

Genomics of introgression in the Chinese horseshoe bat (*Rhinolophus sinicus*) revealed by transcriptome sequencing

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ABSTRACT

20 Recent genomic studies show that introgression can occur at a genome-wide scale
among recently diverged lineages. However, introgression is difficult to distinguish
from incomplete lineage sorting (ILS), and these processes are expected to occur
together. Moreover, ncDNA introgression is less easily detected than mtDNA
25 introgression, and as such its prevalence is less well understood. The Chinese
horseshoe bat (*Rhinolophus sinicus*) occurs as three distinct forms on mainland China:
the subspecies *R. s. septentrionalis* and two parapatric clades of *R. s. sinicus* (Central
and East *R. s. sinicus*). Previous work suggested widespread mtDNA introgression
between these subspecies, however, no ncDNA introgression was detected. In this
30 new study we sampled the coding genomes of all three forms of *R. sinicus* in order to
perform a more sensitive test for ncDNA introgression against an expected
background of incomplete lineage sorting. We assembled 3548 nuclear protein-coding
genes from these and three congeneric species, and built a high confidence species
tree using Maximum Likelihood and Bayesian concordance methods. Phylogenetic
35 analysis suggested a mosaic genome for Central *R. s. sinicus* derived from *R. s.*
septentrionalis and East *R. s. sinicus*. Nuclear DNA introgression between Central *R.*
s. sinicus and *R. s. septentrionalis* was supported by three different tests, whereas ILS
could not be ruled out completely. Our findings, in line with other recent results,
indicate that recently diverged taxa undergo large scale secondary introgression, and
40 that this process likely operates alongside incomplete lineage sorting to give rise to
phylogenomic discordances or even mosaic genomes.

45 Additional Keywords: RNA-Seq - speciation - introgressive hybridization -
cytonuclear discordance - *Rhinolophus*

INTRODUCTION

50 Hybridization is very common between diverging populations (reviewed in Abbott *et al.*, 2013). Increasing numbers of studies have revealed the role of hybridization in driving functional novelty and adaptive introgression (see Seehausen, 2004; Song *et al.*, 2011; Clarkson *et al.*, 2014; Norrison *et al.*, 2015), as well as in speciation (see Mallet, 2008; Mavárez & Linares, 2008; Nolte & Tautz, 2010; Brandvain *et al.*, 2014; reviewed in Abbott *et al.*, 2013;). Studies on genomics of speciation have benefited
55 greatly from the advent of high throughput sequencing, which has allowed for genome-wide markers to be rapidly and cheaply generated from non-model organisms (Shendure & Ji, 2008; Hudson, 2008; Hawkins *et al.*, 2010; Payseur & Rieseberg, 2016). Transcriptome sequencing (RNA-seq), in particular, has been widely used for obtaining protein coding gene sequences for evolutionary analysis of neutral and
60 adaptive variation (Alvarez *et al.*, 2015; Tepolt & Palumbi, 2015), with specific applications ranging from scans for genes and sites undergoing accelerated differentiation or positive selection (e.g. Phifer-Rixey *et al.*, 2014; Marra *et al.*, 2014; Westram *et al.*, 2014; Pereira *et al.*, 2016) to tests for topological conflicts in phylogenomic analysis (e.g. Zhang *et al.*, 2013; Fernández *et al.*, 2014; Sharma *et al.*,
65 2014) as well as for hybridization and introgression among diverged taxa (e.g. Cui *et al.*, 2013; Zhang *et al.*, 2013; Hodgins *et al.*, 2014; Stuglik & Babik, 2016).

Closely related taxa offer good opportunities for studying the process of reproductive isolation in the early stages of divergence, when hybridization and introgression still
70 occur among taxa. However, it is often difficult to distinguish introgression from incomplete lineage sorting (ILS) (Clark, 1997), which is also expected to occur in recently diverged taxa (e.g. Liu *et al.* 2014; Marcussen *et al.* 2014). One approach for detecting the presence of introgression against a background of ILS is by screening for topological variation among gene genealogies. Introgressive hybridisation will
75 lead to greater numbers of shared derived alleles among populations exchanging genes than would expected under a scenario of ILS acting alone (Durand *et al.* 2011). Additional information can be gained by examining the genomic distribution of sets of loci supporting particular topologies (see Martinsen *et al.*, 2001; Schumer *et al.*, 2013) based on the rationale that loci that have undergone recent hybridization will
80 tend to be clustered in the genome (Martinsen *et al.*, 2001). However, this usefulness of this approach is limited because recombination quickly erodes linkage disequilibrium (see Schumer *et al.*, 2013).

Bats account for a fifth of all mammal species, yet reported cases of introgression in
85 bats were , until very recently, rare (e.g. Webb & Tidemann, 1995; Hoffmann *et al.*, 2003; Berthier *et al.*, 2006; Larsen *et al.*, 2010; Neubaum *et al.*, 2007; Mao *et al.*, 2010, 2013a, 2013c; Bogdanowicz *et al.*, 2009, 2012; Vallo *et al.*, 2012). However, with one exception (Chattopadhyay *et al.*, 2016) these previous studies typically relied on one or only on a small number of loci and were thus unable to confidently
90 disentangle genetic signatures of putative introgression from those of ILS. Similarly, as in other vertebrate groups (e.g. birds, Pons *et al.*, 2014), small numbers of nuclear markers are likely to have also led to relatively fewer reported cases of ncDNA than of mtDNA in bats.

