

Behavioral Barriers of Tuberculosis Notification in Private Health Sector: Policy implication and Practice

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ABSTRACT

Purpose

Under-reporting of new tuberculosis (TB) cases is one of the main problems in TB control, particularly in countries with high incidence and dominating role of a private sector in TB cases diagnosing. The purpose of this paper was to explore behavioral determinants of under-reporting of new TB cases among private sector physicians in Iran.

Design/methodology/approach

We conducted a population-based, cross-sectional study of physicians working in private clinics. The data collection tool was designed using the theory of planned behavior. We used structural equation models with maximum likelihood estimation to examine attitude towards the notification behavior.

Findings

Of 519 physicians, 433 physicians completed the questionnaire. Attitude towards notification had the highest score (mean score=87.65; sd=6.79; range: 0-100). The effect of perceived behavioral controls on the notification behavior (($\hat{\beta}$)= 0.13; CI: .01-.25) was stronger than the total effect of attitude (($\hat{\beta}$)=0.06; CI: .00-.12) and subjective norms (($\hat{\beta}$)=0.01; CI: -.00 -.03) on the behavior. However, attitude was the main predictor of intention and justified 46% of the intention variance. Intention had a significant effect on the behavior (($\hat{\beta}$)= 0.09; CI:.01- .16).

Practical implications

Considering stronger effect of perceived behavioral control on the behavior, interventions aiming at facilitating notification process would be more effective than those aiming at changing the attitude or enhancing intention among physicians.

Originality/value

To the best of our knowledge, no other study previously explored determinants of under-reporting from the behavioral and cognitive perspective. Specifically, we explored the role of the theory of planned behavior constructs in predicting intention to notify new TB cases.

Keywords: Case notification; Tuberculosis; Private sector; Public-private mix; Structural Equation Modeling; Theory of Planned Behavior

Introduction

The End Tuberculosis (TB) Strategy sets specific targets for 2030(Organization, 2016, Falzon et al., 2017):reduce TB incidence by 80%, TB deaths by 90%, and to eliminate catastrophic costs for TB-affected households(Organization, 2016). Nonetheless, of an estimated 10 million new TB cases that occurred in 2017, almost 3.6 million cases were under-reported(WHO, 2018). Following WHO, a 36% gap between the estimated and reported number of new cases is due to under-reporting of detected cases, under-diagnosing, and uncertainty about the level of TB incidence(WHO, 2018).

The mandatory TB notification is an important policy under the End TB Strategy. However, cases detected and treated in the private sector do not necessarily get reported. This leads to underreporting, particularly in countries with high TB incidence and the dominating role of a private sector in TB cases diagnosing (Uplekar et al., 2016). Previous studies have shown that in countries with high TB incidence up to two-thirds of patients with early TB symptoms seek treatment in the private sector, primarily due to a higher perceived quality of care and easier access (Khan et al., 2012, Mills et al., 2002). At the same time, it was previously shown that up to 90% of patients in the private sector potentially receive TB treatment with inappropriate regimen (Khan et al., 2012). As a result, a higher rate of non-adherence to standard treatment protocols leads to a greater incidence of multidrug-resistant TB among private-sector patients (Uplekar et al., 2001), forming a vicious circle.

New TB cases notification allows supporting private sector services with better practices and adherence to standards of a TB care which includes helping a patient to get the correct diagnosis, treatment, follow-up, and contact, as well as tracing, chemoprophylaxis and facilitates social support systems(Uplekar et al., 2001). However, despite several interventions and programs(Colvin et al., 2014, Zhang et al., 2011, Huaman et al., 2009, Ward et al., 2008), generally, less than a fifth of private-sector physicians feel obliged to report TB cases using their national routine reporting systems (Pethani et al., 2015a, Wells et al., 2011, Khan et al., 2012).

This could be due to the absence of appropriate legislation about the mandatory notification of new TB cases in the private sector and a lack of effective cooperation between public and private sectors (Wells et al., 2011, Nader and Askarian, 2009), as well as a lack of staff motivation and low awareness about the correct procedure of the new TB cases notification using the routine national system(Uplekar et al., 2001). Overall, the evidence on reasons for underreporting of new TB cases in the private sector remains scarce(Pethani et al., 2015b).

