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Photophysical Heavy-Atom Effect in Iodinated Metalloporphyrins: Spin-Orbit Coupling and Density of States

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Abstract

Excited-state dynamics and electronic structures of Al and Ga porphyrin complexes were studied as a function of the number of β -pyrrole iodine substituents. Using spectrally broad-band femtosecond-resolved fluorescence upconversion, we determined the kinetics of the Soret fluorescence decay, the concomitant rise and subsequent decay of the Q-band fluorescence, as well as of the accompanying vibrational relaxation. Iodination was found to accelerate all involved processes. The time constant of the internal conversion from the Soret to the Q states decreases from 320-540 to 70-185 fs upon iodination. Vibrational relaxation then occurs with ca. 15 and 0.36-1.4 ps lifetime for iodine-free and iodinated complexes, respectively. Intersystem crossing to the lowest triplet is accelerated up to 200 \times , from nanoseconds to 15-24 ps; and its rate correlates with the iodine $p(\pi)$ participation in the porphyrin π system and the spin-orbit coupling (SOC) strength. TDDFT calculations with explicit SOC show that iodination introduces a manifold of low-lying singlet and triplet iodine \rightarrow porphyrin charge-transfer (CT) states. These states affect the photophysics by: (i) providing a relaxation cascade for the Soret \rightarrow Q internal conversion and cooling; and (ii) opening new SOC pathways whereby CT triplet character is admixed into both Q singlet excited states. In addition, SOC between the higher Q singlet and the Soret triplet is enhanced as the iodine participation in frontier porphyrin π orbitals increases. Our observations that iodination of the chromophore periphery affects the whole photocycle by changing the electronic structure, spin-orbit coupling, and the density of states rationalize the "heavy-atom effect", and have implications for controlling excited-state dynamics in a range of triplet photosensitizers.

Introduction

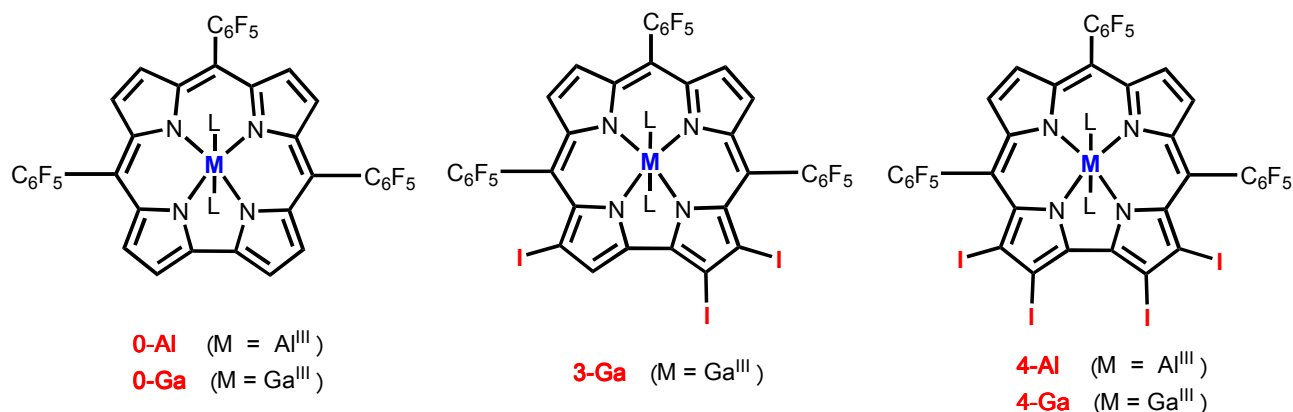
Corroles and their metal complexes^{1,2,3} exhibit very rich photophysical,^{4,5,6,7,8,9,10,11} photochemical,¹² and redox¹³ behaviors that are tunable and controllable by varying substituents on the molecular periphery, as well as the central metal atom.¹⁴ Corroles are intensely studied for their catalytic (e.g., hydrogen evolution,^{15,16} oxygen reduction,^{17,18} water oxidation,¹⁹ peroxyxynitrite decomposition,²⁰ epoxidation,²¹ etc.) and sensor^{22,23} properties. Importantly, corroles and their metal complexes are excellent agents for photodynamic therapy and inhibition,²⁴ cancer-targeted imaging and tumor elimination,^{25,26,27,28,29} as well as solar-cell^{30,31} and singlet-oxygen^{22,24,32,33,34,35} photosensitizers. It is also possible to envisage corroles as active components of electron- and energy transfer supramolecular assemblies, although this opportunity has been explored less.³¹ They also exhibit pronounced 2-photon absorption and high nonlinear optical coefficients, opening interesting possibilities for optoelectronic applications.³⁶ Such a variety of photonic applications emphasizes the need to understand excited-state dynamics of corrole complexes and their dependence on the nature of the metal and the peripheral substituents.

Compared to porphyrins, corroles have a contracted ring with one of the *meso* CH linking pyrrole groups missing (Scheme 1). One consequence is that the highest possible symmetry is lowered from D_{4h} to C_{2v} and the e_g porphyrin LUMO is split into two separate orbitals. Absorption and emission spectral patterns are still similar to those of porphyrins^{37,38}: the Soret band occurs between 400 and 450 nm and, in some cases, is split in two³⁹ because of the symmetry lowering. The Q-band (550-650 nm) is composed of two close-lying transitions^{39,40} from the HOMO and HOMO-1 to the two first LUMO's and shows vibronic

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3 sidebands. Corroles are stronger fluorophores than porphyrins; the quantum yield of the Q
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5 fluorescence increases from 0.1-0.2 in free-base corroles^{4,11,33,39,41} to 0.3-0.5 and 0.76 in their
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7 Ga and Al complexes, respectively.⁵ Very weak fluorescence from the higher-lying Soret state(s)
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9 has been detected in free-base corroles^{8,11} and their Ga and Al complexes,⁴² but the Soret
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11 excited-state lifetimes (140-550 fs) are shorter than for many Zn and Mg porphyrins.⁴³ The Q
12
13 states are ultimately populated with a near-unity efficiency after Soret excitation.⁴²
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18 Population of the lowest Q triplet state by intersystem crossing (ISC) is a crucial process,
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20 essential for singlet-oxygen sensitization and photodynamic therapy,²⁴ as well as
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22 electroluminescence and triplet-triplet annihilation upconversion,⁴⁴ but detrimental to
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24 fluorescence imaging and solar energy conversion because of fluorescence quenching and
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26 unproductive energy dissipation, respectively. The lowest Q-triplet is usually detected by
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28 transient absorption (TA) in the visible^{4,33,39,41} or infrared (IR) region.⁴⁵ Phosphorescence at
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30 room temperature has been reported in the near-IR region for Ir^{III} (~790 nm)⁴⁶ and Au^{III} (779
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32 nm),⁴⁷ as well as for iodinated Al^{III}, Ga^{III}, and P^V (810-850 nm)^{7,9} corrole complexes. Typically,
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34 these triplet states live hundreds of microseconds^{7,9,47} and undergo efficient energy-transfer
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36 quenching by oxygen. For free-base corroles, the ISC rate depends on the extent of fluorination
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38 of the *meso*-phenyl groups,⁸ increases upon β -bromination of the pyrrol rings,^{39,45} introducing a
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40 Cl or I atom on one of the *meso*-phenyls,^{33,41} or upon complexation with main-group elements
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42 Ga^{III} and Al^{III},⁴² as well as P^V and Ge^{IV}.⁴⁸ The (near) absence of fluorescence in emission spectra
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44 of phosphorescent Ir^{III} and Au^{III} complexes point to a very fast ISC, although its rate has not
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46 been reported.^{46,47}
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3 Iodination of the pyrrole rings in Ga^{III} and Al^{III} corroles produced complexes bearing zero,
4 three, or four β -iodine atoms (Scheme 1), further abbreviated **0-M**, **3-M**, and **4-M**, respectively,
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6 (M = Al, Ga).^{6,7} The fluorescence yield is strongly quenched, for example from 0.76 in **0-Al** to
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8 0.0034 in **4-Al**, while long-lived (192-373 μ s) phosphorescence emerges,⁷ thus indicative of fast
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10 and efficient triplet population. These compounds provide an excellent platform to investigate
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12 the physical origin of the "heavy atom effect" that is normally understood as ISC acceleration in
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14 organic compounds (usually aromatics) upon bromination, iodination, or appending sulphur-
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16 containing groups.^{49,50,51} Whereas this effect is well recognized, quantitative kinetics data are
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18 rare and their origin is usually qualitatively attributed only to enhanced spin-orbit coupling
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20 (SOC), whose strength increases with the 4th power of the atomic number. Below, we show
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22 that the reality is more complex, emphasizing the need to analyze SOC pathways and effects on
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24 the whole photocycle.
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48 **Scheme 1.** Schematic structures of investigated corrole complexes (L = pyridine).

