

1 **Can the critically ill patient generate sufficient energy to facilitate exercise in the**
2 **ICU?**

3
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16 **Word count: 2447 /2500**

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25
26 **Abstract**

27
28 *Purpose of review:* Trials of physical rehabilitation post critical illness have yet to
29 deliver improved health related quality of life in critical illness survivors. Muscle
30 mass and strength are lost rapidly in critical illness and a proportion of patients
31 continues to do so resulting in increased mortality and functional disability.
32 Addressing this issue is therefore fundamental for recovery from critical illness

33 *Recent findings:* Altered mitochondrial function occurs in the critically ill and is likely
34 to result in decreased Adenosine Tri-Phosphate (ATP) production. Muscle
35 contraction is a process that requires ATP. The metabolic demands of exercise are
36 poorly understood in the ICU setting. Recent research has highlighted that there is
37 significant heterogeneity in energy requirements between critically ill individuals
38 undertaking the same functional activities, such as sit-to-stand. Nutrition in the
39 critically ill is currently thought of in terms of carbohydrates, fat and protein. It may
40 be that we need to consider nutrition in a more contextual manner such as energy
41 generation or management of protein homeostasis.

42 *Summary:* Current nutritional support practices in critically ill patients do not lead to
43 improvements in physical and functional outcomes, and it may be that alternative
44 methods of delivery or substrates are needed.

45
46
47 **Key words:** critically ill, muscle wasting, exercise, nutrition

48 **Introduction**

49 Physical rehabilitation trials have yet to deliver increased functional capacity or
50 improve health related quality of life in critical illness survivors¹. All things being
51 equal, muscle mass is directly related to muscle strength, and is therefore a major
52 determinant of physical function. Regardless of the measurement method used,
53 muscle mass and strength is lost rapidly in critical illness², a process that continues
54 post critical illness³. This is associated with increased mortality⁴ and functional
55 disability³. Addressing this issue is therefore fundamental for recovery from critical
56 illness. Muscle mass is maintained by protein homeostasis, a highly energy
57 dependent process. Without sufficient energy generation, exercise interventions will
58 not succeed in maintenance of protein homeostasis.

59

60 **The bioenergetics status of the critically ill patient**

61 Altered mitochondrial function occurs in sepsis and multi-organ failure⁵ that is likely
62 to result in decreased Adenosine Tri-Phosphate (ATP) production. The causality of
63 this observed phenomena is unclear, altered mitochondrial function being either: a
64 response to extra-cellular stimuli (e.g. inflammation), *or* a protective mechanism (to
65 prevent utilization of biological stores). For the patient, the resulting phenotype is
66 the same. Lack of ATP will hinder muscle protein synthesis (MPS), with acute muscle
67 wasting leading to functional disability on discharge. Further lack of ATP may trigger
68 proteolysis, accelerating loss of muscle mass⁶. Altered mitochondrial function may
69 additionally affect muscle satellite cells⁷ altering regenerative capacity, which in turn
70 would lead to altered muscle quality⁸ further impairing muscle function.

71 ATP is generated by the linking of oxidation of substrate to phosphorylation of
72 Adenosine Di-Phosphate, i.e. oxidative phosphorylation. ATP is additionally
73 necessary for skeletal muscle contraction, leading to force generation i.e. exercise.
74 Interestingly this is a bidirectional relationship: exercise training is able to increase
75 the capacity for ATP supply and mitochondrial density⁹. Glycolysis produces pyruvate
76 for the Citric Acid cycle and high-energy electrons for the electron transport chain.
77 Fatty Acid Oxidation produces Nicotinamide adenine dinucleotide (NADH) and Flavin
78 adenine dinucleotide (FADH₂) and Acetyl-Coenzyme-A, which are used in the Citric
79 Acid Cycle and the electron transport chain¹⁰. These are enzyme driven processes- in
80 the setting of altered mitochondrial function, increasing substrate delivery is unlikely
81 to alter substrate processing, and therefore unlikely to produce more ATP.