In 2018, the total TB incidence in Iran was 11,000 (8,500-14,000) people or 14 (10-17) per 100,000 population, while the multidrug- and rifampicin-resistant TB incidence was 190 (100-310) people or 0.23 (0.12-0.38) per 100,000 population WHO report(WHO, 2016). In rural areas and county districts with a limited population, most TB cases are registered in the National TP Program (NTP) and receive standard treatment under supervision. However, in big cities, up to two-thirds of TB patients and those suspected to have TB prefer treatment in the private sector (Nader and Askarian, 2009, Ahmadi et al., 2013) (Pethani et al., 2015b). Although the private sector plays an important role in the TB program in Iran, its involvement and contribution to reporting smear-positive TB cases remain low(Pethani et al., 2015b) (WHO, 2006). The reasons and determinants behind the under-reporting of new TB cases in the Iranian private section remain unclear and require further investigation.

This study aimed to examine cognitive determinants of under-reporting of new TB cases among private-sector physicians in Tehran, Iran. To the best of our knowledge, no other study had previously explored determinants of under-reporting from the behavioral and cognitive perspective. The reasons for choosing Tehran's primary private sector service providers as a study setting are three-fold: (1) the number of multidrug-resistant TB cases in Iran is rising (8cases in 2003 vs15cases in 2015 and about 190 cases in 2018(.23 per 100000)) in comparison, for example, to United Arabic Emirate and the UK, where less than 10 and 93 cases were reported in 2018, respectively(WHO, 2019); (2) the Iranian private sector is the main provider of TB treatment in big Iranian cities(Ahmadi et al., 2015) (3);and Tehran, as the capital city, has the largest number of private service providers in the country, giving access to a diversity of service providers.

Methods

Approach

We explored the role of the theory of planned behavior (TPB) (Ajzen, 1991) in predicting intention to notify new TB cases. TPB was developed from the theory of reasoned action, and is more applicable when the probability of success and actual control over performance of a behavior are suboptimal. TPB's key contribution is the concept of perceived behavioral control, defined as an individual's perception of the ease or difficulty of performing the particular behavior (Ajzen, 1987). TPB hypothesized that the intention for notification is a function of three constructs (attitude, subjective norm, and perceived behavioral control) and the notification behavior comes after the intention, unless the perceived behavioral control hinders the actual behavior(Ajzen, 1991). Until now, various studies in health-related behavior fields have used this theory and have reported the efficacy of the model in explaining intention, perceived behavioral control and attitude across health-related behavior categories (Dumitrescu et al., 2011, Boudreau and Godin, 2007, Gantt, 2001, Armitage and Conner, 2001).

Participants

Of 23,307 general physicians in Tehran, registered as working more than three days per week in the private sector, 519 physicians were randomly selected to participate in the study. We deployed a stratified random sampling, based on the density of private clinics over the district areas. A list of participants containing names and working address was provided. Participants were approached in person in their clinics to fill out a questionnaire. One of the six trained interviewers was always available to clarify any questions about any item in question. Before the enrollment into the study, all participants gave verbal consent to participate. Non-consent was registered as a non-response.

Measures

To test hypothesis about determinants of notification behavior and related barriers among physicians working in the private sector, we adopted the basic model developed and tested by Ajzen (Ajzen, 2011, Ajzen, 1991). The study variables were defined using the TPB questionnaire(Francis et al., 2004, Ajzen, 2011) and results from a qualitative study(Ahmadi et al., 2013). 13 variables previously identified as notification barriers(Ahmadi et al., 2013) were used as indicators of three exogenous factors in the TPB model (i.e., factors that are not affected by other variables in the model are attitude (ATT), subjective norms (SN), and perceived behavioral control (PBC)). For every single indicator in each exogenous factor, two items were used according to the instruction of TPB questionnaire(Francis et al., 2004, Ahmadi et al., 2015).

