

1 **Available and missing data to model impact of climate change on European forests**

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69 **Abstract**

70

71 Climate change is expected to cause major changes in forest ecosystems during the 21st
72 century and beyond. To assess forest impacts from climate change, the existing
73 empirical information must be structured, harmonised and assimilated into a form
74 suitable to develop and test state-of-the-art forest and ecosystem models. The
75 combination of empirical data collected at large spatial and long temporal scales with
76 suitable modelling approaches is key to understand forest dynamics under climate
77 change. To facilitate data and model integration, we identified major climate change
78 impacts observed on European forest functioning and summarised the data available for
79 monitoring and predicting such impacts. Our analysis of *c.* 120 forest-related databases
80 (including information from remote sensing, vegetation inventories, dendroecology,
81 palaeoecology, eddy-flux sites, common garden experiments and genetic techniques)
82 and 50 databases of environmental drivers highlights a substantial degree of data
83 availability and accessibility. However, some critical variables relevant to predicting
84 European forest responses to climate change are only available at relatively short time
85 frames (up to 10-20 years), including intra-specific trait variability, defoliation patterns,
86 tree mortality and recruitment. Moreover, we identified data gaps or lack of data
87 integration particularly in variables related to local adaptation and phenotypic plasticity,
88 dispersal capabilities and physiological responses. Overall, we conclude that forest data
89 availability across Europe is improving, but further efforts are needed to integrate,
90 harmonise and interpret this data (i.e. making data useable for non-experts).
91 Continuation of existing monitoring and networks schemes together with the

92 establishments of new networks to address data gaps is crucial to rigorously predict
93 climate change impacts on European forests.

94

95 **Highlights**

- 96 • Harmonised freely-available data is crucial to model forest impacts on climate
97 change.
- 98 • We summarise available datasets on forest functioning and underlying drivers.
- 99 • Data for key demographic mechanisms are available at the short-term at EU
100 level.
- 101 • Lack of high-resolution harmonised EU data for genetic and physiological tree
102 responses to climate change.
- 103 • Need for Pan-European data integration effort.

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105 **Keywords:** climatic extremes; data accessibility; data integration; drivers; forest
106 responses to climate change; harmonisation; open access.

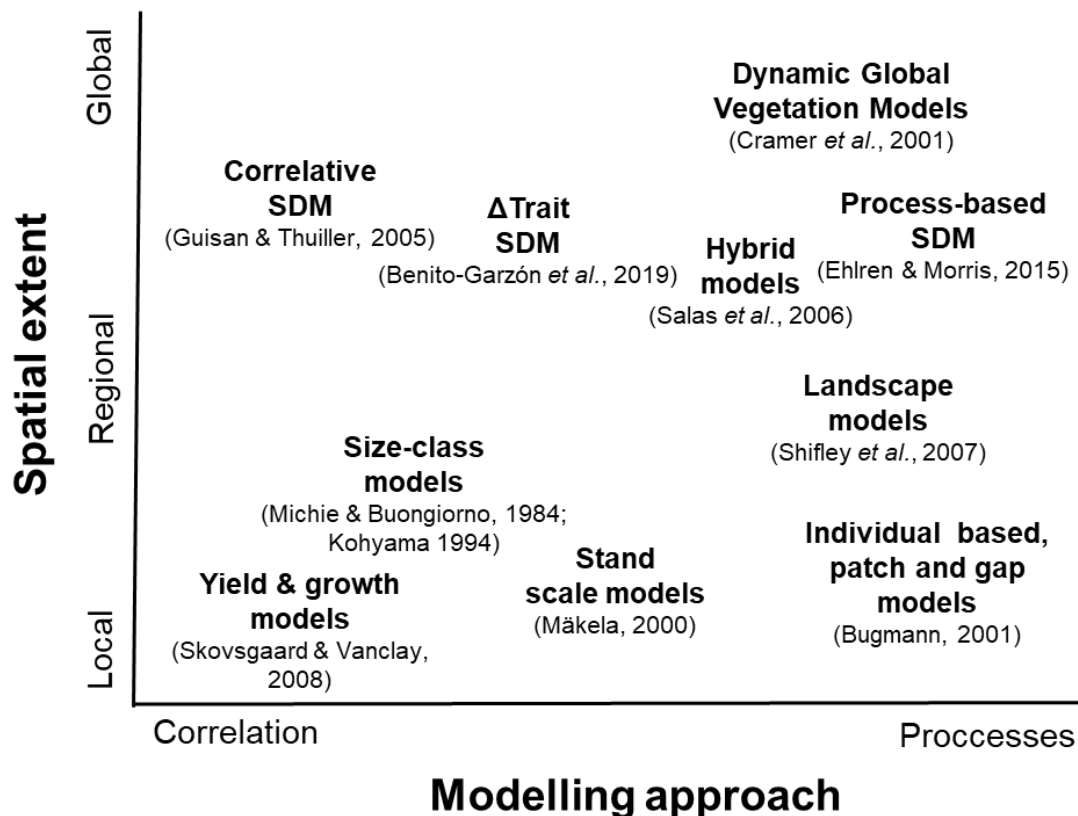
107 1. Introduction

108

109 Changes in mean and extreme climatic conditions are affecting forest functioning
110 worldwide (Frank *et al.*, 2015, EEA, 2017, Seidl *et al.*, 2017). Understanding and
111 predicting these impacts is necessary for science-based **decisions**, but challenging
112 because climate change interacts with other drivers of global change, such as rising
113 atmospheric CO₂ (Cramer *et al.*, 2001), atmospheric deposition (de Vries *et al.*, 2014),
114 land use change (Linares *et al.*, 2009, García-Valdés *et al.*, 2015), pests and invasive
115 species (Krumm & Vitková, 2016, Liu *et al.*, 2017), and management and legacy effects
116 (Baudena *et al.*, 2015, Motta *et al.*, 2015, Morales-Molino *et al.*, 2017a, Ruiz-Benito *et*
117 *al.*, 2017b). Moreover, ecosystems react to climate change in complex ways, for
118 example through stabilizing processes (Lloret *et al.*, 2012) such as positive biotic
119 interactions (Ruiz-Benito *et al.*, 2017a) or local adaptation and phenotypic plasticity
120 (Valladares *et al.*, 2014, Benito-Garzón *et al.*, 2019), but also with destabilizing non-
121 linear responses and feedbacks that could trigger tipping points (Camarero *et al.*, 2015,
122 Reyer *et al.*, 2015). To support the crucial role of forests in maintaining key ecosystem
123 services decision-makers must adapt forests for the future (Messier *et al.*, 2013, IPCC,
124 2014). To aid this process, it is therefore critically important to rapidly increase our
125 ability to predict forest responses and vulnerability to climate change (Urban *et al.*,
126 2016).

127 The use of empirical data at large spatial and/or long temporal extents in
128 combination with suitable models is one of the most powerful tools for better
129 understanding forest function, predicting vulnerability to climate change and assessing
130 options for mitigation and adaptation (see e.g. Mouquet *et al.*, 2015). During the last

131 few decades there has been a steady development in modelling techniques (Franklin *et*
 132 *al.*, 2016), aimed at better understanding and/or predicting species occurrence and
 133 abundance (e.g. Dormann *et al.*, 2012) or forests dynamics and functioning (e.g. gap
 134 models or Dynamic Global Vegetation Models –DGVMs–, see e.g. Bugmann *et al.*,
 135 2001, Cramer *et al.*, 2001). Available models range from empirical to process-based
 136 approaches and from modelling local processes and dynamics up to global vegetation
 137 and general ecosystem models (Figure 1).
 138



139

140 **Figure 1.** Existing model approaches to improve our understanding and prediction of
 141 climate change impacts. The models are classified according to spatial scale (local to
 142 global) and model type (correlative to process-based), with the position representing a
 143 relative ranking of the model types. SDM: Species Distribution Models. For each model
 144 type a review paper is associated if possible.
 145