82

83 Two processes common to critical illness may alter pre-intensive care unit (ICU)
84 mitochondrial function and subsequent ATP generation- age and chronic disease
85 states. Aging muscle is associated with decreased mitochondrial efficiency, though
86 there has been little control for sedentary behavior. Immobilization does result in
87 decreased mitochondrial efficiency. This may contribute to the altered mitochondrial
88 function seen with chronic disease states either at rest or following a physiological
89 stressor. This complex metabolic state is likely to be a result of metabolic adaptation,
90 from decreased insulin sensitivity in diabetic patients to muscle fibre type shifts in
91 those chronic diseases associated with sedentary behavior and immobilization. In
92 summary, not only is energy production likely to be impaired in critical illness,
93 patients are likely to enter critical illness with varying abilities to oxidise substrate
94 and produce ATP.

95

96 **Skeletal muscle adaption to loading and energy requirements**

97 Myofibrils are the smallest structural unit of a muscle fibre and contain two main
98 contractile filaments, actin (thin filaments) and myosin (thick filaments), which are
99 responsible for contractile functioning and force production. The interaction of
100 these filaments induces muscle contraction - a process that requires ATP. Muscle
101 fibres exhibit different contraction properties based on differences in energy
102 hydrolysis and synthesis. The terms 'slow' or 'fast' twitch fibre typing is used to
103 describe the speed of contraction, level of microvascular capillarisation, oxidative
104 enzymes and metabolic means of generating energy production (aerobic or
105 anaerobic) ¹¹. The musculoskeletal system is a highly plastic and adaptive system
106 responding quickly to changes in mechanical loading particularly due to immobility
107 and sepsis¹².

108

109 It is recognized that other factors influence muscle force production including
110 muscle fibre arrangement, tendon stiffness, and motor unit discharge rates and
111 neurohormonal states. Where there is reduced mechanical loading and/or increased
112 energy demands due to heightened states of physical stress -such as seen in critical
113 illness - imbalance in muscle protein homeostasis can ensue¹⁴.

114 **Effect of pre-ICU health and post ICU recovery on skeletal muscle dysfunction**

115 As with mitochondrial function (detailed above) pre-ICU health factors such as age,
116 comorbidities and frailty influence muscle mass on admission to the ICU and the
117 potential recovery trajectory ¹³. Considerable patient heterogeneity results in
118 differing responses between individuals post critical illness¹⁴, demonstrated in
119 secondary analyses of 'negative' rehabilitation RCTs ^{15 16}.

120 The mechanistic changes for muscle dysfunction in critical illness continue to be
121 elucidated . It is established that muscle wasting occurs early and rapidly with up to
122 30% reduction in first 10 days^{12 14}. Heightened muscle protein catabolism is evident
123 in individuals with higher severity of illness ^{6 12}. Additionally macrophagic infiltration,
124 myonecrosis, preferential reduction of myosin and reduction in mitochondrial
125 content have been demonstrated in the early phases of muscle degradation ^{6 12}.
126 Reduced motor nerve excitability with impairment in membrane excitability occurs,
127 impacting muscle contraction ¹⁷. Mechanisms of chronic muscle wasting and
128 dysfunction six months post discharge are not just due to ongoing low muscle mass
129 but also reduced satellite cells, angiogenesis, and increased intramuscular fat and
130 connective tissue infiltration⁶, impacting on the ability of skeletal muscle to adapt
131 and respond to changes in mechanical loading through exercise ⁶.

