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Title: Current understanding of innate immune cell dysfunction in childhood undernutrition

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Short title: Innate immune dysfunction in undernutrition

Abstract

Undernutrition affects millions of children in low- and middle-income countries (LMIC) and underlies almost half of all deaths among children under 5 years old. The growth deficits that characterise childhood undernutrition (stunting and wasting) result from simultaneous underlying defects in multiple physiological processes, and current treatment regimens do not completely normalise these pathways. Most deaths among undernourished children are due to infections, indicating that their anti-pathogen immune responses are impaired. Defects in the body's first-line-of-defence against pathogens, the innate immune system, is a plausible yet understudied pathway that could contribute to this increased infection risk. In this review, we discuss the evidence for innate immune cell dysfunction from cohort studies of childhood undernutrition in LMIC, highlighting knowledge gaps in almost all innate immune cell types. We supplement these gaps with insights from relevant experimental models and make recommendations for how human and animal studies could be improved. A better understanding of innate immune function could inform future tractable immune-targeted interventions for childhood undernutrition to reduce mortality and improve long-term health, growth and development.

Keywords: Undernutrition; Malnutrition; Innate Immune Cells; Inflammation; Enteropathy; Infections; Low- and Middle-income Countries (LMIC); Children

1 **Introduction**

2 In low- and middle-income countries (LMIC) childhood undernutrition manifests as growth
3 deficits which can result in a child being too short for their age (stunted; height-for-age Z
4 (HAZ) score <-2), and/or too thin for their height (wasted; weight-for-height Z (WHZ) score $<-$
5 2), which are both associated with a greater risk of all-cause mortality[1]. Severe acute
6 malnutrition (SAM), the most life-threatening form of undernutrition, is defined as $WHZ \leq -3$,
7 mid-upper arm circumference (MUAC) <11.5 cm and/or bilateral pitting oedema; when
8 associated with complications, hospitalised children have a very high mortality of 10-30%.
9 Collectively, undernutrition underlies an estimated 45% of all deaths among children under 5
10 years old[2]. Despite significant reductions in the prevalence and mortality burden of
11 undernutrition globally, stunting and wasting remain aggregated in the poorest regions of
12 Asia and Africa[3], with sub-national foci in South Asia[3] and nearly all sub-Saharan African
13 countries[4]. At current rates of progress, few African countries are on-target to meet the
14 Sustainable Development Goal of ending malnutrition in all its forms by 2030[4].
15
16 Stunting and wasting are the most readily measurable indicators of undernutrition, but these
17 anthropometric deficits result from underlying defects in multiple physiological processes[5].
18 The most life-threatening consequence of undernutrition is an increased susceptibility to
19 infections; the risk of infectious death increases incrementally among children with the
20 severity of wasting and stunting[1]. Children admitted to hospital with complicated SAM have
21 a high burden of bacterial, parasitic and viral infections and mortality is driven by a range of
22 species[6; 7; 8], highlighting that there is no single causative pathogen. After discharge from
23 hospital, infectious morbidity and mortality persists among children recovering from SAM[7;
24 9; 10]. One plausible interpretation is that immune defences against infection are impaired
25 during undernutrition and that resolution of these defects lags behind nutritional
26 rehabilitation. Therapeutically targeting defects in the immune system could provide a novel
27 way to reduce the burden of infections in undernourished children, since there is growing

28 evidence that undernutrition compromises immune-mediated defences[5]. However, despite
29 several decades of study, we still know remarkably little about the nature of immune
30 dysfunction or its long-term health implications for the 155 million stunted and 52 million
31 wasted children globally[11]. In particular, it is not known if/how the body's first line of
32 defence against pathogens – the innate immune system – adapts and contributes to the
33 undernourished state[5]. Restoration of impaired innate immune cell function may be a
34 necessary yet under-studied facet of nutritional rehabilitation.

35
36 In this review we will discuss the current evidence for the role of innate immune cell
37 dysfunction in undernutrition, focusing on children living in LMIC. We will draw on population
38 studies and supplement knowledge gaps from existing undernutrition research with insights
39 from experimental models. Our goal is to highlight the potential for innate immune cell
40 dysfunction to shape clinical outcomes of undernutrition and outline how future studies could
41 be used to improve our understanding of these pathways.

42

43 **Pathways shaping innate immune function during undernutrition in LMIC**

44 Undernutrition is most common among children with low dietary diversity and low energy
45 density in the context of a marginal, monotonous diet, leading to inadequate nutrient intake,
46 uptake and utilisation. However, diet is just one component of undernutrition, during which
47 there is simultaneous derangement of multiple physiological pathways that are key to
48 healthy growth. These include: 1) recurrent symptomatic infections and sub-clinical pathogen
49 carriage; 2) chronic systemic inflammation and immune activation; 3) impaired gut function,
50 enteropathy and dysbiosis of the gut microbiome[12; 13]; 4) metabolic derangement [14; 15];
51 5) dysregulated growth hormone axis[16; 17]; and 6) multiple macro- and micronutrient
52 deficiencies[18]. Undernourished children have often also been exposed to undernutrition *in*
53 *utero*: a principal predictor of postnatal growth is maternal nutritional status during
54 pregnancy, and length and weight at birth[19]. These influences combine to worsen growth
55 and developmental outcomes in early life[20]. The result is an adapted physiological state

56 shaped by the need to prioritise current survival in the face of these challenges over future
57 physiological potential. It is likely that immune functional capacity also adapts to the
58 undernourished state, since immune cells can directly sense infections and microbiome
59 components, inflammatory mediators, tissue damage, metabolites, growth hormones and
60 dietary nutrients[5]. Immune activation is energetically costly and can drive physiological
61 changes associated with undernutrition as well as being caused by them[5]. For example,
62 persistent low level inflammation is associated with reduced adiposity and lean mass
63 deposition among Gambian adolescents[21]. Micronutrient homeostasis can also be
64 influenced by infection and; for example, acute phase proteins inhibit intestinal iron
65 absorption and promote sequestration of circulating iron in macrophages to reduce
66 availability for extracellular pathogens, thereby causing iron-deficiency anaemia and altered
67 macrophage function[22]). The combined effect of undernutrition on immune cell function
68 and its impact on infectious susceptibility are not well characterised for children in LMIC.
69 This reflects both a lack of studies and the difficulty of teasing out causal pathways in the
70 context of multiple concurrent immune challenges. Studies among undernourished children
71 to-date have tended to focus on the immune system as a biomarker of undernutrition rather
72 than as an inherent part of the undernourished state[5].

73
74 In the past decade, substantial advances in experimental approaches to study the
75 microbiome have been used to demonstrate a causal association between dysbiosis in the
76 gut and malnutrition (extensively reviewed elsewhere; e.g. [13; 23]). However, despite the
77 reciprocal relationship between the immune system and the microbiome, few translational
78 studies of the microbiome of undernourished children in LMIC have assessed innate immune
79 cell function. Later in this review, we will discuss how existing malnutrition models could be
80 improved to understand microbiome-immune interactions.