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51 The series of sequentially iodinated Al- and Ga-corroles provided an opportunity to
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53 investigate their photophysics using femtosecond-resolved spectrally broad-band fluorescence
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3 up-conversion in combination with quantum chemical calculations that explicitly included SOC.
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5 It emerged that appending iodine atom(s) at the chromophore periphery primarily introduces a
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7 manifold of low-lying charge-transfer states that provides a cascade for electronic relaxation of
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9 higher-lying Soret states and, also, opens new spin-orbit coupling pathways that mix triplet and
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11 singlet characters, facilitating ISC. At the end, all nonradiative decay processes are accelerated,
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13 whether they involve a spin-change or not.
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20 **Experimental**

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22 *Materials.* Corrole complexes were synthesized and characterized by literature methods.^{6,7,52,53}
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25 Samples for time-resolved spectroscopic studies were obtained by dissolving 20-40 mg in 80 mL
26
27 of toluene containing 5% of pyridine resulting in ca. 0.1-1 mM concentration. All measurements
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29 were performed at room temperature under argon atmosphere. Spectroscopic-quality
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31 anhydrous solvents were used as obtained from Sigma-Aldrich.
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35 *Instrumentation.* Steady-state absorption and emission measurements have been carried out in
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37 1 mm quartz cuvettes using ~ 1 mM sample concentrations, to match the concentrations used
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39 in upconversion experiments. Fluorolog-3 (model FL3-11; HORIBA Jobin Yvon) and Shimadzu
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41 UV-2600 instruments were used to obtain emission and absorption spectra, respectively. Time-
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43 resolved fluorescence decays on a pico-nanosecond timescale were measured using the time-
44
45 correlated single photon counting technique (TCSPC) on an IBH 5000 U SPC instrument
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47 equipped with a cooled Hamamatsu R3809U-50 microchannel plate photomultiplier. Samples
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49 (in a 1 cm cell) were excited at 405 nm with a Picoquant LDH-D-C-405 diode laser (50 ps fwhm)
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51 with a repetition frequency of 2.5 MHz. The detected signal was kept below 20 000 counts per
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3 second to avoid shortening of the recorded lifetime due to the pile-up effect. Fluorescence
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5 decays were fitted (using the iterative reconvolution procedure with IBH DAS6 software) to a
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7 multiexponential function convoluted with the experimental instrument response function
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9 (IRF). Broad-band fluorescence upconversion was employed to obtain time-resolved
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11 fluorescence spectra and dynamics in the femto-picosecond range.⁵⁴ Flowing sample solutions
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13 in a 0.2 mm cell were excited at 400 nm by laser pulses obtained by frequency doubling of 800
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15 nm, 80 fs pulses provided by a Coherent Rega Ti:Sapphire regenerative amplifier operating at
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17 150 kHz repetition rate. Photoluminescence was collected by a parabolic mirror in a forward-
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19 scattering geometry, and directed to a second mirror that focuses it onto a 0.25 mm thick β -
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21 barium borate (BBO) crystal. The luminescence is then upconverted by mixing with the 800 nm
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23 gate pulse in a slightly noncollinear geometry. The up-converted signal is spatially filtered and
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25 detected with a spectrograph and a liquid-nitrogen cooled CCD camera. The kinetics of the
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27 emitted signal was measured by delaying the gate beam with respect to the onset of the
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29 fluorescence, while keeping the BBO crystal at a given angle. Polychromatic detection was
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31 enabled by rotating the BBO crystal during the accumulation time of the CCD camera, to phase-
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33 match a wide spectral region at each time delay. A 140 fs IRF was estimated by measuring the
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35 water Raman signal, allowing us to safely determine lifetimes ca. 3-times shorter using
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37 deconvolution. Kinetics were analyzed by singular value decomposition (SVD) and global
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39 fitting.⁵⁵

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42 *Quantum chemical calculations.* The electronic structures of the Al and Ga metallocorroles were
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44 calculated by density functional theory (DFT) methods using the Gaussian 09.E01 (G09)⁵⁶ and
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46 ADF2016.06⁵⁷ program packages. ADF was employed especially for spin-orbit calculations.
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3 Within G09, polarized triple ζ basis sets^{58,59,60,61} and hybrid B3LYP exchange and correlation
4 functional⁶² were used. The solvent was described by the polarizable calculation model (PCM).⁶³
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8 Geometry optimizations were performed both in vacuo and with the PCM correction. The
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10 lowest singlet excited states were optimized by TDDFT methodology. Geometry optimizations
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12 were followed by vibrational analysis; no imaginary frequencies were found for the optimized
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14 structures. Electronic excitations were calculated by TDDFT at the optimized geometries. ADF
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16 calculations employed the B3LYP functional and Slater type orbital basis sets with frozen core
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18 were employed within ADF: triple- ζ quality with two polarization functions for Ga (1s-3d
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20 frozen), Al (1s-2p frozen) and I (1s-4p frozen), triple- ζ quality with one polarization function for
21
22 the first row atoms (1s frozen), and double- ζ with one polarization function for H atoms. The
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24 scalar relativistic (SR) zero order regular approximation (ZORA) was used. Solvent effect
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26 corrections were calculated using the COSMO model.⁶⁴ TDDFT calculations were performed in
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28 order to determine low-lying spin-free excited states. In the second step, spin-orbit coupling
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30 (SOC) was applied as a perturbation to obtain transition energies to spin-orbit excited
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32 states.^{65,66} Calculations using PBE0 and long-range corrected CAM-B3LYP functionals provided
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34 similar results to B3LYP. (CAM-B3LYP TDDFT calculated absorption spectra overestimate the
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36 Soret-band energy and the match with experimental spectra is less satisfactory than for B3LYP.)
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47 **Results**

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49 The investigated corrole complexes (Scheme 1) were synthesized as bis-pyridine adducts
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51 and investigated in toluene solutions containing 5% pyridine to stabilize the pyridine
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3 coordination. DFT calculations were performed on bis-pyridine adducts in toluene that was
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5 described by polarizable continuum models.
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10 *DFT-calculated molecular and electronic structures*