95 To investigate whether widespread nuclear introgression can occur in the history of divergence of closely related taxa, we studied the Chinese horseshoe bat (*Rhinolophus*

sinicus) based on a genome-wide scale dataset. This species occurs as three distinct forms on mainland China: the subspecies *R. s. septentrionalis* and two geographical clades of *R. s. sinicus* (Central and East *R. s. sinicus*) (see Figure 1a, also see Mao *et al.*, 2013b). *R. s. septentrionalis* is significantly larger and genetically divergent from *R. s. sinicus* (see Csorba *et al.*, 2003; Mao *et al.*, 2013c) and, similarly, the two forms of *R. s. sinicus* can be separated on the basis of skull morphology (Xu *et al.*, 2005) as well as both mtDNA and nuclear DNA sequences, although these have not yet been formally described as separate taxa (see Mao *et al.*, 2013b and this study). Phylogenetic analyses of this species complex using approximate Bayesian computation (ABC) applied to two mitochondrial genes, four nuclear and seven microsatellite loci, supported a scenario in which *R. s. septentrionalis* diverged first from *R. s. sinicus* lineages followed by the subsequent divergence of Central and East *R. s. sinicus* (Mao *et al.*, 2013b). The overlapping ranges of these two subspecies are therefore best explained by secondary contact. Analyses of gene flow and phylogenetic topology also indicated widespread mtDNA introgression from *R. s. septentrionalis* into Central *R. s. sinicus*, and, although no such ncDNA introgression was detected, it cannot be ruled out completely given the limited power of detection of the small number of loci tested (Mao *et al.*, 2013b).

The main aim of this study was to assess the extent of nuclear introgression among the three focal lineages. For this, we generated RNA-seq data for three focal taxa (*R. s. septentrionalis*, Central *R. s. sinicus* and East *R. s. sinicus*) and three other horseshoe bats (*R. pearsoni*, *R. rex* and *R. ferrumequinum*). We assembled alignments for 3,548 nuclear protein-coding genes, to which we also added the published orthologous sequences from the echolocating bat *Myotis lucifugus* and the non-echolocating fruit bat *Pteropus vampyrus* as outgroups. Based on these genome-wide datasets, we first built a high confidence phylogenomic tree using both concatenated and Bayesian concordance methods. We then used three different methods to test for contrasting histories among gene genealogies, which can be used to signify the occurrence of introgression against a background of ILS. Finally, we assessed the relative contributions of ILS and introgression on genome divergence by examining the genome distribution patterns of loci supporting different tree topologies reconstructed for the three focal taxa.

135 MATERIAL AND METHODS

SAMPLING

140 We sampled one individual each from three Chinese mainland forms of *R. sinicus* (Central *R. s. sinicus*, East *R. s. sinicus* and *R. s. septentrionalis*, see Figure 1a) and three congeneric species (*R. pearsoni*, *R. rex* and *R. ferrumequinum*). Individuals of the three *R. sinicus* were sampled from parapatric regions (see Figure 1a). Each individual was euthanized and sampled for muscle, brain and heart tissue. Bats sampling was approved by the Animal Ethics Committee of East China Normal University (approval ID AR201003002) and the local Protection and Research. Tissue samples were flash frozen in liquid nitrogen in the field, and transferred to a -80 °C freezer until RNA extraction.

RNA EXTRACTION, SEQUENCING AND SEQUENCE ASSEMBLY

150 For each specimen, total RNA was extracted from the mix of three tissue types. All steps of RNA extraction, mRNA purification, cDNA library construction (insert fragment of ~250 bp) and sequencing using an Illumina HiSeq 2000 sequencer (100 bp paired-end) were performed by BGI. Raw reads of RNA-seq were cleaned by removing adaptors as well as low quality bases (Phred quality score < 20) using NGSQCToolkit_v2.3.3 (Dai *et al.*, 2010). The quality of the paired-end sequences was controlled before and after the trimming process using FastQC (version 0.10.1, www.bioinformatics.babraham.ac.uk/projects/fastqc/). The data sets supporting the results of this article are available at the Sequence Read Archive repository (SRA accession numbers: SRR5219050, SRR5219064, SRR5219072-73, SRR5219075-76).

160 For each specimen, we performed *de novo* transcriptome assembly using *Trinity* version 2011-08-20 (Grabherr *et al.*, 2011) under default parameters. The abundance of the assembled contigs was estimated by mapping reads back to the contigs, using RSEM and the Bowtie aligner, implemented in the *Trinity* software package. Contigs with average per-site coverage of <10x and sequence length of < 200 bp were excluded from further analysis.

IDENTIFICATION OF ORTHOLOGOUS GENES

170 To identify orthologous genes across our assembled transcriptomes, we used a two-step approach. First, we used Ensembl BioMart to obtain all one-to-one orthologous genes between human and two bat species, *Pteropus vampyrus* and *Myotis lucifugus*. For each gene, only the longest Coding DNA Sequence (CDS) was retained, resulting in a total of 11,158 one-to-one orthologous CDSs in human. These collection of CDSs were then used as queries in similarity searches against the transcriptome assemblies for each individual, using TBLASTX under an E-value < 10⁻⁶ and a nucleotide sequence identity cut-off of 75%. The longest isoforms of the best hit were retrieved as 'genes', and only genes shared by all six horseshoe bats were retained for further analysis. In addition to these horseshoe bats, we also included the nuclear sequence data from *Myotis lucifugus* and *Pteropus vampyrus*. Scripts used in generating genes shared by all six horseshoe bats are available in a Dryad Repository (xxxxxx).