132

133 **How can we 'load' or train skeletal muscle – Exercise Principles**

134 The American College of Sports Medicine (ACSM) has defined resistance training as a
135 form of physical activity that is designed to improve muscular fitness by exercising a
136 muscle in isolation or a muscle group against external resistance ¹⁸. Training
137 methods will vary depending on the purpose for resistance training – strength,
138 endurance, or power ¹⁸. In order to maintain muscle mass or induce hypertrophy
139 overloading of the muscle is required. This is dependent on intact structural,
140 neurohormonal, cardiovascular and metabolic energy system, which can be
141 compromised in critically ill. Consideration of frequency, load resistance, type of

142 exercises and volume (number of repetitions and sets) are critical to designing an
143 efficacious training program ¹⁸. To our knowledge there are no studies which have
144 specifically examined resistance training on its own in the ICU setting – recent RCTs
145 have incorporated resistance training as one facet within physical rehabilitation ^{19 20}.

146

147 **Resistance training in the ICU setting and metabolic costs**

148 Resistance exercises can involve functional multi-joint strengthening exercises such
149 as sit-to-stand, which are highly important to everyday activities of daily living. The
150 ICU environment is fraught with complexities that impact on the ability of patients to
151 engage in physical activity. The barriers to physical activity were recently highlighted
152 in a systematic review and include patient, clinician and institutional level
153 influences²¹. With introduction of guidelines and frameworks for optimizing pain,
154 agitation and delirium management there has been a paradigm shift towards
155 creating an animated ICU in which patient engagement can be optimized ^{22 23}. There
156 still remains challenges however in the initial ICU admission period where patients
157 may be unable to volitionally participate in rehabilitation strategies. Given muscle
158 loss occurs early and rapidly there is a need to consider ways in which 'loading'
159 forces may be applied to maintain muscle mass integrity.

160 The metabolic demands of exercise are poorly understood in the ICU setting. Recent
161 research has highlighted that there is significant heterogeneity in energy
162 requirements between critically ill individuals undertaking the same functional
163 activities²⁴. Energy requirements are higher in the critically ill compared to healthy
164 individuals ²⁴. A strong correlation exists between active energy expenditure
165 measured using a physical activity device and the highest level of mobility ²⁵.
166 However the ability to detect low levels of activity is challenging when patients are
167 relatively immobile compounded by potential inaccuracies in energy assessment
168 with physical activity devices ²⁶. Additionally metabolic demands are likely to differ
169 for in bed versus out of bed activity – but have not yet been examined.

170 The metabolic demand of resistance training has been examined in non-ICU settings.
171 Eccentric muscle contraction (involving generation of muscle force during muscle
172 lengthening) induces lower metabolic demands compared to concentric muscle
173 contractions ²⁷. Resistance training methodology is therefore as important as
174 considerations of the potential adverse effects of overtraining. Response to training
175 is variable and further research into individualizing interventions whilst considering
176 metabolic reserve and baseline and exercise energy expenditure

177 Future directions for the field include understanding the synergy and interaction
178 between nutrition and exercise ²⁸. Consideration of timing of nutrition may therefore
179 be important not only prior /during resistance training but also in the post exercise
180 period.

181

182 **Substrate delivery and energy generation-not the same thing**

183 In health, protein, fat and carbohydrate are all required for ATP synthesis. In
184 addition, co-factors, such as b-vitamins, and insulin are required for this process to
185 occur. In athletes, appropriate nutrition is essential to achieving and maintaining
186 peak exercise performance and is prioritized. Inadequate energy intake compared
187 with expenditure can affect performance and inadequate protein intake can result in

188 reduced muscle mass and overall strength. These same considerations may be true
189 for critically ill patients.

190 Several factors require consideration regarding the role of nutrition in the
191 generation of energy for exercise in critically ill patients. The first is adequate
192 substrate delivery. It is well documented that critically ill patients do not receive
193 prescribed amounts of nutrition regardless of route. Reasons for this include fasting
194 for surgical and bedside procedures as well as high gastric residual volumes that are
195 used as a crude bedside indication of enteral feeding intolerance. In the context of
196 mitochondrial dysfunction described earlier, increasing substrate delivery may not
197 improve ATP generation. Secondly, the contribution of endogenous glucose and
198 amino acid production from the metabolic effects of stress on the overall energy
199 needs of the patient during early critical illness is unknown. Lastly Insulin is a
200 requirement for ATP generation. Insulin resistance during the acute phase of critical
201 illness may therefore contribute to impaired ATP generation- the timing of nutrient
202 provision for energy generation is likely a key factor.