The first sort of items was measured on a scale from 1 to 7, while the second sort items ranged from -3 to +3. The multiplicative scores between each *first* sort item and its relevant *second sort* item were used to score each indicator for each exogenous factor in the model. Accordingly, there were three, three and seven multiplied scores for ATT, SN and PBC, respectively, ranging from -21 to +21 as detailed elsewhere(21). More detail in Appendix 1.Intention (INT) is the fourth component of TPB model and the first endogenous factor in this model. Intention indicates a person's readiness to perform a given behavior, and it is considered to be the immediate antecedent of behavior. It was measured(observed)

based on the statement of doing notification in future cases by asking how many cases will be notified by the participant among the next 10 (ranged 0-10) new TB patients.

The outcome of the model, Behavior component, was dichotomous and measured as Yes or No, by asking participants whether they have notified at least one new diagnosed TB case during the last year. The reliability and validity of the questionnaire were obtained through two rounds of discussion among experts and a test-retest survey obtained from 20 potential participants (mean ICC=79.1±11.1).

Data analysis

Questionnaires with more than half of questions left unanswered were considered as non-response and were excluded from the final analysis. For less complete cases, the mean imputation method was used. The score for every single indicator was calculated according to the scoring procedures described for each measure(Bledsoe, 2006) and reported. For estimation of the model's parameters, according to two-stage structural equation model(Mayhew et al., 2009, Bledsoe, 2006), at first, Confirmatory Factor Analysis (CFA) was used to score exogenous factors (ATT, SN, PBC). It yielded scores of participants' attitude, subjective norms and perceived behavioral controls, using loading scores of ATT, SN and PBC indicators, respectively. The structural equation modeling (SEM) analysis was then performed using the maximum likelihood estimation method.

Ethical considerations

Participation in the study was voluntary. Ethics approval was obtained from the ethical committee of Tehran University of Medical Sciences No: 89-04-102-12086

Results

Of 519 physicians, 433 physicians completed the questionnaire (response rate = 83.4 %), none of the variables had a missing ratio of more than 10%. The mean (SD) age of participants was 47.6 (11.4), of whom most were male (71.3%). 30.3% of respondents did not have any experience of working in the public sector. 62.6% of respondents were mainly working in their private clinics. Out of all respondents, 41.8% stated that they had suspected at least one new TB case within the last year. Only 43.4% stated that they had notified about at least one new TB case.

Participants believed that TB notification is good for community health (mean score 95.3; SD 14.6; range 0-100) while they did not assume that their colleagues performed TB notification (mean score 5.4; SD 05.5; range 0-100). They believed it increases their workload (mean score 88.4; SD 25.9; range 0-100). Attitude indicators had the highest scores among other model's factors(mean score87.6; SD 6.8; range 0-100). For SN, the mean score was 27.9; SD 20.9; range 0-100. For PBC, the mean score was 29.9; SD 16.7; range 0-100. Table1 shows scores for different indicators of each TPB's constructs.

In the SEM analysis (Figure 1), the numerical value of the total effect (direct and indirect) of attitude toward notification intention had the highest score and justified 46% of the intention variance. The effect of perceived behavioral controls on the notification behavior (($\hat{\beta}$)= 0.13; CI: .01-.25), however, was stronger than the total effect of attitude (($\hat{\beta}$)=0.06; CI: .00-.12) and subjective norms (($\hat{\beta}$)=0.01; CI: -.00 - .03). Intention had a significant effect on the behavior (($\hat{\beta}$)= 0.09; CI: .01- .16).. Subjective norms had a significant correlation with perceived behavioral controls (Pearson correlation (r)=.252, p=.01), but it had stronger correlation with attitude (r=.36, p <.01). The correlation between attitude and perceived behavioral controls was significant as well (r=.19, p=.03). Figure 1 and Table 2 show the result of SEM analysis results. The indices for the final model goodness of fit were acceptable.

Discussion:

Despite a positive attitude and good intention toward the new TB cases notification behavior, the history of doing notification among the private sector physicians in this study was low. Less than half of physicians who had suspected a new TB case reported it. ATT and SN were important determinants of intention to notification while the effect of perceived behavioral control on intention was not significant. However, the direct effect of perceived behavioral control on actual behavior was notable and emphasized the importance of factors that imped turning intention to behavior in the private sector.