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13 Optimized molecular structures (Figure S1) match well experimental X-ray structures
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15 except for the calculated $\sim 16.5^\circ$ deviations of the iodine atoms from the corrole plane in **4-Ga**
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17 and **4-AI** that contrast the planar geometry found in the crystal structure,⁶ likely induced by
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19 crystal packing. The four spectroscopically relevant frontier MOs are shown in Figure 1 and the
20
21 MO compositions are summarized in Tables S1-3. They are π/π^* orbitals of the corrole ligand
22
23 system with a negligible participation of the axial pyridines (Table S2) and they resemble the
24
25 Gouterman's "four-orbital" model⁶⁷ of porphyrins. The two close-lying HOMOs are separated
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27 by ca. 0.2 eV; the metal participation is less than 0.5% and, in iodinated complexes, iodine $p(\pi)$
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29 orbitals contribute to HOMO-1, HOMO, and LUMO by 2-10%. In **0-AI** and **0-Ga**, the four frontier
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31 orbitals are energetically rather isolated as the HOMO-2 lies ca. 1.4 eV lower. This changes
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33 upon iodination since a set of three predominantly (50-80%) iodine-localized HOMO-2,3,4
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35 (Figure S2) emerges, reducing the energy gap below HOMO-1 to 0.74 (**4-AI**), 0.71 (**3-Ga**), and
36
37 0.57 eV (**4-Ga**), see Tables S1-3. Iodine participation in occupied orbitals is generally larger for
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39 **4-Ga** than **4-AI** and **3-Ga**. Iodine orbitals contribute comparably to the LUMO in **4-AI** and **4-Ga**
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41 (less for **3-Ga**) while they do not participate in LUMO+1.
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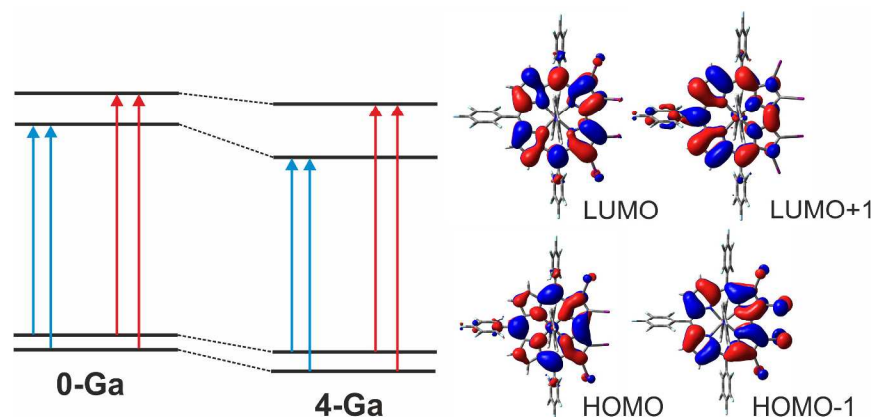


Figure 1. Left: Qualitative MO scheme of **0-Ga** and **4-Ga**. Blue and red arrows correspond to the principal one-electron excitations contributing to the Q and Soret transitions, respectively. Right: shapes of the corresponding MOs of **4-Ga**. (See ref.³⁷ for MOs of **0-Ga**.)

Steady-state absorption and emission spectroscopy

All examined corroles exhibit an intense Soret absorption band between 400 nm and 450 nm and a weaker Q band in the 550–650 nm range (Figures 2 and S3).^{5,6,7} Small differences (peak maxima shifts, occasional band splitting) between the present spectra and those published before⁷ are attributable to the 5% pyridine addition used in the present study for assuring the presence of the 6-coordinated bis-pyridine adducts as the predominant species in the solution.

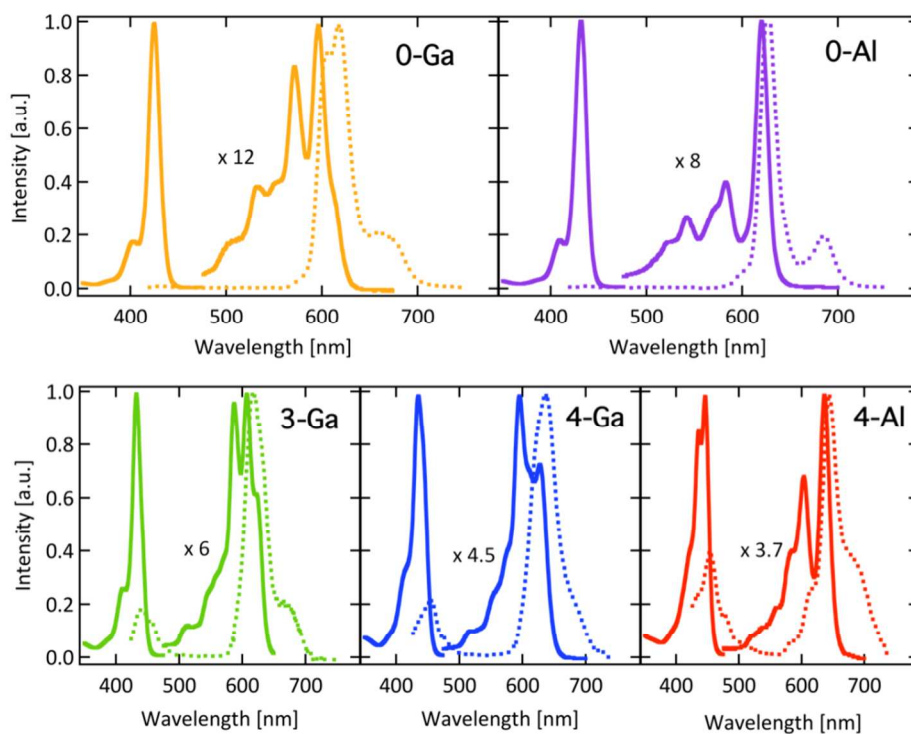


Figure 2. Normalized steady-state absorption (full) and emission spectra obtained upon 400 nm excitation (dashed) of the investigated complexes. Note the largely increased Q-band intensity in the iodinated derivatives, which may be appreciated by looking at the indicated multiplication factors. Molar absorptivities of the Soret and most intense Q bands ($M^{-1}cm^{-1}$): 2.94×10^5 , 3.7×10^4 (**0-Al**⁵³); 2.84×10^5 , 2.36×10^4 (**0-Ga**⁵²); 1.47×10^5 , 2.79×10^4 (**3-Ga**⁷); 1.48×10^5 , 3.18×10^4 (**4-Ga**⁷); 1.16×10^5 , 3.02×10^4 (**4-Al**⁶).

TDDFT and SO-TDDFT calculations of **0-Ga** and **4-Ga** reproduce well the experimental absorption spectra, including the observed red shift and increase of the relative Q-band intensity upon iodination (Figure S4). Including SOC has a negligible effect on the calculated spectra. The Soret as well as the Q band each encompasses a pair of close-lying transitions of A and B symmetry (in the C_2 point group). All these transitions arise from different combinations of one-electron excitations between the four frontier π orbitals HOMO-1, HOMO, LUMO, and LUMO+1 (Tables S4, S5). The principal contributing excitations are indicated in Figure 1. Calculations reveal a dense manifold of states occurring between the Soret and Q states of the

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3 iodinated complexes (Figure 3). These states involve excitations from lower-lying iodine-
4 localized MOs to the LUMO and possess an iodine→corrole charge transfer (CT) character. The
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6 corresponding transitions from the ground state are too weak to contribute to the absorption
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8 spectra. No such states were found for **0-Ga** and **0-Al** where the Soret and Q pairs of states are
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10 separated by a gap of 0.7-0.8 eV.
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15 All complexes exhibit steady-state emission in 420-480 nm and 500-650 nm ranges due the
16 radiative decay of the Soret and Q excited states, respectively (Figures 2, S3, S5). The
17 phosphorescence reported^{6,7} for the iodinated derivatives above 800 nm was not studied
18 herein. By using the solvent Raman peak (Figure S5) as an internal intensity reference shows
19 that the Soret emission of **0-Al** and **0-Ga** is much stronger than for the iodinated derivatives
20 (The Soret emission of **0-Al** and **0-Ga** was described before,⁴² but is not seen in Figures 2 and S3
21 because the Q emission of **0-Al/Ga**, to which the spectra are normalized, is very strong). In all
22 cases, the Soret emission shows a weak tail towards lower energies with a shoulder at 480-500
23 nm (overlapping with the solvent Raman signal). Structured Q fluorescence bands are
24 approximately mirror images of the corresponding Q absorption features (Figure 2); and the
25 presence of 5% pyridine in the toluene solvent causes small red shifts relative to the previously
26 reported spectra.^{5,6,7,42} The Q-emission extends towards shorter wavelengths, which is most
27 apparent for the iodinated complexes, namely **4-Al** (Figures 2, S3). This high-energy
28 fluorescence was attributed to hot emission from higher vibronic levels of the two Q states, in
29 analogy with the free-base⁶⁸ and Zn-tetraphenylporphyrin.^{43,68} SO-TDDFT calculation performed
30 at the optimized (relaxed) geometry of the Q(b¹B) state of **4-Ga** showed that the whole excited-
31 state manifold shifts slightly to lower energies upon excited-state relaxation (Figure S6). A good
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match between the calculated $Q(a^1B) \rightarrow GS$ transition (635 nm) and the corresponding 0-0 fluorescence band (636 nm in 5% pyridine/toluene; 627 nm in toluene) was obtained.