185 Multiple sequence alignments (MSAs) based on nucleotides were performed using
MAFFT version 5 (Kato *et al.*, 2007) with default parameters. Alignments were
trimmed for gaps at both 5' and 3' ends, and checked for the presence of premature
stop codons by translating into amino acid sequences. Only genes with valid Open
Reading Frames (ORFs) were retained. Based on these sequence alignments, we
190 further checked for the presence of potential paralogous genes by measuring
heterogeneity (i.e. the mean sitewise Shannon entropy) for each gene sequence
alignment using Shannon Heterogeneity In Alignments tool Version 1.1 (available on
request from J. Parker). Sequence alignments with mean sitewise Shannon entropy
values of > 0.02 were checked manually for potential paralogous copies and removed.
We also excluded sequence alignments with mean sitewise Shannon entropy values of
195 < 0.001 because there were almost no variable sites in these alignments.

Mitochondrial genes for each *Rhinolophus* taxon were identified by performing
BLASTN (the E-value < 10⁻⁶) using assembled contigs for each taxon as queries and
the mitochondrial genome of *R. ferrumequinum* as the reference database (NCBI
200 Reference Sequence: NC_016191.1). Mitochondrial gene sequences from *Pipistrellus*
abramus (GenBank accession: AB061528.1) and *Rousettus aegyptiacus* (GenBank
accession: AB205183.1) were included as outgroups.

INFERENCE OF SPECIES TREE

205 To infer the species tree we used two methods. First we generated a maximum-
likelihood (ML) tree based on nucleotide sequences from 3,548 concatenated nuclear
protein-coding genes in the software RAxML 7.2.8 (Berger *et al.*, 2011). For this
analysis, we used the GTRGAMMA model and bootstrap support was estimated from
210 1000 pseudoreplicate searches. Second we performed a Bayesian concordance
analysis (see Ané *et al.*, 2007) using the software BUCKy (Larget *et al.*, 2010). This
method addresses some shortcomings of standard concatenation methods, which
recent studies indicate can lead to erroneous support for incorrect hypotheses (e.g.
Kubatko & Degnan, 2007; Weisrock *et al.*, 2012; Nosenko *et al.*, 2013; Salichos &
215 Rokas 2013). Such spurious phylogenetic inferences arise from discordance among
gene trees due to processes such as incomplete lineage sorting (ILS) and/or
introgressive hybridization. Bayesian concordance analysis does not assume anything
about the underlying causes of gene tree conflicts, and works by clustering groups of
compatible gene trees in order to identify the dominant signal in the data (Ané *et al.*,
220 2007). To implement BUCKy, we first used MrBayes 3.1.2 (Ronquist & Huelsenbeck,
2003) to obtain the posterior probability of each gene tree for those loci present in all
eight taxa (n = 2,919). We performed two Metropolis-coupled Markov chain Monte
Carlo (MCMC) runs, each comprising four chains and 10 million generations. Trees
were sampled every 100 generations, and the first 25% of the sampled trees was
225 discarded as a burn-in. BUCKy was run to combine all genes and estimate the
concordance factor (CF) for each group under different values of the Dirichlet
parameter ($\alpha = 1, 2$ and 5). Output files from results were visualized with
bucky-tools ([www. stat.wisc.edu/~ane/bucky/](http://www.stat.wisc.edu/~ane/bucky/)).

230 mtDNA PHYLOGENY

To assess relationships among the focal taxa based on mitochondrial haplotypes, we
assembled and aligned sequence data from concatenated 13 mitochondrial protein-

coding genes. We undertook ML-based phylogenetic tree reconstruction using
235 RAxML with the same parameters as the above. By comparing the results of the
mtDNA tree and species tree, we assessed the evidence for mito-nuclear discordance,
as previously suggested from analyses of two mitochondrial gene sequences (Mao *et al.*, 2013b).

240 TESTS FOR INTROGRESSION

To test for evidence of introgression among the three focal taxa, we applied three
methods. First, we used the ABBA-BABA test based on the calculation of Patterson's
245 D-statistic, which is an explicit test of gene flow versus ILS (Green *et al.*, 2010;
Durand *et al.*, 2011). Given a four-species phylogeny (((P1,P2),P3),O) in which P1,
P2 and P3 are defined as three closely related populations and O as the outgroup, this
test examines the phylogenetic distribution of derived alleles (designated "B") in P3 at
loci that exhibit either an ABBA (ancestral alleles are designated "A") or BABA
250 pattern (see Figure 2a). Under the hypothesis of incomplete lineage sorting, ABBA or
BABA sites are expected at equal frequencies, and D-statistic value will be zero. A
systematic bias of ABBA or BABA sites, however, would suggest occurrence of
introgression between P3 and either P1 (a significantly negative D value) or P2 (a
significantly positive D value).