ATT was the main predictor of intention to the behavior (TB notification) in this study. In TPB model, there is no way of attitude to influence behavior but through intention. Therefore, the final prediction of behavior from attitude completely depends on the power of intention (as the mediator) to predict desired behavior(Fung et al., 2012). When the prediction of behavior through intention is low, the attitude power of prediction for behavior could not be high(Armitage and Conner, 2001). In private sector settings, interventions such as training and advertising in the form of influential sentences or providing graphs and statistics that demonstrate the benefits of notification could potentially strengthen physicians' behavioral beliefs (Blok et al., 2014), which is one of the two components that shape attitude (Aizen, 2011). Also, financial benefits or career promotion and organizational credits can be considered as an intervention to strengthen the other component of attitude, the outcome of the evaluation (Arias, 2008). In general, for notification of new TB cases by physicians as occupational behavior, behavioral performance is mainly dependent on professional benefits or professional consequences that a person expects to follow after behaving or not behaving (Page et al., 2010, Raghuvanshi, 2002). SN construct is the second determinant of behavioral intention in the TPB

model. The principal nature of SN is a variable called normative beliefs. Normative beliefs refer to a person's perception of the community's expectation (important people in the community or the prevailing wisdom the person is surrounded by) of whether the person would show the desired behavior or not(Ajzen, 2011). In our study, subjective norms have a decisive role in the prediction of the behavioral intention. Other studies decomposed subjective norms to find out effective interventions for behavioral change(Manning, 2009). One of the most noticeable interventions in this regard is the participation of opinion leaders (individuals who are important to the private sector physicians) in developing notification programs(Horne et al., 2018, Perry et al., 2007). Another way of strengthening normative beliefs in private sector physicians can be through public education about the importance of notification and its social benefits, since the demands of patients who refer to private sector physicians can influence the formation of physician's occupational normative beliefs (Gentina et al., 2017).

Siddaiah et al. (2019) showed that the proportion of patients with TB, who were notified by hospitals, was low. Findings of that study implied that due to the poor level of knowledge and awareness regarding TB notification, improving awareness among Indian healthcare providers about the requirement for TB notifications and their training was necessary for improving TB notification. At the same time, poor awareness and attitudes also have been mentioned in other studies as the barrier to TB notifications at the hospital to almost a quarter of those diagnosed(Lal et al., 2011, Nagaraja et al., 2014, Uplekar et al., 1998).

The main difference between the TPB model and its antecedent models is how behavioral controls are being perceived. The PBC is the third determinant of behavior that indicates one's beliefs about the availability of resources and opportunities to do a specific behavior(Ajzen, 2011). According to the TPB model, the main components of the PBC are beliefs in the

existential nature of a specific agent (Control beliefs) and the individual's mindset about the amount of power of that agent (Perceived power) to facilitate or impede doing a desired behavior. It can affect behavior in two ways. The direct relation between PBC and behavior (PBC→Behavior) is sometimes called Actual Behavioral Control(Gentina et al., 2017). The second pathway is between Perceived behavioral controls and behavior in the TPB, it is indirect through Intention (PBC →Intention →Behavior)(Ajzen, 2011). In our study, the direction of the first pathway (direct path) was incremental, meaning that the history of notification was more prevalent in those physicians who felt that they have enough power to do the notification. In other words, physicians stated fewer numbers of notified TB cases when they feel that the process of TB notification is too complicated. Unexpectedly, the indirect pathway (the path through the intention) between perceived behavioral control and the history of doing the behavior was reverse in direction, however, it was not significant.

