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## Title: Genomic and archeological evidence for a dual origin of domestic dogs 2 Authors: Laurent A. F. Frantz<sup>1†\*</sup>, Victoria E. Mullin<sup>2†</sup>, Maud Pionnier-Capitan<sup>3,4</sup>, Ophélie Lebrasseur<sup>1</sup>, Morgane Ollivier<sup>3</sup>, Angela Perri<sup>5</sup>, Anna Linderholm<sup>1,6</sup>, Valeria Mattiangeli<sup>2</sup>, Matthew D. Teasdale<sup>2</sup> Anthonios Dimopoulos<sup>1</sup>, Anne Tresset<sup>4</sup>, Marilyne Duffraisse<sup>3</sup>, Finbar McCormick<sup>7</sup>, László Bartosiewicz<sup>8</sup>, Erika Gál<sup>9</sup>, Éva A. Nyerges<sup>9</sup>, Mikhail V. Sablin<sup>10</sup>, Stéphanie Bréhard<sup>4</sup>, Marjan Mashkour<sup>4</sup>, Adrian Bălăşescu<sup>11</sup>, Benjamin Gillet<sup>3</sup>, Christophe Hitte<sup>12</sup>, Jean-Denis Vigne<sup>4</sup>, Keith Dobney<sup>13</sup>, Catherine Hänni<sup>3</sup>, Daniel G. Bradley<sup>2\*</sup> and Greger Larson<sup>1\*</sup> 3 4 5 6 7 8 Affiliations: 9 <sup>1</sup> The Palaeogenomics & Bio-Archaeology Research Network, Research Laboratory for 10 Archaeology and History of Art, The University of Oxford, Oxford, UK. 11 <sup>2</sup> Smurfit Institute of Genetics, Trinity College Dublin, Dublin, Dublin 2, Ireland. 12 <sup>3</sup> CNRS/ENS de Lyon, IGFL, UMR 5242 and French National Platform of Paleogenetics, 13 PALGENE, Ecole Normale Supérieure de Lyon, 46 allée d'Italie, 69364 Lyon Cedex 07, France 14 / Université Grenoble Alpes, Laboratoire d'Ecologie Alpine (LECA), F-38000, Grenoble, 15 France. 16 <sup>4</sup> CNRS/MNHN/SUs – UMR 7209, Archéozoologie et Archéobotanique, Sociétés, 17 Pratiques et Environnements, Département Ecologie et Gestion de la Biodiversité, 55 rue Buffon, 18 75005 Paris, France. 19 <sup>5</sup> Department of Human Evolution, Max Planck Institute for Evolutionary Anthropology, 20 04103 Leipzig, Germany. 21 <sup>6</sup>Department of Anthropology, Texas A&M University, College Station, TX 77843-22 23 4352, USA. <sup>7</sup> School of Geography, Archaeology and Palaeoecology, Queen's University Belfast, 24 University Road, Belfast, Northern Ireland, UK. 25 <sup>8</sup>Osteoarchaeological Research Laboratory, University of Stockholm, Sweden, 26 <sup>9</sup> Zoological Institute RAS, Universitetskava nab. 1, 199034 Saint-Petersburg, Russia 27 <sup>10</sup> Archaeological Institute, Research Centre for the Humanities, Hungarian Academy of 28 Sciences, Budapest. 29 <sup>11</sup> The National Museum of Romanian History, 12 Calea Victoriei, 030026 Bucharest, 30 Romania. 31 <sup>12</sup> Institut de Génétique et Développement de Rennes, CNRS-UMR6290, Université de 32 Rennes1, Rennes, France. 33 <sup>13</sup> Department of Archaeology, School of Geosciences, University of Aberdeen, St. 34 Mary's, Elphinstone Road, AB24 3UF, UK. 35 \* Corresponding authors: Laurent A. F. Frantz – laurent.frantz@arch.ox.ac.uk: Greger 36 Larson - greger.larson@arch.ox.ac.uk; Dan G. Bradley - dbradley@tcd.ie 37 <sup>†</sup>Equally contributed 38

39 Abstract: The geographic and temporal origins of dogs remain controversial. Here, we generated

40 genetic sequences from 59 ancient dogs and a complete (28x) genome of a late Neolithic dog

- 41 (~4,800 calBP) from Ireland. Our analyses revealed a deep split (early Bronze Age or late
- 42 Neolithic) separating modern East Asian and Western Eurasian dog populations. In addition,
- 43 analyses of ancient and modern mitochondrial DNA revealed a sharp discontinuity in haplotype
- frequencies in Europe. These results suggest that dogs in Eastern and Western Eurasia were
- 45 domesticated independently from distinct wolf populations. East Asian dogs were then likely
- transported alongside people during the late Neolithic or early Bronze Age, potentially
- 47 coincident with the recently described major human migration of pastoralists into Europe, where
- they replaced the indigenous Upper Paleolithic European dogs.

