

1 **To flee or to freeze in front of a predator? Young preys do not need to learn the best strategy**

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18

19 **ABSTRACT**

20

21 Using appropriate anti-predatory responses is crucial for survival. While slowing down reduces the  
22 chances of being detected from distant predators, fleeing away is advantageous in front of an  
23 approaching predator. Whether appropriate responses depend on experience with moving objects is  
24 still an open question. To clarify whether adopting appropriate fleeing or freezing responses  
25 requires previous experience, we investigated responses of movement-naive chicks. When exposed  
26 to the moving cues mimicking an approaching predator (a rapidly expanding, looming stimulus),  
27 chicks displayed a fast escape response. In contrast, when presented with a distal threat (a small  
28 stimulus sweeping overhead) they decreased their speed, a maneuver useful to avoid detection. The  
29 fast expansion of the stimulus toward the subject, rather than its size *per se* or change in luminance,  
30 triggered the escape response. These results show that young animals, in the absence of previous  
31 experience, can use motion cues to select the appropriate responses to different threats. The  
32 adaptive needs of young preys are thus matched by spontaneous defensive mechanisms that do not  
33 require learning.

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35 **KEYWORDS:** Anti-predatory behaviors, motion cues, threat detection, chicks, defense strategies,  
36 naive animals.

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38 **INTRODUCTION**

39 Appropriate reactions to predators are fundamental for survival: primary defenses prevent detection  
40 by predators, while secondary defenses delay, inhibit or elude an approaching predator (1). This  
41 dichotomy, and the evidence that anti-predatory responses are commensurate with the perceived  
42 risk (2), show that preys can use predator-related cues to identify threats and respond accordingly.  
43 Visual cues of motion are particularly effective in triggering anti-predatory behaviors (3–9). For  
44 instance, mice rapidly detect overhead motion and assess the threat level posed by various stimuli,  
45 fleeing from displays mimicking an on-going attack (a looming stimulus), and freezing to the  
46 displays of a more distal threat (a small stimulus smoothly moving overhead (3). Whether these  
47 responses are spontaneous or mediated by learning is, however, an old debated question (10). Only  
48 scarce (if any) convincing empirical evidence supports the widespread idea that the choice of  
49 appropriate anti-predatory responses is innate, and that preys require no learning to use visual cues  
50 to adopt context-appropriate defensive behaviors (4, 7). It remains to clarify whether young preys  
51 are able to produce appropriate anti-predatory responses to different type of threats in the absence  
52 of learning.

53         Among highly predated animals, chicks are a good model system to address this issue.  
54 Chicks have a relatively mature sensory and motor system soon after hatching (11, 12) and enact  
55 anti-predatory/avoidance behaviors at the beginning of life (5, 7). Chickens possess a highly  
56 specialized vision, characterized by a large visual field (11) and lower-field myopia, enabling them  
57 to focus on the ground and at the same time to scan overhead (13). Galliformes are subjected to a  
58 high predation rate, both from terrestrial and aerial predators, and strongly react to both (14, 15).  
59 Chickens respond to a sweeping raptor model that moved overhead by displaying anti-predatory  
60 responses (6). The optimal response is observed for stimuli larger than 4° of visual angle, moving  
61 faster than 7.5 length/s. Interestingly, in front of such a stimulus 8-day old chicks exhibit defensive  
62 behaviors, ranging from peeping to running away (5). These precocial animals can easily be raised  
63 in a controlled environment (16). We thus tested the spontaneous, unlearned responses of chicks to  
64 moving stimuli presented overhead. We first determined whether chicks that had no experience  
65 with moving stimuli would modulate their responses to different overhead motion stimuli (Exp. 1).  
66 Then, we characterized the properties that triggered fleeing defensive responses [Exp. 2-3; (8, 9)].

67

68 **RESULTS**

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70 **Inexperienced chicks produce appropriate responses to different threatening stimuli**

71 In Exp. 1, we examined whether chicks reared without experience with overhead movement react to  
72 different types of threat with appropriate responses. The immediate threat stimulus was a looming  
73 stimulus, whereas the distal threat stimulus was a sweeping stimulus (Fig. 1B).