81
82 An emerging paradigm that may be critical to our understanding of innate immune cell
83 function in undernutrition is innate immunological memory, which arises independently of T-

84 and B-cells, including process termed 'trained immunity'. Innate immune cells can be
85 'trained' by environmental exposures, improving their secondary response to infection[24].
86 For example, BCG vaccination is associated with heterologous protection against all-cause
87 mortality, including non-mycobacterial infections[25]. Human monocytes can also be 'trained'
88 by micronutrients *in vitro*. Addition of Vitamin A to monocyte cultures treated with BCG led to
89 an inhibitory histone methylation mark (H3K9me3) that suppressed monocyte cytokine
90 responses upon re-stimulation relative to monocytes treated with BCG alone[26]. Recent
91 studies of allergic airway inflammation [27], vaginal candidiasis[28] and cutaneous
92 wounding in mice[29] also provide a proof-of-principle that epithelial cell responses can
93 be 'trained'. 'Training' can also be deleterious, since innate immune cell adaptation to one
94 context may render cells less able to defend against distinct challenges. One example is the
95 'immunoparalysis' that can arise after sepsis, whereby innate immune cells down-regulate
96 pathogen recognition receptors, co-receptors and pro-inflammatory cytokines to prevent
97 immunopathology but, as a result, are hyporesponsive to new infections[30]. Maintaining
98 metabolic plasticity is necessary for immune cells to generate anti-pathogen responses
99 when challenged and this appears to be compromised in immunoparalysed cells. For
100 example, monocytes from healthy adults injected with endotoxin have a less pronounced
101 metabolic response to re-stimulation with endotoxin and an associated reduction in pathogen
102 killing *in vitro* 7 hours post-injection relative to monocytes isolated from donors without pre-
103 exposure to endotoxin[31]. Trained immunity may be one mechanism by which innate
104 immune cells adapt to the simultaneous derangement of microbial and nutrient exposures
105 during undernutrition. Children with SAM share many of the clinical features of sepsis, which
106 is a common clinical complication of SAM and a recognised stimulus for monocyte
107 training[31; 32; 33]. During sepsis, epigenetic modifications in monocytes down-regulate
108 pro-inflammatory cytokine production but upregulate alternative functional genes, including
109 antimicrobial peptides (AMP)[32; 33]. It is unclear whether adaptation of monocytes (or other
110 innate immune cell types) is affected by SAM. Stunting has been shown to alter histone
111 methylation patterns in blood cells from Bangladeshi children during the first 2 years of life,

112 including H3K4me3 marks at metabolic and immune gene sites[34]. Of the pathways
113 mapped to the H3K4me3-marked genes that were also positively associated with linear
114 growth, the immune system was the top hit and gene expression analysis identified
115 enrichment of innate responses including IL-6 and Toll-like receptor (TLR) signalling in
116 stunted children[34]. The implications of these changes for immune cell function and clinical
117 outcomes are unknown, but warrant further study.

118

119 **Biomarkers of an undernourished innate immune system**

120 Most immunology studies to-date have focused on soluble inflammatory mediators.

121 Undernourished children in LMIC have higher levels of inflammatory biomarkers than
122 adequately nourished children[16; 35] or after nutritional rehabilitation for SAM[36; 37].

123 Some studies have reported negative associations between a range of pro-inflammatory
124 mediators, including C-reactive protein (CRP; a liver acute phase protein), S100A12 (a gene
125 associated with pro-inflammatory leukocyte activation), soluble CD14 (a biomarker of
126 monocyte activation), calprotectin and myeloperoxidase (anti-microbial peptides enriched in
127 neutrophils), and neopterin (a protein released by activated macrophages), and height-for-
128 age Z scores[38; 39; 40; 41], weight-for-age Z scores[39; 40] and MUAC[42]. A causal role
129 for inflammation in stunting and wasting is thought to result from suppression of growth
130 hormone signalling (e.g. insulin-like growth factor (IGF) 1 [16; 43] and IGF binding protein
131 (IGFBP) 3 [16]) by inflammatory mediators, and/or the increased resting energy expenditure
132 incurred by chronic immune cell activation and inflammatory mediator synthesis. However,
133 immune biomarkers are non-specific and cannot provide information on the cellular source,
134 anatomical site or stimulus for their production. Furthermore, existing levels of inflammatory
135 mediators do not predict the capacity of innate immune cells to respond to subsequent
136 infectious challenge.

137

138 Even among children who are not clinically undernourished, the gut is a critical site of
139 inflammation in the context of high pathogen prevalence and marginal diets. In these

140 settings, an almost ubiquitous sub-clinical environmental enteric dysfunction (EED) is
141 present and associated with colonisation with pathogenic microorganisms, dysbiosis, innate
142 leukocyte recruitment and reduced gut functional capacity[12]. Not all children with EED are
143 malnourished, however reduced gut surface area and function due to EED may act as a
144 barrier to healthy nutrition among children with an adequate nutrient intake and during
145 therapeutic feeding of children who are already stunted and/or wasted[12; 44]. A systematic
146 review of the hypothesised pathways linking EED and stunting found evidence that intestinal
147 inflammation is associated with systemic inflammation and reduced linear growth[12], but the
148 mechanisms underlying this association are poorly characterised. The most frequently
149 measured biomarkers of intestinal inflammation are proteins associated with accumulation of
150 innate immune cells in the gut mucosa: myeloperoxidase, calprotectin, and neopterin[12]. In
151 a birth cohort study conducted in 8 LMIC (Malnutrition and the Consequences for Child
152 Health and Development; MAL-ED), the relationship between inflammatory biomarkers and
153 child growth was driven by sub-clinical enteropathogen carriage, with systemic inflammation
154 most strongly associated with reduced linear growth and intestinal inflammation more closely
155 associated with reduced ponderal growth[40]. The function of innate immune cells was not
156 characterised in MAL-ED and it therefore remains unclear whether inflammation is a by-
157 product of heightened infection or results from cellular dysfunction.

158
159 Studies among children hospitalised with SAM suggest that systemic and intestinal
160 inflammation independently contribute to mortality[8; 45; 46]. High levels of circulating CRP
161 at hospital admission were predictive of inpatient mortality in children admitted with SAM to
162 hospitals in Uganda[46] and Niger[45]. Among 79 children aged 6-59 months with
163 complicated SAM in Malawi, children who died during hospitalisation had higher levels of
164 faecal calprotectin and plasma inflammatory cytokines (Growth colony stimulating factor (G-
165 CSF), IL-6, TNF α , IL-1R α , IL-13, TNF β and IL-2)[8]. Plasma levels of the short-chain fatty
166 acids butyrate and propionate, which regulate inflammatory signalling, were also lower
167 among those who died[8]. Kenyan children with SAM who died within 60 days of hospital

168 discharge also had higher levels of TNF α , G-CSF, IL-8, IL-15, and interferon gamma-
169 induced protein 10 (IP-10) than those who survived without readmission to hospital for up to
170 1 year[47]. In the same study the plasma proteome profile of children who died indicated
171 elevated innate immune cell anti-pathogen responses and acute phase proteins[47]. These
172 studies make clear that both short- and longer-term mortality in complicated SAM has an
173 inflammatory component. However, the prevalence of infections among children enrolled in
174 these studies was high and many of the inflammatory biomarkers of mortality are also
175 increased during clinical and sub-clinical infections, even in adequately-nourished children.
176 Whilst elevated inflammation during childhood undernutrition in LMIC may simply indicate
177 patent infection, it is also possible that undernutrition-driven immune dysfunction increases
178 infectious susceptibility and/or worsens clinical outcomes among infected children. For
179 example, Versloot and colleagues found that pathogen carriage among children with
180 complicated SAM did not predict inpatient mortality and, whilst faecal calprotectin levels
181 correlated with pathogen carriage, systemic CRP levels did not[37]. The relative importance
182 of immune function and infection on the clinical outcomes of undernutrition remains an open
183 question, which cannot be resolved using non-specific inflammatory biomarkers alone. The
184 persistent burden of infectious mortality after nutritional rehabilitation from SAM, indicates
185 that the capacity of a child's immune system to respond to new infections should be
186 assessed alongside their existing levels of inflammation.