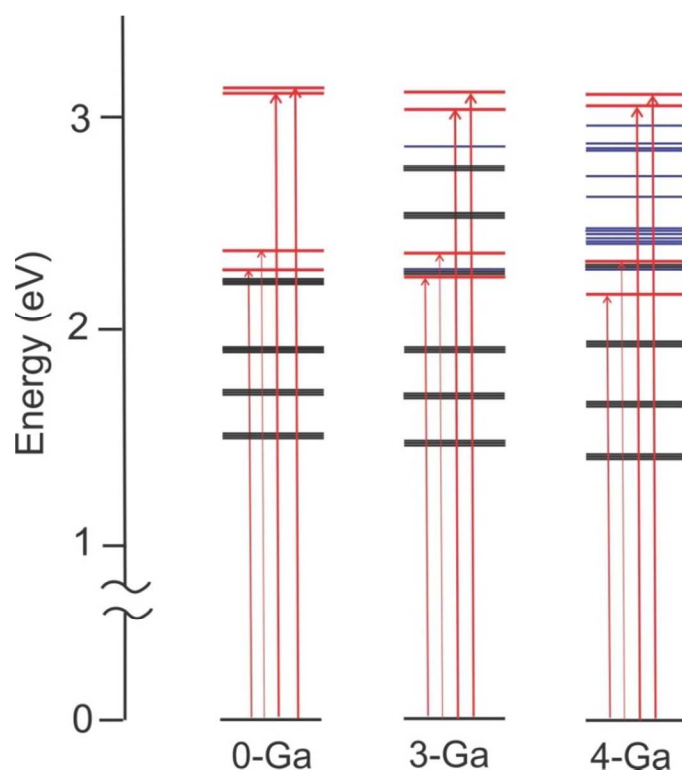


Figure 3. SO-TDDFT calculated diagram of electronic states of **0-Ga**, **3-Ga**, and **4-Ga**. Red bars: predominantly singlet states responsible for Q and Soret transitions (red arrows); blue: spectroscopically weak predominantly singlet or mixed-spin states, the corresponding transitions have oscillator strengths in the range $10^{-4} - 5 \times 10^{-3}$; black: predominantly triplet states.

Time-resolved fluorescence

Decay kinetics of the Q emission of **0-AI** and **0-Ga** was investigated by TCSPC with ca. 80 ps time resolution. In accordance with previous data from benzene/pyridine mixtures,⁵ a biexponential decay was observed: 5.6 ns (81%), 0.80 ns (19%) for **0-AI** and 2.9 ns (74%) 0.69 ns (26%) for **0-Ga**. The minor components are related to kinetics of pyridine dissociation from the excited state as was discussed in detail by Kowalska et al.⁵ The emission decay of all iodinated

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3 complexes was too fast to be measured by TCSPC. Therefore, we have turned to fluorescence
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5 up-conversion with femtosecond time resolution.
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8 Figure 4 shows the time-wavelength (t - λ) plots of the fs-resolved fluorescence, together with
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10 transient spectra at selected time delays. In all cases the signal at and shortly after time-zero
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12 extends over the whole spectral range and exhibits a strong feature below 500 nm, with a clear
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14 red wing, which corresponds to the Soret emission (see Figures S5 and S7 for details of the
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16 Soret region in stationary and time-resolved spectra, resp.). The fluorescence decay in the Soret
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18 emission occurs within the first picosecond and is accompanied by a concomitant rise of the Q
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20 emission at longer wavelengths (600-650 nm). For all iodinated complexes, the Q feature is
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22 clearly observable at the earliest times after excitation (see the spectra recorded at $t = 0$, Figure
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24 4) and then grows further within the first 500 fs. Initially (until ca. 1 ps), the Q-emission extends
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26 to shorter wavelengths and merges with the tail of the Soret band. For **0-Al/Ga**, the Q feature is
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28 absent at $t = 0$ and grows in the first 20 ps, on the same time scale as the Soret emission decay.
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30 At longer time delays, the 2D maps show that the Q emission is nanosecond-lived^{6,7} in the case
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32 of **0-Al** and **0-Ga**, whereas it is completely quenched in ca. 50 ps in the iodinated compounds.
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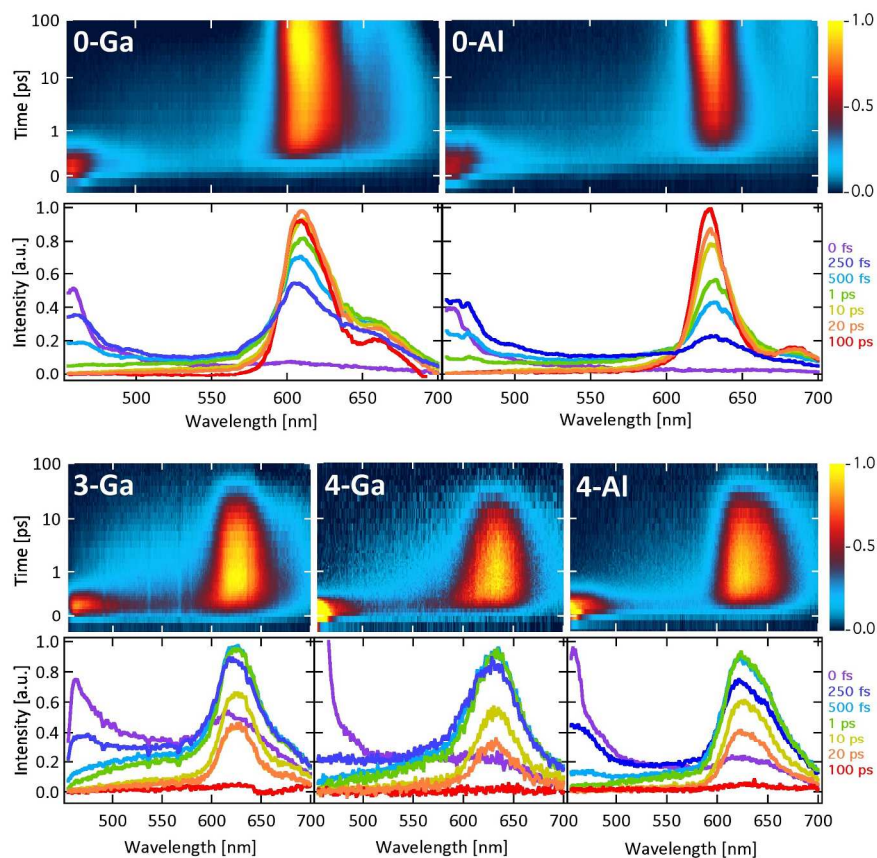


Figure 4. Fluorescence time-wavelength 2D plots and spectra measured at selected time delays. The time axis is displayed on a logarithmic scale, shifted by +200 fs, in order to show also the behavior around $t = 0$.

Kinetics analysis of the fluorescence time evolution was performed by Singular Value Decomposition (SVD),⁵⁵ from which three time constants (Table 1) and corresponding Decay Associated Spectra (DAS, Figure 5) were extracted. SVD results are supported by a global fit (GF) analysis whereby a triexponential kinetics model was applied to kinetics traces measured at 10-15 wavelengths across the spectra (Figure S8). All three time constants increase with increasing number of iodine substituents (Figure S9).

DAS₁ (red) corresponding to the first sub-picosecond step (τ_1), is positive in the Soret emission region with a long tail all the way to the region of the Q emission, where it turns

negative. This reflects the decay of the Soret- and concomitant rise of the Q emission. The τ_1 time constant corresponds to the Soret-state(s) lifetime that is equal to the Q-state rise-time. For the iodinated complexes, DAS₁ is also positive in the region between the Soret and Q features (up to ~570 nm), showing that the broad emission background partly decays on the τ_1 timescale.