74 During the presentations, chicks were faster in response to looming compared to sweeping  
75 stimuli ( $U=198$ ,  $r=0.526$ ,  $p<0.001$ ; Fig. 1C). In response to rapidly expanding (looming) stimuli,  
76 that mimicked an immediate predator attack, chicks increased their speed ( $W=268$ ,  $r=0.472$ ,  
77  $p<0.01$ ; Fig. 1C; Movie S1). In response to a far sweeping stimulus, similar to the movement of a  
78 cruising raptor, chicks slowed down ( $W=-349$ ,  $r=-0.543$ ,  $p<0.01$ ; Fig. 1C; Movie S2). Similar  
79 results were obtained for the speed 1s after the offset ( $U=132$ ,  $r=0.638$ ,  $p<0.001$ ; *Looming*:  $W=228$ ,  
80  $r=0.401$ ,  $p<0.05$ ; *Sweeping*:  $W=-463$ ,  $r=-0.721$ ,  $p<0.001$ ; Fig. 1D). The effects were long-lasting,  
81 since chicks presented with sweeping stimuli were still less active than chicks exposed to looming  
82 during the 30 s following stimuli offset ( $U=294$ ,  $r=0.365$ ,  $p<0.01$ ; Fig. 1E).

83

84 **A rapid expansion towards the subject triggers fast escape**

85 We analyzed the features inducing the fast avoidance response to looming stimuli. In Exp. 2, we  
86 tested whether the direction of the movement (i.e. expansion), rather than a fast change or large  
87 angular size, was sufficient to elicit a rapid escape. Comparing chicks exposed to looming and  
88 receding stimuli (Fig. 1F), we observed that both during and after the presentations chicks exposed  
89 to looming stimuli were faster than chicks exposed to receding stimuli (*During*:  $U=259$ ,  $r=0.327$ ,  
90  $p<0.05$ ; *After*:  $U=290$ ,  $r=0.263$ ,  $p<0.05$ ; Fig. 1G,H). A clear difference in the temporal dynamics of  
91 movements appeared: while no clear pattern of speed change was observed during the receding  
92 stimulus ( $W=-128$ ,  $r=-0.225$ ,  $p>0.05$ ; Movie S3), the speed of the chicks exposed to the looming  
93 displays increased during the displays and came back to baseline after the offset (*During*:  $W=194$ ,  
94  $r=0.448$ ,  $p<0.05$ ; *After*:  $W=78$ ,  $r=0.18$ ,  $p>0.05$ ; Fig. 1G,H). In contrast, a slight speed reduction  
95 was detected during the 1s period directly following the offset of the receding stimuli ( $W=-210$ ,  $r=-$   
96  $0.37$ ,  $p<0.05$ ; Fig. 1H). This effect was transient though (distance travelled during the 30 s;  $U=406$ ,  
97  $r=-0.026$ ,  $p>0.05$ ; Fig. 1I).

98 In Exp. 3, we tested whether a change in luminance, a feature accompanying the expansion  
99 of the dark looming stimulus, is sufficient to trigger a fast escape response by comparing responses  
100 to dimming vs. looming stimuli (Fig. 1J). Both during and after the display, reactions of the chicks  
101 exposed to the looming and dimming stimuli differed (*During*:  $U=169$ ,  $r=-0.525$ ,  $p<0.001$ ; *After*:

102 U=198,  $r=-0.467$ ,  $p<0.001$ ; Fig. 1K,L). While the fast increase in speed triggered by the looming  
103 stimulus disappeared after the offset (*During*:  $W=203$ ,  $r=0.382$ ,  $p<0.05$ ; *After*:  $W=1$ ,  $r=0.002$ ,  
104  $p>0.05$ ; Fig. 1K,L), the dimming stimulus induced a strong decrease in speed both during and  
105 immediately after its display (*During*:  $W=-345$ ,  $r=-0.693$ ,  $p<0.001$ ; *After*:  $W=-371$ ,  $r=-0.745$ ,  
106  $p<0.001$ ; Fig. 1K,L; Movie S4). However, this effect quickly faded (distance travelled during the  
107 30 s;  $U=359$ ,  $r=-0.15$ ,  $p>0.05$ ; Fig. 1M).