The intention is the fourth determinants of behavior and the unique pathway of ATT and SN to influence behavior in TPB model (Ajzen, 2011, Bledsoe, 2006). However, intention alone is not enough for a specific behavior to be taken (Papies, 2017). Poor relationship between the intention and the behavior is a dilemma in behavioral studies and it was conceptualized in different ways (Papies, 2017). In TPB model, which we used in this study, the low level of perceived behavioral control can impede a positive intention to turn into a desired behavior(Cooke and Sheeran, 2004). Other justifications for the weak relationship between intention and behavior can be the existence of measurement errors in the implementation of the study and low variance of the variables (Cooke and Sheeran, 2004). To sum up, the interventions to bridge intention to behavior gaps are more likely to be effective in such situations when a low level of behavior is accompanied with high level of intention (Papies, 2017).

We had some limitation in the study methodology and data gathering proccess. First, the measured behavior of notification was the past behavior. This limitation makes results susceptible to some systematic (social desirability bias) and accidental measurement errors, although it is commonly used in behavioral studies (Bou Malham and Saucier, 2016, Manning, 2009). Other limitation that might come out of the measuring past behaviour is recall bias. (i.e., physicians who had history of TB notification might have thought about barriers and facilitators, much more than those who did not. Second, we did not gather data on dual-practiced physicians or other type of private sectors that may potentially affect their answers. Although the study participants were approached in their individual private clinics, they might have worked in public sector and/or not-for-profit settings as well which might affect on thier actual measyres or they answers to study questions.

Implications for policy, practice and future research:

Policies, at all levels, should support facilitating TB notification process in the private settings which may have their specific barriers. We proposed a prospective through behavioral model toward TB notification that revealed special behavioral barriers. Although these barriers were described on an individual level, policies and programs at meso and macro levels can be considered as solution. Changing subjective norms about TB notification in private sector physicians from a "futile paperwork"to a"professional, productive activity", needs programs and policies that are accompanied by interactive communication with private physicians, and particularly, via opinion leaders. Furthermore, the process of TB notification can be "lightened up" by replacing long, complicated paper forms with online forms that require only a few clicks or taps. In doing so, policies should be written with an understanding of the contexts in which

they will be implemented to allow for flexibility at the local level. To become effective and attractive, policies and programs should not create additional workloadin the way of establishing and maintaining TB notification the private sector.

Establishing support systems to overcome the challenges faced in TB notification process (e.g., extra workload), inevitably needs changing physicians behavior and practice. However, as our results that are in line with previous studies suggest, it is important to account for a patient-physicians relationship when considering new programmes and interventions that contain physicians behavior change. To promote behavioral changes, it is important to tailor interventions to specific exisiting barriers. Moreover, it is crucial to have a clear understanding about how suggested interventions will change each component of the (notification)behavior.

We found a strong attitude and high level of intention toward TB notification in physicians who work in the private sector. Therefore, programs and interventions that aim to enhance attitude and its components (i.e., educational programs) may not be as effective as they used to be. New components of behavioral change to promote TB notification could be considered more often to interventional and comparative researches. We have proposed TPB model and its components as a well-known behavioral model. However, this model can be considered as an implication of behavioral models in dealing with disease surveillance system defects where their solutions directly depend on individual behaviors in different levels of health system.

Conclusion

Alongside the strong effect of attitude on intention, a good intention toward new cases TB notification among private sector physicians is likely to come from their peers and opinion leaders. However, physicians who have enough intention to do notification do not always successfully perform it. Most physicians do not get involved in the TB notification process

because they do not feel enough control over the notification process. Conversely, our study findings suggest that the feeling of having enough power to do notification in some participants might come from the lower awareness about the notification barriers, especially when they have no prior experience of doing such notification. Considering stronger effect of perceived behavioral control on the behavior, it seems that interventions aiming at facilitating notification process would be more effective than those aiming at changing the attitude or enhancing intention in this population given the stronger effect of perceived behavioral control on the behavior.