DAS₂ resembles a second derivative of the Q emission band (and, possibly, of the Soret band). This is typical of band narrowing: a decay in the wings accompanied by rise of the central region around the maximum. Hence, the second kinetics step (τ_2) is attributable to vibrational cooling of the Q singlet state(s). For **3-Ga** and **4-Ga** and less so for **4-Al** and **0-Ga**, the positive part of DAS₂ extends to shorter wavelengths (up to 480-500 nm) indicating that the broad emission background decays with the same kinetics. This can be understood by the fact that the Q-emission exhibits a tail extending to shorter wavelengths, which is also seen in the DAS₃. The DAS₃ is positive and reproduces the shape of the Q emission band. The respective τ_3 lifetime thus corresponds to the decay of the Q singlet excited state.

Table 1. Characteristic time constants determined by SVD analysis of the t- λ time-resolved fluorescence up-conversion data.

	τ_1 [fs]	τ_2 [ps]	τ_3 [ps]
0-Al	540 ± 20	16 ± 2	5600 ± 200 ^a
4-Al	185 ± 5	1.4 ± 0.2	23.6 ± 0.6
0-Ga	320 ± 20	14 ± 1	2900 ± 300 ^a
3-Ga	180 ± 6	1.4 ± 0.1	24.1 ± 0.5
4-Ga	70 ± 10	0.36 ± 0.03	14.9 ± 0.6

^a Determined by TCSPC.

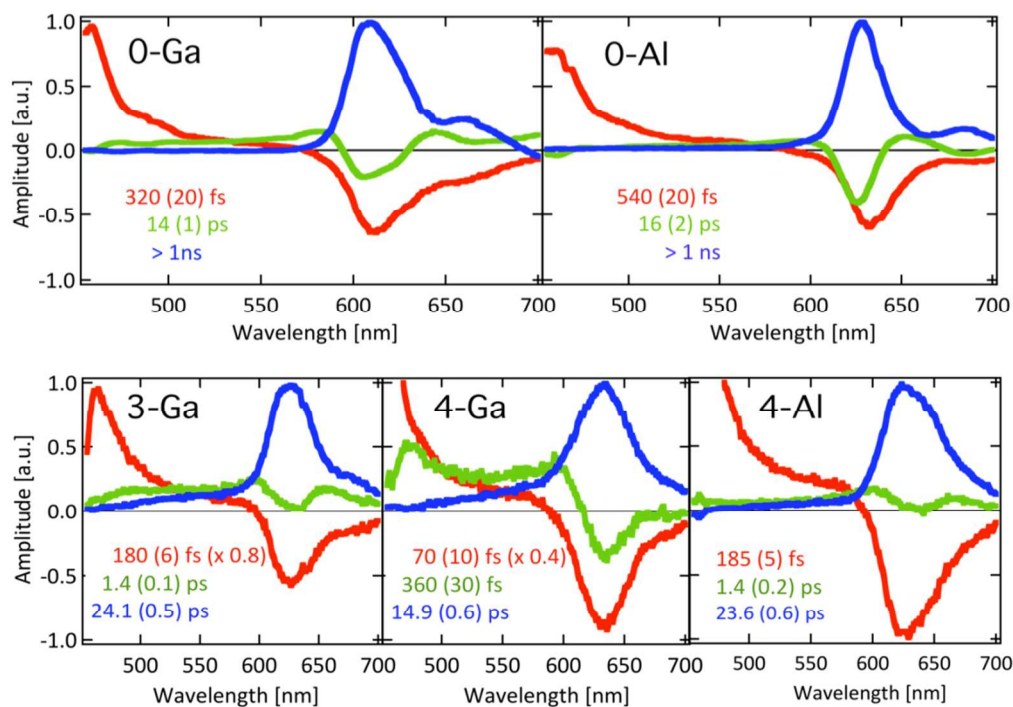
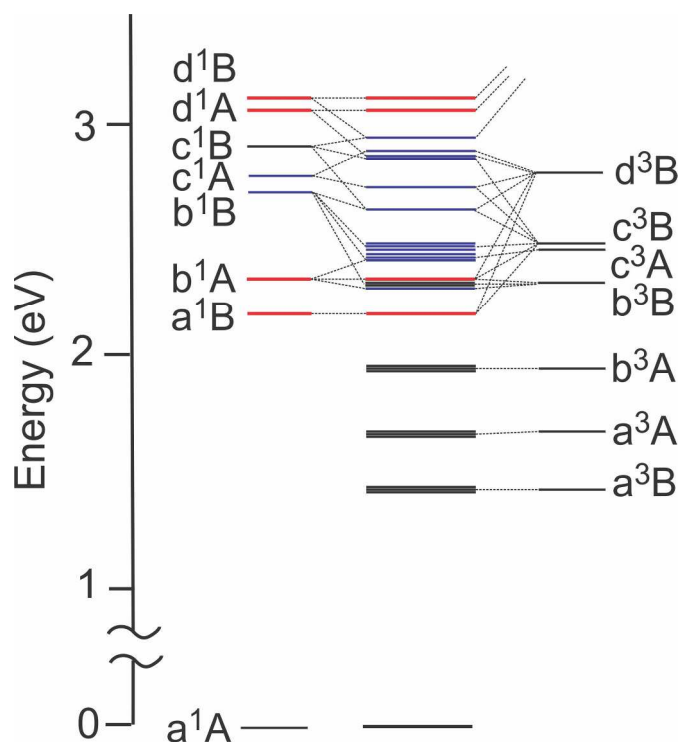


Figure 5. Decay associated spectra (DAS) obtained by SVD analysis of the t - λ time-resolved fluorescence upconversion data. Color code: DAS₁ (red); DAS₂ (green); DAS₃ (blue).

Spin-orbit coupling pathways

The strength of spin-orbit interactions and resulting singlet-triplet mixing were investigated by perturbational spin-orbit TDDFT calculations (SO-TDDFT). Principal SO interactions are shown in Figure 6 and summarized in Table 2. SO coupling increases with increasing number of iodine atoms, as it is indicated by the calculated values of the zero-field splitting (zfs) of the lowest triplet Q state a^3B : **0-Ga** ($<0.05 \text{ cm}^{-1}$) \ll **3-Ga** (0.3 cm^{-1}) $<$ **4-Ga** (0.6 cm^{-1}); and 0.3 cm^{-1} for **4-Al**. These values are rather low due to very small ($<0.01\%$ for **4-Ga**) singlet contributions to the lowest triplet. Relevant to the ISC mechanism is the spin mixing in the Q singlet states. Data in Table 2 and Figure 6 show that the principal triplet admixtures to the lowest Q singlet a^1B result from SOC with the triplet charge transfer states c^3B and d^3B (about 0.16% each), both of which involve excitations from iodine-based MOs (Table S5). The

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3 higher Q singlet b^1A (HOMO-1 \rightarrow LUMO) of **4-Ga** contains a 2.8 % admixture of the b^3B Soret
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5 triplet (HOMO-1 \rightarrow LUMO+1); and this mixing is attributable to the \sim 10% iodine contribution to
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7 HOMO-1. In addition, various 3CT states introduce ca. 0.82% triplet character. Similar, albeit
8
9 weaker, SO mixing occurs in **3-Ga**. In **4-Al**, the triplet character in the Q singlet states is smaller
10
11 (0.06% (a^1B), 1.35% (b^1A)) than in **4-Ga** due to smaller iodine contributions to the relevant MOs
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13 (Tables S1 and S3). Singlet-triplet mixing is virtually absent in **0-Ga/Al** that do not have any
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18 intramolecular CT states.