187

188 **Evidence for innate immune cell dysfunction in undernutrition**

189 Inclusion of functional analysis of immune cells in cohort studies of children in LMIC is
190 uncommon. Of the studies conducted, many demonstrate innate and adaptive immune cell
191 dysfunction during undernutrition (summarised in a systematic review by Rytter and
192 colleagues[48]). However, most are limited by their small sample size, varying definitions of
193 malnutrition, lack of appropriate controls, and cross-sectional designs; the majority of studies
194 pre-date current immunological techniques to resolve cell-type specific responses.
195 Furthermore, most existing studies of innate immune cell function in undernutrition have not

196 evaluated the clinical implications of the cellular defects identified. We are left with the
197 pertinent question: do defects identified in innate immune cell function leave undernourished
198 children more vulnerable to infectious morbidity and mortality, or are they merely a
199 consequence of defects in other physiological pathways[5; 49]?

200

201 The strongest evidence for innate immune cell dysfunction comes from hospital-based
202 cohort studies of complicated SAM[48]. However, disentangling the effects of infection and
203 undernutrition is often not possible in complicated SAM since the majority of children also
204 have symptomatic infections[6; 7; 8; 37]. Longitudinal studies provide an opportunity to
205 compare immune cell function between children at admission, where infections are present,
206 and during recovery where children are still wasted but infections have been treated with
207 broad-spectrum antibiotics. However, characterising immunological recovery is challenging
208 since 'healthy' immune function is poorly defined and sub-clinical pathogen carriage[50; 51;
209 52] and enteropathy[53] are common even among adequately-nourished children in LMIC.
210 Some studies have enrolled adequately-nourished children from high-income countries (HIC)
211 as controls, but their utility in determining immunological thresholds for children in LMIC is
212 limited by their vastly different developmental and environmental exposure histories. For
213 example, the innate immune system has developed under intense selection pressures from
214 infectious diseases and there are clear regional distinctions between innate immune cell
215 ontogeny and function that are dependent on local pathogen exposure patterns[54; 55; 56].
216 Of particular relevance to evaluating innate immune cell function in African cohorts, selection
217 against erythrocyte expression of Duffy antigen (also called atypical chemokine receptor 1)
218 by endemic exposure to *Plasmodium* parasites has shaped a distinct myelopoietic niche in
219 the bone marrow resulting in a characteristic neutropenia among the majority of people of
220 African ancestry[56]. A further socio-economic challenge to longitudinal studies of immune
221 function in children with complicated SAM is that they tend to come from marginalised
222 families with high mobility, meaning that follow-up rates are often low[7; 57; 58].

223

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224 HIV overlaps with malnutrition, and shares many common underlying pathogenic pathways
225 (e.g. chronic inflammation, immune activation and enteropathy). The interaction between
226 HIV, inflammation and undernutrition was demonstrated in a recent study of children starting
227 antiretroviral therapy in Uganda and Zimbabwe[59]. A proportion of children with advanced
228 baseline immunosuppression and low WAZ were hospitalised for complicated SAM several
229 weeks into ART, and this clinical deterioration was independently associated with
230 concentrations of several inflammatory biomarkers (e.g. IL-6 and TNF α)[59]. HIV therefore
231 impacts both immune function and nutritional status[58], meaning that insights into immune
232 function from studies of undernourished children conducted prior to routine HIV testing (e.g.
233 [60; 61; 62; 63; 64; 65; 66; 67; 68]) or including HIV-positive children (e.g. [69; 70]) cannot
234 be generalised to an effect of undernutrition *per se*. Children with SAM and HIV have four-
235 fold higher mortality than HIV-uninfected children with SAM and three times higher mortality
236 than would be expected from their anthropometry alone[71]. Carefully designed studies that
237 stratify undernourished and adequately-nourished children by HIV status are therefore
238 required to better understand the independent effects of both conditions on innate immune
239 function.

240
241 No studies to our knowledge have assessed innate immune cell function in stunting in LMIC
242 beyond soluble inflammatory biomarkers (discussed above). There has also been limited
243 assessment of innate immune cell function in undernourished tissues, with an
244 understandable majority of studies focusing on more readily accessible innate immune cells
245 in peripheral blood. Despite the limitations of existing studies, a number demonstrate innate
246 immune cell dysfunction in undernutrition (summarised in **Figure 1A**) and there is emerging
247 evidence that this exacerbates the undernourished state[5].

248

249 ***Epithelial cells***

250 Epithelial cells have a critical role in innate barrier defence. They sense changes in the
251 diet, microbiome and enteropathogens, and respond to these signals by secreting AMP

252 and transmitting signals that recruit leukocytes from the blood and maintain local niches
253 for gut-resident immune cells. In the healthy gut, the epithelium can compartmentalise
254 apical (from the gut lumen) and basal (from the lamina propria) signalling via polarised
255 expression of receptors and tight regulation of membrane permeability.
256 Immunohistochemical analysis of intestinal biopsy specimens indicates that this regulation
257 by epithelial cells is disrupted in children with SAM (summarised in **Figure 1B**).
258 Mechanical damage to the epithelial barrier ranges from localised abrasions and loss of
259 tight junction proteins between epithelial cells to widespread reductions in epithelial
260 surface area due to villous atrophy[72]. There is an associated increase in leukocyte
261 infiltration into the lamina propria[53; 72], which may be driven by a number of signals,
262 including damage-associated molecular patterns (DAMPs) and chemoattractants released
263 by activated epithelial cells and tissue-resident immune cells. One consequence of these
264 epithelial changes is increased gut permeability[12; 72; 73], which can be measured
265 indirectly by increased urinary excretion of compounds that are usually non-absorbable
266 (e.g. lactulose) and/or increased blood levels of bacterial antigens that would usually be
267 retained on the apical side of the epithelium in the gut lumen (microbial translocation)[12].
268 Intestinal permeability can be increased both directly via gastrointestinal infections, as
269 seen among undernourished children with sub-clinical enteropathogens or diarrhoea[12;
270 72], and indirectly via pro-inflammatory signals resulting from infections outside the
271 gut[74]. Recurrent clinical and sub-clinical gastrointestinal infections and ongoing
272 systemic inflammation may therefore worsen gut barrier function and nutrient absorptive
273 capacity during undernutrition.

