

1 Article

2 **InGaN as a substrate for AC photoelectrochemical** 3 **imaging**

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12 **Abstract:** AC photoelectrochemical imaging at electrolyte-semiconductor interfaces provides
13 spatially resolved information such as surface potentials, ion concentrations and electrical
14 impedance. In this work, thin films of InGaN/GaN were used successfully for AC
15 photoelectrochemical imaging, and experimentally shown to generate a considerable photocurrent
16 under illumination with a 405 nm modulated diode laser at comparatively high frequencies and
17 low applied DC potentials, making this a promising substrate for bioimaging applications. Linear
18 sweep voltammetry showed negligible dark currents. The imaging capabilities of the sensor
19 substrate were demonstrated with a model system and showed a lateral resolution of 7 microns.

20 **Keywords:** Photoelectrochemistry; InGaN/GaN epilayer; cell imaging; light-activated
21 electrochemistry; light-addressable potentiometric sensor.
22

23 **1. Introduction**

24 Over the past three decades since first proposed by Hafeman et al. in 1988 [1], photocurrent
25 imaging with light-addressable potentiometric sensors (LAPS) has received increasing attention for
26 chemical and biological applications such as the detection of ions [2], redox potentials [3],
27 enzymatic reactions [4] and cellular activities [5][6][7]. By scanning a designated area of an
28 electrolyte–insulator–semiconductor (EIS) structure with a modulated light beam, spatiotemporal
29 AC photocurrent images with the two-dimensional distribution of analytes are produced [8][9].

30 To enhance the spatial resolution and photocurrent response, a wide range of semiconductor
31 substrates have been investigated. Silicon on insulator (SOI) [10][11], ultrathin silicon on sapphire
32 (SOS) [12] and semiconductor materials such as amorphous silicon, GaAs [13], GaN [14], TiO₂ [15]
33 and In-Ga-Zn oxide [16] were studied. SOS substrates exhibited a high resolution of 1.5 μm with a
34 focused 405 nm laser beam and a resolution of 0.8 μm using a two-photon effect with a 1250 nm
35 femtosecond laser [12]. SOS functionalized with self-assembled monolayers (SAMs) as an insulator
36 has been used for imaging of chemical patterns [17][18][19], microcapsules [20] and yeast cells [21].
37 Modifying silicon with SAMs terminated with redox active species allowed the imaging of photo-
38 induced redox currents [22].

39 Recently, ITO-coated glass without any insulator was proposed as a low cost and robust
40 substrate for photoelectrochemical imaging [23][24]. In the absence of an insulator, the AC
41 photocurrent is largely determined by the anodic oxidation of hydroxide making ITO-LAPS highly
42 sensitive to pH (70 mV/pH). Photocurrent imaging with ITO-LAPS showed a good lateral
43 resolution of 2.3 μm [23] and was confirmed to be sensitive to the surface charge of living cells [24].
44 ZnO nanorods were used as a substrate for ac photocurrent imaging to monitor the degradation of

45 a thin poly (ester amide) film with the enzyme α -chymotrypsin, also showing great potential in
46 biosensing and bioimaging applications [25]. However, a relatively high applied bias (1.5 V) was
47 required to achieve sufficiently high photocurrents with ITO and ZnO nanorods for two-
48 dimensional imaging, which could possibly interfere with cellular metabolism. Moreover, due to
49 low charge carrier mobility, both ITO and ZnO suffered a dramatic decrease in photocurrent with
50 increasing modulation frequency, resulting in a low working frequency of 10 Hz for imaging. This
51 could consequently limit their application for high-speed imaging which is required for the
52 investigation of cellular responses.

