declare a financial conflict of 6 7 interest. NP, SCK, CLP, HOA, ERM and NG declare no conflicts of interest. NEM is supported by a Career Development Award from The Medical Research 8 9 Council (Grant Ref: MR/R008302/1) and is in receipt of a project grant from Bart's and The London Charity (MGU0465). He has also received consultancy 10 fees and funding for research from ImCheck Therapeutics SAS. AJS research 11 12 is supported by grants from Gilead Sciences, AbbVie, The Medical College of 13 St Bartholomew's Hospital Trust, Bowel & Cancer Research, and Bart's Charity. SCK, NEM and AJS have done contract work for Parexel pre-dating the work 14 described in this report. At the time of this work and report, Parexel Clinical 15 Trials Unit had a short-term contract with the Antigen Presentation Research 16 17 Group (APRG) to use a Class II cabinet within the laboratory. The APRG has 18 also been contracted to perform immunological studies by a pharmaceutical company, the tissue specimens for which were supplied on behalf of that 19 20 company via Parexel which is located adjacent to the APRG department. There 21 is no conflict of interest involved.

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23 Ethics Approval: Ethics approval had been obtained for the TGN1412 trial (by 24 the investigators - none of the authors of this report were involved in the clinical 25 trial). At the time of the trial-related serious adverse event, clinical and immune 26 monitoring ensued as a matter of standard clinical care; no studies were done 27 outside what was required for clinical care of the patients. Discussions between 28 the Ethics Committee, MHRA and Expert Scientific Group set-up by the Minister 29 of Health (UK) at the time in order to investigate the trial outcome unanimously 30 concluded that the monitoring (as outlined in this report) should continue for 31 standard of care, and that specific ethics approval was not required due to the 32 extraordinary circumstances.

33

**Consent to Participate:** Patients consented to clinical follow-up and immune monitoring. None of the authors of this work were involved with the conduct of the clinical trial or any of the pre-clinical testing of TGN1412. The patient cohort had consented to the TGN1412 first-in-man clinical trial that resulted in the cytokine storm serious adverse event. At the time of the start of sample collection for the current report, the patients had been removed from the trial and were being treated based on clinical need, rather than trial protocol.

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42 **Consent for Publication:** Patients have provided written informed consent to 43 the publication of the clinical follow-up and immune monitoring data.

44

Availability of Data and Material: As this is a clinical cohort follow-up, and not
 data provided on a clinical trial, the data are unavailable due to personal privacy
 protections.

- 48
- 49 **Code Availability:** Not applicable.
- 50

Authors' Contributions: NEM and AJS were involved in the planning and execution of all experiments, interpretation of data, and in preparation of the manuscript. CLP, ERM, NLG and HOA contributed to a number of experiments. NP had overall responsibility for the patients and clinical follow-up, and SCK and NP supervised the project, interpreted data, and prepared the manuscript.

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#### 1 ABSTRACT

2 Following infusion of the anti-CD28 superagonist monoclonal antibody 3 TGN1412, three of six previously healthy, young male recipients developed 4 gastrointestinal irritability associated with increased expression of 5 'gut-homing' integrin  $\beta$ 7 on peripheral blood  $\alpha\beta$ T-cells. This subset of patients 6 with intestinal symptoms also displayed a striking and persistent expansion of 7 putative V $\delta$ 2<sup>+</sup> y $\delta$ T-cells in the circulation which declined over a two-year period 8 following drug infusion, concordant with subsiding gut symptoms. These data 9 demonstrate that TGN1412-induced gastrointestinal symptoms were 10 associated with dysregulation of the 'gut-homing' pool of blood  $\alpha\beta$  and  $\gamma\delta$ T-11 cells, induced directly by the antibody and/or arising from the subsequent 12 cytokine storm.

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#### 14 SIGNIFICANCE

Following TGN1412-induced cytokine storm, 3 of 6 patients developed gastrointestinal irritability associated with expansion of gut-homing  $\alpha\beta$  and  $\gamma\delta$ Tcells. These findings may elucidate the pathology of immune-related adverse events affecting the gut.