203 Delivery of nutrition does not necessarily equate to absorption, particularly in the
204 early course of illness. Data have shown that there are alterations in both the rate
205 and extent of nutrient absorption from the GI tract, even with post-pyloric feeding is
206 utilized^{29 30}. This may limit the potential for nutrients to facilitate energy generation
207 and with no quick bedside measure available to determine this elucidation of the
208 relative contribution of poor absorption to subsequent functional disability remains
209 unknown. Either way, delivery of nutrition should not be assumed to contribute to
210 energy generation throughout the course of critical illness and physiological studies
211 are required to understand this process.

212

213 **Can we use more efficient substrates?**

214 Current evidence suggests that physical rehabilitation does not lead to
215 improvements in outcome in critically ill patients^{31 32}. No data exists to suggest
216 current nutritional support practices lead to improvements in rehabilitation
217 potential and physical and functional outcomes, as unfortunately the nutritional
218 status and intake of the patients has not been reported in such trials.

219

220 Nutrition in the ICU is currently thought of in terms of carbohydrates, fat and
221 protein. Recently, particular attention has been given to protein with updated
222 guidelines recommending higher amounts in order to prevent loss of lean body
223 mass³³. Physiological studies have shown that protein intakes equivalent to
224 1g/kg/day increase whole body protein turnover, thought to be as a result of
225 increased protein synthesis. One small study has shown that increased delivery of
226 amino acids to patients requiring PN increased handgrip strength at day 7 of ICU
227 admission³⁴ However, no studies have investigated the effect of different protein
228 doses directly on MPS. Indeed, studies have indicated that higher protein intakes are
229 associated with increased rates of muscle wasting¹² and others have shown that
230 weakness was increased in patients receiving higher macronutrient doses from the
231 addition of supplemental PN. For this reason, prospective studies investigating the
232 effect of protein intake on MPS in critical illness are urgently required³⁵.

233 Exercise and nutrition go hand in hand and the nutrient or substrate requirement
234 will be dependent on the duration and intensity of the exercise being performed. For

235 example, protein intake during or immediately after resistance training has been
236 found to increase MPS. However, continuous feeding, as in the case of the critically
237 ill patient, may negate this physiological process due to the 'muscle full effect'
238 whereby saturation of the muscle with amino acids does not lead to further
239 increases in MPS. In this regard, intermittent feeding, coupled with resistance
240 training, may improve protein turnover in critically ill patients²⁹.

241

242 For the reasons specified above, clinicians and researchers are seeking alternative
243 substrates to improve mitochondrial function, MPS and subsequently the strength
244 and rehabilitation potential of these patients. Critically ill patients have been likened
245 to athletes with an intense training (critical care admission) and recovery phase.
246 Ergogenic supplements and a varied substrate schedule used by athletes may prove
247 efficacious in this patient cohort and provide an alternative or additional substrate
248 to carbohydrate, fat and protein. Such supplements may include β -hydroxy- β -
249 methylbutyrate (HMB), Leucine, creatine, carnitine, nitrates and beta-alanine (Table
250 1)³⁶. Although the exact mechanisms underlying the effect of these interventions on
251 exercise performance remain unknown, studies in healthy and limited clinical
252 populations are promising, albeit not consistent.