255 Using the species tree topology of (((East *R. s. sinicus*, Central *R. s. sinicus*), *R. s.*
septentrionalis), *R. rex*), this analysis was used to test for introgression between *R. s.*
septentrionalis and either East or Central *R. s. sinicus* (see Figure 2b). For this
analysis, clean reads from each of the three ingroup taxa were mapped to the gene
sequences of the related species *R. rex* (in total 3548 genes, see Results) using BWA-
260 0.5.7 (Li & Durbin, 2009) with default parameters. SAMtools v0.1.19 (Li *et al.*, 2009)
was used to convert sam files to sorted bam files. SNPs were then called using
SAMtools mpileup and bcftools pipeline. We filtered indels, SNPs with read depth <
10, shared SNPs among the three focal taxa, and singleton SNPs in each of the three
focal taxa individually. To limit linkage disequilibrium between genes, only one SNP
265 was sampled per gene. This resulted in 1836 SNPs for further analysis.

D-statistic, Z scores and P values were calculated in R version 3.0.1 using the scripts
from the evobiR package (<http://cran.r-project.org/web/packages/evobiR/index.html>).
270 To assess the confidence of the D-statistic in the comparison, we ran 1000 bootstrap
pseudoreplicates following previous studies (Eaton & Ree, 2013; Streicher *et al.*,
2014).

For our second test of introgression, we investigated alternative bipartitions
(excluding the dominant tree) revealed in our earlier BUCKy analysis. To identify
275 partitions, we used CFs of >0.1 following Cui *et al.*, (2013). Where CFs show non-
overlapping credibility intervals (CIs), the scenario of hybridization can be inferred
compared to incomplete lineage sorting (ILS) (see BUCKy tutorial and Ané *et al.*,
2007).

280 Finally, we ran approximately unbiased (AU) tests to determine the number of genes
significantly supporting each of three alternative phylogenetic hypotheses that
differed in the relationship among the three focal taxa. We defined these topologies as
'resolved' trees: A (((Central *R. s. sinicus*, East *R. s. sinicus*), *R. s. septentrionalis*),

285 outgroups), B (((Central *R. s. sinicus*, *R. s. septentrionalis*), East *R. s. sinicus*),
outgroups) and C (((East *R. s. sinicus*, *R. s. septentrionalis*), Central *R. s. sinicus*),
outgroups), with the first of these (A) inferred as the species tree. Discordance
between the species tree and the other topologies can stem from ILS and/or
hybridization. If the discordance is caused by stochastic lineage sorting, the number of
290 genes significantly supporting each of the two non-species tree topologies could be
similar, whereas an asymmetry in support for one may suggest hybridization. For this
analysis, RAxML was used to generate a file of site-wise log likelihood values for
each gene under the three constrained topologies above, and these were then used to
generate P values (AU test) in Consel 0.20 (Shimodaira & Hasegawa, 2001).

295 GENOME DISTRIBUTION OF GENES SUPPORTING THREE DIFFERENT TREE TOPOLOGIES

Following recent species hybridization, introgressed loci might be expected to be
more clumped in the genome than they would under a scenario of long-term
300 incomplete lineage sorting. Therefore, to determine the extent to which loci
supporting conflicting topologies were clumped in the genome, we evaluated their
spatial proximity within the horseshoe bat genome as measured by their membership
to genomic scaffolds. Currently, there is no available physical map or high quality
genome assembly for a horseshoe bat species. As a reference, we used the fruit bat
305 *Pteropus alecto* (GenBank accession: GCA_000325575.1), which also belongs to
suborder Yinpterochiroptera and is expected to show broad synteny with the
horseshoe bats. The *P. alecto* genome consists of 65,598 scaffolds with an N50 of
15,954,802 bp. To obtain genomic coordinates of the 3,548 genes, we used the CDS
sequences of the *R. s. septentrionalis* as queries in a BLAST against *P. alecto*
310 genomic scaffolds. For each CDS, we identified the scaffold, as well as the gene start
and end within its contig.

RESULTS

315 RNA-SEQ, TRANSCRIPT ASSEMBLY AND ORTHOLOGOUS GENES IDENTIFICATION

We generated RNA-seq data for six horseshoe bat species, yielding an average of 19,861,887 clean paired-end reads per sample (19,265,977-20,684,688 reads; Table 1).
320 Short read data were next assembled *de novo* into 127,096-184,364 transcripts per species. Summary statistics for each individual assembly are provided in Table 2. Transcripts with an average per-site coverage <10x and a sequence length of < 200bp were discarded, leaving approximately 62,316 transcripts per individual with an average length of 1,265 bp.

325 To identify orthologs, we next conducted a series of tblastx searches and used custom Perl scripts to retrieve the predicted orthologous transcripts from each species data assembly. In total, we identified ~7,590 orthologous transcripts per individual with an average gene length of 1,231 bp. Of these, 5,249 transcripts (hereafter called 'genes')
330 were shared by all six horseshoe bat transcriptomes. Genes that did not contain an intact ORF or showed no variation among the six *Rhinolophus* taxa were discarded, leading to a final set of 3,548 genes. For these, we also retrieved the gene sequences from two non-horseshoe bats, *Myotis lucifugus* and *Pteropus vampyrus*, and generated multiple sequence alignments for further analyses. All 13 mitochondrial protein-coding genes were obtained in full from each taxon.
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CYTONUCLEAR DISCORDANCE

Maximum Likelihood (ML) phylogenomic reconstruction based on the concatenated
340 nucleotide dataset of 3,548 nuclear genes (3,363,248 bp, 36.1% missing) recovered a fully-resolved topology, with 99% bootstrap probability (BP) supporting the sister-group relationship between Central *R. s. sinicus* and East *R. s. sinicus*, and 100% BP support for this clade's close relationship with *R. s. septentrionalis* (Figure 1b). Notably, *R. rex* was shown to be most closely related to our ingroup taxa. Our second
345 phylogenetic reconstruction approach using BUCKy retrieved an almost identical species phylogeny to the ML tree (see Figure 3 and Figure 1b). Despite the fact that both methods recovered the same tree topology, we found that the concordance factor (CF) for the clade [Central *R. s. sinicus* + East *R. s. sinicus*] was only 0.421 (95% CIs 0.398-0.444), indicating only 42.1% of genes examined in this analysis significantly
350 supported the cluster of Central *R. s. sinicus* and East *R. s. sinicus*. Because there was no difference for concordance factor (CF) among different α values, here we only present results from $\alpha = 1$.