53 In this work, InGaN/GaN on sapphire was investigated as a new substrate for AC
54 photoelectrochemical imaging, aiming to solve the above-mentioned problems. InGaN is a
55 semiconductor alloy with a direct band gap that can be tuned from the near-infrared (0.6 eV, InN)
56 to the ultraviolet (3.4 eV, GaN) by adjusting the indium concentration. It has been used widely in
57 developing LEDs [26][27] and photovoltaic devices [28] owing to its strong light emission and
58 absorption and a wide range of band gaps. InGaN has also gained significant attention in
59 photoelectrochemistry. With band edges straddling oxygen and hydrogen redox overpotentials, p-
60 type GaN/InGaN nanowires have been investigated in water splitting [29] with advantages of high
61 carrier mobility, good chemical stability, and band gap tunability. GaN/InGaN nanowires have also
62 been shown to exhibit excellent optochemical and electrochemical sensor performance, achieving
63 the detection of pH [30], oxidising gases (O_2 , NO_2 and O_3) [31] through photoluminescence, and
64 electrochemical detection of nicotinamide adenine dinucleotide (NADH) [32]. In this work, it will
65 be shown that epitaxial layers of InGaN are suitable for photoelectrochemical imaging with good
66 lateral resolution and have great potential in bioimaging applications.

67

68 2. Experimental section

69 Materials.

70 The InGaN/GaN structure was grown on a two-side polished (0001) sapphire substrate in a
71 Thomas Swan 6x2" metalorganic vapour-phase epitaxy reactor using trimethyl gallium (TMG),
72 trimethyl indium (TMI), silane (SiH_4) and ammonia (NH_3) as precursors, while purified hydrogen
73 and nitrogen were used as the carrier gases. A 40 nm-thick low-temperature (580 °C) GaN
74 nucleation layer was followed by a 100 nm-thick n-type GaN layer deposited at 1060 °C in a
75 hydrogen atmosphere at a constant pressure of 100 Torr. The carrier gas was then switched to
76 nitrogen, the pressure ramped at 300 Torr and the temperature to 770 °C for the growth of the
77 100 nm-thick n-type InGaN epilayer.

78 All wet chemicals were purchased from Sigma-Aldrich. All solutions in this work were
79 prepared using ultrapure water (18.2 M Ω cm) from a Milli-Q water purification system (Millipore,
80 USA).

81 Preparation and characterisation of sensor chip.

82 The InGaN/GaN structure was cut into 5 mm \times 5 mm pieces. These were ultrasonically cleaned
83 with acetone, isopropanol and ultrapure water each for 15 min and blow dried with nitrogen. The
84 InGaN/GaN samples were kept at room temperature before use. The morphology of InGaN/GaN
85 was examined using a scanning electron microscope (SEM, FEI Inspect F). Ultraviolet-visible (UV-
86 vis) spectra were obtained using a UV-vis spectrometer (PerkinElmer, Lambda 950).

87 Linear sweep voltammetry (LSV).

88 LSV of InGaN/GaN was carried out in Dulbecco's Phosphate Buffered Saline (DPBS) solution
89 (pH 7.4) using an Autolab PGSTAT30/FRA2 electrochemical workstation (Windsor Scientific Ltd.,
90 UK). A platinum electrode and an Ag/AgCl (3 M KCl) electrode were the counter electrode and
91 reference electrode, respectively. The scan rate was 10 mV/s. A diode laser (λ = 405 nm, max
92 50 mW), chopped in 10 s intervals was used as the light source while recording the LSV curves.

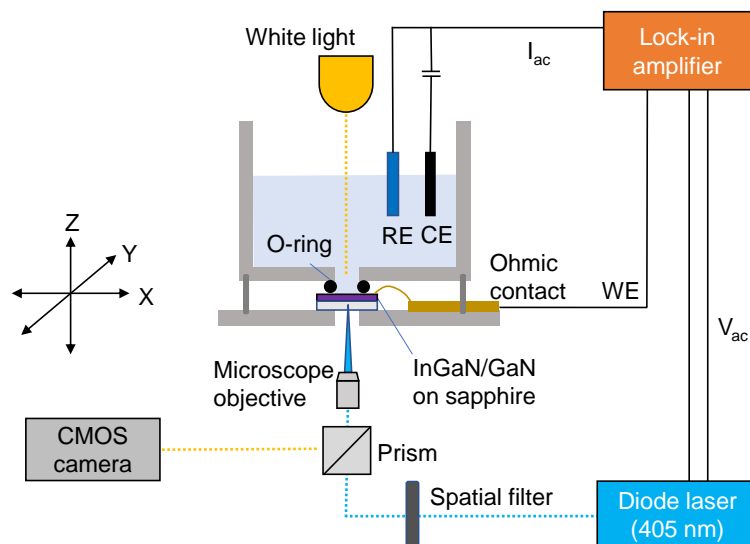
93 Cell culture.