108         The results of Exp. 2-3 showed that the rapid expansion of the stimulus is responsible for the  
109 escape response from the looming stimulus. Further analysis revealed that this fast escape was  
110 initiated, if not earlier, 0.520 ms after the stimulus onset (Exp. 1-3;  $n=88$ . stimulus size:  $\pm 24^\circ$ ; One-  
111 sample:  $W=1048$ ,  $r=0.232$ ,  $p<0.05$ ).

112

113 **DISCUSSION**

114 Producing appropriate anti-predatory responses has a high adaptive value, and in different taxa  
115 preys exhibit differential responses to immediate and background threats (3, 17). For this reason, it  
116 is expected that evolutionary pressures have equipped preys with mechanisms to counteract  
117 predators in different situations. Lorenz and Tinbergen suggested that avian species spontaneously  
118 exhibit stronger anti-predatory reactions to short-neck (predator birds) vs. long-neck (non-predator  
119 birds) dummies, in line with their idea of innate releasing mechanisms (18, 19). Their report on  
120 greater anti-predatory responses, though, has been contradicted multiple times [see (10)]. Tinbergen  
121 himself shifted his view to an experience-dependent explanation (selective habituation hypothesis).  
122 Based on the little and contradictory evidence available (4, 7, 10), the question is still open.

123 To clarify whether motion sensitivity and anti-predatory related mechanisms depend on  
124 specific experience, we tested young chicks raised in isolation and assessed their responses to  
125 looming vs. sweeping visual stimuli. We showed that inexperienced chicks are able to selectively  
126 react to different type of overhead moving stimuli on the basis of their threat level, fleeing from  
127 rapidly approaching objects, and slowing down in response to sweeping objects. Furthermore, we  
128 observed that a rapid expansion toward the subject, exceeding an angular size of  $\pm 24^\circ$ , is  
129 responsible for the initiation of an escape response to an immediate looming threat, similarly to  
130 other taxa (8, 9), but earlier than previously assumed in chicks (7). These results show that young  
131 animals, in the absence of relevant experience, differently react to motion cues mimicking various  
132 predation risks. Interestingly, the responses we observed in controlled laboratory experiments  
133 parallel field studies showing that movement rate and vigilance of ungulate prey species are  
134 affected by the perceived risk of predation (17, 20). Solving the long-standing issue of the  
135 evolutionary origins of anti-predatory behaviors, these findings suggest that the adaptive needs of  
136 young preys are matched by *spontaneous* threat recognition and use of appropriate defensive  
137 mechanisms that do not require learning.

138

139 **MATERIALS AND METHODS**

140 Chicks (218, *Gallus gallus*) were used. Chicks were hatched in darkness and housed individually  
141 with an artificial imprinting object hang at the eye level, thus experiencing no overhead movement  
142 before the test.

143 After previous habituations to the testing apparatus, chicks were individually tested on the  
144 4<sup>th</sup> day of life in a rectangular black arena virtually divided in a Departure zone (where chicks were  
145 initially located) and a Stimulus delivery zone (Fig. 1A). When the chick entered this area, the first  
146 stimulus was displayed on the overhead monitor (MG248Q, Asus, 120 Hz). Subsequent displays of  
147 the same stimulus (up to 6) were played when the chicks were moving for 2 s in this zone, with a  
148 minimum inter-stimulus interval of 120 s to prevent habituation (21). Each chick was presented  
149 with one type of stimulus only. The test session lasted no longer than 32 minutes. Chicks' behavior  
150 was monitored using an infrared camera located below a semi-transparent floor and coupled with a  
151 tracking system (Ethovision, Noldus). Only chicks that left the Departure zone were included in the  
152 analysis (181 chicks).