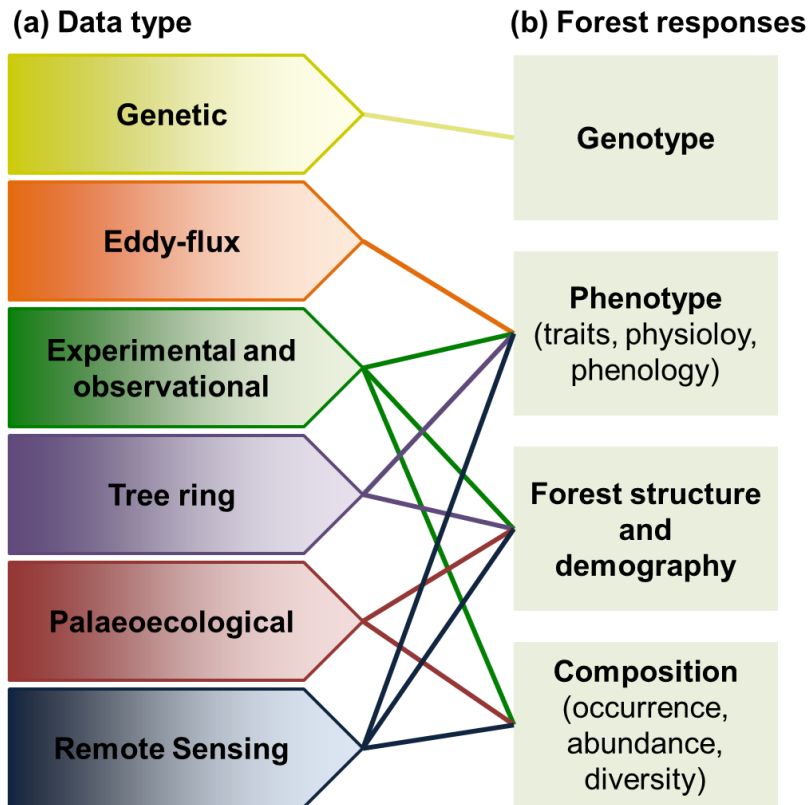
146 While there is a general agreement about the importance of assessing and predicting
147 ecosystem responses to climate change (IPCC, 2014), there are multiple modelling
148 approaches available to understand and predict climate change impacts quantitatively,
149 designed to answer specific questions at different scales and using different data (Figure
150 1). The mechanisms and processes limiting model predictions at large geographical
151 scales are under particularly intense debate (see e.g. Mouquet *et al.*, 2015, Franklin *et*
152 *al.*, 2016, Seidl, 2017). Furthermore, forests are complex socio-ecological systems and
153 predictions can be theory-limited because forest functioning depends on multiple
154 spatial and temporal responses and scales that depend on species composition (García-
155 Valdés *et al.*, 2018, Morin *et al.*, 2018) and may include thresholds or tipping points
156 (Camarero *et al.*, 2015, Reyer *et al.*, 2015, Jump *et al.*, 2017), interactive effects
157 (Scheffer *et al.*, 2001), phenological responses (Chuine & Régnière, 2017) and
158 adaptation or time-dependent processes (Lloret *et al.*, 2012). A final challenge is the
159 integration of models and data, and in particular the ability to adequately parameterise
160 and test models at large spatial scales (Hartig *et al.*, 2012).

161 A key component to understand and predict forest responses to climate change
162 is the extent, resolution and quality of associated environmental data such as climate,
163 soils or nitrogen deposition. For example, environmental drivers are often themselves
164 based on model outputs, not only of future predictions but also of past levels.
165 Uncertainty about the future trajectory of the climate system, which largely depends on
166 socio-economic development, can further impact prediction accuracy (Purves & Pacala,
167 2008, García-Valdés *et al.*, 2018). Moreover, much of the available data on observed
168 impacts is not yet integrated and understood in the wider context of whole-ecosystem
169 functioning. For example, climate change effects on shifting the time of flowering (but

170 see Chuine *et al.*, 2016, Ascoli *et al.*, 2017b), tree mortality episodes (Greenwood *et*
171 *al.*, 2017) or large wildfires (Pausas *et al.*, 2008) have been quantified but they are
172 generally not included in many forest vulnerability assessments.

173 Impacts of climate change across European forests are **occurring** at all biological
174 levels of organisation. At the tree level, decreased water availability or temperature
175 stress might induce functional adjustments in respiration, water-use efficiency,
176 hydraulic conductivity, resource allocation, reproductive efforts or phenology, and
177 root-to-shoot allocation patterns (Peñuelas *et al.*, 2011, Keenan *et al.*, 2013), which can
178 ultimately influence reproduction, growth and mortality (Lambers *et al.*, 2008). At the
179 population level, plant demography drives forest responses to climate change
180 (Martínez-Vilalta & Lloret, 2016, Ruiz-Benito *et al.*, 2017b) depending on local
181 adaptation to climate (Pedlar & McKenney, 2017; Fréjaville *et al.*, In review). Changes
182 in tree growth and productivity are contingent on ecosystem-type and water availability
183 (e.g. Vayreda *et al.*, 2012, Ruiz-Benito *et al.*, 2014) and individual responses to drought
184 have been linked to long-term species composition changes (Galiano *et al.*, 2013,
185 Martínez-Vilalta & Lloret, 2016). At the ecosystem level heat waves have been shown
186 to have an overall depressing effect on net primary productivity (Ciais *et al.*, 2005,
187 Reichstein *et al.*, 2013). The combination of increased atmospheric CO₂, nitrogen
188 deposition, pollution and climate change is also considered a key factor in tree decline
189 and ecosystem level responses (e.g. de Vries *et al.*, 2014). Furthermore, several studies
190 indicate altitudinal and latitudinal shifts in species distribution and functional types
191 across Europe (see Appendix A), attributable in many cases not to climate change alone,
192 but with substantial interactions with herbivory release, secondary succession or forest
193 management (Peñuelas & Boada, 2003, Ruiz-Benito *et al.*, 2017b).

194 To adequately identify potential risks and to establish future research and
195 management priorities the scientific community, governments and other interested
196 parties need well-structured, easily accessible and usable empirical data, often at large
197 temporal and spatial scales. Multiple types, levels and sources of data are currently
198 available, which can be harmonised to make compatible and comparable databases
199 (GTOS, 1998), and prepare them to be suitable for model-based analyses. The aim of
200 this paper is to support studies predicting forest responses and vulnerability to climate
201 change by assessing the availability and accessibility of harmonised databases of forest
202 functioning and underlying environmental drivers at the European scale. Firstly, based
203 on a literature review, we identified the main types of forest response to climate change
204 and the underlying interacting drivers. Then, based on expert knowledge, we researched
205 the different data types available (genetic, eddy-flux measurement, experimental or
206 observational field-techniques, tree-ring, palaeoecological and remote sensing
207 techniques) to assess their ability to inform about climate change impacts (Figure 2).
208 Additionally, we highlight the main data gaps and biases to predict climate change
209 impacts on forests across Europe.



210

211 **Figure 2.** Relationships between (a) the data that can be used to detect and inform on
 212 (b) the biological levels at which forests may respond as a result of climate change.

213

214 2. Availability of data indicating forest responses to climate change

215 Forest responses to climate change are measured with different survey techniques that
 216 cover a range of spatial and temporal scales (see Figure 3 and Appendix B): genetic
 217 data show local adaptation to climate over generations; eddy flux measurements
 218 provide continuous data on local productivity at 0.5-1 hour resolution up to more than
 219 20 years, vegetation inventories from local to regional scales cover show one -10 year
 220 changes across decadal to 100 year time-scale; dendrochronological data at local scales
 221 show yearly growth data over up to 5000 years; palaeoecological techniques at local
 222 scale cover long temporal scales (millennial data); and remote sensing data (RS) with
 223 high temporal and spatial resolution (continental for space-borne remote sensing,

224 regional for airborne remote sensing and local for ground based remote sensing, Table
 225 1), over a few years to multiple decades. The availability of these data varies from fully
 226 open-access to restricted-access (i.e. where the data is completely available for users or
 227 it is only available under request or a licence for a particular project, see Table 1).

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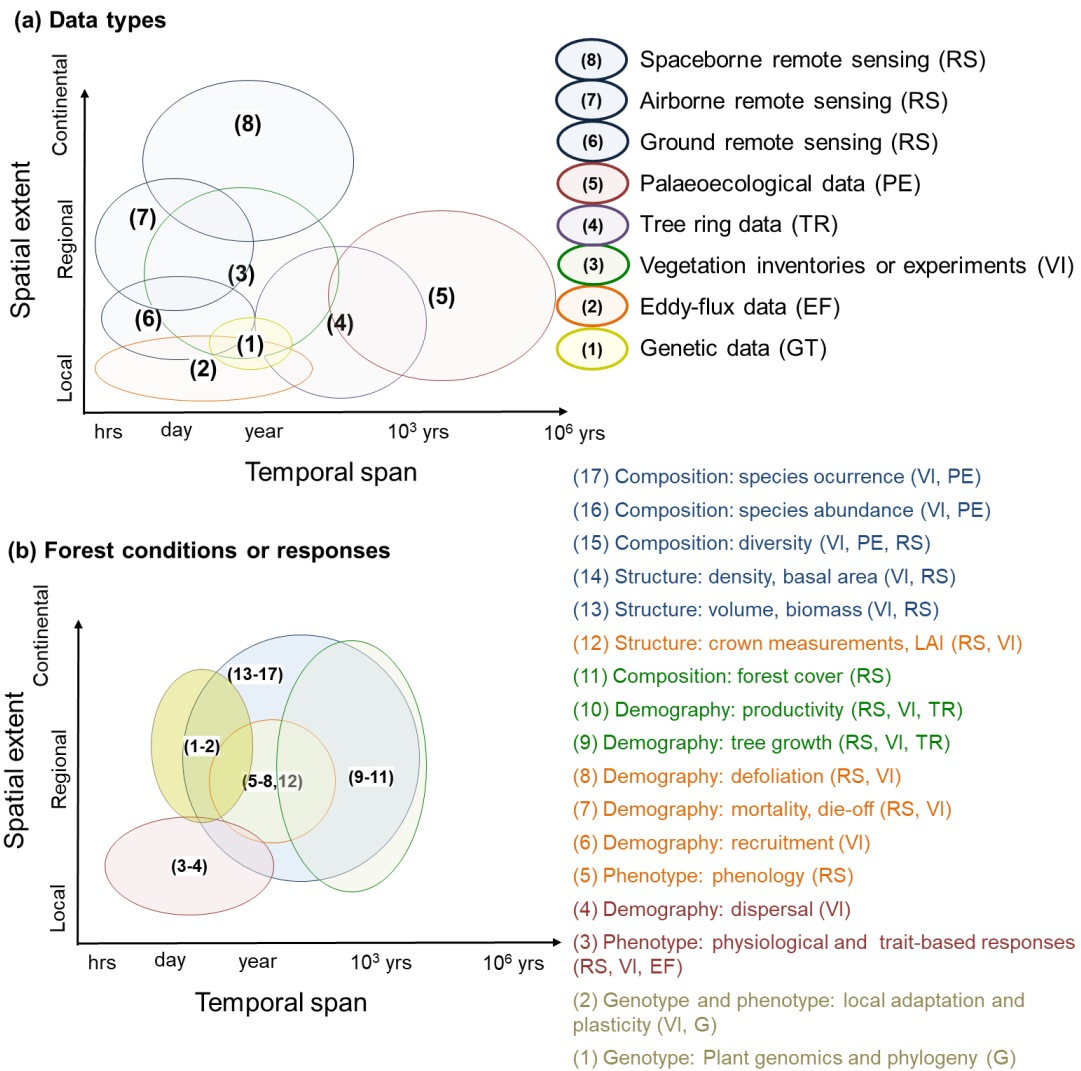
229 **Table 1.** Data types available to inform about climate change impacts on forest
 230 functioning (see a complete list of each dataset including accessibility in Appendix B).