94 Before seeding cells, InGaN substrates were sterilized with 70% ethanol and rinsed thoroughly
 95 with sterilized DPBS solution and blown dry. MG-63 human osteosarcoma cells were cultivated in
 96 Dulbecco's Modified Eagle's Medium (DMEM, Cat No D6429) supplemented with 10% Fetal
 97 Bovine Serum (FBS, Cat No F9665 and 1% penicillin-streptomycin (Cat No P4333) in an air jacketed
 98 incubator with 5% CO₂ at 37 °C with the medium changed every two days. At 70%-80% confluence,
 99 cells were trypsinized by using Trypsin-EDTA (Cat No T3924), and resuspended in 10 % FBS
 100 supplemented DMEM, seeded onto the InGaN surface at a concentration of 2.5×10⁴ cells/mL and
 101 incubated at 37 °C with 5% CO₂ for 24 h.

102 The cell viability was tested using a fluorescence live/dead assay (Thermo Fisher Scientific, cat.
 103 no.: L3224). MG-63 cells were seeded onto two pieces of InGaN (5 mm × 5 mm) assembled in the
 104 photoelectrochemical imaging chamber at a concentration of 9.4×10⁵ cells/mL and incubated at
 105 37 °C with 5% CO₂ for 24 h. One InGaN chip was subjected to a raster scan in DPBS while another
 106 stayed under ambient condition for the same time. Then, 0.5 mL of 2 μM calcein AM, 4 μM
 107 Ethidium homodimer-1 and 8.12 μM of Hoechst 33342 was used to detect the viability of the cells
 108 with and without AC photoelectrochemical imaging. Three different areas in each sample were
 109 checked using a fluorescence microscope (Leica DMI4000B Epifluorescence), and cell photos were
 110 then processed by Image J software for counting cells.

111 AC photocurrent imaging.

112 Figure 1 depicts the LAPS set-up used in this work. A diode laser LD1539 (Laser 2000, λ = 405
 113 nm, max 50 mW) intensity modulated at 1 kHz was used as the light source. The sample chamber
 114 was mounted onto an M-VP-25XL XYZ positioning system with a 50 nm motion sensitivity on all
 115 axes (Newport, UK). AC photocurrents were measured with an EG&G 7260 lock-in amplifier with a
 116 platinum electrode and an Ag/AgCl (3 M KCl) electrode acting as the counter and reference
 117 electrodes, respectively. DPBS (pH 7.4) was used as the electrolyte. Optical images of the sensor
 118 surface were obtained with a CMOS camera by illuminating the chip surface with white light from
 119 the front side. A drop of poly(methyl methacrylate) (PMMA) was deposited on the InGaN surface
 120 and dried overnight to obtain a model system for measuring the resolution.
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122

123 **Figure 1.** Schematic of the LAPS setup with a 405 nm diode laser to generate photo-induced charge
 124 carriers, lock-in amplifier to measure AC photocurrent, and an X-Y-Z stage to move the
 125 electrochemical cell with respect to the laser beam for imaging.

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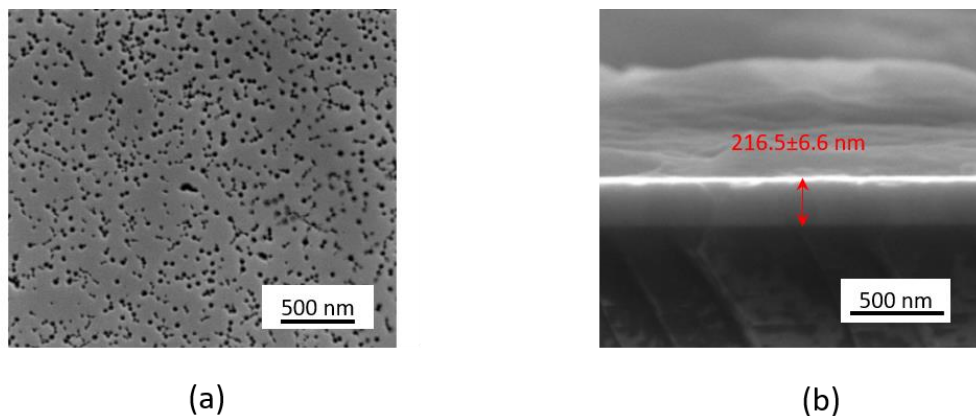
127 3. Results and discussion