274

275 Few human studies have directly assessed epithelial cell function in undernutrition.
276 However, one study identified greater immunohistochemical staining for the immune
277 regulating cytokine TGF β in epithelial cells (as well as T cells) in gut biopsy specimens
278 from severely undernourished Gambian children than those from adequately-nourished
279 controls from the same community[75]. Epithelial cell responses have been demonstrated

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280 in more detail in experimental animal models of undernutrition[76; 77; 78; 79], though few
281 models consistently recapitulate the villous atrophy and leukocyte infiltration observed in
282 intestinal biopsies from human undernutrition[72]. The sub-clinical features of children in
283 LMIC which may contribute to undernutrition, such as EED and milder growth defects, are
284 particularly challenging to model in the laboratory without overt pathogen challenge[79].
285 However, in a model of colitis resulting from food poisoning, delivery of recurrent non-
286 lethal doses of *Salmonella enterica* serovar Typhimurium to adequately-nourished mice
287 progressively depleted gut epithelial cell surface expression and secretion of intestinal
288 alkaline phosphatase[80] – a mechanism which detoxifies bacterial endotoxin and limits
289 pro-inflammatory signalling via the endotoxin receptor TLR4. Recurrent infections
290 occurring in children with EED may also deplete intestinal epithelial cell anti-inflammatory
291 mechanisms, and thus compromise gut functional capacity and/or promote training of
292 local leukocytes. Undernourished animals also display an altered epithelial immune
293 response to infections. Growth defects in undernourished weanling mice are
294 accompanied by decreased epithelial turnover and increased epithelial cell apoptosis in
295 the jejunum[77]. Murine gut epithelial cells also fail to proliferate or activate caspase-3-
296 driven apoptosis of infected cells to the degree observed in adequately-nourished
297 animals, resulting in higher parasite numbers in the ileum during *Cryptosporidium*
298 infection[76]. Intestinal epithelial cells isolated from gnotobiotic pigs with protein energy
299 malnutrition (PEM) and colonised with the faecal microbiota of healthy human infants
300 have reduced mRNA expression of MUC2 and Villin, which encode critical barrier proteins
301 found in enteroendocrine cells and brush border enterocytes, respectively[78]. After
302 exposure of the piglets to rotavirus, epithelial cells from undernourished piglets expressed
303 lower MUC2, Villin, chromogranin A, proliferating cell nuclear antigen and SRY-Box 9 than
304 adequately-nourished rotavirus-infected controls[78]. Defects in the intestinal barrier were
305 associated with greater translocation of *Clostridium perfringens* type A and *Escherichia coli*
306 to the liver, kidney, lung, and peritoneal cavity and delayed clearance of rotavirus

307 infection[78]. Thus, barrier defects in undernutrition are exacerbated by defects in epithelial
308 cell function, which contribute to increased duration and severity of infection..

309

310 Disrupted epithelial cell responses have also been observed in enteropathy associated
311 with other health conditions. For example, diabetic enteropathy in adults causes defects
312 in colonic epithelial stem cell mobilisation due to reduced levels of circulating IGF-1 and
313 IGFBP3[81], both of which are also low in children with stunting[16; 38]. Furthermore,
314 short periods of dietary restriction (fasting for 32 and 72 hours), alter epithelial AMP
315 production, reducing Reg3 β , Reg3 γ and Saa1 mRNA, but retaining α -defensin[82]. It is
316 therefore plausible that epithelial cells in the gut, and potentially at other barrier sites,
317 functionally adapt to malnutrition enteropathy in a way that changes their secondary
318 responsiveness to damage and infection. It is not known whether such compensatory
319 epithelial responses can be maintained with more severe and/or longer-term nutrient
320 deficiency and concurrent physiological defects during childhood undernutrition in LMIC.
321 However, correcting epithelial cell function may be necessary to restore chronic barrier
322 defects in undernutrition.

323

324 **Granulocytes**

325 Granulocytes are the most studied innate immune cell type in childhood undernutrition in
326 LMIC[48]. 19 of the 29 studies of innate immune cell types conducted between 1970 and
327 2014 focused on granulocytes[48]; of these, 8 focused on neutrophils and none isolated
328 basophil, eosinophil or mast cell functions[48]. Neutrophils respond rapidly to stimuli by
329 accumulating at the site of damage or infection and releasing pre-formed granules
330 containing AMP and pro-inflammatory mediators. Although principally known for their pro-
331 inflammatory functions, neutrophils also play a role in tissue homeostasis and resolution of
332 inflammation[83], which has not been investigated in human undernutrition. The few
333 undernutrition studies that have obtained gut biopsy specimens demonstrate that
334 granulocyte numbers are markedly expanded in mucosal tissue, but this is most likely due to

335 unresolved infection and there is limited evidence for abnormal numbers of circulating blood
336 granulocytes caused by undernutrition *per se* (reviewed by Rytter and colleagues[48]).

337

338 One of the main functions of neutrophils is to migrate from the blood into tissues in response
339 to chemokine gradients and accumulate where chemokine concentrations are highest.

340 Studies conducted in the 70s were the first to show that blood neutrophils isolated from
341 undernourished children have reduced chemotaxis[61; 62], but the cause of this impairment
342 is disputed. These studies were also subject to methodological limitations due to the
343 propensity for neutrophils to become rapidly activated during laboratory handling, particularly
344 using older isolation and culture methods. Chemotactic deficits have also been observed in
345 total granulocyte populations during undernutrition[66; 84; 85], with similar methodological
346 limitations. Reduced chemotaxis of granulocytes from HIV-negative Mexican children with
347 SAM were restored after 4 weeks of nutritional therapy relative to hospital admission[85],
348 although there was no healthy control group included in the study to determine whether
349 responses fully normalised. Results from a small study (n<10 per group) of adults with
350 visceral leishmaniasis also demonstrated that wasting reduced biomarkers of granulocyte
351 chemotactic capacity, including lower surface expression of selectins and integrins (CD62L
352 and CD11b) and lower granulocyte chemoattractant secretion (IL-8 and MIP1 α)[84]. Whilst
353 these studies in separate cohorts broadly agree that granulocytes migrate less efficiently
354 during undernutrition, early observations require further validation using more reliable
355 assays, larger cohorts and systematic separation of the effects of infection versus nutritional
356 status.

357

358 Upon arrival at the site of tissue damage or infection, granulocytes engulf pathogens via
359 phagocytosis and secrete intracellular and extracellular AMP and ROS to enhance clearance
360 of infection. Reported effects of undernutrition on the phagocytic ability of granulocytes are
361 inconsistent; some studies show that phagocytosis is unaffected[60; 61; 66; 86], whilst
362 others observed reduced phagocytosis[85; 87]. Inconsistencies between studies may reflect

363 differences in assay choice as well as the distinct geographical, age and disease contexts in
364 which the studies were performed. There is more consistent evidence that granulocyte anti-
365 microbial functions are reduced by undernutrition, with evidence for lower *in vitro* production
366 of ROS[64; 66; 84; 86; 87] and impaired *in vitro* killing of *Candida*[66] and bacteria[60; 87;
367 88]. Among children hospitalised with kwashiorkor in Cote d'Ivoire, bactericidal activity of
368 peripheral blood phagocytic cells (mononuclear cells and granulocytes were not
369 distinguished) against *E. coli* and *S. aureus* during the first 30 minutes of co-culture was
370 normal when compared to healthy controls from the same community, but reduced after 60
371 minutes[60]. Assessment of ROS production by granulocytes from undernourished children
372 using the nitroblue tetrazolium test has yielded inconsistent results across studies[48].
373 Reports of impaired metabolic[61; 66] and antimicrobial responses[64; 66] during
374 phagocytosis suggest that granulocytes may have an impaired capacity for intracellular
375 killing even if phagocytic uptake is preserved. Several studies which simultaneously
376 assessed multiple granulocyte functions indicate that some are impaired whilst others are
377 intact [60; 61; 66], indicating that undernutrition may not uniformly reduce granulocyte anti-
378 microbial functions.