Data type (specific measurement methods or examples)	Forest response type (indicator)	Spatial & temporal resolution Extent (max. res) Span (step)	Availability & accessibility (strengths & challenges use)
Genetic data (Genetic diversity and structure, common gardens and provenance trials, reciprocal transplant performance)	Genotype, phenotype and composition (genetic or phylogenetic diversity, local adaptation, plasticity)	Regional to global (species ranges) -	From open- to restricted-access
Eddy flux data	Phenotype and drivers (Carbon, water and energy fluxes; meteorological drivers and ecosystem state variables)	Global (specific sites) Since 90s (hours)	Open-access (immediate forest responses to CC, inter-site comparison across vegetation types, sensitivity to climate factors, global synthesis studies)
Systematic vegetation inventories (Regional, national or continental forest inventories, Long-term Research Networks)	Demography, structure and composition (Tree demography and wood/defoliation, forest structure, species occurrence or abundance; species or functional diversity)	Regional-National-European (1 km or lower) Since 80s (up to decadal)	From open- to restricted-access (Data integration and management, no individual information of e.g. species-specific allometric equations or trait information)
Other vegetation inventories or experiments (Field-based or experimental data)	Phenotype, demography, structure and composition (Traits, tree demography and wood/defoliation, forest structure, species occurrence or abundance; species or functional diversity)	Regional-National-European (1 km or lower) Since 80s (up to decadal)	From open- to restricted-access (Data integration and management)
Tree ring data (Tree growth or wood density)	Demography and phenotype (tree radial growth; wood density)	Global (stand) 50-1000 yrs (year-season)	Open-access (No large-scale coverage, stand and/or tree characteristics often missing)

Data type <i>(specific measurement methods or examples)</i>	Forest response type <i>(indicator)</i>	Spatial & temporal resolution Extent (max. res) Span (step)	Availability & accessibility <i>(strengths & challenges use)</i>
Palaeoecological data <i>(Pollen or Macrofossil data)</i>	Structure and composition <i>(occurrence, species and functional group diversity, forest cover and change)</i>	Global (stand) 21,000 yrs. ago-present (Multi-decadal to millennial)	Open-access (Insights into past periods of abrupt climate change; multi-centennial timescale relevant for forest ecosystems; uneven spatial occurrence, sometimes quite localised; no large-scale spatial coverage at high resolution, relatively low time resolution)
Ground RS <i>(Terrestrial laser scanning, leaf spetstoscopy)</i>	Structure <i>(height, dbh, biomass, fine-scale crown metrics and canopy gaps)</i>	Local (cm - ha) Since 00s (NA to decadal)	Restricted access, highly localised, no large-scale databases available (Easy sampling of fine spatial explicit measurements, require fieldwork and data processing)
Airborne RS <i>(Photography, optical, LiDAR SAR)</i>	Structure <i>(canopy and sub-canopy including height, biomass, crown metrics)</i>	Local-Regional-National (cm) Since 00s (NA to decadal)	From open- to restricted access, highly localised (Detailed structural data, require data processing)
Space-borne RS* <i>(Landsat, AVHR; MODIS, SPOT, RADARSAT, ALOS PALSAR, SENTINEL)</i>	Demography, structure and composition <i>(forest cover/area, biomass, LAI, spectral diversity or phenology (NDVI, EVI), productivity)</i>	Global-continental (30 - 10 m) Since 80-90s (day-month)	Open-access (Computational challenges in interpreting the data and integrating them with existing ground data at different scales)

231 *RS: remote sensing data.

232



233

234 **Figure 3. Harmonised picture of (a) data types and (b) forest conditions or**
 235 **responses to climate change** depending on the spatial extent at which it is generally
 236 gathered (from local to regional and continental) and temporal span (i.e. from days up
 237 to 10⁶ years), modified from Hartig *et al.* (2012). The position of the data type and
 238 forest condition or response is relative to provide a relative ranking within all data
 239 available. For each forest response the main data type is indicated as in Figure 2.

240

241 *Genetic and phylogenetic diversity, local adaptation and plasticity*

242

243 The capacity for **genetic and phylogenetic tree diversity** estimation is progressing
 244 rapidly thanks to ecological genomics (Holliday *et al.*, 2017). The increase in genomic
 245 data allow us to understand the association between allelic frequencies and

246 environmental gradients (Fitzpatrick & Keller, 2015). Plant phylogenies are available
247 for a large number of species (see e.g. (Zanne *et al.*, 2014), Appendix B) and it is being
248 used to further estimate phylogenetic diversity at the European scale (van der Plas *et*
249 *al.*, 2018). In Europe, adaptive genetic responses to climate using SNPs data are only
250 available for a few species (Jaramillo-Correa *et al.*, 2015).

251 **Local adaptation and plasticity** are the main sources of intraspecific variation
252 and should be considered when evaluating species responses to climate change because
253 within-species ecological responses (abundance, biomass, community composition) are
254 often greater than across species (Des Roches *et al.*, 2018) and predictions of species
255 responses due to climate change can differ when intra-specific variability is taken into
256 account (Moran *et al.*, 2016, Sánchez-Salguero *et al.*, 2018, Benito-Garzón *et al.*, 2019).
257 Phenotypic measurements of fitness-related traits, such as tree diameter, height,
258 phenology, growth and/or survival, from known genotypes at different locations can
259 inform models about the amount of phenotypic trait variation attributable to local
260 adaptation or phenotypic plasticity of populations (Moran *et al.*, 2016). Phenotypic
261 variation has been traditionally measured in common gardens (i.e. genetic trials or
262 provenance tests, see Appendix B) and has been established for most commercial tree
263 species. It provides information about plasticity (i.e. one provenance planted in several
264 common gardens with different environments) and local adaptation of populations (i.e.
265 several provenances planted in one common garden, Savolainen *et al.*, 2013).

266

267 *Plant phenotype: physiology, traits and phenology*

268

269 **Physiological parameters** have traditionally been measured either in experimentally
270 controlled conditions or in observational studies where the physiological outputs are
271 highly dependent on environmental conditions, species interactions and adaptation
272 mechanisms. Eddy flux measurements and new remote sensing products have the
273 potential to further elucidate plant physiological responses. The Eddy covariance
274 networks are particularly important for quantifying the spatial differences and temporal
275 dynamics in CO₂ and water vapour exchange across large abiotic and biotic gradients.
276 Estimates of water-use efficiency at large spatial extents and gross primary productivity
277 (GPP) (e.g. Lasslop *et al.*, 2012, Wohlfahrt & Galvagno, 2017) can both be derived
278 from eddy flux data. Meanwhile in many flux observation sites other important
279 biometric measurements, such as soil respiration rates are reported as so-called ancillary
280 data. These additional data allow for a more analytical view on the net fluxes and their
281 partitioning into individual components of the forest carbon cycle, enabling the
282 portioning of ecosystem respiration into heterotrophic and autotrophic components (see
283 e.g. Rodeghiero & Cescatti, 2006, Brændholt *et al.*, 2018). The availability of new
284 space-borne instruments enable measuring Sun Induced Chlorophyll Fluorescence
285 (SIF), which offers a more direct link to plant physiology (Dobrowski *et al.*, 2005) and
286 a promising way to quantify gross primary production from space (Grace *et al.*, 2007).