In direct conflict with the species tree inferred from nuclear markers (above), the ML
355 phylogenetic reconstruction based on the concatenated dataset of 13 mitochondrial protein-coding genes (11379 bp, 0.05% missing) recovered a different tree, which strongly supported a sister relationship between East *R. s. sinicus* with the clade uniting Central *R. s. sinicus* and *R. s. septentrionalis* (all BP=100%) (Figure 1c), suggesting *R. s. sinicus* is paraphyletic.

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TESTS FOR INTROGRESSION

We used three different methods to assess the incidence of hybridization and introgression among the three focal lineages. First, we performed ABBA-BABA tests of gene flow versus ILS, and found that the D-statistic for the topology given by (((East *R. s. sinicus*, Central *R. s. sinicus*), *R. s. septentrionalis*), *R. rex*) was positive and significantly greater than zero ($D = 0.1327$, $P = 1.6 \times 10^{-5}$). This result indicates a systematic bias of BABA sites shared by *R. s. septentrionalis* (P3) and Central *R. s. sinicus* (P2) (see Figure 2b), suggesting introgression between Central *R. s. sinicus* and *R. s. septentrionalis*, but no such gene flow between East *R. s. sinicus* and *R. s. septentrionalis*. In order to rule out the possible effect of selection loci on the ABBA-BABA tests, we tested for positive selection using site models in the PAML package version 4.9 (Yang, 2007) and identified 23 genes that showed evidence of positive selection (models M7 vs M8). Bayes empirical Bayes (BEB) analyses of these genes found 30 sites with a posterior probability of >0.95 , none of which were among the 1836 sites used in the ABBA-BABA test (data not shown).

Second, the BUCKy analysis revealed two bipartitions with CFs of >0.1 that corresponded to topologies discordant with the species tree. The first of these alternative topologies contained the clade [Central *R. s. sinicus* + *R. s. septentrionalis*] with a CF of 0.363 (95% credibility intervals 0.341-0.385), and the second contained the clade [East *R. s. sinicus* + *R. s. septentrionalis*] with a CF of 0.215 (95% CIs 0.196-0.235). These non-overlapping credibility intervals may be indicative of hybridization.

Finally, an asymmetry in support for one of the two non-species tree topologies (i.e. (((Central *R. s. sinicus*, *R. s. septentrionalis*), East *R. s. sinicus*), outgroups)) suggested hybridization between Central *R. s. sinicus* and *R. s. septentrionalis* (see Figure 4). Specifically, among 1065 genes (~30% of 3548 genes examined) that gave well-supported tree topologies (AU test), there were similar numbers of genes supporting the species tree topology (392 genes) and the topology of (((Central *R. s. sinicus*, *R. s. septentrionalis*), East *R. s. sinicus*), outgroups) (408 genes), suggestive of a mosaic genome for Central *R. s. sinicus* derived from both *R. s. septentrionalis* and East *R. s. sinicus*.

GENOME DISTRIBUTION OF GENES SUPPORTING THREE DIFFERENT TREE TOPOLOGIES

To examine whether the genes supporting each of the three conflicting tree topologies were clumped in the genome, we mapped all 3,548 genes against the genome of the fruit bat *P. alecto*. We successfully obtained the genomic coordinates for 3,262 of our genes, which were found spread across 247 genomic scaffolds in *Pteropus* genome. In total, 1,065 genes showed a strong signal of support for one of the three topologies, and these were mapped to 187 scaffolds. Genes showing a clear phylogenetic signal for the species tree (((East *R. s. sinicus*, Central *R. s. sinicus*), *R. s. septentrionalis*), outgroups) were distributed across 138 scaffolds (N=392), while genes supporting the two alternative hypotheses, i.e. (((Central *R. s. sinicus*, *R. s. septentrionalis*), East *R. s. sinicus*), outgroups) and (((East *R. s. sinicus*, *R. s. septentrionalis*), Central *R. s. sinicus*), outgroups) were found in 131 and 114 scaffolds, respectively. To test further for genomic proximity among loci supporting particular phylogenetic hypotheses, we examined levels of synteny for those CDSs that mapped to the same scaffold. Of the 187 scaffolds containing genes, 11 contained just one and were thus uninformative,

118 contained genes with signal for at least two alternative phylogenetic scenarios,
and 78 scaffolds contained genes with mixed support for all three hypotheses tested.
415 Scaffolds containing genes supporting a single type of tree were equally found for all
three topologies tested: 29 scaffolds for the species tree, 23 for (((Central *R. s. sinicus*,
R. s. septentrionalis), East *R. s. sinicus*), outgroups) and 17 scaffolds with only genes
supporting the (((East *R. s. sinicus*, *R. s. septentrionalis*), Central *R. s. sinicus*),
420 outgroups) topology. Consequently, we found no clear clustering of genes supporting
a given topology. This scatter distribution pattern of genes supporting different tree
topologies indicated that as a null hypothesis incomplete lineage sorting could not be
ruled out.