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#### 1 Introduction

2 In higher primates, the blood T-cell pool contains diverse  $\alpha\beta$ T-cells and semi-invariant 'unconventional' T-cells that recognize either microbial peptides 3 4 or metabolites, respectively (1). In both cases, antigen activation can stimulate 5 these cells to upregulate the gut-homing integrin  $\alpha 4\beta 7$  and traffic to the intestine 6 (2-4). Gut microbes, and the metabolic activities these perform, vary between 7 host species. Consequently, the compounds generated and their conditioning 8 effects on peripheral blood T-cell responses are likely to differ between mice 9 and humans (5, 6). The influence of these microbial products may also diverge 10 between individual recipients of agonist/antagonist immunotherapies; gut 11 bacteria from patients with melanoma who respond to immune checkpoint 12 blockade are enriched for anabolic functions proposed to stimulate host 13 immunity (7). However, the extent to which peripheral blood T-cell responses 14 contribute to these modulatory effects in vivo remains unclear.

15 Some of the most common antigen-specific lymphocytes in human blood 16 are gut-tropic T-cells specialized to detect various bacterial metabolites (1, 8). 17 However, the frequencies and phenotypes adopted by these cells can differ 18 between individuals and age groups (9, 10), and their impact on 19 immunotherapeutic outcomes in treated patients is not well understood. It is 20 now well-recognized that checkpoint inhibitors can be associated with immune-21 related adverse events (irAEs) affecting the gut, most notably symptoms of 22 diarrhea and colitis following blockade of cytotoxic T-lymphocyte antigen-4 23 (CTLA-4) or programmed cell death 1 (PD-1) in patients with melanoma (7, 11). 24 However, it is still unclear to what extent gastrointestinal irAEs are caused by

disruption of local mucosal immunoregulation, versus systemic drug effects on
 gut-homing lymphocytes (12).

3 In March 2006, six healthy volunteers suffered from cytokine release 4 syndrome (CRS) during a phase 1 first-in-man clinical trial of the monoclonal 5 antibody TGN1412 (13). In pre-clinical studies, this anti-CD28 super-agonist 6 induced preferential lymphocytosis of regulatory T-cells in the absence of 7 systemic inflammation (14, 15); the immunological basis for antibody-induced 8 CRS and resultant lymphopenia in the human trial has remained unclear. 9 In addition to acute symptoms of CRS from which all six patients recovered 10 (13), three patients suffered from prolonged gastrointestinal irritability of 11 unknown etiology, suggesting unexpected TGN1412 antibody and/or CRS 12 effects on gastrointestinal immunity. We therefore undertook a detailed 13 investigation of peripheral blood distribution and expression levels of integrin 14 β7 aiming to understand the immunological basis for these symptoms. These 15 analyses revealed that blood  $\alpha\beta$ T-cells from patients who suffered from gut 16 irritability displayed significantly enhanced levels of  $\beta7$  expression that were not 17 observed in either asymptomatic patients or healthy controls. In addition, TGN1412-induced gut symptoms were associated with a striking expansion of 18 19 circulating vδT-cells (putative phosphoantigen metabolite-responsive Vy9Vδ2+ 20 lineage) that was still evident two years after drug infusion. Together, these 21 data suggest that in three of six recipients, the TGN1412 antibody or 22 subsequent cytokine storm caused sustained dysregulation of the gut-homing 23 T-cell pool, which gradually normalized over the two-year period following 24 antibody infusion, concordant with subsiding gastrointestinal symptoms.

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#### 1 METHODS

#### 2 Clinical trial

3 Details of the first 30 days of clinical follow-up of the serious adverse event 4 (SAE) have been reported previously. The patients presented herein 5 correspond with those previously identified as follows (13): 1-B, 2-A, 3-F, 4-E, 6 5-C, and 6-D. The TGN1412 antibody was produced by TeGenero AG 7 (Würzberg, Germany), manufactured by Boehringer Ingelheim (Germany), and 8 the clinical trial was conducted by contract research organization PAREXEL 9 International (Waltham, MA, USA) on leased premises at Northwick Park 10 Hospital, London, UK. The authors of this report were not involved in either pre-11 clinical or clinical testing of TGN1412.

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#### 13 **Patients and data sources**

14 Patients were clinically followed, off trial, and assessed as a cohort following 15 the SAE (13). Based on clinical need and requirements for SAE follow-up, the 16 lead clinician (NP) requested immunological monitoring, including analysis of 17 peripheral blood T-cell subsets alongside intracellular and serum cytokine 18 levels. Monitoring commenced 10 days after infusion of TGN1412 and the 19 patients were evaluated at 21 time-points over the subsequent two years. All 20 patient blood samples were anonymized and the scientists performing the 21 immunological tests were not aware of patient symptoms, signs, or clinical 22 laboratory data. Patients were assessed by the lead clinician at the same 23 intervals wherein blood was procured for monitoring. Control blood samples 24 from healthy male volunteers (n=24) were obtained in parallel with the patient 25 samples after written informed consent. The six volunteers who received