379
380 Basophils and eosinophils have distinct roles from neutrophils and are critical for defences
381 against parasitic worms (helminths) and during allergic responses[89]. Helminth infections
382 are highly prevalent in LMIC and can directly drive nutritional deficits through feeding on gut
383 luminal contents, blood and circulating nutrients[90]. These parasites may also exacerbate
384 undernutrition indirectly through mechanical damage to the gastrointestinal tract through
385 feeding (e.g. hookworm), egg deposition in tissues (e.g. *Schistosoma mansoni*) or luminal
386 obstruction in high-intensity infections (e.g. *Ascaris*)[90]. There is some evidence that
387 undernutrition also increases the risk of helminth infections[91], but no studies have
388 investigated the impact of childhood undernutrition on the anti-helminth responses of
389 granulocytes. In rats, PEM impaired systemic and mucosal immune responses to *Trichinella*
390 *spiralis*, including reduced circulating eosinophils[92]. However, vitamin D deficiency

391 increased spontaneous activation of eosinophils in the lamina propria[93]. A study among
392 children in the USA found that allergic responses during atopic dermatitis, including elevated
393 eosinophil and basophil counts, were associated with low bone mineral density, as was a
394 body-mass-index <5th centile (i.e. underweight)[94]. These studies indicate that basophil
395 and eosinophil dysfunction warrant further study in human undernutrition, where their
396 dysfunction may have knock-on effects on associated risk factors including helminth
397 infection, gut function and bone density.

398

399 Mast cells also play a role in anti-helminth responses and allergy, however their localisation
400 in connective tissue has been a barrier to studying their function in undernourished cohorts.
401 Animal models suggest that mast cell accumulation may be deregulated by macro- and
402 micronutrient deficiencies in ways that reduce anti-helminth responses and increase
403 inflammatory disease. In PEM rats infected with *T. spiralis*, the impaired systemic and
404 mucosal immune response to infection was also associated with fewer mast cells in airway
405 and intestinal mucosae[92]. During magnesium deficiency, which exacerbates liver fibrosis in
406 a rat model, depletion of mast cell numbers in the ileum, kidney and bone marrow occurred
407 alongside increased mast cell accumulation and functional gene expression (α - and β -chain
408 high-affinity IgE receptors, mast cell protease 1 and 2) in the liver[95].

409

410 ***Monocytes, Macrophages and Dendritic cells***

411 The mononuclear phagocyte system, made up of monocytes, macrophages and dendritic
412 cells (DC), bridges the gap between the innate and adaptive immune system. These
413 'professional' antigen-presenting cells are critical for detection, uptake and processing of
414 pathogen antigens, which they use to activate antigen-specific T cells in secondary lymphoid
415 organs. Maturation of mononuclear phagocyte antigen-presenting capacity can be monitored
416 by upregulation of membrane expression of major histocompatibility complex (MHC; e.g.
417 HLA-DR) and co-stimulatory molecules (e.g. CD86, CD80) in response to pathogen
418 antigens.

419

420 Monocytes are innate effectors and precursors to some types of macrophages and DC.

421 Monocyte maturation is accelerated in the context of sepsis[32; 96] and experimental

422 exposure of healthy adult volunteers to intravenous endotoxin[97; 98]. Within circulating

423 monocyte populations, so-called classical monocytes (CD14^{hi}CD16⁻) mature into

424 intermediate (CD14^{hi}CD16⁺) and then non-classical sub-types (CD14^{lo}CD16⁺), reflecting a

425 shift in their function. A variety of inflammatory diseases are associated with expansion of

426 the intermediate monocyte population[98; 99]. Monocyte ontogeny and sub-types have not

427 been characterised in human undernutrition. Furthermore, initial steps in pathogen

428 recognition by mononuclear phagocytes (or any immune cell type) and their pathogen

429 recognition receptor repertoire during undernutrition are unknown[5; 48]. Alternative

430 monocyte functions are better characterised. For example, when compared to healthy

431 controls, a lower proportion of monocytes from HIV-negative Brazilian children with SAM

432 phagocytosed *Saccharomyces cerevisiae* and they also produced lower amounts of nitric

433 oxide and superoxides[100]. The defect in ROS production was partially restored by

434 nutritional rehabilitation, but remained lower than controls throughout the study[100].

435 Reduced monocyte phagocytosis has also been observed in Egyptian infants with

436 undernutrition[101]. Complement components and the opsonising ability of serum are

437 reduced in undernourished children[63; 101], which may contribute to impairments in

438 phagocytic activity. Such defects in the interactions between monocytes and pathogens

439 might be expected to impair clearance of infection by monocytes themselves and

440 compromise T cell priming due to delayed antigen processing.

441

442 A single study has investigated DC phenotype and function during childhood undernutrition

443 in LMIC. Children admitted to hospital with SAM in Zambia had low numbers of myeloid DC

444 at admission and this population of cells expanded over the course of nutritional

445 rehabilitation[69]. Children with low baseline DC numbers also had defects in monocyte

446 function (lower HLA-DR expression and endotoxin-induced TNF α production *in vitro*) and an

447 increased risk of mortality compared to children with normal DC numbers[69]. The antigen-
448 presenting capacity of DC from most children matured normally in response to endotoxin
449 stimulation *in vitro*; however, DC from a sub-set with endotoxemia (~17%) did not upregulate
450 HLA-DR[69]. A role for endotoxemia in driving DC dysfunction during undernutrition was
451 supported by assays showing that the T cell priming capacity of DCs was inversely
452 associated with circulating endotoxin levels[69]. Defects in DC function during undernutrition
453 have also been demonstrated in murine models. For example, PEM reduced splenic DC
454 numbers and impaired their ability to prime virus-specific T cells in response to the hepatitis
455 B vaccine[102]. Provision of the DC growth factor Flt3 ligand restored delayed-type
456 hypersensitivity responses in a murine model of weanling undernutrition[103], an indicator
457 that impaired responses were partially due to defective DC expansion. In undernourished
458 mice infected with *Leishmania donovani*, fewer monocyte-derived DC, but not migratory DC,
459 accumulated in the skin-draining lymph nodes and there was lower lymph node expression
460 of some DC chemokines and DC expression of the chemokine receptor CCR2[104]. In
461 contrast, DC and macrophages that migrated to the lymph nodes via alternative chemokine
462 signals were increased[104], suggesting that the relative roles of DC sub-types may change
463 during undernutrition to alter the anti-pathogen response and/or compensate for defective
464 DC migration. In piglets fed a protein-deficient diet, the frequency of plasmacytoid DC and
465 CD103+ DC numbers were lower in the duodenum and ileum but normal in the spleen[78],
466 which also indicates that DC trafficking may differ between physiological sites. The effect of
467 undernutrition on mononuclear phagocyte recruitment to barrier sites in humans is currently
468 unknown.

469

470 Although some macrophages derive from circulating monocytes, many tissue-resident
471 macrophages are seeded during embryonic development[105]. The basic biology of these
472 distinct macrophage populations is an emerging field that has not been translated to cohort
473 studies of childhood undernutrition in LMIC. However, several experimental animal models
474 demonstrate impairments in tissue macrophage function during PEM, including reduced

475 numbers in the peritoneal cavity, lymph nodes and alveoli, and reduced phagocytosis and
476 endotoxin-responses similar to those seen in human blood monocytes (reviewed by Ibrahim
477 and colleagues[106]). PEM in pregnant rats also led to differences in the alveolar
478 macrophage responses of their offspring; macrophages isolated from pups of malnourished
479 dams had lower expression of TLR9 and higher expression of NF κ B (a transcription factor
480 down-stream of TLR mediating pro-inflammatory cytokine signalling) when cultured with
481 *Staphylococcus aureus* than those isolated from pups of protein-sufficient dams[107].