287 Global **phenology** and model parameterisation have long been estimated
288 through Earth Observation methods (e.g. Justice *et al.*, 1985, Ahl *et al.*, 2006, Hmimina
289 *et al.*, 2013, White *et al.*, 2014). Long-term passive optical data from programmes such
290 as AVHRR, Landsat and MODIS (NASA) have been used to quantify decadal forest
291 cover change on a near global scale (e.g. Hansen *et al.*, 2013). Such data have also been
292 combined with ground measurements to detect climate-driven changes in temperate

293 forest phenology over long time scales (Piao *et al.*, 2006, Keenan *et al.*, 2014) and
294 phenological changes associated with the spread of invasive species (Ramsey *et al.*,
295 2005). However, data availability about phenological changes is scarce (see Appendix
296 B), and a good understanding or predictive models of phenological responses are
297 critical to further understand climate change consequences (Delpierre *et al.*, 2019).

298

299 *Forest demography and structure*

300

301 **Forest demography** can be assessed using vegetation inventories, tree ring data or
302 remote sensing data. Regional, national and continental inventories (see Appendix B)
303 are useful tools to estimate forest demographic processes such as tree growth, mortality
304 and recruitment at the individual tree (Kunstler *et al.*, 2016, Neumann *et al.*, 2017) or
305 plot level (Carnicer *et al.*, 2014, Ruiz-Benito *et al.*, 2017a) at regular intervals (often
306 each c. 10 years). Recruitment data in systematic inventories have been successfully
307 harmonised for saplings (height between 30 and 130 cm) across single censuses in
308 Europe (Ruiz-Benito *et al.*, 2017a, van der Plas *et al.*, 2018), but recruitment data
309 contain differential information about tree seedlings. In addition, recruitment data
310 rarely contain time series records, dispersion information or individual tree information
311 required to understand forest responses to climate change. Tree and site level radial
312 growth at longer time spans and annual time steps can be obtained from tree ring and
313 remote sensing data, which allow retrospective and prospective characterisations of
314 forest responses, including forest resistance and resilience to short- and long-term
315 climatic changes (Briffa *et al.*, 1998, Anderegg *et al.*, 2015, Gazol *et al.*, 2018). Re-
316 surveyed plots from airborne remote sensing allow for monitoring of structural

317 dynamics such as forest growth (Yu *et al.*, 2004) and large surveys can determine stand
318 successional stage (Falkowski *et al.*, 2009). At stand level remote sensing allow also
319 capturing long-term canopy defoliation and tree mortality (Senf *et al.*, 2018) (Table 1).

320 **Forest structure** can be characterised by **density, basal area, volume, biomass**
321 **or crown metrics** at tree or plot level, obtained from vegetation inventories or remote
322 sensing data (Figure 3, Table 1). Systematic vegetation inventories generally measure
323 tree level diameter / height, allowing a direct calculation of plot level basal area or tree
324 density and indirect volume or biomass estimates through the application of species-
325 specific allometric equations (Montero *et al.*, 2005, Zianis *et al.*, 2005, Annighöfer *et*
326 *al.*, 2016). Some National Forest Inventories measure the position of each tree within a
327 plot enabling the calculation of distance-dependent competition indices and tree-to-tree
328 interactions (Gómez-Aparicio *et al.*, 2011, Kunstler *et al.*, 2016), although small plots
329 can lead to biased predictions (Hynynen & Ojansuu, 2003). Tree height and diameter
330 are common inventory variables that can also be obtained from airborne LiDAR and
331 ground-based remote sensing with higher accuracy than inventory based calculations
332 (Zolkos *et al.*, 2013). LiDAR can provide sub-metre accuracy of surface heights
333 (Lefsky *et al.*, 2002, Lee *et al.*, 2010), although accuracy can vary with canopy height
334 and distribution (Hopkinson & Chasmer, 2009), ground slope (Breidenbach *et al.*,
335 2008) and sampling intensity (Hyypä *et al.*, 2000). Low point density data can be used
336 to calculate stem density, vertical foliage profile (Coops *et al.*, 2007) and basal area
337 (Lee & Lucas, 2007), and is a promising method for above ground biomass
338 measurement (Lefsky *et al.*, 2002, Mascaro *et al.*, 2011, Simonson *et al.*, 2016). There
339 is enormous potential to develop large spatial and temporal scale datasets when
340 combining these different data types, e.g. the spatially continuous height, age, biomass

341 and carbon information derived from NFI and MODIS data (Mäkisara *et al.*, 2016,
342 Moreno *et al.*, 2017).

343 **Biomass or wood volume** can be estimated at the global scale from space-borne
344 remote sensing as passive microwave data (Liu *et al.*, 2015), passive optical data (e.g.
345 from Landsat: Avitabile *et al.*, 2012), and SAR data from L-band (Mitchard *et al.*, 2011)
346 and C-band instruments (Santoro *et al.*, 2010), but the latter methods typically require
347 calibration using ground data (Rodríguez-Veiga *et al.*, 2017). SAR biomass estimates
348 are calculated using backscatter coefficients related to wood volume scattering
349 mechanisms and/or allometry using height estimates derived through polarimetric
350 interferometry (PolInSAR; Mette *et al.*, 2004; (Le Toan *et al.*, 2011). Space borne
351 LiDAR (ICESat GLAS) has been used to quantify biomass at the global scale (Simard
352 *et al.*, 2011) and Popescu *et al.* (2011) suggest close correlations to airborne
353 equivalents. The use of SAR for forest monitoring is likely to increase with the missions
354 expected over the next decade (e.g. BIOMASS, NISAR and SAOCOM-1).

355 Space-borne remote sensing data provide long-term and large-scale information
356 about **crown structure** as the **leaf area index (LAI)**. LAI is the projected leaf area
357 relative to ground area ($\text{m}^2 \text{m}^{-2}$) and is a good proxy of plant response to water
358 availability (Jump *et al.*, 2017). Satellite-derived LAI is generated with multispectral
359 remote sensing reflectance data (Garrigues *et al.*, 2008). Long-term products are
360 available at global scale with spatial resolution of 500 m or greater and temporal
361 resolution from 8 days to 1 month (see Appendix B) as CYCLOPES (derived from
362 SPOT, Baret *et al.*, 2007), GlobCarbon (derived from ERS, ENVISAT and SPOT, Deng
363 *et al.*, 2006, Plummer *et al.*, 2007), and MODIS Leaf Area Index product (Knyazikhin
364 *et al.*, 1998, Yang *et al.*, 2006).

365 **Crown metrics** can be estimated using airborne LiDAR with discrete return
366 and high point density data ($\sim 8\text{-}20$ points m^{-2} (Wu *et al.*, 2016), as crown volume
367 (Korhonen *et al.*, 2013), vertical crown length (Lee *et al.*, 2010), crown diameter
368 (Morsdorf *et al.*, 2004) and crown cover (Lee & Lucas, 2007). Full waveform LiDAR
369 data can describe canopy vertical structural complexity (Nie *et al.*, 2017), including
370 understory characterisation (Hancock *et al.*, 2017), crown morphology (Lindberg *et al.*,
371 2012) and height (Anderson-Teixeira *et al.*, 2015). A key parameter in many vegetation
372 models, LiDAR derived LAI may be calculated using metrics of canopy structure,
373 percentage canopy hits (Riaño *et al.*, 2004) and radiative transfer models (Tang *et al.*,
374 2012). This approach avoids the saturation issue inherent in passive optical estimates
375 (Peduzzi *et al.*, 2012) and has been found to be more accurate than passive optical
376 equivalents derived from MODIS data (Jensen *et al.*, 2011) and the GLOBCARBON
377 product (Zhao & Popescu, 2009). Airborne SAR systems have the capacity to measure
378 similar structural properties as LiDAR given their sensitivity to complex forest structure
379 (Lausch *et al.*, 2017). Both correlative (Balzter *et al.*, 2007) and physically-based
380 approaches (Ningthoujam *et al.*, 2016a) have been used to extract wood volume and
381 vegetation height through interferometry (Neumann *et al.*, 2012). To date, SAR has
382 quantified AGB, LAI (Peduzzi *et al.*, 2012), forest cover (Ningthoujam *et al.*, 2016b)
383 and tree height (Ningthoujam *et al.*, 2016a). Unfortunately, currently there is little open-
384 access airborne SAR data available (see Appendix B).