1 TGN1412 were male and had a median age of 29.5 years (range 19-34) at the 2 time of recruitment into the first-in-man trial. Healthy control volunteers were 3 male and had a median age of 30 years (range 19-42). All patients were well 4 during the two-week period preceding the clinical trial and were without significant medical history. Patients B and C were lost to immunological follow-5 6 up after 15 and 22 months, respectively. Following development of 7 gastrointestinal symptoms in three of the six patients, additional assessment of 8 β7 integrin expression on peripheral blood T-cells was introduced for all patients 9 at four separate time-points over the two-year follow-up. All clinical information 10 was withheld from the scientists who performed these analyses (NEM, AJS, 11 CLP, ERM, NLG, HOA, SCK) until laboratory investigations were complete. All 12 six patients consented to immunological monitoring and have given written 13 informed consent to the publication of data presented in this report.

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#### 15 *Immune monitoring*

16 Specific leukocyte subset monitoring began on Day+10 following TGN1412 17 infusion and was repeated every three or four days for the first two weeks, then 18 weekly for four weeks, then every four weeks for three months, then every six 19 weeks for the remainder of eight months (time-points 1-17). In year two of 20 monitoring, patients were evaluated every three months (time-points 18-21). In 21 the first six months, whole blood was assessed for T-cell subsets, numbers, 22 phenotypes and intracellular cytokine expression. After six months, the tests 23 were rationalized to those that were most informative. Additional correlates of 24 immune function included assessment of T-cell receptor V $\beta$  repertoire (kit kindly 25 donated by Beckman Coulter), and T-cell homing markers for the

1 gastrointestinal tract and skin based on expression of β7 integrin and 2 cutaneous leukocyte antigen (CLA), respectively. The bulk of these data are 3 presented elsewhere – this report focuses on the gut-homing subsets. These 4 studies were conducted in a laboratory that operates under Good Laboratory Practice (GLP) principles, undertakes exploratory research and were 5 6 performed using established laboratory protocols that were Minimal Information 7 About T-cell Assays (MIATA) compliant (Supplementary MIATA information). 8 The assays and reagents employed were previously validated and tested for 9 assay performance during the course of standard general investigative research. 10

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#### 12 Flow Cytometry

13 Peripheral whole blood was obtained by venipuncture into sodium-heparin 14 Vacutainer<sup>™</sup> tubes (Becton-Dickinson) and then directly labeled with 15 monoclonal antibody (mAb; Supplementary Table 1) for 15min at room 16 temperature. After mAb labeling, Optilyse C reagent (Immunotech, Marseilles) 17 was used to lyse erythrocytes for 15min before washing the cells twice in cold 18 FACS buffer (2% FCS, 0.02% sodium azide, and 1mM EDTA in PBS) for 5min 19 at 300G. Cell pellets were fixed in 0.4mL paraformaldehyde (1%) and stored at 20 4°C in the dark until acquired on a FACSCalibur flow cytometer using CellQuest 21 software (Becton-Dickinson). All analyses were performed using WinList software (Verity Software House, Maine, USA). Absolute cell counts were 22 23 determined using Flow-Count<sup>™</sup> Fluorospheres (Beckman Coulter) added to 24 the cells immediately prior to acquisition.

Viable cells were gated according to their characteristic light-scatter
 properties, and individual leukocyte subsets identified based on expression of

1 subset-specific surface antigens. Major CD3<sup>+</sup> T-cell subsets were identified 2 based on differential expression of CD8 (CD8<sup>+</sup> T-cells were CD3<sup>+</sup>/CD8<sup>+</sup> and 3 putative CD4<sup>+</sup> T-cells were CD3<sup>+</sup>/CD8<sup>-</sup>), since CD8 is less susceptible than CD4 4 to down-regulation during T-cell stimulation used in the intracellular cytokine determination protocol. In each subset, naïve and memory populations were 5 6 enumerated by further double staining; naïve cells were CD45RA+/CD45RO-7 and memory cells were CD45RA<sup>-</sup>/CD45RO<sup>+</sup>. Expression of CD69 in CD4<sup>+</sup> and 8 CD8<sup>+</sup> T-cell subsets was used to identify activated T-cells.