482

483 In addition to their anti-pathogen responses, mononuclear phagocytes directly sense
484 micronutrients via surface receptors. Micronutrient deficiencies drive changes in monocyte,
485 macrophage and DC sub-sets, their distribution and function (summarised elsewhere[5]). For
486 example, iron transport in macrophages influences their antibacterial responses[22]. Vitamin
487 A treatment during *in vitro* monocyte activation leads to an incremental reduction in pro-
488 inflammatory cytokine production mediated via inhibitory histone modifications at cytokine
489 gene promoter sites[26]. In blood samples from healthy adult volunteers, *in vitro* vitamin D
490 supplementation had distinct effects on pro-inflammatory endotoxin signalling in monocytes,
491 monocyte-derived macrophages and monocyte-derived DC, with increased phosphorylation
492 of the pro-inflammatory transcription factor NF κ B in monocytes, no effect on macrophages
493 and inhibition in DC[108]. Micronutrient deficiencies are highly prevalent in LMIC across the
494 spectrum of undernutrition[19; 109]; their impact on innate immune cell micronutrient sensing
495 in this context has not been characterised.

496

497 **Natural Killer cells**

498 Natural Killer (NK) cells are innate lymphocytes that can rapidly respond to virus-infected
499 and cancerous cells independently of antigen presentation via MHC or antibodies. Studies of
500 undernourished children in sub-Saharan Africa have found lower NK cell numbers than in
501 adequately-nourished children, despite similar NK cell proportions (summarised by Rytter
502 and colleagues[48]). In a small number of undernourished Mexican children with infections,

Innate immune dysfunction in undernutrition

503 NK cell numbers were also lower than adequately-nourished children with or without
504 infections; numbers were not restored by nutritional rehabilitation[110]. Defects in NK cell
505 numbers in a Nigerian cohort coincided with low serum interferon levels and
506 hyporesponsiveness to interferon stimulation *in vitro*[111]; both defects normalised after
507 nutritional recovery[112]. Interferon production and NK cell responses are a hallmark of
508 innate anti-viral responses and NK-derived IFN γ promotes Th1 polarisation of CD4 $^+$ T cells,
509 promoting anti-bacterial and anti-viral adaptive immunity. A genome-wide association study
510 of children in Malawi and Kenya found that STAT4 polymorphisms were associated with an
511 increased risk of non-typhoidal salmonella, which was related to low IFN γ production by NK
512 cells and decreased NK cell responsiveness to IFN γ during infection[113]. The association
513 between NK cell function and the risk of salmonellosis has not been investigated in
514 undernutrition, however non-typhoidal salmonella is common in children with complicated
515 SAM at hospital admission[6; 8] and during suspected sepsis[7], suggesting that NK cell
516 dysfunction may contribute to their risk of salmonellosis.

517
518 Although data on NK cell function in human undernutrition are limited, animal models have
519 again been useful to provide a proof-of-principle that NK cell dysfunction may contribute to
520 adverse outcomes. In rotavirus-infected piglets, NK cell numbers were lower in the spleen,
521 duodenum and ileum of protein-deficient animals compared to protein-sufficient animals and
522 NK cells from protein-deficient animals had a reduced capacity to kill a cancer cell line *in*
523 *vitro*, even when NK-to-tumour cell ratios were high[78]. Defects in NK cells and other cell
524 types (discussed above) in the protein-deficient piglets were associated with higher peak
525 viraemia and longer periods of diarrhoea during rotavirus infection, which coincided with
526 greater mortality, weight loss and intestinal barrier dysfunction[78].

527
528 Whilst calorie restriction without undernutrition is thought to improve longevity in high-income
529 settings[114], animal studies suggest that calorie-restricted NK cells are less effective in the
530 context of infection. Calorie restriction led to elevated *in vitro* production of TNF α and GM-

531 CSF but reduced IFN γ by NK cells activated with cytokines (IL-2 plus IL-12) or antibody
532 ligation of NK1.1 surface antigens relative to NK cells isolated from *ad libitum*-fed
533 controls[115]. A murine model of influenza demonstrated that mortality was greater in
534 calorie-restricted versus *ad libitum*-fed animals due to NK cell defects[116], which were
535 rapidly restored by re-feeding[117]. It is plausible that NK cell dysfunction resulting from
536 chronic undernutrition or more severe nutritional deficits, such as during SAM, may also lead
537 to impaired protection against common pathogens via similar mechanisms. Lower pathogen
538 exposure, milder calorie restrictions and implementation of dietary changes during adulthood
539 rather than in early life may explain the health benefits of calorie restriction seen in studies
540 conducted in HIC[114].

541

542 ***Innate lymphoid cells***

543 Innate lymphoid cells (ILC) share some of the functions of adaptive T cells, but respond to
544 challenges with the rapid kinetics of innate immune cells (reviewed by Eberl and colleagues
545 [118]). NK cells are considered a type of ILC with analogy to CD8 $^+$ T cells (see above),
546 whilst ILC1, 2 and 3 are more like CD4 $^+$ T cells of the Th1, Th2 and Th17 phenotype,
547 respectively[118]. ILC play a critical role in barrier defence, promoting epithelial repair and
548 local leukocyte functions. ILC2 function is dependent on lipid metabolites, whilst ILC3
549 function is more closely related to dietary nutrient sensing, particularly aryl hydrocarbons and
550 vitamin A (reviewed by Wilhelm and colleagues [119]). The differences in the cellular
551 metabolism of ILC sub-sets may be particularly relevant to undernutrition, where nutrient
552 metabolites are limited by a marginal diet, lower gut absorptive capacity and disrupted host
553 and microbiome nutrient metabolism. Furthermore, since monocyte metabolic plasticity can
554 be reduced by experimental endotoxin exposure[31], it is plausible that chronic endotoxin
555 exposure in the context of undernutrition may also compromise ILC responses. Murine
556 models demonstrate reciprocal adaptation of gut ILC sub-sets to micronutrient deficiency,
557 whereby vitamin A deficiency depletes ILC3 in favour of ILC2[120; 121], and aryl
558 hydrocarbon receptor signalling promotes ILC3 numbers over ILC2[122]. . During concurrent

559 helminth infection and vitamin A deficiency, ILC2 increased their uptake of extracellular fatty
560 acids and prioritised IL-13 and mucus production to maintain anti-helminth responses[123].
561 As relatively newly-defined cell types, our understanding of ILC function is developing rapidly
562 but is yet to be translated into human cohort studies of undernutrition. Future studies will be
563 critical to understand how ILC sub-types adapt to simultaneous micro- and macro-nutrient
564 deficiencies and chronic enteropathy. For example, optimal release of short-chain fatty acids
565 from fermentable carbohydrates relies on dietary fibre intake and microbiome
566 composition[44; 124].Furthermore, the profound metabolic dysregulation in children with
567 complicated SAM may have implications for ILC-mediated barrier functions[125].