385 **Fine scale** spatially explicit **crown metrics** of stems and branches, as e.g.
386 biomass or packing (Palace *et al.*, 2016), are not captured by traditional vegetation
387 inventories. Terrestrial laser scanning (TLS) offers an efficient and accurate alternative
388 to measure fine-scale forest attributes (Seidel *et al.*, 2015, Srinivasan *et al.*, 2015) such

389 as height (Srinivasan *et al.*, 2015), diameter (Kankare *et al.*, 2013), biomass (Yu *et al.*,
390 2013, Calders *et al.*, 2015), canopy characteristics including crown width (Metz *et al.*,
391 2013, Srinivasan *et al.*, 2015) and canopy gaps (Seidel *et al.*, 2015). TLS is filling the
392 gap between tree scale manual measurements and **large-scale** airborne LiDAR scanning
393 (Srinivasan *et al.*, 2015), allowing upscaling airborne LiDAR measurements (Hancock
394 *et al.*, 2017). **However, TLS data is available locally because it requires specific**
395 **fieldwork and the management of a high volume of data.**

396

397 *Species or functional occurrence, abundance and diversity*

398

399 **Species or functional type occurrence and abundance** data can be calculated from
400 data generally available in vegetation inventories, palaeoecological or remote sensing
401 data. Data on actual species distribution in Europe tends to come from individual field-
402 based observations (e.g. the worldwide database GBIF) and current knowledge (e.g.
403 EUFORGEN or European maps from JRC, see a complete list in Appendix B). The
404 systematic information from NFIs, gathered at regional or national level, and
405 International Co-operative Programme on Assessment and Monitoring of Air Pollution
406 Effects on Forests (ICP forests gathered at European level) provides large-scale and
407 long-term information about the state of forests (Appendix B). Systematic vegetation
408 inventories provide detailed information on tree species occurrence and abundance
409 (generally through basal area or density measurements) with a good spatial coverage
410 within Europe across biomes but over a relatively short time span (see Appendix B and
411 (Mauri *et al.*, 2017). Long-term changes in species occurrence and abundance in
412 response to environmental variability can be assessed through fossil pollen and plant

413 macrofossils data (Morales-Molino *et al.*, 2017b). Despite the uneven spatial
414 distribution and the relatively low taxonomic and spatial/temporal resolution of
415 palaeoecological data, the long time-span they usually cover allows to assess ecosystem
416 dynamics during past periods of abrupt climate change (see Table 1), like the Younger
417 Dryas-Holocene transition (rapid and marked warming dated *c.* 11700 years ago) or the
418 8.2 ka event (abrupt cooling centered at *c.* 8200 years ago). For instance, fossil pollen
419 data have been successfully used to document changes in the distribution and
420 abundance of the main plant genera of European vegetation over the last 15,000 years
421 (Giesecke *et al.*, 2017). Similarly, plant macrofossils represent an interesting proxy to
422 infer past distribution ranges as they often allow more precise plant identifications (even
423 to species level) than pollen. Plant macrofossils are unequivocal indicators for past plant
424 local presence due to their limited dispersal and are often directly dated therefore
425 reducing uncertainty about their age (Birks & Birks, 2000). When reliable age estimates
426 based on radiocarbon dates on terrestrial plant macrofossils and robust age-depth
427 models are available, palaeoecological data allow accurate assessments on the
428 responses of forest species to past climate changes, which can in turn be used to validate
429 projected vegetation responses to future climate change.

430 **Diversity metrics** can be calculated from systematic vegetation inventories
431 including tree and shrub richness, functional types or even functional or phylogenetic
432 measurements when merged with trait/phylogenetic data (Ruiz-Benito *et al.*, 2017a) or
433 specific field-based trait measurements (Vilà-Cabrera *et al.*, 2015). Plant trait
434 information and plant phylogeny is available for a large number of plants (see e.g. the
435 TRY database, try-db.org, Kattge *et al.*, 2011 or Zanne *et al.*, 2014, Appendix B) and it

436 is being used to further estimate functional or phylogenetic diversity (Paquette &
437 Messier, 2011).

438 Tree species diversity is not directly available from medium-resolution open-
439 access Earth Observation data such as Landsat or MODIS. However, several studies
440 have demonstrated the potential for predicting species richness and diversity from
441 satellite-derived land cover and landscape complexity (e.g. Honnay *et al.*, 2003,
442 Hernandez-Stefanoni & Ponce-Hernandez, 2004, Ma *et al.*, 2019), leaf traits (Moreno-
443 Martínez *et al.*, 2018), or link species composition with forest dynamics (Huesca *et al.*,
444 2015). Other studies have used the Spectral Variation Hypothesis, which links spectral
445 heterogeneity in the reflectance signal to environmental heterogeneity and therefore
446 species diversity (Gould, 2000, Palmer *et al.*, 2002, Rocchini *et al.*, 2007, Rocchini *et*
447 *al.*, 2016). Fine spatial resolution imagery has been used to identify tree species within
448 forest ecosystems using classification approaches as e.g. combination of LiDAR with
449 Pleiades data (e.g. Blázquez-Casado *et al.*, 2019), IKONOS (Carleer & Wolff, 2004,
450 Dahdouh-Guebas *et al.*, 2004) or QuickBird (Neukermans *et al.*, 2008), but such data
451 are usually complex to analyse or costly to obtain, limiting their use for mapping
452 diversity at a regional or continental scale. Furthermore, structural and topographical
453 information derived from airborne LiDAR can also provide information on tree species
454 richness (Simonson *et al.*, 2012, Hernández-Stefanoni *et al.*, 2014, Lopatin *et al.*, 2016,
455 Vaglio Laurin *et al.*, 2016).

456

457 **3. Availability and accessibility of harmonised data at the European level**

458

459 *3.1. Forest responses*

460

461 Harmonised data on forest conditions is available in multiple global and European scale
462 databases (see Appendix B and a summary in Table 3) and range from open- to
463 restricted-access (Table 2). For open-access databases citation and acknowledgment is
464 usually mandatory. For more restricted datasets, the data managers or contributors can
465 request authorship as a prerequisite for access (e.g. some harmonised NFI databases,
466 common garden experiments, Table 2). Harmonised data at the European extent is
467 generally of high quality, i.e. well-structured and documented. In some cases, data use
468 does not require a high degree of expertise (e.g. processed or combined remote sensing
469 products), but it requires managing large volumes of data. In others the use of data
470 requires a medium-high degree of expertise as e.g. when managing unprocessed
471 inventory data, tree ring or palaeoecological data (Table 2).

472 The data products of individual observational or experimental studies are
473 increasingly being published online thanks to research networks, public repositories
474 and more recently data-papers gaining increasing **attraction**. However, whether
475 scientific data should be freely-accessible is under an intense debate (Gewin, 2016) and
476 often there is a low replicability, even in journals with an established data policy
477 (Stodden *et al.*, 2018). Data available and accessible at European level in data
478 repositories or specific harmonisation initiatives cover many different data types such
479 as trait information (e.g. TRY database, Kattge *et al.*, 2011), plant growth-related
480 experimental responses to environment (i.e. Meta-phenomics, Poorter *et al.*, 2016), trait
481 variation from common gardens or provenance tests (Robson *et al.*, 2018, Vizcaíno-
482 Palomar *et al.*, 2019), provenance regions (12 tree species, SIG-Forest), seed masting
483 (MASTREE, Ascoli *et al.*, 2017a), biomass and plant allometry (BADD, Falster *et al.*,

484 2015), forest conditions and demography (ICP forests, UNECE & ICP Forests
 485 Programme Co-ordinating Centre, 2016) and long-term experiments/observational data
 486 in regions of Europe including a large number of forest indicators (see ForestGEO,
 487 DEIMS or NOLTFOX, Appendix B).

488 Data harmonisation must include data standardisation protocols and specifically
 489 informing about data strengths and limitations (see Meyer *et al.*, 2016 for data of species
 490 occurrence, Franklin *et al.*, 2017). The main data strengths identified were taxonomic,
 491 spatial and temporal coverage, systematic data sampling and error identification and
 492 control (Table 2). The main data limitations were taxonomic, spatial or temporal
 493 uncertainty (i.e. ambiguous taxonomic data, spatial location or time since data
 494 collection, respectively); taxonomic, spatial or temporal coverage; multisource effects
 495 (i.e. different sampling techniques in input data such as plot size or sampling dates); or
 496 sampling effects (i.e. observation or measurement errors and over- or under-
 497 representation bias, see Table 2).

498 **Table 2. Harmonised databases of forest responses at European extent.** For each
 499 database we included the main data type ((a) genetic, (b) eddy flux, (c) vegetation
 500 inventories and experiments, (d) tree ring, (e) palaeoecological, and (f) remote sensing
 501 data), the accessibility (O: open-access, R: restricted-access) and attribution (A: if
 502 authorship can be requested/required). We show the main potential data limitations in
 503 the harmonised databases; and data availability, accessibility or attribution issues.