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### 10 Intracellular cytokine staining

11 Peripheral whole blood cells were cultured in complete medium (Dutch-12 modified RPMI-1640 medium, 10% FCS, 20mM L-glutamine, 100u/mL 13 penicillin, 100µg/mL streptomycin) with or without monensin (3µM), PMA 14 (10ng/mL), and ionomycin (2µM) for 4h at 37°C, 5%CO<sub>2</sub>. Cells were then 15 surface-labeled with anti-CD3 and anti-CD8 mAb for 15min at room 16 temperature. Optilyse C reagent (Immunotech, Marseilles) was used for lysis 17 of erythrocytes (0.5mL per 100µL aliquot of blood) and the samples were incubated for 15min at room temperature. The remaining cells were twice 18 19 washed in cold FACS buffer for 5min at 300G, re-suspended in 100µL 20 Leucoperm A (Serotec, Oxford), and then incubated for 15min at room 21 temperature. The partially fixed cells were next washed twice in FACS buffer 22 and re-suspended in 100µL Leucoperm B (Serotec, Oxford). For intracellular 23 staining, the cells were labeled with 5µL anti-cytokine mAb for 30min on ice 24 then washed twice in FACS buffer and fixed in 0.4mL paraformaldehyde (1%)

prior to storage in the dark at 4°C (acquisition by flow-cytometry was performed
within 24 hours).

3

# 4 Statistics

5 Statistical analyses were conducted using SigmaStat<sup>™</sup>3.5 or SigmaPlot<sup>™</sup>11.0 6 software (Systat Software UK Ltd, London). The TCR-Vβ repertoire data were 7 compared using Kruskal-Wallis One-Way Analysis of Variance on Ranks. One-8 Way Analysis of Variance with All-Pairwise Multiple Comparison Procedures 9 (Holm-Sidak method) was used to compare β7 integrin expression over time 10 between patient subsets and 10 healthy controls. Differences in expression of 11 γδ-TCR between patients and controls were evaluated by Student's t-test.

#### 1 **RESULTS**

2 Three of six patients (A. B and E) who received TGN1412 suffered from 3 gastrointestinal symptoms (Common Terminology Criteria for Adverse Events 4 grade 1-2 irAE), manifesting as loose and frequent bowel motions or diarrhea 5 (primarily after consuming spicy foods; hereafter described as 'gut irritability'), 6 not present prior to drug exposure. These symptoms started within one month 7 of TGN1412 infusion and subsequently decreased in intensity over the two-year 8 follow-up period. Symptoms persisted in patients B and E at two years. Patient 9 B displayed the most pronounced gut symptoms and in the first year of follow-10 up underwent a full gastrointestinal work-up including duodenal biopsies (which 11 were normal), and removal of a colonic polyp which exhibited non-specific 12 inflammation.

13

# Gut irritability in TGN1412 recipients was associated with increased β7 expression by circulating αβT-cells

16 Integrin  $\alpha 4\beta 7$  binding to MAdCAM-1 facilitates leukocyte recruitment into 17 intestinal tissues (16). Accordingly, T-cell expression of  $\alpha 4\beta 7$  is significantly 18 modulated during active gut inflammation, and inhibition of the  $\alpha 4\beta$ 7:MAdCAM-19 1 axis has been an effective therapeutic strategy in patients with inflammatory 20 bowel disease (IBD) (17, 18). Development of gut symptoms in three of the six 21 TGN1412 recipients prompted us to assess T-cell expression of  $\beta$ 7 integrin at 22 four separate time-points over the two-year follow-up period. CD45RA+ 23 (predominately naïve) T-cells in the blood of both patients and healthy controls 24 uniformly expressed an intermediate level of  $\beta$ 7, whereas CD45RA<sup>-</sup> (antigen-25 experienced effector/memory) T-cells included both  $\beta$ 7<sup>+</sup> and  $\beta$ 7<sup>-</sup> subsets,