153 We displayed four types of stimuli: looming, sweeping, receding and dimming (Fig. 1B,F,J).  
154 The looming stimulus (Exp. 1-3) was a black disc expanding from 1° to 45° of visual angle (0.56 to  
155 26.3 cm) in 1 second. The sweeping stimulus (Exp. 1) was a black disc (4°) moving at a constant  
156 speed of 7.1 length/second (6), and crossing the entire screen in 3.5 s. The receding stimulus (Exp.  
157 2) had opposite dynamics than looming (shrinkage from 45° to 1° in 1 second) and was used to  
158 assess the importance of the direction of movement. The dimming stimulus, designed to assess the  
159 role of change in luminosity, consisted in a series of displays of the 45° circle, whose grey level  
160 changed over time to match the overall luminosity of the looming images. All the stimuli were  
161 prepared with 120 fps (22). Size and speed were calculated based on (6), assuming a distance of 32  
162 cm between the eyes and the screen (13). All the movies used a white background that illuminated  
163 the apparatus.

164 To determine whether the stimuli elicited flight or freezing responses, we measured the  
165 speed of chicks during and after (1 s) their presentation. We analyzed the speed changes compared  
166 to the second preceding the onset of the stimulus [Speed during (%); Speed after (%)]. The distance  
167 traveled during the 30 s directly following the offset was also examined. Values related to each  
168 presentation (up to 6) were averaged to obtain a single value per chick for each variable of interest.  
169 The influence of the stimulus type was investigated using Mann-Whitney tests (U). Significant  
170 departure from baseline level ( $\mu=100\%$ ) was also examined for the average speed change values,  
171 using one-sample Wilcoxon signed rank test (W). An alpha level was set to 0.05. All tests were

172 two-tailed. The 95% confidence intervals (CI) are shown. The effect sizes were assessed through  $r$   
173 values.

174 All the experiments adhered to the Italian and EU directives on animal research, license n°  
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227 **FIGURE LEGENDS**

228

229 **Figure 1. Naïve chicks use motion cues to assess risks and to exhibit appropriate anti-**  
230 **predatory responses. (A) Apparatus. (B-E) Exp. 1: Looming (n=31) vs. sweeping (n=33). (F-I)**  
231 **Exp. 2: Looming (n=27) vs. receding (n=31). (J-M) Exp. 3: Looming (n=30) vs. dimming (n=29).**  
232 **Visual stimuli (B,F,J). Speed change during the displays (C,G,K) and 1 second after the offset**  
233 **(D,H,L). Distance travelled during the 30 seconds following the offset (E,I,M). Graphs show**  
234 **median and 95% confidence interval. Dashed lines represent the baseline speed level (100%). \*:**  
235 **one-sample Wilcoxon signed-rank test ( $\mu=100$ ).  $\boxtimes$ : Mann-Whitney test. *Dep.:* *Departure*; *Exp.:***  
236 ***Experiment*; *IR: Infrared*.**  
237

238 **AUTHOR CONTRIBUTIONS**

239 M.H., E.V. and G.V. designed the experiments. M.H. carried out the experiments, analyzed the data  
240 and conducted the statistical analyses. M.H. wrote the first version of the manuscript. M.H., E.V.  
241 and G.V. revised and edited the manuscript.

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243

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250

251 **SUPPLEMENTARY VIDEO LEGENDS**

252

253 **Movie S1: Typical fleeing responses in reaction to the display of the looming stimulus (Exp. 1-**  
254 **3).** Centre-point speed (in cm s<sup>-1</sup>) recorded every 80 ms. The 1 s period of stimulus display is  
255 highlighted (white background and red line). The red dot corresponds to the chick's center-point.

256 **Movie S2: Typical long-lasting immobilization in reaction to the display of the sweeping**  
257 **stimulus (Exp. 1).** Centre-point speed (in cm s<sup>-1</sup>) recorded every 80 ms. The 3.5 s period of  
258 stimulus display is highlighted (white background and red line). The red dot corresponds to the  
259 chick's center-point.

260 **Movie S3: Absence of clear response during the display of the receding stimulus (Exp. 2).**  
261 Centre-point speed (in cm s<sup>-1</sup>) recorded every 80 ms. The 1s period of stimulus display is  
262 highlighted (white background and red line). The red dot corresponds to the chick's center-point.

263 **Movie S4: Transient speed reduction during the display of the dimming stimulus (Exp. 3).**  
264 Centre-point speed (in cm s<sup>-1</sup>) recorded every 80 ms. The 1s period of stimulus display is  
265 highlighted (white background and red line). The red dot corresponds to the chick's center-point.

266

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