One Sentence Summary: Genomics and archeology reveal both a dual origin of domestic dogs
 and a subsequent translocation of East Asian dogs into Europe.

51 **Main Text:** Dogs were the first domestic animal and the only animal domesticated prior to the

advent of settled agriculture(1). Despite their importance in human history, no consensus has

emerged with regard to their geographic and temporal origins, or whether dogs were

- domesticated just once or independently on more than one occasion. Though several claims have
- been made for an initial appearance of dogs in the early Upper Paleolithic (~30kya; *e.g. 2*), the

56 first remains confidently assigned to dogs appear in Europe ~15,000 years ago and in Far East

Asia ~12,500 years ago (1, 3). While archaeologists remain open to the idea that there was more

than one geographic origin for dogs (e.g. (4, 5), most genetic studies have concluded that dogs

- were likely domesticated just once (6) disagreeing on whether this occurred in Europe (7),
- 60 Central Asia (8), or East Asia (9).

Recent palaeogenetic studies have had a tremendous impact on our understanding of 61 early human evolution (e.g. (10, 11)). Here we apply a similar approach to reconstruct the 62 evolutionary history of dogs. We generated 59 ancient mtDNA sequences from European dogs 63 (from 14 to 3Kya) as well as a high coverage nuclear genome ( $\sim$ 28x) of an ancient dog  $\sim$ 4,800 64 calBP (12) from the Neolithic passage grave complex of Newgrange (Sí an Bhrú) in Ireland. We 65 combined our ancient sample with 80 modern publically available full genome sequences and 66 605 modern dogs (including village dogs and 48 breeds) genotyped on the 170k HD SNP array 67 (12).68

We firstly assessed characteristics of the Newgrange dog by typing SNPs associated with specific phenotypic traits and by assessing the level of inbreeding. Our results suggest that the degree of artificial selection and controlled breeding during the Neolithic was similar to that observed in modern free-living dogs. In addition, the Newgrange dog did not possess variants associated with modern breed-defining traits including hair length or coat color. And though this dog was likely able to digest starch less efficiently than modern dogs, it was more efficient than wolves (*12*).

A phylogenetic analysis, based on 170k SNPs revealed a deep split separating the modern Sarloos breed from other dogs (Fig. 1a). This breed - created in the 1930s in the Netherlands involved breeding German Shepherds with captive wolves (*13*), thus explaining the breed's topological placement. Interestingly, the second deepest split (evident on the basis of both the 170K SNP panel – Fig 1a - and genome-wide SNPs - Fig. S4) separates modern East Asian and Western Eurasian (Europe and the Middle East) dogs. Moreover, the Newgrange dog clusters tightly with Western Eurasian dogs. We used Principal Component Analysis (PCA), D-statistics and *TreeMix (12)* to further test this pattern. Each of these analyses unequivocally placed the
 Newgrange dog with modern European dogs (Figs. S5, S6, S7). These findings demonstrate that
 the node separating the East Asian and Western Eurasian clades is older than the Newgrange
 individual; directly radiocarbon dated to ~4,800 years ago.

Other nodes leading to multiple dog populations and breeds (including the basal 87 breeds(1) such as Greenland Sledge dogs or Siberian Husky; Fig. 1a) are poorly supported, 88 suggesting that these breeds likely possess mixed ancestry from both Western Eurasian and East 89 Asian dog lineages. To further assess the robustness of the deep split and those nodes associated 90 with the potentially admixed lineages, we defined Western Eurasian and East Asian "core" 91 92 groups (Fig. 1a) supported by the strength of the node leading to each cluster (12). We then used D-statistics to assess the affinity of each population to either Western Eurasian or East Asian 93 core groups (12). The results of this analysis again revealed a clear East-West geographic pattern 94 across Eurasia associated with the deep phylogenetic split (Fig. 1b). Breeds such as the Eurasier, 95 Greenland Sledge dogs and Siberian Huskies (all basal breeds from Northern regions(1)), 96 however, possess strong signatures of admixture with the East Asian core samples (Fig. S11), as 97 do populations sampled in East Asia that clustered alongside Western Eurasian dogs (e.g. Papua 98 New Guinean village dog; Fig. 1a). 99