1 representing putative gut-homing and non-intestinal populations, respectively 2 (Fig. 1a). In healthy volunteers, memory T-cells were evenly distributed 3 between  $\beta$ 7<sup>+</sup> and  $\beta$ 7<sup>-</sup> subsets (median ratio 0.98, interguartile range 0.80-1.19; 4 Fig. 1b). Patients C, D, and F, who did not exhibit gastrointestinal symptoms, 5 were indistinguishable from control subjects at all time points analyzed. In 6 contrast, patients with gut irritability (A, B, and E) displayed increased  $\beta7^+$ 7 memory T-cells at 8.6 months (p<0.001) and 10.2 months (p=0.003) post-8 TGN1412 infusion (Fig. 1b). At 8.6 months, both CD45RA<sup>+</sup> and CD45RA<sup>-</sup> T-9 cells from patients A, B, and E also exhibited higher levels of β7 integrin 10 expression per cell (mean fluorescence) compared with T-cells from healthy 11 had normalized by one year post-infusion controls, although this 12 (Supplementary Fig. 1a and b). Sustained changes in both CD8<sup>+</sup> and CD8<sup>-</sup> (presumed CD4<sup>+</sup>) memory T-cells contributed to the elevated β7 expression 13 14 detected in patients with gut irritability (Supplementary Fig. 1c and d). Fewer 15 than 8% of  $\beta$ 7<sup>+</sup> memory T-cells from either patients or controls expressed 16 CD103/ $\alpha$ E integrin, the alternative binding partner for  $\beta$ 7 (Fig. 1c), consistent 17 with reports that  $\beta$ 7 primarily forms complexes with the  $\alpha$ 4 subunit on blood T-18 cells (16), and confirming that the data presented here reflected changes in the 19 patients'  $\alpha 4\beta 7^+$  compartment. Together, these findings indicated that gut irritability in three of six patients infused with TGN1412 was associated with a 20 21 sustained increase in gut-homing potential among both naïve and memory  $\alpha\beta$ T-22 cells.

23

# TGN1412-induced gut irritability correlated with peripheral blood expansion of putative Vδ2<sup>+</sup> yδT-cells

3 In addition to the features outlined above, the blood of patients who developed 4 gut irritability after TGN1412 infusion contained a distinct subset of CD3<sup>hi</sup> T-5 cells that was not present in the circulation of either asymptomatic patients or 6 healthy controls (Fig. 2a). These cells displayed a CD4<sup>-</sup>CD8<sup>-</sup> 'double negative' 7 phenotype characteristic of unconventional lymphocytes (data not shown) and 8 expressed uniformly high levels of CD45RO (Fig. 2b) and  $\beta$ 7 integrin (Fig. 2e), 9 but lacked CD103 (Fig. 2f) and did not express any of the common V $\beta$ -TCR 10 repertoire variants assayed at 8.6 and 12.1 months following TGN1412 infusion 11 (Supplementary Fig. 2). These features strongly implicated an expansion of 12 'unconventional' Vy9Vδ2<sup>+</sup>T-cells (hereafter Vδ2<sup>+</sup>T-cells) which express high levels of  $\alpha 4\beta 7$  in human blood (3, 19) and are rapidly recruited to mucosal 13 14 tissues in higher primates in vivo (20, 21). Further support for this lineage 15 identity was later provided by the absence of  $\alpha\beta$ -TCR (Fig. 2g) and lack of 16 markers for natural killer cells (CD56) or invariant natural killer T-cells (antibody 17 6B11; data not shown), but high expression levels of  $v\delta$ -TCR (22) (Fig. 2h) as 18 well as NKG2D, and CD161 (23, 24) (data not shown).

Analysis at 15 months post-infusion confirmed that typical low numbers of  $\gamma\delta T$ -cells were present in peripheral blood from unaffected patients C, D, and F, as well as in six healthy controls analyzed in tandem, but these cells were not CD3<sup>hi</sup> (data not shown). In contrast, total  $\gamma\delta T$ -cells (including both CD3<sup>+</sup> and CD3<sup>hi</sup> subsets) remained significantly increased in patients with gut irritability (A, B, E; 7.92-8.59%) compared with healthy controls (2.5-6.5%; p=0.002) even at this late time point (more than one year post-infusion). In the blood of patients

1 A, B, and E, the vorticell pool reached peak numbers (16% of total T-2 lymphocytes) approximately 1.5 months post-TGN1412 exposure, followed by 3 a gradual decline coincident with improvement in gastrointestinal symptoms 4 (Fig. 2i and j). Up to 25% of these γδT-cells displayed an activated/CD69<sup>+</sup> 5 phenotype, and expression of this marker decreased steadily over the following 6 seven months (Fig. 2k). No other clinical or laboratory features correlated with 7  $\gamma \delta T$ -cell expansion as observed in the three symptomatic patients. Importantly, 8 expansion of gut-homing lymphocytes in the patients with gut irritability was not 9 restricted to  $\gamma\delta T$ -cells alone, because higher numbers of  $\beta 7^+T$ -cells were still 10 detected in these individuals (Fig. 1) when the  $\gamma\delta T$ -cell (CD3<sup>hi</sup>) population was 11 excluded from this analysis (Supplementary Fig. 3).