253

254 Of the above-mentioned substrates, Leucine, HMB and creatine are perhaps the
255 most widely studied³⁶. Leucine itself has been widely studied for its effects on
256 protein synthesis, mitochondrial function, glucose homeostasis, insulin action and
257 subsequently recovery from exercise and other catabolic conditions³⁶. The
258 conversion of leucine to HMB has been considered a key pathway in protein
259 homeostasis³⁷. HMB has been shown to attenuate the Ubiquitin Proteasome
260 Pathway (UPP) along with other catabolic pathways. In addition, HMB has been
261 shown to influence mitochondrial dynamics during (bed rest) and rehabilitation.
262 Lastly, creatine has been shown to contribute to ATP synthesis during high-energy
263 demands. Intramuscular creatine is phosphorylated to phosphocreatine which is
264 followed by the phosphate from phosphocreatine plus free ADP being used for this
265 process³⁸.

266

267 Along with these substrates, discussions around the benefit of inducing ketosis as an
268 alternative fuel source for muscle are increasing. In early critical illness, traditional
269 fuels may be bioenergetically inert, meaning that they are unable to contribute to
270 ATP synthesis. Switching the fuel source to ketones may therefore be useful in this
271 early phase to spare muscle and facilitate generation of ATP.

272

273 **Conclusions**

274 Patients may not be able to generate enough energy to undertake exercise in
275 early critical illness due to mitochondrial dysfunction preventing ATP
276 production. It is unlikely that one strategy alone will be successful in modifying
277 this, but nutrition and exercise are most likely to have essential synergistic roles
278 to play.

279

280 Key points:

281

- 282 • The compromised bioenergetic status of the critically ill patient is unlikely to
283 respond to increased substrate delivery
284 • patients are likely to enter critical illness with varying abilities to oxidize
285 substrate and produce ATP
286 • The metabolic cost of resistance exercise in the critically ill patient is
287 unknown
288 • delivery of nutrition should not be assumed to contribute to energy
289 generation
290 • Research into alternative substrates in the critically ill is urgently needed if
291 we are to prevent acute muscle wasting
292
293

294 Acknowledgements

295 None

296

297 Financial Support and sponsorship:

298 SM Parry is supported by a National Health and Medical Research Council
299 Early Career Fellowship (#1111640) and was a recipient of a short term
300 European Respiratory Society Fellowship in 2016
301

302

302 Conflicts of interest

303 ZP has received honoraria for consultancy from GlaxoSmithKleine, Lyric
304 Pharmaceuticals and Fresenius-Kabi. DEB has received speaker fees and conference
305 attendance support from Nutricia, Baxter, BBraun, Nestle Nutrition and Fresenius
306 Kabi; Consultancy fees through her institution from Abbott Nutrition and grant
307 support through her institution from Corpak MedSystems UK.
308

309

310

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454 Table 1: Potential substrates which may enhance muscle mass and exercise
 455 performance in the critically ill

| Substrate | Current evidence |
|----------------------------------|--|
| β-hydroxy-β-methylbutyrate (HMB) | <ul style="list-style-type: none"> • Increases MPS and decreases MPB • Increases lean body mass, aerobic and strength performance • Preserves muscle mass during bed rest, in the absence of exercise |
| Leucine | <ul style="list-style-type: none"> • Increases MPS via mTOR • May increase protein uptake into muscle |
| Creatine | <ul style="list-style-type: none"> • Phosphate from phosphocreatine plus free ADP used for ATP synthesis and therefore muscle contraction • Improves rate of recovery, thereby increasing MPS |
| Carnitine | <ul style="list-style-type: none"> • May delay fatigue during prolonged aerobic exercise • Enhances fat oxidation whilst sparing glycogen |
| β-alanine | <ul style="list-style-type: none"> • Increases muscle carnosine which may enhance acute exercise performance |
| Nitrates | <ul style="list-style-type: none"> • Increase blood flow and subsequently nutrient delivery to the muscle leading to anabolism |
| Ketones | <ul style="list-style-type: none"> • Muscle sparing and provide an alternative fuel source |

456
 457 MPS = Muscle Protein Synthesis; MPB = muscle protein breakdown; mTOR =
 458 mammalian target of rapamycin
 459
 460