DISCUSSION

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Convincing cases of nuclear introgression in vertebrate groups are rare, in part due to the problems of ruling out incomplete lineage sorting, and also because of the limited power of the genetic markers that have been used in most studies to date (e.g. Zieliński *et al.*, 2013; Pons *et al.*, 2014). High throughput sequencing offers new opportunities and approaches for detecting signatures of introgression by sampling loci more widely across genomes (Twyford & Ennos, 2012). Here we used RNAseq data to obtain sequence data from 3,548 loci from three closely related forms of the Chinese horseshoe bat *Rhinolophus sinicus*, and used these to diagnose secondary ncDNA introgression against an expected background of incomplete lineage sorting in the context of secondary contact.

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Our phylogenomic reconstructions using ML and Bayesian concordance analysis strongly supported a sister relationship between *R. s. septentrionalis* and the clade containing East and Central *R. s. sinicus*, so corroborating earlier morphological analyses (Mao *et al.*, 2013b). This inferred species tree, however, contradicted the one obtained from the complete mitogenome, which instead supported a sister relationship between Central *R. s. sinicus* and *R. s. septentrionalis*, with East *R. s. sinicus* placed outside of this clade. This phylogenetic discordance was previously reported based on analyses of two mitochondrial genes and four nuclear loci (Mao *et al.*, 2013b), which, together with estimates of gene flow obtained from isolation-with-migration (IM) models, was interpreted as evidence of directional mtDNA introgression from *R. s. septentrionalis* to Central *R. s. sinicus*, implying hybridization between females of the former taxon with males of the latter (Mao *et al.*, 2013b).

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Yet contrary to our earlier work that found no evidence of ncDNA gene flow between the focal taxa (Mao *et al.*, 2013b), new analyses of >3500 loci with three different methods (BUCKy, AU test, and D-statistic test) strongly suggests that ncDNA introgression has taken place. This discrepancy almost certainly reflects the much greater genetic sampling in our new study, and thus highlights the benefits of genomic approaches for elucidating patterns of divergence and gene flow (e.g. Ståting *et al.*, 2013; Palmer & Kronforst, 2015). In particular, we found that coding genes of the sequenced individual of Central *R. s. sinicus* grouped at broadly equal frequencies with loci from *R. s. septentrionalis* and East *R. s. sinicus*. Formal ABBA-BABA tests applied to the large set of gene trees suggested that levels of allele sharing between *R. s. septentrionalis* and Central *R. s. sinicus* were significantly greater than would be expected under a scenario of ILS acting alone (see Durand *et al.*, 2011). Such a result is unlikely to stem from the presence of ghost lineages, which can sometimes lead to erroneous inferences of gene flow in ABBA-BABA tests (see Durand *et al.*, 2011; Eaton & Ree, 2013; Rogers & Bohlender, 2015; Eaton *et al.*, 2015). Indeed, geographical sampling from the study region has been extensive, and to date has not revealed the presence of additional closely related taxa on the mainland of China (Mao *et al.* 2013b). In addition, although samples of *R. sinicus* from outside of China (e.g. the Himalayas) have not been studied, preliminary analysis of mitochondrial *cytochrome b* sequences (Stoffberg *et al.*, 2010) showed marked divergence between a sample from Nepal and our three focal taxa, indicating that this geographically isolated lineage from the Himalayas would have no effect on the ABBA-BABA tests here.

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475 Despite the evidence for ncDNA introgression between Central *R. s. sinicus* and *R. s.*
septentrionalis, it is important to recognise that AU and D-statistic tests do not
quantify the relative contribution of ILS and introgression, and thus cannot rule out
ILS. Instead, in each of these two tests, introgression is accepted over a null
hypothesis of ILS occurring alone, whereas in most real cases of recent divergence
480 histories, these processes will almost certainly not be mutually exclusive (e.g. Liu *et*
al., 2014; Marcussen *et al.*, 2014).

An alternative explanation for the pattern of genomic mosaicism observed in Central
R. s. sinicus is that it is a hybrid species, formed by interbreeding between East *R. s.*
sinicus males and *R. s. septentrionalis* females. To date, there are several reported
485 examples of homoploid hybrid speciation in animals (e.g. insects, Gompert *et al.*,
2006; fishes, Cui *et al.*, 2013; birds, Brelsford *et al.*, 2011; also see reviews in
Mavárez & Linares, 2008 and Schumer *et al.*, 2014) including one case from bats
(Larsen *et al.*, 2010). Yet we consider that hybrid lineage is an implausible scenarios
in our study system. Earlier analyses provided compelling evidence that the two
490 geographical clades of *R. s. sinicus* diverged after their common ancestor split with *R.*
s. septentrionalis; consequently the order of these events is inconsistent with a hybrid
species origin, and instead genomic mosaicism is more likely a consequence of the
combined effects of ILS and secondary introgression. More generally, caution is
required when accepting scenarios of hybrid speciation, especially when genetic
495 sampling is limited, because different loci in the genome may vary in their degree of
introgression across species boundaries (Hamilton *et al.*, 2013; Larson *et al.*, 2014;
Harrison & Larson, 2016). A recent example comes from a study focussing on
swordtails of the genus *Xiphophorus*, in which a hypothesis of hybrid speciation for *X.*
clemenciae (Meyer *et al.*, 2006) has since been ruled out following genome-wide
500 sequencing (Schumer *et al.*, 2013).