12 The IFN $\gamma$ -producing subset of blood V $\delta$ 2+T-cells declines naturally with 13 age and is lost more rapidly in men after the age of 30 (25), with both ethnic 14 and environmental variables further impacting on the dynamics of this 15 compartment (26). To determine whether the expanded yδT-cells detected in 16 TGN1412 recipients remained functionally competent, and also to understand 17 how these cells might be contributing to gastrointestinal irritability, we next 18 assessed cytokine expression using a standard intracellular staining approach. 19 The yδT-cell population produced low-levels of IL-10 *in vitro* in the absence of 20 exogenous stimulation (Fig. 3), but did not appear to spontaneously produce 21 either IFNy or IL-4. However, vδT-cell reactivation with phorbol myristate 22 acetate and ionomycin resulted in substantial production of IFNy across a wide 23 range of time points analyzed, starting from one month post-infusion, when 24 symptoms of gut-irritability were first identified. Together, these data suggest 25 that development of intestinal symptoms in patients infused with TGN1412 was

- 1 associated with sustained expansion of circulating V $\delta$ 2+T-cells with IFN $\gamma$ -
- 2 producing capacity, as well as increased gut-homing potential within the blood
- 3  $\alpha\beta$ T-cell pool.

#### 1 DISCUSSION

This report provides unique evidence that TGN1412 antibody, or the subsequent CRS, dysregulated intestinal immunity in three of six drug recipients, outside of an identified infectious etiology; gut irritability was associated with sustained enhancement of mucosal trafficking in both conventional and unconventional T-cell subsets.

7 While the immunological response to TGN1412 infusion was surprisingly 8 uniform in many respects (13), the long-term impact of the drug on mucosal 9 immunity varied markedly between patients. Typical populations of  $\beta 7^+\alpha\beta T$ -10 cells (16) were present as expected in all trial patients, but enhanced  $\beta$ 7 11 expression levels and the surprising expansion of voT-cells were unique to 12 patients with symptoms of gut irritability. This variability of response may reflect 13 patient-specific differences in homeostatic T-cell reconstitution after TGN1412-14 induced lymphopenia (13) and/or differential yδT-cell responses to high levels 15 of cytokines such as TNF $\alpha$  (27) following infusion of TGN1412. The peripheral 16 blood location, kinetics, magnitude, and duration of these  $\gamma\delta T$ -cell expansions, 17 together with uniform expression of CD45RO (28) and high surface levels of 18  $\beta$ 7, strongly implicate the Vy9V $\delta$ 2<sup>+</sup>T-cell lineage which responds to non-peptide 19 'phosphoantigens' (pAg) derived from microbes and stressed/transformed host 20 cells (29, 30). Indeed,  $V\gamma 9V\delta 2$ + T-cells are already known to undergo rapid 21 polyclonal expansion in the first few weeks of human life, likely driven by pAgproducing bacteria within the gut microbiome, after which the repertoire 22 23 displays progressive selection of shared or 'public' pAg-reactive clones (defined 24 by characteristic Vy9JP and V $\delta$ 2 chains) (31). With advancing age, the V $\delta$ 2+Tcell compartment becomes increasingly oligoclonal, but different individuals 25

1 may still display diverse or 'private' Vy9Vo2+ clonal expansions with distinct 2 effector phenotypes (32), potentially including variable expression of gut homing markers. It is therefore possible that the TGN1412 recipients with gut 3 4 symptoms (A, B, and E) featured V $\delta$ 2+ clonotypes that were absent from the blood of those without (C, D and F), hence these cells may have exhibited 5 6 different thresholds for pAg activation and intestinal recruitment in the context of cytokine storm. Indeed, rapid expansion of Vy9JP+ yδ T-cells has also been 7 8 observed during immune reconstitution of patients receiving allogeneic 9 hematopoietic stem cell transplantation (alloHSCT), but the clonotypes generated after treatment are substantially different from the hosts' pre-10 11 transplantation repertoires (33). Patient γδ T-cell repertoire and microbiome 12 composition of pAg-producing bacteria may therefore prove to be important determinants of clinical outcome in future studies of novel immunotherapies. 13 14 Indeed, while Vδ2<sup>+</sup>T-cells constitute only a minor fraction of total circulating 15 lymphocytes in healthy individuals, their number and activation state in 16 peripheral blood and body tissues have previously been correlated with 17 therapeutic/clinical outcomes (34-36). Work from our own laboratory has also demonstrated that activated blood V $\delta$ 2<sup>+</sup>T-cells rapidly up-regulate  $\beta$ 7 and can 18 19 populate human gut lamina propria where they induce substantial mucosal 20 production of IFNy (3). In patients with Crohn's disease, gut-homing potential 21 and pro-inflammatory properties of  $V\delta^2$ +T-cells are enhanced (36), suggesting 22 that they play a key role in human gut immunity and inflammation.