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Figure 6. Principal spin-orbit interactions in **4-Ga**. Left and right columns show TDDFT-calculated pure singlet and triplet states, respectively. Middle column shows the mixed-spin states calculated by SO-TDDFT. a^1B and b^1A are the Q-singlet states, a^3B and a^3A are the corresponding Q-triplets. Soret singlets are denoted d^1A , d^1B ; and b^3A , b^3B are the corresponding Soret triplets. The other states are the iodine \rightarrow corrole CT states. See Table S5 for contributing one-electron excitations. Color code denotes the oscillator strengths of transitions from the a^1A ground state. Red: > 0.05 , blue: $0.0001-0.05$, black < 0.0001 . C_2 symmetry is assumed. (The occurrence of a CT-manifold between Soret and Q singlets does not

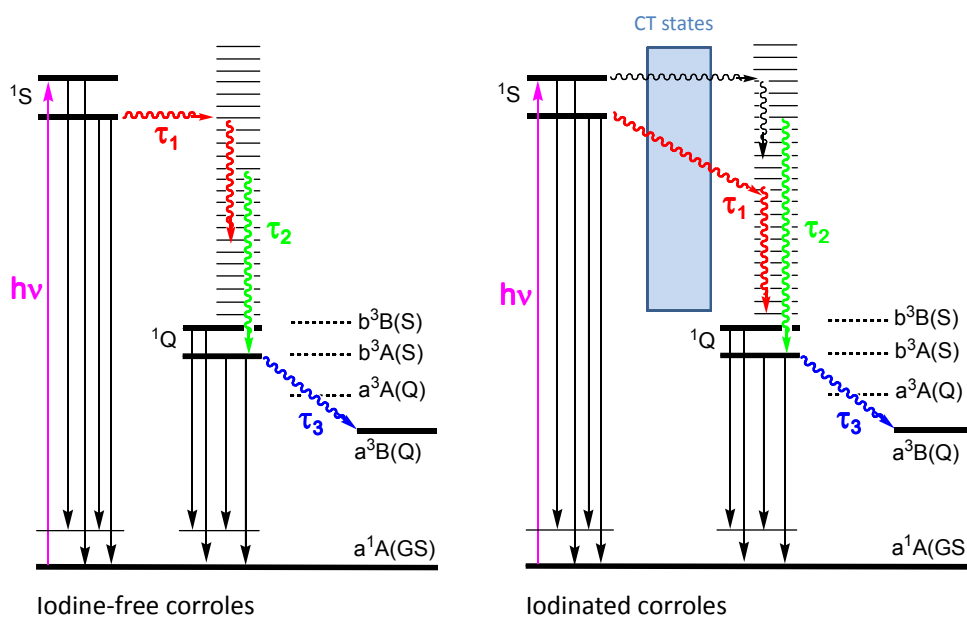
depend on the functional used as similar results were obtained with PBE0 and the long-range corrected XC functional CAM-B3LYP (Figure S10).)

Table 2. Singlet-triplet mixing in the investigated corrole complexes: zero-field splitting of the lowest Q triplet; % total admixture of triplet iodine→corrole CT states to the Q singlets a^1B and b^1A ; % admixture of the Soret triplet b^3B to the upper Q singlet b^1A . Symmetry labels are strictly valid only for **4-Ga,Al**.

	a^3B zfs / cm^{-1}	% 3CT admixture to a^1B	% 3CT admixture to b^1A	% $^3\text{Soret}$ admixture to b^1A
0-Al	$\ll 0.05$	0	0	0
4-Al	0.3	0.06	0.15	1.2
0-Ga	$\ll 0.05$	0	0	0
3-Ga	0.2	0.15	0.10	0.14
4-Ga	0.6	0.33	0.82	2.8

Discussion

Excited-state dynamics of iodine-free as well as iodinated Al and Ga corrole complexes can be described in terms of two radiative (Soret and Q emissions) and three nonradiative decay pathways (Scheme 2), whose mechanisms, rates and yields sensitively depend on the degree of iodination and, to a lesser extent, on the nature of the metal atom, Al vs. Ga.



Scheme 2. Excited-state dynamics of iodine-free (left) and iodinated (right) corrole Ga and Al complexes. Singlet Soret and Q pairs of states are denoted 1S , 1Q . The two Q-singlets (1Q) are energetically close enough to be thermally equilibrated. The arrow colors correspond to the DASs in Figure 5. Black vertical arrows denote Soret and Q fluorescence. The thin black wavy arrow in the right diagram shows the prompt energy redistribution manifested by the "instantaneous" appearance of the broad emission background. The $^1S \rightarrow ^1Q$ IC (τ_1) in iodinated complexes proceeds through a cascade of CT states. ISC can start from both Q singlets and proceed either directly to the lowest triplet $a^3B(Q)$ or via a $b^3B(S)$, $b^3A(S)$, $a^3A(Q)$ cascade. It is a reversible process, as was demonstrated by observing the thermally activated delayed Q fluorescence.⁷

Near-UV excitation populates the Soret pair of singlet excited states. This is manifested by the instantaneous appearance of the fluorescence feature at about 450 nm, accompanied by a broad weak emission signal that extends to lower energies. For the iodinated complexes, the initial signal ($t = 0$) also exhibits a broad background around 550 nm due to hot emission from higher vibronic levels of the Q (b^1A) state and a weak Q (a^1B) emission band at ~ 630 nm. It follows that the Franck-Condon excited Soret state(s) is strongly coupled with higher vibronic levels of the Q states and that part of the excitation energy is promptly redistributed among Q vibronic and low-frequency vibrational levels within the experimental time resolution (<140 fs), concomitantly with relaxation of the Soret states. Similar ultrafast electronic and vibrational energy redistribution from higher excited states has been observed in transition metal complexes, as well as in some organic chromophores.^{54,69,70,71} However, this tens-of-femtoseconds relaxation of Soret excited states is reserved for the iodinated corrole complexes as neither **0-Al** nor **0-Ga** shows any signs of Q emission at the very early times after excitation (0-100 fs), see Figure 4.

On a longer femtosecond timescale, Soret states of non-iodinated as well as iodinated complexes undergo internal conversion (IC) to the Q states, which is testified by the

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3 concomitant decay and rise of the Soret and Q emission bands, respectively, that occur with a
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5 common lifetime τ_1 . (The long-wavelength tail of the Soret emission decays with the same
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7 lifetime.) The τ_1 values of **0-Al** (540 fs) and **0-Ga** (320 fs) are very close to those reported earlier
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9 for 5-coordinated mono-pyridine adducts in benzene (520 ± 30 , 280 ± 30 fs, respectively).⁴² The
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11 Soret→Q conversion accelerates upon iodination to 180 fs (**3-Ga**), 70 fs (**4-Ga**), and 185 fs (**4-**
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13 **Al**), which is attributable to the manifold of CT excited states between Soret and Q states
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15 present in the iodinated complexes (Figure 3). These states provide an energy relaxation
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17 cascade from the Soret states to various vibronic levels of the two Q states. The faster IC in **4-**
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19 **Ga** relative to **3-Ga** is most likely due to the higher density of intermediate states in **4-Ga**
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21 (Figure 3). Intervening CT states likely account also for the IC acceleration on going from **0-Al**
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23 (540 fs) to the β -octa-brominated complex **Br₈-Al** (250 ± 80 fs),⁴⁵ although no computational
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25 evidence for Br→corrole CT states was reported. On the other hand, there are no intervening
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27 electronic states in iodine- (or bromine-) free complexes and the IC takes place from relaxed
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29 Soret through high vibrational levels of the Q states.
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38 In free-base corroles, the rate of the Soret→Q IC was found⁸ to increase with increasing
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40 extent of fluorination of *meso*-phenyl rings, ranging from $(550 \text{ fs})^{-1}$ (F_0) to $(140 \text{ fs})^{-1}$ (F_{15}). Ge^{IV}
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42 and P^{V} corrole complexes with nonfluorinated *meso*-tolyl rings M(TTC) in toluene show IC time
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44 constants of 640 and 530 fs, respectively (as compared to 900 fs for free-base TTC).⁴⁸ Main-
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46 group metal metallocorroles have shorter Soret lifetimes than analogous porphyrins ZnTPP
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48 (2.35 ps) and MgTPP (3.26 ps) in ethanol (TPP = tetraphenylporphyrin) but comparable with
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50 perfluorinated Zn(F_{20} -TPP) (0.46 ps).⁴³
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3 The τ_2 kinetics correspond to vibrational cooling as indicated by the narrowing of the Q-
4 emission band. For **4-Ga**, **3-Ga** and (less) **4-Al** and **0-Ga**, the concomitant decay of the residual
5 broad emission background indicates that the τ_2 step also involves relaxation of higher vibronic
6 levels of hot b^1A and a^1B Q states. In **0-Al** (and, to some extent also **0-Ga** and **4-Al**), the latter
7 process was mostly completed in the course of the Soret \rightarrow Q internal conversion (i.e., on the τ_1
8 timescale). The τ_2 values are ca. 10-times shorter in the iodinated complexes than in **0-Al** and **0-**
9 **Ga** due to intermediate states in the energetically relevant region. In addition, a better coupling
10 with solvent modes caused by the dipolar character of the iodinated molecules and polarizable
11 iodine atoms may play a role. The 4-fold acceleration of the τ_2 relaxation on going from **3-Ga** to
12 **4-Ga** could be attributed to the higher density of states in the latter complex. A vibrationally
13 hot Q state was detected for **Br₈-Al** by time-resolved IR spectroscopy, undergoing biexponential
14 (2, 18 ps) relaxation.⁴⁵ The 2 ps component is comparable to τ_2 of **4-Al** and **3-Ga** (Table 1). The
15 ~18 ps one appears to be ubiquitous, present in **0-Al**, **0-Ga**, **Br₈-Al**, as well as in free-base
16 corroles⁸ (9-24 ps, increasing with the number of fluorine atoms on *meso*-Ph rings), and M(TTC)
17 (P: 6.95 ps, Ge: 13.6 ps, free-base TTC: 7.44 ps).⁴⁸ It might be present also in the iodinated
18 complexes but obscured by the ISC occurring on the same timescale.