128 Characterisation of InGaN/GaN epilayers on sapphire.

129 The SEM analysis of the InGaN/GaN structure is presented in Figure 2. The SEM top view in
 130 Figure 2a shows the InGaN surface with a high density of pits ($(2.26 \pm 0.08) \times 10^{10}$ pits/cm²) ranging
 131 between 20 nm and 50 nm in diameter, as some of the pits have merged. These “V-pits” are well
 132 known in InGaN growth and consist of an inverted hexagonal pyramid emanating from a threading
 133 dislocation formed at the sapphire/GaN interface. The pits open up during InGaN growth which
 134 takes place at relatively low temperatures [33]. The total thickness of the InGaN/GaN epilayer was
 135 about 216.5 ± 6.6 nm as shown in Figure 2b. Four-probe electrical measurements using soldered
 136 indium contacts showed a resistivity of $0.02 \Omega\cdot\text{cm}$ due to the n-type conductivity of the epilayers. A
 137 photoluminescence (PL) spectral map (Accent RPM2000, exc = 266 nm) of the 2-inch wafer showed
 138 a strong emission band centred at 448 ± 2 nm indicating an average indium fraction of ca. 17.5% [34].

139 Figure 3 shows the UV-vis absorption spectrum of InGaN/GaN. From the inset Tauc-plot [35],
 140 [36], a direct band gap of 2.77 ± 0.03 eV was determined, indicating that the charge carriers in
 141 InGaN/GaN are excited at wavelengths ≤ 448 nm, which is in good correspondence with the PL
 142 mapping result.

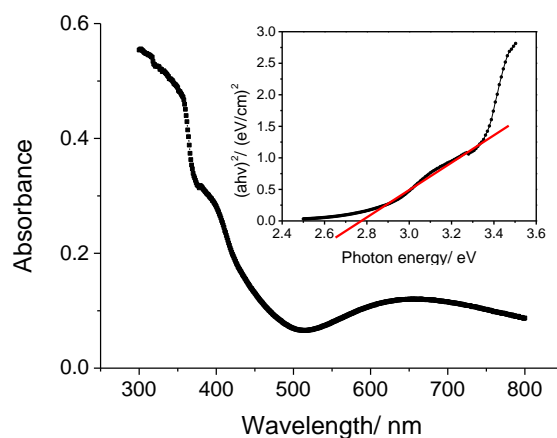
143 The DC photocurrent response of the InGaN/GaN sample was characterised with LSV. As
 144 shown in Figure 4, significant photocurrents were observed at anodic potentials ≥ 0 V. The dark
 145 current was negligible compared to the photocurrent. As with ITO substrates, the photocurrent can
 146 be ascribed to the oxidation of hydroxide ions in the solution. In contrast to ITO, the InGaN layers
 147 show a much lower onset potential of the photocurrent.
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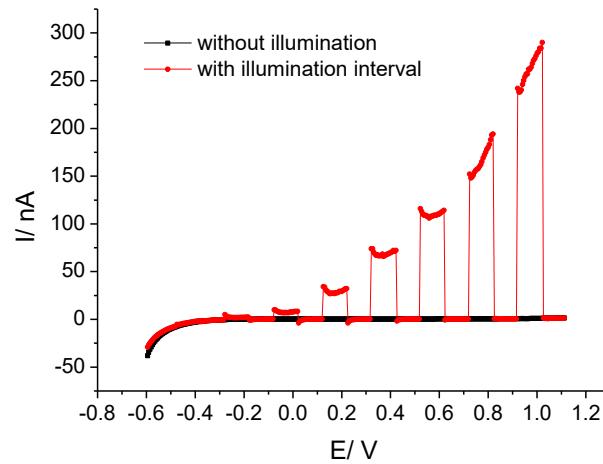
Figure 2. SEM images of InGaN/GaN: (a) top view and (b) cross-sectional view.