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Table 1: standardized scores of the components of the theory of planned behavior

Model variables	Questionnaire item	Score Mean(sd)		
		N=433		
Intention(0-10)	On average, how many cases do you notify out of ten patients with tuberculosis?	7.97(3.02)		
Attitude	TB notification is helpful to promote community health.	95.33(14.61)		
(range: 0-100)	TB notification is Useful to completing the national control programs	85.19(29.04)		
Mean=87.65 Sd=6.79	TB notification is helpful to solve one of the main problems in the national TB control program.	82.43(14.61)		
	Local managers expect me to notify new TB cases	47.00(50.80)		
Subjective norms (range: 0-100)	Qualified physicians in my field approve the TB notification	31.43(25.76)		
Mean=27.97	My colleagues do notification			
Sd=20.97	0,5	5.48(05.47)		
Perceived Behavioral Controls (barriers)	TB notification increases the workload	88.38(25.95)		
(0-100)	TB notification is not ethical	43.29(45.33)		
(range: 0-100)	There is no enough supervision over TB notification supervision	33.19(31.61)		
Mean=29.91 Sd=16.72	TB notification process is too complicated	27.48(38.57)		
SQ=10./2	TB notification has no financial benefit for me	8.33(14.31)		
	There is no feedback for my previous TB notifications	4.76(27.38)		
	I do not know how to do TB notification	3.95(44.19)		

Note: N- sample size, SA – standard deviation, all items scores on 0-10 or 0-100 scale

Table2: Unstandardized estimates (regression weight) of main parameters of the final model

			Total effects			Direct effects				Indirect effects		
Parameter			effect	CI	P	effect	CI	P	effect	SE	P	
Č	y ,											
Intention	<	Attitude	.68	.5284	<.01	.68	.52-	<.01	-	-	-	
							.84					
Intention <	<	SN	.14	.0028	.03	.14	.00-	.03	-	-	-	
							.28					
Intention <	<	PBC	.08	0622	.19	.08	06-	.19	-	-	-	
							.22-					
						.22						
Behavior	<	Intention	.09	.0117	.04	.09	.01-	.04	-	-	-	
							.17					
Behavior <	<	Attitude	.065*	.0013	.05*	-	-	-	.065*	.00-	.05*	
										.13		
Behavior <	<	SN	.014*	0103	.11*	- 0		-	.014*	01-	.11*	
										.033		
Behavior	<	PBC	.133*	.0026	.04*	.14	.02	.264	00	.00	.18	
Attitude	<>	SN	.36	.1061	<.01	-	-	-)	-	-	
SN	<>	PBC	.25	1262	.015	-	-	-	1	-	-	
Attitude	<>	PBC	.19	0644	.039	-	-	-	-	6	_	
*Bootstrap Estimation Effec			Effect : <					Covarian	ce : <>			
SN: Subje				PBC: Perceiv	ad Daha	vioral Cor	ntrol					

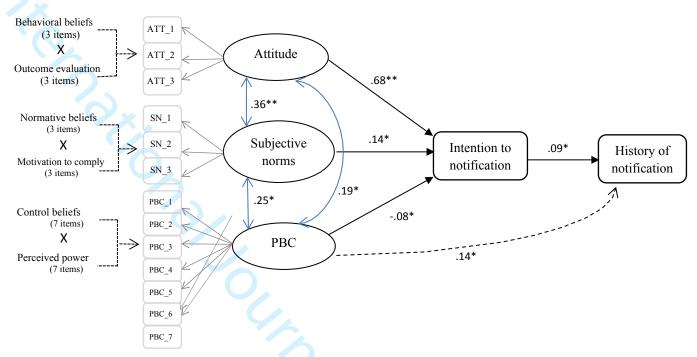


Figure 1: standard estimated parameters in structural equation model analysis

Standard estimate of model's parameters: The first construct of the theory is attitude towards the behavior (latent) which is the extent to which a person has a favorable or unfavorable appraisal of a given behavior. Attitude consists of behavioral beliefs and outcome evaluations. Subjective norm (latent) is the second construct which is a social pressure to perform or not to perform a given behavior. Combination of normative beliefs and motivation to comply ol (i. aing the it the motivation are likely it is to pe constitute subjective norm. The third construct is perceived behavioral control (latent) also plays a key role in the TPB and it refers to people's perception of the ease or difficulty of performing the behavior of interest. The fourth construct of behavioral determinants is behavioral intention, which is the motivational factors that influence behavior. The stronger the intention to engage in a given behavior, the more likely it is to perform that behavior.

^{*}p<0.01 **p<0.001