Database* ¹	Indicator (Data type)	Data strengths* ²	Data limitations* ³
TreeGenes, Hardwood genomic data, Genbank ^{(a), O-R, A}	Genetic diversity or sequences (Genetic data)	-	Multisource uncertainty
Benito-Garzón <i>et al.</i> , 2018, Robson <i>et al.</i> , 2018, Vizcaino- Palomar <i>et al.</i> , 2019, GnpIS, GENFORED, BeechCOSTe52 ^{(a), O-R}	Phenotypic plasticity and adaptation (Genetic conservation units, genetic entries, common gardens, provenance regions)	-	Taxonomic coverage (data not available for many species)
Meta-phenomics database ^{(c), R}	Phenotypic plasticity and adaptation (plant growth and performance)	-	Taxonomic and spatial coverage (data not available for all species and all climatic conditions)

Database ^{*1}	Indicator (Data type)	Data strengths ^{*2}	Data limitations ^{*3}
FLUXNET, CARBOEurope European Fluxes Database , and emerging ICOS carbon portal ^{(b), O}	Carbon, water and energy fluxes (Flux measurements)	Temporal and spatial coverage (standardised quality checked from more 600 towers since 80s comparable across time and sites)	Spatial coverage (localised sites)
GBIF, Euforgen, AFE, EFI Tree species map, TSDE, EVA, sPLOT, GFBI ^{(c), O-R}	Species occurrence or abundance (Vegetation inventories)	Spatial coverage (high resolution)	Temporal and spatial uncertainty (variable input data e.g. GBIF)
TRY database ^{(c)*4, O-R, A}	Functional traits (Field or experimental data)	Error identification and control	Temporal uncertainty and coverage, multi- source effects (multiple input data)
ICP forest ^{(c), R}	Forest demography and structure, some plant traits (Vegetation inventories)	Temporal coverage (available since 80s comparable across time and sites), systematic sampling at European level	Sampling effects (underrepresentation of extreme events)
National Forest Inventory harmonised (e.g. Occurrence data, GFBI, FUNDIV data) ^{(c), O-R, A}	Demography, forest structure, species occurrence and abundance, species diversity (Vegetation inventories)	Systematic sampling at national level	Temporal coverage (available since 80s but multiple inventories rarely harmonised), sampling effects (plot and time- intervals dependent on countries, under- representation of large trees and extreme responses)
International Tree- Ring Data Bank (ITRDB) ^{(d), FO}	Tree radial growth (tree ring data)	Temporal coverage (up to century)	Multisource effects (metadata improvements regarding tree size, age and site data) and sampling effects (mostly dominant and climate-sensitive trees sampled, individual and mean series of several trees),
European Pollen Database (EPD), Neotoma Paleoecology database ^{(e), O}	Long-term vegetation distribution and diversity (Palaeoecological data)	Temporal coverage (up to millennia)	Spatial coverage (limited sites), multisource (different time intervals) and sampling effects (under-representation of extreme responses)

Database ^{*1}	Indicator (Data type)	Data strengths ^{*2}	Data limitations ^{*3}
CORINE Land Cover, PALSAR and JRC forest maps, ESI Forest Map, JRC Forest Biomass increment, GLOBBBIOMASS ^{(f), (o)}	Forest cover/area, biomass increment, habitat cover, forest change, carbon storage (Remote sensing)	Spatial coverage (high resolution)	Temporal coverage (short time span)

504 ^{*1}See details of the database regarding output; spatial and temporal scale; data availability and
505 accessibility; websites and citations in Appendix S2.

506 ^{*2} All data is at least available at European extent. We classified data strengths as taxonomic, spatial
507 and temporal coverage, systematic data sampling, error identification and control.

508 ^{*3} We classified data limitations as taxonomic, spatial and temporal uncertainty; taxonomic, spatial and
509 temporal coverage; multisource or sampling effects.

510 ^{*4}Other trait databases area available and open-access generally for specific groups of traits or regions.
511

512 Genetic diversity (e.g. allelic frequency) data is not harmonised at the European
513 level (but see Genbank database for specific queries of genes in plants, Table 2,
514 Appendix B) and to our knowledge this type of data has not been used to study large-
515 scale forest responses to climate (but see Jaramillo-Correa *et al.*, 2015). However, the
516 improvements in the next-generation of sequencing technologies is increasing the
517 availability of open-access databases ((Neale & Kremer, 2011), Table 3, Appendix B).
518 Despite evidence that genotypes respond differently to climate change across the range
519 of the species (e.g. Matías *et al.*, 2017) it can be difficult to measure genetic diversity
520 and to incorporate it in predictive models of climate change effects (Kramer *et al.*,
521 2010). For example, neutral diversity does not show direct effects of genetic variation
522 on fitness and, therefore, it is not informative about the adaptative or evolutionary
523 potential of the species (Holderegger *et al.*, 2006). However, common gardens and
524 provenance trials are an important source of knowledge on the effects of intra-specific
525 genetic and phenotypic variation on species response to different climates (Savolainen
526 *et al.*, 2013). Data harmonisation is not homogeneous for all data sources and the
527 planting sites often do not include the entire distribution range of a given species (but
528 see compilations for *Pinus pinea* L., *Pinus pinaster* Ait., *Pinus nigra* Arnold., *Abies*

529 *alba* Mill. and *Fagus sylvatica* L., (Benito-Garzón *et al.*, 2018, Robson *et al.*, 2018,
530 Vizcaíno-Palomar *et al.*, 2019)).

531 Eddy flux measurement networks are established on almost all continents (e.g.
532 ASIAFLUX, AMERIFLUX, OZFLUX, EUROFLUX) with FLUXNET as a global
533 network of networks with long-term research infrastructures (Papale *et al.*, 2012).
534 Therefore, long-term harmonised high-quality data are available at both the global and
535 European level (Table 2), providing detailed and standardised temporal information for
536 specific towers across Europe (Aubinet *et al.*, 2012). Further methodological
537 standardisation is emerging in new American (NEON) and European (ICOS) research
538 infrastructures (Franz *et al.*, 2018).

539 The availability and accessibility of vegetation inventories depend on the
540 database owner, varying from systematic vegetation inventories (e.g. NFI or ICP
541 forests) to specific databases from research network or data-papers (see Appendix B).
542 Several initiatives to harmonise NFIs are being undertaken, including COST Actions
543 (Tomppo *et al.*, 2010), European projects such as e.g. BACCARA
544 (<http://www.baccara-project.eu/>), FunDivEUROPE (<http://www.fundiveurope.eu/>,
545 Baeten *et al.*, 2013) or DIABOLO (<http://diabolo-project.eu/>), and European Networks
546 such as ENFIN (<http://www.enfin.info/>) or global Initiatives (GFBI,
547 <https://www.gfbinitiative.org>). NFI data can be open- or restricted-access at country
548 level but the data require error identification and harmonisation considerations (e.g.
549 minimum tree size or basal area, management, (Ratcliffe *et al.*, 2016)) and
550 harmonisation of heterogeneous databases as country-level NFIs should include
551 standardisation steps to the final outputs. Harmonisation initiatives are resulting in the
552 availability of NFI data at the European level, such as species occurrence (Mauri *et al.*,

553 2017) or forest structure (Moreno *et al.*, 2017). ICP plots include information about
554 biodiversity and the health and vitality of forests, for example canopy affectation by
555 defoliation or/and climate change interactions with other air pollutants (de Vries *et al.*,
556 2014, UNECE & ICP Forests Programme Co-ordinating Centre, 2016). The main data
557 limitations are based on the temporal coverage of the data (available since the 1980s)
558 and the importance of understanding the knowledge any sampling effects that might
559 include the underrepresentation of large trees, differential plot sizes and time intervals.

560 Tree ring data are harmonised at global scale by NOAA's "International Tree
561 Ring Data Bank" (ITRDB, Table 2 and Appendix B). The ITRDB provides long-term
562 growth information (usually tree-ring widths but also tree-ring density data) at tree,
563 stand and species levels that can be freely downloaded. However, most of the ITRDB
564 data refer to classical dendrochronological data, i.e. cross-dated tree-ring series
565 obtained from 10-20 dominant and climatically sensitive trees of the same species living
566 in the same site, stand or tree population; often at climate-sensitive sites. Usually,
567 authors analyse a chronology or mean series of the individual tree series from the same
568 site. Certain considerations or data treatment is required to estimate climate impacts on
569 the entire forest. First, the spatial and ecological extent of the chronologies is generally
570 vague, because the size of the site is rarely defined (e.g. 0.5-1 ha). Second, sampling is
571 often biased towards dominant big trees of similar age classes, from harsh sites where
572 climate is the major constraint of radial growth, which can lead to biased estimates of
573 forest productivity and carbon uptake. Third, there is an urgent requirement for better
574 metadata for future tree-ring series to be uploaded to the ITRDB. For instance, tree size
575 (d.b.h.) and age are rarely reported and stand information as basal area or tree density
576 is usually lacking, but they are required to obtain useful estimates of radial growth (e.g.

577 basal area increment) and carbon fixation from the tree ring data. Tree-ring data from
578 tropical forests are scarce at the ITRDB (partially due to the inherent difficulty of ring
579 formation and cross-dating in these tropical sites), but ITRDB data have been
580 successfully used in global analyses (e.g. Anderegg *et al.*, 2015).

581 Palaeoecological data at the European level are harmonised in the Neotoma
582 Paleocology Database (Neotoma) and the European Pollen Database (also accessible
583 via Neotoma, see Appendix B). The main data-limitations relate to the spatial coverage
584 (uneven distribution of sites across Europe), multisource and sampling effects (i.e. time
585 interval can differ between sampling sites). Neotoma and the EPD are open-access
586 standardized databases of published palaeological records to foster broad-scale (global
587 or continental-scale) vegetation and land-use history studies (Williams *et al.*, 2018).
588 Pollen-data can sometimes be difficult to use because: (1) Several plant species produce
589 the same pollen type, which limits the estimation of plant diversity or specific species
590 presence, but for woody taxa taxonomic resolution is usually high (except for most
591 European deciduous oaks that cannot be distinguished by their pollen); (2) non-uniform
592 representativeness of pollen distribution for vegetation distribution due to species-
593 specific differences in pollen production, dispersal, deposition and preservation (e.g.
594 anemophilous tree species with high pollen production and dispersal ability as e.g.
595 *Pinus* sp. are often overrepresented, Broström *et al.*, 2008). This bias can be corrected
596 by using empirical species-specific pollen productivity estimates (PPEs, (Pearman *et*
597 *al.*, 2008)); (3) pollen records mostly reflect vegetation structure and composition in an
598 area whose size depends on the site and surface type (usually lakes and mires, (Sugita,
599 1994)). Macrofossil records are less abundant than pollen sequences in Europe,
600 especially in the Mediterranean region. Similarly, macrofossil data availability is still

601 limited compared with pollen data (see Neotoma, Appendix B) and most sequences are
602 published as papers in specialised journals (e.g. Birks, 2003, Tinner & Kaltenrieder,
603 2005).