The V $\delta$ 2<sup>+</sup> lineage is absent in rodents and does not recognize antigen in the context of MHC (37). Instead, V $\delta$ 2<sup>+</sup>T-cells respond to butyrophilin (BTN) proteins, considered as part of the B7 family of costimulatory receptors (37),

1 with critical roles recently identified for both BTN3A1 and BTN2A1 (38). Vδ2+T-2 cells lack alloreactivity while displaying potent anti-tumor and anti-microbial 3 functions, such that reconstitution of this lineage after chemotherapy-induced 4 lymphopenia may reduce infection rates in patients receiving hematopoietic 5 stem cell transplantation, without increased incidence of graft versus host-6 disease (33, 39). Expansion of blood  $V\delta 2^+T$ -cells has also been observed in 7 CRS, most notably in healthcare workers exposed to SARS-CoV-1 in the 2003 8 outbreak; these individuals displayed strikingly similar features including 9 relatively stable  $\alpha\beta$ T-cell numbers and TCR-V $\beta$  repertoire, whereas marked 10 expansions of V $\delta$ 2<sup>+</sup>T-cells with IFNy-producing capacity were still evident three 11 months after disease onset (40). These findings resemble data from nonhuman 12 primate models in which phosphoantigen injection stimulates blood Vδ2<sup>+</sup>T-cell 13 expansion in vivo (41), leading to accumulation of an IFNy-producing subset 14 both in lungs and intestinal mucosa (20), accompanied by robust Th1 immune 15 protection against a range of different pathogens.

16 Vδ2<sup>+</sup>T-cells undergo expansion in response to a variety of microbial 17 infections and can dominate the blood lymphocyte pool for extended periods 18 (10). It is also now widely recognized that  $V\delta 2^+T$ -cells display tissue-tropic 19 phenotypes consistent with trafficking to barrier sites where phosphoantigen-20 producing microbes and tumors frequently originate (29, 30). In particular, 21  $V\delta^{2+T}$ -cells are associated with effective host immunity to phosphoantigen-22 producing mycobacteria and robust responses to bacillus Calmette-Guérin 23 (BCG) vaccination (42, 43), which induces population expansion and 24 upregulation of CD69 and IFNy expression *in vitro*. Notably, these responses 25 are enhanced in BCG-responders compared with non-sensitized controls (44,

1 45), and the pool of V $\delta$ 2+T-cells generated lacks lymph node homing receptors 2 while displaying homogenous expression of CD28 (45). Therefore, TGN1412 3 may have directly stimulated V $\delta$ 2<sup>+</sup>T-cells in the trial patients, and prior microbial 4 exposures such as BCG may have influenced subsequent responses to mucosal pathogens and/or cytokine storm (as also postulated in the context of 5 6 COVID-19 (46)). Indeed, while previous studies have primarily linked Vδ2+T-7 cell expansion with host protection against bacterial pathogens, these 8 lymphocytes can also lyse stressed host cells infected with viruses including 9 influenza (47) and SARS-CoV-1 (40). Together, these data suggest that 10 monitoring of gut-homing  $\alpha\beta$  and  $\gamma\delta$ T-cell populations is likely to shed important 11 new light on the initiation, propagation, monitoring, and resolution of mucosal 12 symptoms in human subjects with irAEs or suffering CRS as a result of 13 immunotherapy or severe infections such as COVID-19.