To test further for ILS and secondary introgression in producing genomic mosaicism
in Central *R. s. sinicus*, we also attempted to examine the distribution of loci
supporting each of the three tree topologies (see also Martinsen *et al.*, 2001; Schumer
505 *et al.*, 2013). We found that genes supporting each of the three tree topologies were
not clustered and thus ILS cannot be ruled out for the observed gene conflict within
this species complex (see also Pollard *et al.*, 2006), although ancient hybridization and
subsequent recombination will also lead to similarly scattered distributions (see
Schumer *et al.*, 2013). It is also unclear whether inferences of genomic distributions in
510 horseshoe bats based on the reference genome of *Pteropus alecto* are reliable given
that these taxa, although belonging to the same suborder, might have different
genomic architectures. As more and better quality bat genomes become available, we
will be able to assess whether such assumptions of gene synteny are safe.

515 Although genome sequencing costs have plummeted in the past few years, genomic
library construction remains relatively expensive, reducing the affordability of
genomics studies of many individuals and populations. Thus a trade-off between
sequencing small numbers of loci in many individuals, versus sequencing large
numbers of loci in few individuals, still persists. Landguth *et al.*, (2012) suggested
520 that increasing the power of detecting the effects of landscape patterns on gene flow
might be better achieved by studying more loci than by increasing numbers of
individuals, while Robinson *et al.*, (2014) made similar recommendations in the
context of improved model selection and parameter estimation in approximate

525 Bayesian computation (ABC). Other recent studies have also studied gene flow and
admixture based on transcriptomes or low coverage genomes of single individuals
sampled from populations or species (e.g. Schumer *et al.*, 2014; Frantz *et al.*, 2013
and 2014; Hearn *et al.*, 2014; Gante *et al.*, 2016) or transcriptome sequences (e.g. Cui
et al., 2013). Therefore, while population genomics studies will inevitably become
530 increasingly common in the coming years, studies of gene flow and divergence such
as ours, based on deep genomic coverage of small numbers of individuals, can still
make useful contributions.

535 CONCLUSIONS

535 We used genome-wide data to elucidate the phylogenetic relationships among a
species complex of horseshoe bats, Central *R. s. sinicus*, East *R. s. sinicus* and *R. s.*
septentrionalis. Phylogenetic analyses of 3,548 genes provided firm support for the
evolutionary relationships of the three recently diverged taxa, but also extensive
540 genomic mosaicism in Central *R. s. sinicus* genome that has arisen from secondary
introgression and/or ILS between this taxon and *R. s. septentrionalis* in their
evolutionary history. Our analyses did not find any evidence for a hybrid lineage in
this model system, although further analyses of the extent and timing of hybridization
and introgression may allow us to disentangle the relative contributions of ILS and
545 introgression in shaping the genomes of these species (Payseur & Rieseberg, 2016).
Finally, our study further highlights that horseshoe bats can be an excellent model
system for studying speciation, and further population genomic surveys for this
species complex could allow us to identify genes involved in reproductive isolation
between recently diverged taxa, as well as to test for adaptation associated with
550 secondary introgression in natural populations.

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835 **Figure legends**

Figure 1. Sampling map and ML trees reconstructed based on nuclear and mitochondrial genomes, respectively. (a) Map showing distribution ranges of the three *R. sinicus* taxa, Central *R. s. sinicus*, East *R. s. sinicus* and *R. s. septentrionalis* (modified from Mao *et al* 2013). Red arrows indicate the sampling sites of bats in this study; (b) ML-tree based on a concatenated dataset of 3548 nuclear protein-coding genes; (c) ML-tree based on a concatenated dataset of 13 mitochondrial protein-coding genes

845 **Figure 2. Analysis of introgression based on the ABBA-BABA test.** (a) An example of population comparison used in the test; (b) three comparisons of our focal taxa used in the test. D1 (((Central *R. s. sinicus*, East *R. s. sinicus*), *R. s. septentrionalis*), *R. rex*), D2 (((Central *R. s. sinicus*, *R. s. septentrionalis*), East *R. s. sinicus*), *R. rex*) and D3 (((East *R. s. sinicus*, *R. s. septentrionalis*), Central *R. s. sinicus*), *R. rex*).

Figure 3. A primary concordance tree generated by BUCKy from 2919 gene trees. Nodal values are Bayesian concordance factors and their credibility intervals (given above and below the branch, respectively).

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Figure 4. Number of genes supporting each of the three tree topologies among the three focal taxa and the outgroups.

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Table 1 Statistics of clean RNA-seq data.