604 The availability of remote sensing information is vastly increasing thanks to
605 recent technical advances (Kennedy *et al.*, 2014) but significant challenges remain to
606 select, process and interpret data provided in order to make them easily usable for forest
607 assessment and management (Table 2). Processed and combined products are now
608 widely available and offer a great opportunity for use at European scale (Table 2), with
609 the temporal coverage dependent on the specific platform and product (Appendix B).
610 There is an increasing amount of open-access large-scale airborne LiDAR data across
611 Europe (generally at regional scale) and the recently launched GEDI Mission will
612 provide global coverage of spaceborne LiDAR (though over a relative short duration,
613 Appendix B). TLS has the potential to move forward forest inventory datasets by
614 providing new structural measurements at fine spatial scales (Liang *et al.*, 2016, White
615 *et al.*, 2016) as well as new means to determine uncertainty of forest properties
616 quantified by spaceborne and airborne methods.

617

618 3.2. *Environmental data*

619

620 Climate databases at European or global levels differ in spatio-temporal resolution and
621 extent. Mean climatic conditions for the 20th century are often directly available at high
622 spatial resolution and at global or European scales from databases such as Worldclim,
623 E-OBS, Chelsa, Climatic Research Unit (CRU, see Table 3 and Appendix B) either for
624 a certain period (e.g. WordClim data provide mean values for 1970-2000) or even

625 monthly values for each year (e.g. E-OBS, CRU-TS, CRU-CL or CRU-SR, Appendix
626 B). Temporal data on past temperature and precipitation (i.e. daily, monthly or yearly
627 records) are available at the global and European level (e.g. CRU and E-OBS,
628 respectively). There are new databases that combine the spatial resolution of
629 WorldClim (1 km²) with the temporal resolution of CRU (1901 - 2014) (Fréjaville &
630 Benito Garzón, 2018), and European climate data has been downscaled at 1 km² for
631 large temporal frameworks (i.e. 1951-2012, see Moreno & Hasenauer, 2016). There is
632 also an R packags available to interpolate and downscale coarse climate data and obtain
633 daily weather variables at landscape level (meteoland, De Cáceres *et al.*, 2018). Past
634 climatic data can be used to calculate changes in climate (i.e. climatic anomalies based
635 in annual data, e.g. Ruiz-Benito *et al.*, 2014). Drought effects are derived from climatic
636 databases that are available at detailed spatial and/or temporal resolution (e.g.
637 precipitation and drought indices; see Appendix B). Climatic data for future scenarios
638 are available globally and bias-adjusted from the Intersectoral Impact Model
639 Comparison Project (ISIMIP, Frieler *et al.*, 2017) and for Europe at different spatial
640 resolutions from the EURO-CORDEX (<https://www.hzg.de/ms/euro-cordex/>) to CRU
641 database or Wordclim (see Appendix B).

642 Other environmental drivers include topographic information (e.g. elevation,
643 slope and aspect), soil classification and properties, disturbance and management
644 information, atmospheric nitrogen or sulphur deposition and CO₂ concentrations, etc.
645 Topographic information can be easily obtained from digital elevation models at
646 different resolutions (e.g. from 2 m² to 1 km², Table 3). The Soil Grid dataset
647 (<https://soilgrids.org/>) provides global information about site characteristics, physical
648 and chemical properties (Appendix B). European Soils Data Centre (ESDC) and ISRIC

649 World Soil Information provide a wealth of soil science information, and the FAO a
 650 global soil organic carbon map, which is mostly open-access and directly downloadable
 651 at 1 km² (Appendix B). In addition to soil property and quality datasets, the ESDC hosts
 652 information on different soil functions and threats to soil functioning. Soil water
 653 content, temperature and snowpack has been estimated from 1979 to 2010 in the ERA-
 654 INTERIM/Land at a resolution of 0.125° (Balsamo *et al.*, 2015) and soil organic carbon
 655 is mapped at 1 km² resolution in the Global Soil Organic Carbon Map (Appendix B).
 656 However, potential drivers of forest responses to climate change as soil fertility or water
 657 retention (Wardle *et al.*, 2008) is not easily accessible at detailed resolution for the
 658 European extent.

659

660 **Table 3. Data availability of environmental drivers across Europe.** See a complete
 661 list of each dataset including accessibility in Appendix B. The accessibility is open-
 662 access upon citation and acknowledgement.

Data type	Example Databases	Information	Spatial resolution: Extent (max. res)	Temporal resolution	Challenges
Climate	Wordclim, CRU, NOAA, E-OBS, CHELSA, EuMedClim	Temperature and precipitation variables. Mean, annual & monthly data	EU (30'')	Current and scenarios for past/future climate	Temporal data for the 20 th century and climate scenarios (e.g. monthly-yearly) at fine spatial resolution (e.g. 1 km or lower)
Atmospheric deposition	NOAA, IAC, WebDab	CO ₂ and greenhouse gases concentration	EU level (0.1°)	50s-present	No spatial resolution in data
Digital Elevation Model	GTOP30	Altitude, slope, orientation, insolation	Global-Europe (2 m ²)	-	-
Soils	SoilGrid ESDA	Soil attributes and classification	Global-Europe (1 km ²)	-	Extract meaningful information for forest responses

Data type	Example Databases	Information	Spatial resolution: Extent (max. res)	Temporal resolution	Challenges
Disturbances	EFFIS, DFDE, EDP, EASIN	Area/perimeter burnt, pest, pathogens, exotic species	Europe-regional (0.25°)	Variable	No temporal information (only in remote sensing derived products)
Policy – management	CCDA, historical management and suitability for management	Protected sites, recent management	Europe (1 km ²)	NA	Missing data of forest management or legacy effects

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Disturbances such as fires, pests or pathogens are major drivers of forest vulnerability that can strongly interact with climate change (e.g. Pausas & Keeley, 2009). Palaeoecological records often include charcoal data to reconstruct changes in fire activity through long timescales, which can be freely accessed and downloaded from the Global Charcoal Database (GCD; Power *et al.*, 2010) and Neotoma (Williams *et al.*, 2018). The Database of Forest Disturbances in Europe (DFDE; Appendix B) provides historical data on abiotic (i.e. wind and snow damage) and biotic (pathogens and insects) disturbance agents. DFDE has been used at the country-scale to empirically parameterise landscape models to predict future disturbance levels under different climate change scenarios (Seidl *et al.*, 2014). European initiatives to record and disseminate forest disturbance information include the EFI database, European Forest Fire Information System (EU-EFFIS) and the European Storms Catalogue (Appendix B). However, there is a considerable lack of geo-referenced data on pest and alien species in European forests and they are poorly linked to other databases on forest health such as ICP forests. Some initiatives involving citizen science are providing georeferenced data of forest pests at regional levels (e.g. <http://www.alertaforestal.com/es/>). The European Network of Alien Species (EASIN)

681 provides access to records of alien species in Europe, via a mapping tool and a geo-
682 referenced database of published scientific reports (EASIN-lit; Appendix B), although
683 there are few records regarding forest ecosystems.

684 Data availability on forest management practices across Europe is limited
685 because it is difficult to assign a management system to a forest stand based on signs of
686 its recent management; long-term historical records are essential, but they are largely
687 missing across most of Europe. NFIs are a valuable source of information on recent
688 forest management but harmonising the descriptions across countries will remain
689 challenging until a common classification system is used. The scarce information about
690 management in vegetation inventories has generally led to harmonisation as a binary
691 indicator field (managed or unmanaged), which provides only minimal information to
692 aid in the understanding of forest responses to management (see e.g. Vayreda *et al.*,
693 2012). The Natura 2000 and Nationally Designated Areas (CDDA; see Appendix B)
694 initiatives provide spatial information on the protected sites at the European level.
695 These datasets cannot be used to infer the development of a particular management
696 activity, but they could be used as an indication of different forest policy and
697 management objectives. Given the limited availability of management information,
698 historical reconstruction maps (e.g. McGrath *et al.*, 2015), forest management
699 simulators (Härkönen *et al.*, 2019) and the Forest Management Map of European
700 Forests (Hengeveld *et al.*, 2012) assesses the suitability of different forest management
701 practices based on biotic, abiotic, and socioeconomic factors, which provide useful
702 information for the development and assessment of management on forest resource
703 models.