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Figure 3. UV-vis spectrum of InGaN and inset Tauc-plot.



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Figure 4. LSV curves of InGaN in the dark and with chopped illumination.

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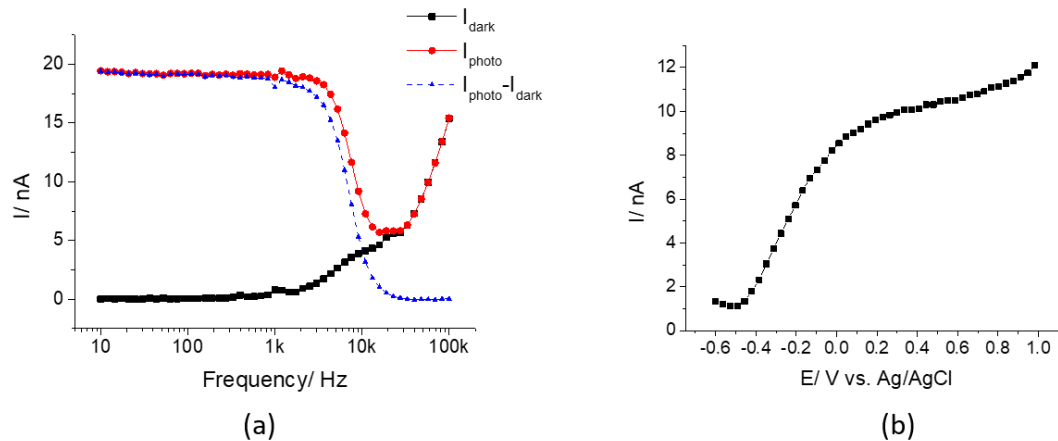
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Figure 5a shows the dependence of the AC photocurrent on the modulation frequency measured at 1.0 V with a focused laser beam. From 10 Hz to 3 kHz, the photocurrent did not change significantly with the frequency and then it decreased at higher frequencies. Significant photocurrents were obtained up to modulation frequencies of 10 kHz. The photocurrent became negligible at frequencies greater than 20 kHz. In contrast, the AC photocurrent measured with ITO and ZnO previously decreased continuously with increasing modulation frequency above 10 Hz for ITO and above 30 Hz for ZnO and became negligible at 7 kHz for ITO and 4 kHz for ZnO. This can be attributed to the significantly higher hole mobilities in InGaN [37] compared to those in ITO [38] and ZnO [39] as low-mobility minority charge carriers will not contribute to the AC photocurrent at high frequencies. In this work, 1 kHz was chosen as the modulation frequency since it could offer high quality images as well as demonstrate the potential for high-speed imaging.



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Figure 5. (a) Frequency dependence of the AC photocurrent and the background dark current measured at 1.0 V; (b) Characteristic I - V curve of InGaN/GaN measured in pH 7.4 DPBS at 1 kHz with a focused laser beam at 18% maximum intensity.