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The third kinetics component τ_3 is due to the decay of the Q singlet excited state(s): $\tau_3 =$
 $1/(k_r + k_{nr}^0 + k_{ISC})$, where k_r and k_{nr}^0 account for the radiative and nonradiative decay to the
ground state, respectively, and k_{ISC} is the rate constant of the intersystem crossing to the lowest
triplet state. The k_r and k_{nr}^0 values are expected to be only little dependent on the number of
iodine atoms as they are not affected by SOC and the I \rightarrow corrole CT states occur above the Q
singlets. For **0-Ga**, values of the nonradiative decay rate constant ($k_{nr}^0 + k_{ISC}$) and the triplet

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3 formation quantum yield $k_{ISC}/(k_{nr}^0 + k_{ISC})$ were both determined,^{5,33} providing the k_{ISC} value of
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5 $2.34 \times 10^8 \text{ s}^{-1}$ (i.e., 4.27 ns).³³ For **0-AI**, the nonradiative decay rate constant of the lowest Q
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7 singlet state ($3.64 \times 10^7 \text{ s}^{-1}$) is regarded as the k_{ISC} upper limit since the triplet quantum yield was
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9 not reported. Strong fluorescence quenching and lifetime shortening occurs in the iodinated
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11 corrole complexes,^{6,7} indicating that ISC is the predominant decay process of the Q singlet
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13 state(s), so that the Q singlet lifetime τ_3 can be regarded as $\sim 1/k_{ISC}$. Indeed, a near-unity triplet
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15 yield was determined by time-resolved IR spectroscopy for **Br₈-AI**.⁴⁵
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Table 3. Intersystem crossing rates of corroles and their main-group complexes.

	$k_{ISC} [s^{-1}]$	$\tau_{ISC} [ps]$	Remarks
0-Ga	2.34×10^8	4300	in toluene ³³
3-Ga	4.15×10^{10}	24.1	this work
4-Ga	6.71×10^{10}	14.9	this work
0-Al	$<3.64 \times 10^7$	>27500	a,c
0-Al	$<3.27 \times 10^7$	>305800	b,c
4-Al	4.24×10^{10}	23.6	this work
Br₈-Al	1.05×10^{10}	95	d, ref. ⁴⁵
free base^e			
F₀	7.1×10^7	14000	ref. ³³
F₅	1.21×10^8	8260	ref. ³³
F₁₀	1.62×10^8	6170	ref. ³³
F₁₅	1.24×10^8	8070	ref. ³³
F₁₀/Cl^f	1.28×10^8	7810	ref. ³³
F₁₀/Br^f	2.14×10^8	4670	ref. ³³
F₁₀/I^f	4.69×10^8	2130	ref. ³³
free base^g			
no Br	2.30×10^8	4350	ref. ³⁹
Br₂	4.26×10^9	235	ref. ³⁹
Br₃	8.10×10^9	123	ref. ³⁹
Br₄	1.20×10^{10}	83	ref. ³⁹
meso-tolyl- -corroles^h			
TTC	3.01×10^8	3320	ref. ⁴⁸
P(TTC)(OH)₂	1.09×10^9	929	ref. ⁴⁸
Ge(TTC)(OH)	9.98×10^8	1000	ref. ⁴⁸

^a $k_{nr}^0 + k_{ISC}$ value determined in pyridine;⁵ ^b $k_{nr}^0 + k_{ISC}$ value determined in benzene;⁵ ^c Triplet formation occurs with a low quantum yield.⁷² ^d Determined by time-resolved IR spectroscopy. The lack of bleach recovery indicates 100% triplet yield.⁴⁵ ^e tri-*meso*-Ph corroles with different number of -C₆F₅ rings; ^g corroles with two *trans meso*-C₆F₅ groups and one *meso*-(*p*-C₆H₄(COOMe)) substituent; ^h corroles with two -C₆F₅ and one 2-hydroxy-5-halogen-phenyl *meso* substituents; ^g TTC: tri-*meso*-tolyl-corrole, in toluene