100 The existence of such a deep phylogenetic split between modern East Asian and Western Eurasian lineages suggests that dogs were derived independently from geographically and 101 genetically differentiated wolf populations in different regions of Eurasia. To address this 102 103 hypothesis we reconstructed their population history through time using the Multiple Sequentially Markovian Coalescent (MSMC)(12, 14). A reconstruction of effective population 104 size from single high coverage genomes demonstrated a long, shared population history between 105 the Newgrange dog and modern dogs from both Western Eurasia and East Asia (Fig. S15). A 106 reconstruction using two genomes per group improved the resolution for recent time periods 107 (Fig. 2a) and revealed a bottleneck in the Western Eurasian population, following its divergence 108 from the East Asian core. A similar bottleneck observed in non-African human populations has 109 been interpreted as a signature of a migration out of Africa (15). We therefore speculate that the 110 analogous bottleneck observed in our dataset could be the result of a divergence and subsequent 111 migration from east to west; supporting suggestions drawn from recent analyses of modern dog 112 genomes (8, 9, 16). 113

To further assess the possibility that dogs were independently domesticated from two 114 separate wolf populations, we computed the divergence time between Eastern and Western 115 populations using MSMC. To obtain reliable time estimates, we used the radiocarbon age of the 116 Newgrange dog to calibrate the mutation rate for dogs (12)(Fig. S16). This resulted in a mutation 117 rate estimate of between  $0.3 \times 10^{-8}$  and  $0.45 \times 10^{-8}$  per generation - similar to that obtained with an 118 ancient grev wolf genome (17). Using this mutation rate, we calculated the divergence time 119 between the two modern Russian wolves (18) used in this study and the modern dogs to be 60-120 20Kya (Fig. S17; Fig. 2b). Importantly, this date should not be interpreted as a time frame for 121 domestication, since the wolves we examined may not have been closely related to the 122 population that gave rise to dogs (6). 123

This analysis also suggested that the divergence between the East Asian and Western Eurasian core groups (~14,000-6,400 years ago) occurred commensurate or several millennia after the earliest known appearance of domestic dogs in both Europe (>15,000 years) and East Asia (>12,500 years) (1) (Figs. S17, 2b). In addition, admixture signatures from wolves into 128 Western Eurasian dogs most likely pushed this estimated time of divergence deeper into the past

129 (12) meaning that the expected time of divergence between East and Western cores is likely

130 younger than our estimate. These results imply that indigenous populations of dogs were already

- present in Europe and East Asia prior to this genomic divergence. As a result, the early
- indigenous dog population in Europe was most likely replaced by the arrival of East Eurasiandogs.

To investigate the likelihood of this replacement, we sequenced and analyzed 59 hyper-134 variable mtDNA fragments from ancient dogs spread across Europe and combined those with 135 167 modern sequences (12). Each sequence was then assigned to one of four major well-136 supported haplogroups (A-D) (19). While the majority of ancient European dogs belonged to 137 either haplogroup C or D (63% and 20%, respectively), most modern European dogs possess 138 sequences within haplogroups A and B (64 and 22% respectively) (Fig. 2c, d, e). Using 139 simulations, we showed that this finding cannot be explained by drift alone (12). Instead, this 140 pattern arose from clear turnover in the mitochondrial ancestry of European dogs, most likely as 141 a result of an arrival of East Asian dogs. This migration led to a replacement of ancient dog 142 143 lineages in Europe that were present by at least 15,000 years ago (1).

Though the mtDNA turnover is obvious, the nuclear signatures reveal an apparent longterm continuity. Assessments of ancestry in humans have demonstrated that major (nuclear) turnovers can be difficult to detect without samples from the admixing population (*11*). A genome-wide PCA analysis revealed that PC2 clearly discriminates the Newgrange dog from other modern dogs (Fig. S8), suggesting that this individual possessed ancestry from an unsampled population.