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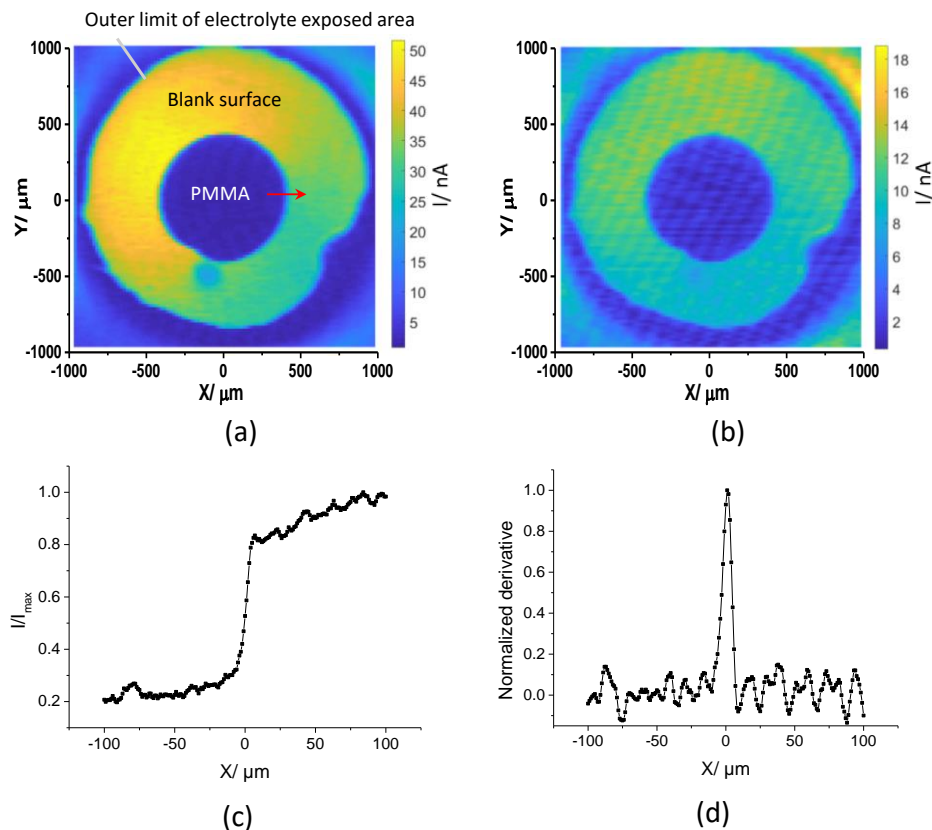
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Figure 5b shows the characteristic AC photocurrent–voltage (I - V) curve of InGaN/GaN in the voltage range -0.6 V to 1.0 V in pH 7.4 DPBS under the illumination of a focused laser beam (modulation frequency was 1 kHz). It shows that the photocurrent increased with the applied bias, to a value of 12 nA at 1.0 V. Even at 0 V, a photocurrent of 8.5 nA was observed. The low onset potential of InGaN/GaN is in accordance with its low flat band potential [40][41], indicating that the electrode can become depleted by applying a low bias, facilitating the separation of photo-induced charge carriers. Therefore, it provides the possibility for measurements at zero applied bias.

178 Photoelectrochemical imaging using InGaN

179 Figures 6a and 6b shows the photocurrent images of a PMMA dot on the InGaN surface with a
 180 modulation frequency of 1 kHz using a focused laser beam at a bias of 0.6 V and 0 V (vs. Ag/AgCl)
 181 respectively. The polymer dots were clearly observed in the photocurrent images, with decreased
 182 photocurrent values compared to a blank surface area owing to the high impedance of the PMMA
 183 dot. The image in Figure 6a shows a significant gradient of the photocurrent across the uncoated
 184 area exposed to electrolyte. This can be attributed to the sample not being mounted perfectly
 185 perpendicular to the incoming laser beam resulting in a change of the focused laser spot size across
 186 the sample. Where applications require imaging over a large area, a tilt module for straightening
 187 the sample would have to be integrated into the experimental setup. However, for imaging over
 188 small distances, this effect becomes negligible as will become clear in the next section. The images
 189 in Figure 6b and less obviously in Figure 6a display a periodic pattern in the photocurrent
 190 distribution. It is assumed that this is caused by a striation effect in the InGaN/GaN substrate
 191 similar to the one observed in silicon previously [42] It is worth noting that the ability to image at 0
 192 V will broaden the application of this technique in biological systems and also possesses an
 193 advantage from an energy aspect. To measure the lateral resolution, a photocurrent line scan
 194 the edge of the polymer film was recorded with a focused laser beam and 1 μm step size (Figure 6c).
 195 The lateral resolution is derived from the full width at half maximum (FWHM) value of the first
 196 derivative of the line [43] (Figure 6d), which is 7 μm for InGaN. This result could be due to a weak
 197 adhesion between PMMA and the InGaN surface, thus not giving a steep edge of the polymer, or
 198 light scattering within the structure. The diffusion length of minority charge carriers in InGaN
 199 should not affect the resolution as it is less than 200 nm and decreases with increasing In content
 200 [44]. Hence, InGaN is promising to produce photocurrent images with a higher resolution.