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3 The ISC rate increases with increasing number of iodine atoms **0-Ga** << **3-Ga** < **4-Ga** and
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5 **0-Al** << **4-Al** (Tables 1 and 3, Figure S9). In the **Ga** series, the ISC rate correlates with the spin-
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7 orbit coupling strength as quantified by the triplet admixture into both Q singlet states or by
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9 the zfs magnitude (Table 2). Qualitatively, both the SOC strength and the ISC rate can be
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11 correlated with the iodine participation in the HOMO, HOMO-1,2,3,4, and the LUMO. Analysis
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13 based on the perturbational SO-DFT calculations (Figure 6, Table 2) revealed two mechanisms
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15 whereby a triplet character is admixed to the Q singlets: SO coupling of both Q singlets with
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17 higher-lying iodine→corrole CT triplets (derived from HOMO-2,3,4→LUMO excitations) and SO
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19 coupling between the higher b^1A Q-state and the higher Soret triplet b^3B , enabled by iodine
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21 admixture to the Gouterman-like frontier orbitals HOMO-1 and, less, to HOMO and LUMO. All
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23 these SO interactions are larger for **4-Ga** than **3-Ga** and **4-Al**, which seems to be caused by
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25 lower iodine participation in occupied molecular orbitals of the latter two species (Tables S1-
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27 S3). Accordingly, the ISC rate in both **3-Ga** and **4-Al** is ~1.6-times slower than in **4-Ga**. The larger
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29 spin-orbit coupling constant of the heavier Ga atom as compared with Al does not have a
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31 significant effect because of the very small metal participation in the relevant MOs. The
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33 negligible metal effect is evidenced by very similar k_{ISC} values determined for **0-Ga** and the
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35 corresponding free-base corrole. Also, ISC in $Ge^{IV}(TTC)(OH)$ is slightly slower than in
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37 $P^V(TTC)(OH)_2$,⁴⁸ (Table 3) although the opposite would be expected based on the SOC strength
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39 alone. However, the situation changes in corrole complexes with heavy transition metals such
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41 as $Ir(III)$ ⁴⁶ or $Au(III)$,⁴⁷ whose $d\pi$ orbitals interact with the corrole π system (no ISC kinetics data
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43 are available for these compounds but ISC is expected to be ultrafast, based on complete
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45 fluorescence quenching).
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3 Similarly to β -iodo corroles, ISC is accelerated by β -bromination, as was found in **Br₈-Al**
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5 (95 ps)⁴⁵ and in free-base corroles, where the Q nonradiative decay rate increases ca. 20-, 35-,
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7 and 50-times upon appending an increasing number (2,3,4) of β -bromine atoms (Table 3).³⁹ By
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9 analogy with iodinated corroles, bromination could also be expected to introduce Br→corrole CT
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11 states that provide SO coupling pathways. ISC in the tetrabrominated corrole is 3.5× slower
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13 than in **4-Al**, which is attributable to the smaller SOC constant of Br as compared to I and/or to
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15 higher energies of Br→corrole CT states.
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21 Heavy halide atoms at *meso*-phenyl rings also accelerate ISC but much less than in β -
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23 positions (Table 3), due to a very small conjugation between the corrole and *meso*-phenyl π
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25 systems. For example, replacing one hydrogen atom on a single Ph ring in a Ph(C₅F₅)₂-corrole by
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27 iodine accelerates the ISC 3×; and k_{ISC} increases in the order Cl<Br<I.³³ A small (maximally 6×)
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29 increase of the Q-singlet nonradiative decay rate was observed upon introducing chloro
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31 substituents on the *meso*-rings in *meso*-pyrimidinyl corroles and the effect was correlated with
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33 the total SOC coupling constant of the chloro substituents.⁴¹ Iodination also has been predicted
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35 by SO-calculations to increase SOC in Zn-phthalocyanines.⁷³
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41 Introducing heavy atoms into organic molecules is widely used in organic
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43 photochemistry to facilitate triplet formation. Besides corroles, the heavy atom effect has been
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45 investigated and employed in tuning the photophysics of BODIPY-type dyes.⁷⁴ Introducing an
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47 iodine atom at each pyrrole ring enhanced the triplet yield and ¹O₂ production.^{75,76} This
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49 approach was found to increase the efficiency of *in vitro*⁷⁷ as well as *in vivo*⁷⁸ photodynamic
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51 therapy, and to induce triplet-triplet annihilation upconversion.⁷⁵ Mechanistically, the case of
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53 thiophene-derivatized BODIPY is interesting.⁷⁹ The triplet yield was larger (and singlet lifetime
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3 shorter) when the thiophene rings were annealed to the pyrroles than if they were appended
4 by single C-C bonds. This difference originates from the participation of sulfur orbitals in
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6 HOMO-1 in the case of the annealed structure but not in the appended one. Consequently, SOC
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8 is stronger in the annealed derivative, leading to faster ISC.⁷⁹ Along similar lines is the
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10 observation of ISC acceleration in a 4,7-bis(2-thienyl)-2,1,3-benzothiadiazole donor-acceptor-
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12 donor molecule when the S atom(s) was(were) substituted by heavier Se with a higher SOC
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14 constant. The largest effect was observed upon replacing the S atom in the acceptor
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16 (benzothiadiazole) part of the molecule with the largest S/Se participation in the frontier
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18 orbitals (LUMO in this case).⁸⁰
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25 Molecular engineering aimed at facilitating ISC and increasing triplet yield is important
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27 when designing photosensitizers for photodynamic therapy,^{25,73} $^1\text{O}_2$ formation,^{33,35} triplet-
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29 triplet annihilation upconversion,⁴⁴ phosphorescence sensors,²² OLED luminophores and
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31 imaging agents, as well as photoredox catalysts.⁸¹ Above, we have addressed the origins of the
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33 heavy-atom effect in β -iodinated main-group corrole complexes. In particular, we have found
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35 that changing the main-group central metal atom for a heavier one has very little effect since
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37 metal orbitals lie relatively low in energy and hardly mix with corrole orbitals. Consequently,
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39 both SOC and the density of states (DOS) are affected only a little. This becomes very different
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41 for transition metals like Ir(III) and Au(III) whose d orbitals interact with the corrole π
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43 system.^{22,35,46,47} On the other hand, iodine atom(s) at the ligand periphery introduce CT states
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45 (increase DOS) between Soret and Q singlets but not below the latter (Figures 3, 6), while
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47 iodine orbitals also participate in the corrole frontier orbitals. Both Q-singlets acquire some
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49 triplet character by mixing with the ^3CT states (Figure 6, Table 2), while the higher Q-singlet b^1A
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3 also mixes with one of the Soret triplets (b^3B). So, the 3CT states introduced by iodination
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5 actually provide a *mechanism* for increasing SOC of the Q states. The CT manifold and large DOS
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7 between Soret and Q singlets in the iodinated complexes does not facilitate ISC from Soret
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9 singlet states: We still see distinct Q-fluorescence, which shows that ISC from Soret singlet
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11 states is inefficient (or absent) and the Q-singlet states are not bypassed in the course of Soret
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13 relaxation. In fact, the CT manifold facilitates the internal conversion between Soret and Q
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15 singlet states 3-5 times.
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20 This emerging view of photophysical heavy-atom effects is applicable to a broad range
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22 of organic chromophores. Combining their extended electron-accepting π -systems with
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24 electron-rich heavy atoms (halogens, S, Se, Te) at the molecular periphery will introduce low-
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26 lying CT states, presumably with similar consequences as described herein for iodinated
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28 corroles: facilitating internal conversion and vibrational relaxation of higher singlet excited
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30 states and accelerating ISC from the lowest-lying excited singlet state into the picosecond
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32 range. Further subtle tuning of photophysical properties could be accomplished by controlling
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34 the densities and energies of the CT states.
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42 **Conclusions**

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45 Peripheral iodination of Ga and Al corrole complexes facilitates their nonradiative
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47 excited-state decay processes: Soret \rightarrow Q internal conversion, vibrational and electronic
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49 relaxation of the two Q singlet states, as well as the intersystem crossing from Q-singlet states
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51 to the lowest Q triplet state. These photophysical "heavy-atom effects" are attributable to
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53 profound changes of the electronic structure upon iodination: (i) introducing a set of
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3 iodine→corrole mixed-spin charge transfer (CT) states into the energy gap between the Soret
4 and Q states, (ii) mixing of up to 10% iodine $p(\pi)$ character into the corrole frontier π/π^*
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6 orbitals, and (iii) increasing the triplet character in the two Q singlet states by enhanced spin-
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8 orbit mixing with a Soret triplet and through interactions with triplet CT states.
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13 In particular, the presence of I→corrole CT states has a twofold effect on the
14 photophysics: (i) The CT states provide a relaxation cascade for Soret→Q internal conversion,
15 resulting in up to 5-fold acceleration relative to the iodine-free complexes that possess a large
16 (~0.8 eV) Soret-Q energy gap. A higher density of intermediate states and vibrational levels in
17 iodinated complexes also facilitates electronic and vibrational relaxation of vibronic Q singlet
18 levels. (ii) The CT states provide pathways whereby the strong iodine SOC is transmitted to the
19 corrole π -system, resulting in up to 200-fold acceleration of the Q singlet→triplet ISC.
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30 The peripheral heavy-atom effect in aromatic systems extends beyond facilitating ISC
31 and enhancing phosphorescence. Dynamics of all photophysical processes are affected through
32 increasing the density of electronic and vibrational levels, mixing the heavy-atom character into
33 frontier MOs, and by introducing low-lying CT states that provide relaxation cascades and
34 enhance SOC by opening new SOC pathways.
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45 ■ ASSOCIATED CONTENT

46 Supporting Information

47 The Supporting Information is available free of charge on the ACS Publications website at DOI:
48 calculated **0-Ga** and **4-Ga** structures, MO shapes, energies and compositions, emission and
49 absorption spectra, details of stationary and time-resolved Soret fluorescence, calculated
50 absorption spectra and electronic transitions, effect of excited-state structure optimization on
51 SO states, global fitting results.
52
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Notes

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