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### 1 FIGURE LEGENDS

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Fig. 1 Blood T-cell expression of β7 integrin and CD103 following 3 TGN1412-induced cytokine storm. CD3+ T-cells were identified in whole 4 5 blood and β7 expression on memory (CD45RA<sup>-</sup>) and naïve (CD45RA<sup>+</sup>) subsets 6 was assessed. (a) Representative data for a healthy control and two patients 7 are shown, one in whom  $\beta$ 7<sup>+</sup> cells were prominent in the memory T-cell population (patient B) and one in whom  $\beta$ 7<sup>+</sup> memory T-cells appeared normal 8 9 (patient C). Staining with isotype-matched control antibodies was contained 10 within the boxed region in the lower left of the plots. (b) Summary data for 11 memory T-cells showing the ratio of  $\beta$ 7<sup>+</sup>: $\beta$ 7<sup>-</sup> cells assessed at four separate time-points over a period of seven months. In patients with gut irritability (A, B, 12 13 and E), the ratio of  $\beta 7^+:\beta 7^-$  cells was significantly higher at 8.6 and 10.2 months 14 than was observed in healthy controls. (c) Proportion of  $\beta^{7+}$  memory T-cells expressing CD103 in the patients, assessed over four separate time-points. 15 16 Patient B, the most symptomatic, displayed the highest ratio of  $\beta 7^+:\beta 7^-$  memory T-cells and lowest percentage of CD103<sup>+</sup> cells, suggesting a selective 17 expansion of  $\alpha 4\beta 7^+$  'gut-homing' memory T-cells. Data for Patient D were not 18 19 available at time points 10.2 and 12.1 months, nor for patient A at 12.1 months.

Fig. 2 Prolonged expansion of circulating  $\gamma \delta T$ -cells (putative V $\delta 2$ +) in patients with gut irritability after TGN1412-induced cytokine storm. Peripheral blood CD3<sup>+</sup> T-cells (a – R1) included a CD3<sup>hi</sup> subset (black histogram; identified by  $\gamma \delta TCR$ -specific mAb used in 2h) which exhibited a CD4<sup>-</sup>CD8<sup>-</sup> 'double negative' phenotype characteristic of unconventional lymphocytes (data not shown). This discrete population uniformly expressed

CD45RO and was clearly identifiable in the blood of patients A, B, and E (b -1 2 R2; representative example from patient A), but not in patient C, D, or F (c -3 R2; representative example from patient C), or in 24 healthy controls analysed 4 in parallel (d - R2; representative example). Example analyses in (b), (c) and (d) are taken from 10 days post-TGN1412 infusion. CD3<sup>hi</sup>T-cells in the patients 5 6 with gut symptoms uniformly expressed  $\beta$ 7 but not CD103/ $\alpha$ E (e and f) indicating that these cells displayed the  $\alpha 4\beta 7$  heterodimer which mediates 7 8 homing to the intestine (representative example from patient B). Staining with a specific mAb confirmed that the CD3<sup>hi</sup> cells were  $\alpha\beta$ -TCR<sup>-</sup> (g – R3) but 9 strongly expressed  $\gamma\delta$ -TCR (h; black histogram - unfilled trace indicates isotype 10 11 control) and mapped to the CD3<sup>hi</sup> population observed previously (a – R1; black 12 histogram). While total T-cell numbers in the patients were comparable at early 13 time points (i) the CD3<sup>hi</sup> γδT-cells subset was present only in patients A, B, and 14 E, and persisted for up to two years post-TGN1412 infusion, decreasing slowly 15 over time (j). In patient E, symptoms of gut irritability and diarrhea worsened at 16 month 21, accompanied by an increase in CD3hi γδT-cells up to month 24, at 17 which time symptoms had started to improve and he had more formed stool 18 (although still not normal). Peak population size of CD3<sup>hi</sup> γδT-cells (16% of the 19 total T-lymphocyte pool) was reached approximately 1.5 months post-20 TGN1412 exposure. Between 5-25% of this population displayed an activated 21 (CD69<sup>+</sup>) phenotype that decreased steadily over seven months (k). The median 22 values and inter-quartile ranges of data obtained from healthy subjects (n = 24)23 are provided for reference (horizontal dashed lines).

Fig. 3 The expanded γδT-cell population spontaneously produced IL-10
 and expressed IFNγ upon reactivation. To assess the functional potential of

1 CD3<sup>hi</sup> $\beta$ 7<sup>+</sup> $\gamma\delta$ T-cells, whole blood cells were cultured with or without monensin 2 and exogenous stimuli (PMA and ionomycin) for four hours prior to surface 3 labelling and intracellular staining with anti-cytokine monoclonal antibodies for 4 analysis by flow-cytometry. CD3<sup>hi</sup>CD45RO<sup>+</sup>γδT-cells spontaneously produced low levels of IL-10 in the absence of exogenous stimulation. By 1.5 months 5 6 post-TGN1412 infusion, a substantial proportion of CD3<sup>hi</sup>γδT-cells produced 7 IFNy upon reactivation with PMA and ionomycin. Dotted lines represent median 8 and interquartile range of values obtained from conventional CD4<sup>+</sup> αβT-cells in 9 all patients (no CD3<sup>hi</sup> cells were identifiable in healthy volunteers to serve as 10 matched controls).