Samples	Total reads	Total nucleotides (nt)	Q20 percentage(%)	GC percentage(%)
East <i>R. s. sinicus</i>	19,408,192	3,493,474,560	97.83	49.13
Central <i>R. s. sinicus</i>	20,082,158	3,614,788,440	97.8	49.67
<i>R. s. septentrionalis</i>	19,523,461	3,514,222,980	97.78	50.8
<i>R. rex</i>	20,684,688	3,723,243,840	98.28	49.9
<i>R. pearsoni chinensis</i>	20,206,846	3,637,232,280	98.37	50.62
<i>R. ferrumequinum</i>	19,265,977	3,467,875,860	98.25	50.38

865 Table 2 Summary of the assembled results by Trinity.

Samples	N ^a	N ^b	Average length (bp)	N ^c	Average length (bp)
East <i>R. s. sinicus</i>	184,364	73,678	1290	7,868	1,274
Central <i>R. s. sinicus</i>	127,096	51,243	1167	7,266	1,178
<i>R. s. septentrionalis</i>	134,026	55,019	1182	6,804	1,164
<i>R. rex</i>	179,485	71,615	1285	7,738	1,240
<i>R. pearsoni chinensis</i>	152,341	64,968	1470	8,319	1,355
<i>R. ferrumequinum</i>	135,796	57,372	1201	7,542	1,174

N^a: Number of assembled contigs; N^b: Number of contigs ($\geq 10x$ and $\geq 200bp$); N^c: Number of genes

870 Figure 1

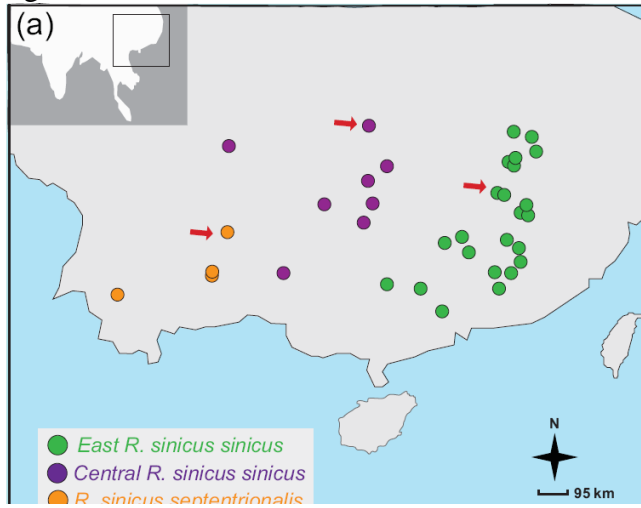
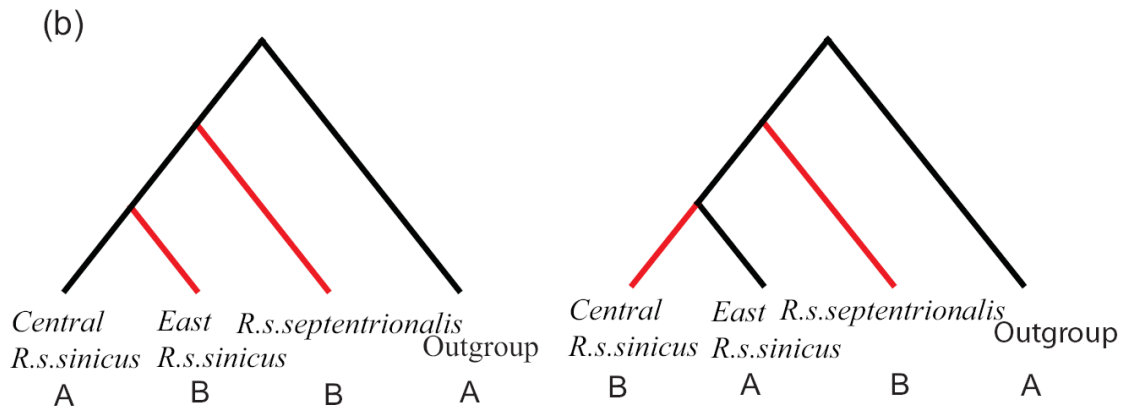


Figure 2



875

Figure 3

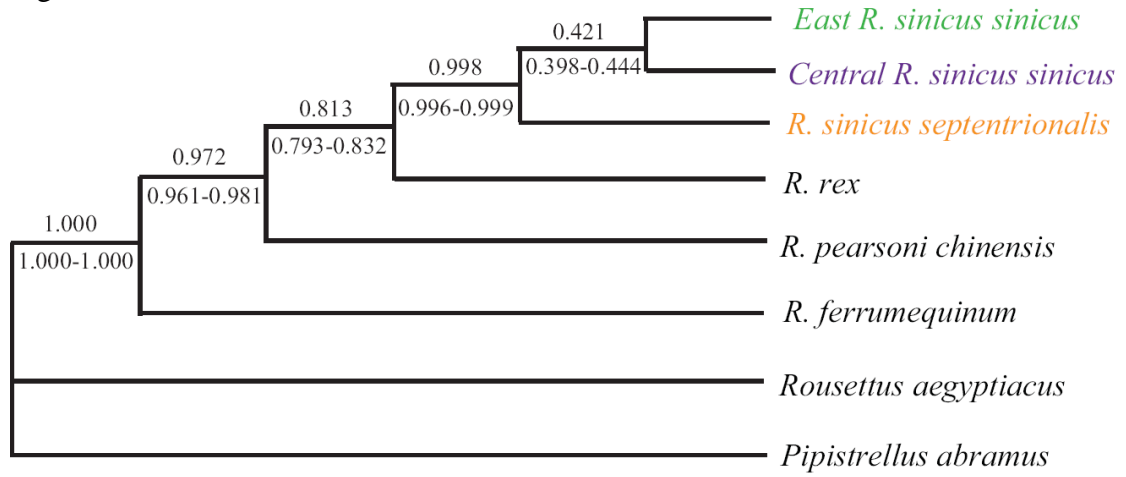


Figure 4