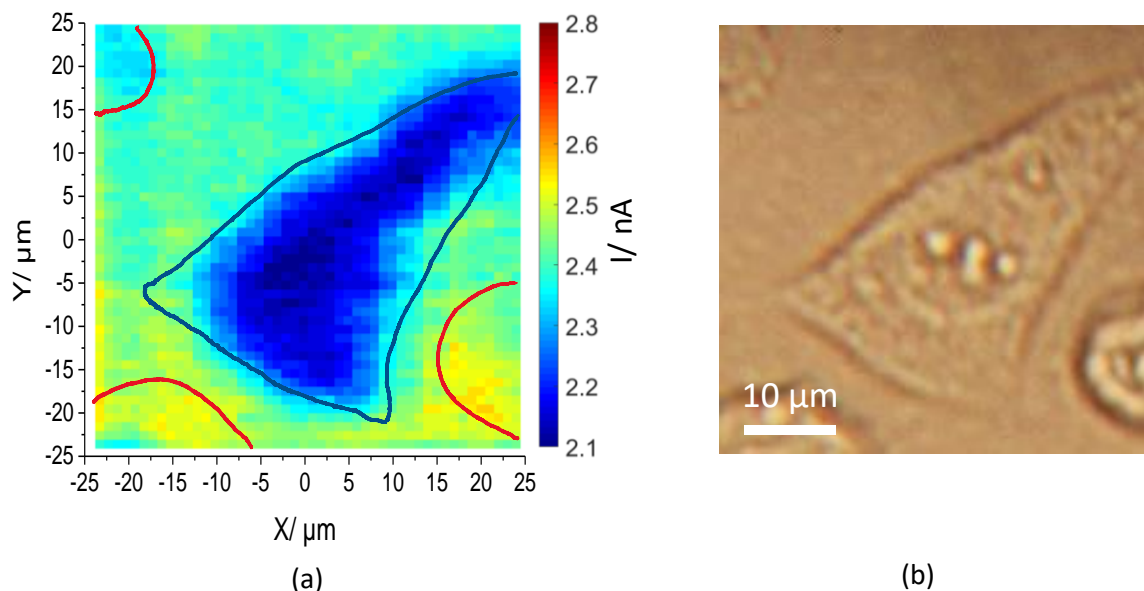
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202 **Figure 6.** AC photocurrent images of a PMMA dot on InGaN measured at 0.6 V (a) and 0 V (b); X
 203 axis line scan across the polymer edge (indicated by the red arrow in (a)) at 0.6 V (c) and its
 204 corresponding first derivative plot (d).

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206 Cell imaging on InGaN

207 Figure 7a shows a photocurrent image of an MG-63 cell seeded on the InGaN surface obtained
 208 at a bias of 1.05 V, with a light modulation frequency of 1 kHz. The cell profile is clearly observed,
 209 as the photocurrent is smaller in the cell attachment area than on the blank surface. Both,
 210 photocurrent image and the corresponding optical image (Figure 7b) show good correlation. Apart
 211 from the cell in the centre of the image (outline superimposed in blue in Figure 7a), another three
 212 cells are visible towards the edges (outlines superimposed in red in Figure 7a). As the latter cells are
 213 rounded, it can be assumed that they are not attached to the sensor surface and do therefore not
 214 cause a significant change in the local photocurrent. The photocurrent under a cell attached to the
 215 semiconductor surface is affected by the narrow gap (> 10 nm) formed between the cell membrane
 216 and the surface as described previously for cells cultured on ITO [24]. The photocurrent is caused
 217 by the oxidation of hydroxide. Transport of hydroxide to the surface is hindered by diffusion into
 218 the narrow electrolyte gap between cell and surface, thereby reducing the photocurrent under the
 219 cell. The negative surface charge of the cell causes an additional reduction in the transport of
 220 hydroxide ions to the surface. Hence, a correlation between the photocurrent and the cell surface
 221 charge was found [24].