568

569 **Improving assessment of immune function in undernutrition**

570 **Cohort studies in LMIC**

571 In this review we have outlined knowledge gaps in the function of all innate immune cell
572 types during childhood undernutrition and a concerted effort is needed to translate
573 immunological paradigms from animal models into human cohort studies. Furthermore,
574 whilst assays of soluble immune biomarkers, proteome, transcriptome, epigenome and
575 metabolome profiling in peripheral samples provide insights into immune and immune-
576 metabolic derangement, direct measurements of immune function will rely on cell- and
577 tissue-based assays. In the long-term, developing high-quality assays of innate immune cell
578 function for well-powered population studies in LMIC will rely on leveraging existing
579 laboratory capacity for immunology research and developing capacity where it is not
580 currently available. Such efforts would be accelerated by adaptation of existing technologies
581 for assessing immune cell function in high-income settings to affordable standardised field-
582 deployable formats. Cohort studies of innate immune function should be: i) powered
583 adequately to detect differences in highly heterogeneous immune responses; ii) longitudinal
584 in design to distinguish short-term fluctuations from persistent functional defects; and, iii)
585 ideally nested within studies that also characterise concurrent immunological stimuli (e.g.
586 pathogen carriage, micronutrient deficiencies, metabolic and microbiome characteristics,

587 enteropathy), which are necessary to accurately interpret immune cell behaviour. To identify
588 localised changes in innate immune cells and learn more about the function of tissue-
589 resident cells during undernutrition, sampling from a more diverse range of anatomical sites
590 will be necessary. For example, despite respiratory tract infections being a frequent cause of
591 mortality among undernourished children[1], we know almost nothing about the airway
592 immune response. Very few studies have assessed inflammatory biomarkers or immune
593 cells in bronchiolar lavage fluid, saliva, naso-gastric aspirates or tissue biopsies. Even
594 relatively straightforward assays of cell function using a wider range of sample types would
595 provide important advances to the field of nutritional immunology.

596

597 Beyond understanding the basic immunology of childhood undernutrition, developing novel
598 therapies targeting innate immune dysfunction will require cohort studies to assess whether
599 innate immune cell function is associated with clinical outcomes; and, identify the pathways
600 that lead to dysfunction. Identifying immune biomarkers of prognostic value for stunting,
601 wasting and infectious mortality has proven challenging to-date due to the multiple mediators
602 that are simultaneously altered[8; 10; 12]. Thus, where possible, future studies should make
603 use of multiparameter assays of immune cell function and consider the value of using
604 composite scores from multiple biomarkers for triaging patients[5].

605

606 **Experimental models**

607 Most of the immunological paradigms for innate immune defects shaping long-term
608 infectious susceptibility come from experimental animal models. Animal models provide the
609 opportunity to precisely and reproducibly define nutrient intake, microbial and environmental
610 exposures relevant to undernutrition. They permit access to cells and tissues from
611 anatomical sites that are usually inaccessible and genetic/pharmacologic manipulation that
612 are not ethical to undertake in humans. Judicious application of refined models has
613 substantially advanced our understanding of complex diseases that also depend on multiple
614 concurrent environmental exposures, including inflammatory bowel disease[126]. Likewise,

615 our basic understanding of how different nutrients influence immune cellular function and
616 *vice versa* has been bolstered by studies of nutrient deprivation in animals[124]. Few of
617 these insights have been translated into studies of undernutrition in LMIC. To better leverage
618 animal models for translational research into childhood undernutrition, they must be carefully
619 designed to better recapitulate 'real-life' settings in LMIC. In particular, model diets, microbial
620 and environmental exposures could be readily adapted for this purpose.

621
622 **Model diets:** Most animal models of undernutrition use dietary restrictions aimed to
623 generate overt deficiency phenotypes, and measures used to induce nutritional deficiency
624 are sometimes extreme. For example, vitamin A-deficient rodents are not just fed a diet
625 completely lacking in vitamin A from weaning, but are often born to dams established on a
626 deficient diet from mid-gestation and throughout the post-natal period[120]. Micronutrient
627 signalling pathways can also be completely ablated in genetic knock-outs or conditional
628 genetic knock-outs[120]. Acutely malnourished children are generally deficient in multiple
629 nutrients concurrently[19; 109], but the pattern and extent of deficiencies is extremely
630 heterogeneous (both between individuals in the same setting, but especially between
631 different settings and regions) and abnormalities in nutrient trafficking mean some body
632 compartments may even experience nutrient excess. For example, despite whole-body iron
633 deficiency, free iron may be high in plasma due to protein deficiency limiting production of
634 the iron-transporter protein transferrin[127; 128] or actively sequestered in macrophages
635 during infection to restrict iron availability for pathogens[22]. Ideally, diets used for animal
636 models of undernutrition should be based on either: i) nutrient intake profiles of
637 undernourished children in a defined setting, or ii) levels of existing nutrients, ideally taking
638 into account the circulating nutrient pool as well as any tissue stores. Both approaches have
639 limitations. While a few studies on undernutrition in rodents have attempted to recreate
640 subsistence diets and ready-to-use therapeutic food interventions relevant to LMIC (e.g.
641 [129; 130; 131]), detailed analyses of the recent nutrient intake of children with acute
642 undernutrition are surprisingly rare in the literature, labour intensive, subject to recall bias,

643 and difficult to undertake sensitively without reinforcing the stigma for caregivers of
644 undernourished children[132]. There is a need for better cohort studies of the real rather
645 than perceived nutrient gaps in undernourished children to inform model diets, particularly
646 multi-pass methods that comprehensively record 24-hour dietary intakes on several
647 occasions and identify nutrient gaps by comparing actual intakes with recommended intakes
648 for age. Furthermore, chronic inflammation and recurrent infections alongside dysregulated
649 nutrient uptake, transport and storage among undernourished children in LMIC may incur
650 increased basal nutrient requirements compared to current international nutritional
651 guidelines (discussed above). Assessing micronutrient status from blood, or other accessible
652 and non-invasive samples, is notoriously difficult in this context[133]. Animal models of
653 undernutrition could therefore be used to evaluate how different physiological features of
654 undernutrition affect dietary nutrient requirements. The immunological effects of individual
655 dietary components, such as staple foods consumed in LMIC but understudied in
656 experimental nutrition[23], could also be systematically evaluated in animal models to
657 formulate novel immunotherapeutic foods. Nonetheless, animals that are severely deficient
658 in one nutrient but replete in all others, are unlikely to represent a good model for an acutely
659 malnourished child, and future animal models should be developed to better re-capitulate the
660 undernourished state.

661

662 **Model microbes:** Recurrent exposure to pathogenic microorganisms and an altered
663 microbiome structure and function are characteristic of children at risk of undernutrition in
664 LMIC. Microbiome-focused studies are at the forefront of translational research in
665 malnutrition (extensively reviewed elsewhere; e.g. [13; 23]). The predominant experimental
666 approach has been to colonise mice with the faecal microbiome of children with SAM to
667 demonstrate its causal role in weight loss and impaired nutrient metabolism[129], albeit
668 reflecting the microbiome composition of individual children at a single timepoint. Innate
669 immune function has not been explored in these models. Furthermore, in the gnotobiotic,
670 germ-free and specific pathogen-free (SPF) animals used, microbial exposures are

671 necessarily limited and defined. In contrast, children in LMIC begin to experience microbial
672 exposures *in utero* and pathogen exposures continue throughout early life in response to
673 mode of delivery, pattern of breastfeeding, local diets, and household water, sanitation and
674 hygiene conditions (as recently reviewed[13]); individual exposure histories may therefore
675 have a critical role in shaping the interdependent development of gut, microbiome and innate
676 immune system, and *vice versa*. For example, development of the gastrointestinal tract is
677 severely compromised in mice that genetically lack TLR and IL-1 signalling molecules[134].
678 An alternative approach to using gnotobiotic/germ-free/SPF animals has been to intensively
679 treat conventionally-housed animals with antibiotics to ablate an established 'healthy'
680 microbiome and then re-colonise with the faecal microbiota of an undernourished child.
681 However, this approach also has limitations since antibiotic effects on the microbiome are
682 associated with heritable pro-inflammatory intestinal immune responses[135]. In addition, a
683 number of important factors impact the translational relevance of microbial colonisation
684 models of childhood undernutrition[13]. Collecting child stool samples is non-invasive and
685 stool reflects colonic luminal microbiota; however, the stool microbiome is qualitatively
686 different to that of the small intestine[136], where most immune surveillance, antigen
687 detection, pathogen challenge, and microbial translocation occurs[13]. Human
688 gastrointestinal microbes also vary in their ability to colonise other species, meaning that
689 bacterial strain loss and/or quantitative differences in the resulting model microbiome are
690 expected. Similarly, the behaviour of gastrointestinal pathogens may differ across host
691 species and thus alter the innate response generated in models of infection and
692 undernutrition. Developing existing models of the undernourished microbiome would benefit
693 from incorporating tandem assessment of innate and adaptive immune responses in the gut
694 (and at other sites) and assessment of how undernutrition across the life-course affects
695 colonisation, infection and immune responses. Human cohort studies of longitudinal
696 microbiome assemblies at different anatomical sites during undernutrition in LMIC are
697 required to inform new and more physiologically relevant modifications to animal models.
698