704

705 **4. Considerations for harmonised data use in modelling forest responses to climate**
706 **change**

707

708 Harmonised and quality-controlled data at the European scale are needed for robust
709 assessments of forest responses to climate change (Serra-Diaz *et al.*, 2018; Reyer *et al.*,
710 2019). We have demonstrated that data availability at the European extent has increased
711 in the last few decades for a multitude of forest properties ranging from genetics to
712 demography, forest structure and occurrence/abundance (Table 2) as well as for the
713 potential interacting drivers of climate change (Table 3). We have also identified many
714 open and semi-restricted databases across Europe, which will facilitate future
715 integrative research on forest responses to climate change using multiple data sources.

716 We found several limitations that should be considered when developing
717 models and frameworks based on the databases presented here, relating to spatial and
718 temporal coverage and the effects of using multisource data and data with different
719 sampling methodologies. Firstly, for specific forest properties data are not publicly
720 available at high resolution or for many European species, particularly for intraspecific
721 trait variability, adaptation and phenotypic variation, and physiological and dispersal
722 responses. Secondly, the temporal coverage of key responses to climate change such as
723 defoliation, mortality and recruitment is short (e.g. the main sources are vegetation
724 inventories, which are only available since the 1980s). In addition, there are sampling
725 issues such as the under-representation of big trees, no individual or harmonised data
726 of tree recruitment and extreme responses might be under-represented when permanent
727 plots of forest inventories are used. Thirdly, long-term data are available for forest cover
728 and tree growth, but researchers should be aware of data limitations regarding spatial

729 coverage (i.e. generally localised data) and sampling effects (e.g. selection of sensitive
 730 species/sites for study). The main limitations regarding underlying drivers of forest
 731 responses to climate change that we identified are the availability of meaningful and
 732 detailed soil information, long-term data about disturbances and forest management and
 733 legacy effects on forest functioning. Finally, most of the databases cannot deliver cause-
 734 effect mechanisms except emerging ecosystem experiments (see e.g. meta-phenomics
 735 database, Appendix B) and plant responses can differ in field-conditions (Poorter *et al.*,
 736 2016).

737

738 **Table 4. Main data limitations identified for each data type and how it can interact**
 739 **with modelling impacts to climate change.**

Data limitations	Data type	Considerations for modelling	Example citations of databases or data use
Data not available at the entire EU extent at high resolution	Local adaptation, phenotypic plasticity or physiology	Biased prediction of climate change impacts due to prediction of more extreme responses or general species-specific physiological parameters	(Robson <i>et al.</i> , 2018, Benito-Garzón <i>et al.</i> , 2019)
No long-term or detailed data	Related to inventory data (tree mortality and recruitment) and management/legacy effects	Long-term forest dynamics biased due to lack of long-term or individual data for recruitment and mortality	(Baeten <i>et al.</i> , 2013, Evans & Moustakas, 2016)
Data available across Europe at specific sites	Long-term forest abundance or growth (palaeoecological data, tree ring and eddy flux responses) and disturbances	Not possible to predict climate change impacts for the entire European continent	(Anderegg <i>et al.</i> , 2015, Franz <i>et al.</i> , 2018, Williams <i>et al.</i> , 2018)
Extreme responses under- or over-represented	Forest inventory data or tree ring data	Unknown extreme forest responses or overestimation	(Anderegg <i>et al.</i> , 2015, Ruiz-Benito <i>et al.</i> , 2017b)

Extract meaningful and detailed information	Soil data and management	Missing interactions climate-soil and climate-legacy effects	(Härkönen <i>et al.</i> , 2019, Morán-Ordóñez <i>et al.</i> , 2019)
Cause-effect relationships are not available for a wide variety of conditions	Experimental data	Test forest responses for a variety of conditions	(Poorter <i>et al.</i> , 2016)

740

741 The lack of data on key mechanisms of forest responses to climate change either at high
742 spatial resolution or long temporal span at the European scale can strongly hamper
743 modelling of forest tree responses to climate change (Table 4). Local adaptation or
744 physiological data at high spatial resolution is missing at large spatial scales and
745 detailed resolution, but several efforts are being made to integrate available data such
746 as ecological genomics to climate change predictions (Fitzpatrick & Keller, 2015)
747 showing less alarming responses (Benito-Garzón *et al.*, 2019). Process-based models
748 require a wide range of data to adequately parameterise and evaluate them, ideally
749 consisting of a mix of stand or ecosystem conditions (e.g. stand structure, species
750 abundance) and specific mechanisms or processes (e.g. photosynthesis data required in
751 DGVM models, which ideally should come from controlled experiments, see Hartig *et al.*,
752 2012). In many cases, process-based models require large numbers of parameters
753 of physiological responses to climate, but these values are often known only for special
754 cases (Mäkelä *et al.*, 2000), or processes formulated for one region cannot be
755 extrapolated to other climates or larger extents (Morales *et al.*, 2005). Detailed
756 physiological, structural and ecosystem data are being gathered but rarely on the same
757 plot or at European extent (Table 2). The lack of accurate data about traits and
758 ecophysiological responses for individual species in e.g. hydraulic resistance,
759 photosynthesis or respiration has led to the generalisation of the parameters for a given

760 plant functional type, as e.g. depending on their shade-, flooding- or drought-tolerance
761 and nitrogen requirements (Bugmann, 2001).

762 Detailed data on tree mortality or recruitment is available at large spatial scales,
763 but it is generally missing at long temporal scales, which could bias long term
764 predictions. In fact, there are diverging findings on tree mortality between observational
765 data and model predictions (Allen *et al.*, 2015, Steinkamp & Hickler, 2015) and lack of
766 tree recruitment data is likely to hamper model predictions (Evans & Moustakas, 2016).
767 Furthermore, modelling forest responses to climate change might be affected by
768 sampling bias due to the under representation of large trees (Vieilledent *et al.*, 2009) or
769 extreme responses (Fisher *et al.*, 2008).

770 The short temporal span generally available in data is leading to predictions
771 under constant conditions and the common use of space-for-time substitutions, where
772 temporal patterns are inferred from a set of different aged sites (Pickett, 1989). Recent
773 studies suggest that space-for-time predictions provide similar results to time-for-time
774 predictions (Blois *et al.*, 2013, Rolo *et al.*, 2016). However, further research of forest
775 responses and predictions using “space-for-time” substitution should be a priority
776 because species are likely to show different responses to climate change due to
777 adaptation (e.g. Benito-Garzón *et al.*, 2011) or legacy effects (Johnson & Miyanishi,
778 2008).

779

780 **5. Conclusions: towards harmonised and freely available quality data to analyse**
781 **and model forest responses to climate change**

782

783 Despite the advances made, the main gap to better understanding and modelling of
784 climate change impacts on European forests lies in the scarcity of high-quality, freely-
785 available data with high spatial and temporal resolution that cover the main biological
786 processes that are affected by climate change (e.g. dispersal, physiology, biotic
787 interactions, demography, phenology and adaptation; Urban *et al.*, 2016, Cabral *et al.*,
788 2017). Open data exchange policies and research networks are leading to rapidly
789 increasing accessibility of ecological and environmental data over large spatial extents.
790 Data quality is often high, but observational data biases exist due to sampling effects,
791 different time intervals and under-representation of extreme conditions. There are
792 several examples of high-quality data at national, European or global extent that could
793 serve as models for future data infrastructures. At the national and continental level
794 forest inventories and the ICP databases are examples of systematically collected data
795 that are widely used to assess forest vulnerability to climate (e.g. ICP database, UNECE
796 & ICP Forests Programme Co-ordinating Centre, 2016). At global scales GFBI,
797 ITRBD, FLUXNET data (Aubinet *et al.*, 2012) and the TRY database (Kattge *et al.*,
798 2011) combine high-quality data with established quality and assessment controls.

799 The increasing availability of data will further allow us to investigate complex
800 mechanisms relevant for the assessment of forest impacts to climate change and to
801 integrate them in a wide variety of forest models. The main data priorities to improve
802 our understanding and model forest impacts to climate change are: (i) to maintain
803 monitoring in existing data networks and start targeted new monitoring that addresses
804 the identified gaps such as measuring climatic extremes and responses and to obtain
805 long-term high-quality data on critical biological mechanisms driving forest responses
806 to climate change, such as adaptation capacity, physiological responses, dispersal and

807 regeneration, and mortality; (ii) to promote the availability and provision of harmonised
808 freely-available databases and further develop the standardisation methods and quality
809 assessment approaches; (iii) to increase discussion and networking between those
810 scientists primarily involved in data collection and those in modelling and data
811 integration; (iv) to encourage data integration methods from different sources, because
812 they have the potential to use the existing information in the data more effectively and
813 provide detailed information at large spatial and long temporal scales that can be used
814 in different modelling frameworks.

815

816 **6. Author contributions**

817 F.H., A.L., A.M., C.P.O.R., P.R.-B., G.V., R.Y., M.A.Z Conceptualisation; J.C., P.R-
818 B. Literature review; A.P-O., M.B.-G., H.J.F.O., J.J.C., A.S.J., A.I., E.L., C.M-M., P.R-
819 B., S.R., G.V. Data review; all authors writing, review & editing.

820

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835

836 **8. Supporting Material**

837 **Appendix A.** Methods used for the literature review of climate change impacts on
838 forests.

839 **Appendix B.** Databases available across Europe regarding forest responses and drivers
840 of change including data description, spatial and temporal resolution, and accessibility
841 information.

842

843 **9. References**

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