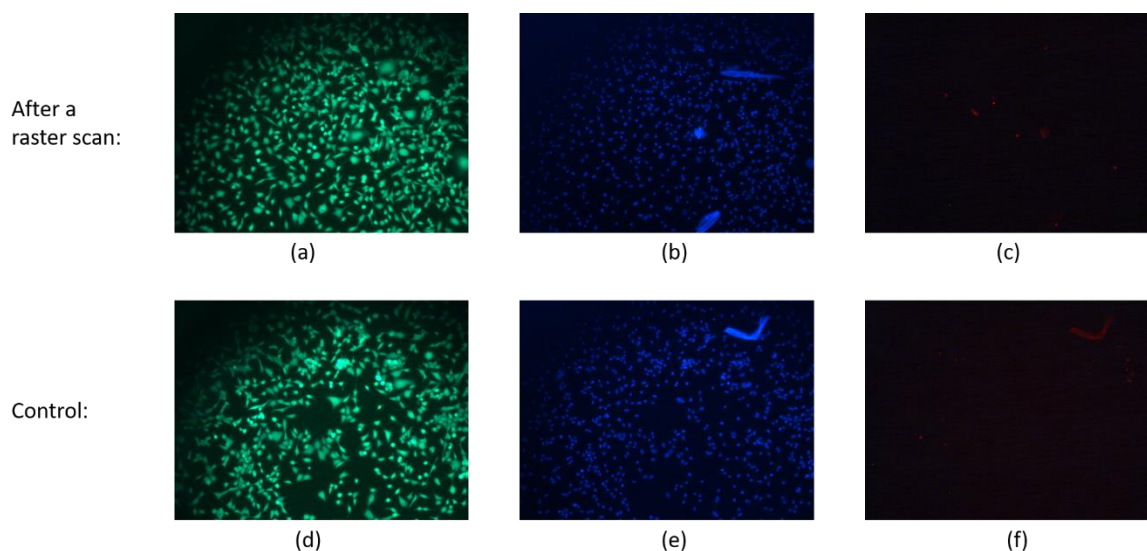


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223 **Figure 7.** (a) AC photocurrent image of a mesenchymal stem cell on InGaN surface (cell shapes from
 224 (b) superimposed in blue for an attached cell and red for non-attached cells) and (b) its
 225 corresponding optical image.

226 Cell viability.

227 To check the invasiveness of InGaN-based AC photocurrent imaging, cell viability for cells
 228 with and without AC photocurrent raster scan were tested (Figure 8). Calcein AM can permeate
 229 through intact cell membranes and react with the intracellular enzyme esterase, giving an intensely
 230 green fluorescence in live cells (excitation/emission 495 nm/515 nm). Ethidium homodimer-1 only
 231 passes through disrupted membranes, emitting intense red fluorescence in dead cells upon binding
 232 to nucleic acids (excitation/emission 495 nm/635 nm). Hoechst stain is a cell-permeant nuclear
 233 counterstain that emits blue fluorescence when bound to dsDNA (excitation/emission 350 nm/461
 234 nm) to determine cell numbers. Results shows that $98.92\% \pm 0.15\%$ MG-63 cells on the surface were
 235 viable after a photocurrent raster scan compared to $98.97\% \pm 0.11\%$ on a control sample, indicating
 236 this imaging technique has no negative effect on the cells.
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238

239 **Figure 8.** (a)(b)(c) Fluorescence microscope images of MG-63 cells taken after photocurrent
240 imaging, living cells with intact membranes appeared green, dead cells with collapsed
241 membrane appeared red, and the nuclei of the cells appeared blue. (d)(e)(f) Images of
242 MG-63 cells that were not subjected to imaging.

243

244 4. Conclusions

245 An $\text{In}_{0.175}\text{Ga}_{0.825}\text{N}/\text{GaN}$ structure on sapphire was investigated as a substrate for photocurrent
246 imaging without any modification. It showed a considerable photocurrent under illumination with
247 a 405 nm diode laser. Clear photocurrent images of a PMMA dot were obtained with a focused laser
248 beam at 1 kHz modulation frequency, indicating a unique advantage over ITO and ZnO studied
249 previously. In addition, photocurrent imaging at a low bias (0 V) was demonstrated and
250 photocurrent imaging of a cell was achieved, showing a great potential of InGaN for applications in
251 bioimaging and biosensing.

252

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257 Author contributions

258 B.Z. carried out the measurements and prepared the original draft. A.D. contributed to the
259 data analysis and edited the paper. M.J.K. fabricated the InGaN/GaN, carried out
260 photoluminescence and resistance measurements and co-wrote the paper. R.A.O., C.J.H and S.K.
261 contributed to the experimental design and the interpretation of data and co-wrote the paper

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375