699 **Model environments:** Children frequently experience environmental exposures that
700 influence immune development and such exposures may also modify the impact of
701 undernutrition on immune cell function. These include: i) Environmental toxin exposure, such
702 as exposure to mycotoxins derived from moulds that contaminate staple crops, is extremely
703 common amongst pregnant mothers and children in some settings[137]. Several mycotoxins
704 can modulate mammalian innate immune cell functions directly[138; 139; 140], or promote
705 systemic inflammation by impacting gut barrier function[137; 141]. ii) Helminth infections are
706 highly prevalent among children in LMIC and can directly drive undernutrition and distinct
707 immune cell activation phenotypes, whilst also interacting with gastrointestinal commensals
708 and pathogens (discussed above). iii) Stress and chronic anxiety impair immune function,
709 and can occur in caregivers and children in LMIC in association with, or as a direct
710 consequence of undernutrition[142; 143]. iv) Clinical interventions may have a distinct impact
711 on immune function in undernourished versus adequately-nourished children. As vaccination
712 and treatment coverage increase in LMIC, understanding how undernutrition affects vaccine
713 efficacy and the impact of antibiotics and anti-helminthics on microbial exposures and
714 immune function is becoming increasingly relevant. For example, there is evidence that
715 circulating vaccine-specific antibody levels are largely unaffected by undernutrition[48], but
716 no studies have assessed the innate immune response to vaccination or accessory benefits
717 of innate immune training by vaccines in undernutrition. Antibiotics, vitamin A and other
718 micronutrient supplements are recommended for the management of children with SAM,
719 which may all modulate infectious susceptibility. Furthermore, some antibiotics directly alter
720 inflammation and innate immune cell function (e.g. cotrimoxazole[144]). Animal models
721 would provide an ideal way of characterising the effects of these different environmental
722 stressors during undernutrition, including the impact of epigenetic responses to these stimuli.
723 Of particular interest would be the relative impact of multiple simultaneous stressors versus
724 individual environmental exposures, which are challenging to disaggregate in human cohort
725 studies. Such models could inform translational studies trialling interventions to reduce
726 exposure to stressors during early life.

727

728 **Conclusions**

729 Existing studies have been critical to our understanding of innate immune cell function in
730 childhood undernutrition, but considerable knowledge gaps remain. Studies among
731 undernourished children demonstrate impairments in a range of innate immune responses,
732 but the pathways underlying these defects are unclear. Experimental animal models provide
733 a clearer picture of how individual features of the undernourished state (e.g. infections,
734 micronutrient deficiencies, enteropathy) can drive changes in innate immune cell function,
735 but do not recapitulate the multiple simultaneous immune challenges that are typical during
736 childhood undernutrition in LMIC. It is increasingly apparent that novel therapeutic
737 approaches are required to improve health outcomes for children with undernutrition, given
738 the complex pathology that underlies wasting and stunting. Since infections are the leading
739 cause of death[1], a better understanding of innate immune function could inform future
740 tractable immune-targeted interventions for childhood undernutrition to reduce mortality and
741 improve long-term health, growth and development.

742

743 **Author contributions**

744 C.D.B. wrote the manuscript with input and critical commentary from K.D.J.J. and A.J.P.

745

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751

752 **Conflict of interest statement**

753 The authors declare no conflict of interest.

754

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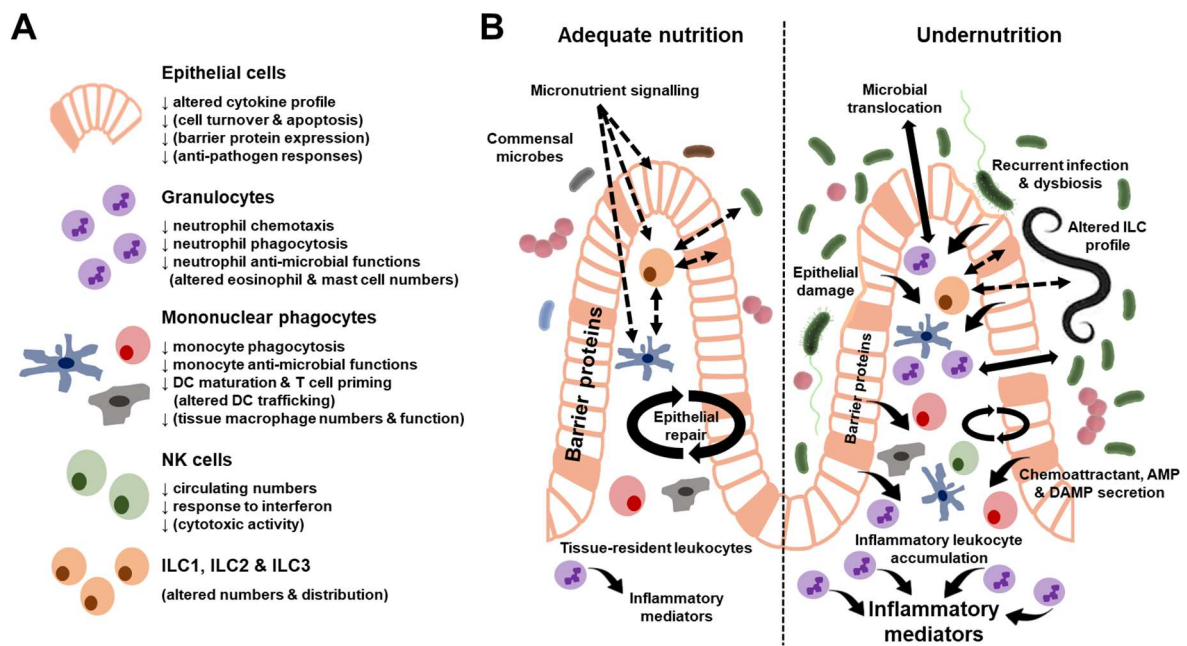
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Innate immune dysfunction in undernutrition



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1337 **Figure 1. Summary of innate immune cell dysfunction during undernutrition. A)**

1338 Cellular functions where there is evidence of dysfunction from human cohort studies and

1339 animal models of undernutrition. Functions in brackets only have evidence from animal

1340 models. **B)** Innate immune characteristics of the adequately-nourished (left) versus

1341 undernourished (right) gut. Solid arrows indicate secreted proteins and cell behaviour.

1342 Dashed arrows indicate signalling pathways. Differences in the size of arrows and text

1343 indicate quantitative differences in the response between the adequately-nourished and

1344 undernourished state. AMP – antimicrobial peptides; DAMP – damage-associated molecular

1345 patterns.