Our MSMC analysis reveals that the population split between the Newgrange dog and the 150 East Asian core (as measured by cross coalescence rate [CCR]) is older (on average) than the 151 split between modern Western Eurasian and East Asian lineages (Fig. 2b). Simulations suggest 152 that this pattern could be explained by a partial replacement model in which the Newgrange dog 153 retained a degree of ancestry from an outgroup population (Fig. S20a,b), that was different from 154 modern wolves (12). Alternatively, this pattern could also be explained by secondary gene flow 155 from Asian dogs into modern European dogs (Fig. S20c). Nevertheless, simulations show that 156 secondary gene flow has a smaller effect on CCR than the partial replacement model (Fig. 157 S20b,d). Moreover, secondary gene flow cannot explain the placement of the Newgrange dog on 158 our genome-wide PCA (Fig. S8). Overall, these observations are consistent with a model in 159 which the Newgrange dog retained a degree of ancestry from an ancient canid population that 160 falls outside of the variation of modern dogs, but that is also different from modern wolves. 161

The evidence for a human-mediated East-West translocation of dogs, during the late 162 Neolithic/early Bronze Age, is consistent with recent genetic evidence from both dogs (9, 16)163 and humans (10, 11). Thus, the arrival of Eastern dogs likely resulted in the replacement of an 164 indigenous population that inhabited Europe during the Paleolithic (Fig. 2c,d,e). The overall 165 genomic pattern presented here, supporting the existence of two populations of dogs during the 166 Paleolithic in the East and West of Eurasia, is consistent with two scenarios. Either two wolf 167 populations were domesticated independently (Fig. 3a), or dog domestication occurred once 168 (more than 12,000 years ago) followed by a Paleolithic dispersal across Eurasia. In the latter 169 scenario, a temporal cline across Eurasia of the first appearance of dogs should be evident in the 170 171 archaeological record. Instead, current archaeological evidence (12) reveals that no dogs

predating 8,000 years ago are present in central Eurasia (Fig. 3b; Table S7), countering the
 hypothesis that dogs were transported across Eurasia during the Paleolithic.

Our combined results support the following hypothesis: two genetically differentiated and 174 potentially extinct wolf populations in Eastern (8, 9) and Western Eurasia (7) were independently 175 domesticated prior to the advent of settled agriculture (Fig. 3a). The eastern dog population then 176 dispersed westward alongside humans during the recently described late Neolithic and Early 177 Bronze Age human migrations (Yamnaya culture) into Western Europe (10, 11), including 178 Ireland (20) whereupon they replaced an indigenous Paleolithic dog population. For numerous 179 reasons, the null hypothesis should be that individual animal species were domesticated just once 180 (21). The combined genetic and archeological results presented here, however, suggest that dogs, 181 like pigs(22), were domesticated twice. Additional studies incorporating larger numbers of 182 prehistoric samples, as well as further archeological investigation, will allow us to more firmly 183 establish the temporal and geographic origins of domestic dogs. 184

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- interest. 229
- 230

231 Fig. 1: Deep split between East Asian and Western Eurasian dogs.a. A neighbour-joining tree (with bootstrap values) based on Identity by State (12) of 605 dogs. Red and yellow clades 232

represent the East Asian and Western Asian core groups respectively (12). b. A map showing the 233

234 location and relative proportion of ancestry (mean D-values) of dogs (Fig. S10). Positive values

(red) indicate that the population shares more derived alleles with the East Asian core while 235

236 negative values (yellow) indicate a closer association with the Western Eurasian core.

Fig. 2: Effective population size, divergence times and mtDNA. a. Effective population size 237 through time of East and Western Eurasian dogs and wolves with MSMC. b. Cross-coalescence

- 238
- rate (CCR) per year for each population pair in Fig. 2a. The CCR represents the ratio of within 239
- and between population coalescence rates (CR). The ratio measures the age and pace of 240
- divergence between two populations. Values close to 1 indicate that both within and between CR 241 are equal meaning the two populations have not vet diverged. Values close to 0 indicate that the 242
- populations have completely diverged. c. Bar plot representing the proportion of mtDNA 243
- haplogroups at different time periods. d. Locations of archaeological sites with haplogroup 244
- proportions. e. Location of modern samples with haplogroup proportions. 245

Fig. 3: Model of dog domestication and archeological evidence for a dual origin of dogs. a. 246

Most likely model of dog domestication suggested by our data. An initial wolf population split 247

- into East and West Eurasian wolves that were then domesticated independently before going 248
- extinct (as indicated by the † symbol). The Western Eurasian dog population (European) was 249

- then partially replaced by a human-mediated translocation of Asian dogs during the late
- 251 Neolithic / early Bronze Age, a process that took place gradually after the arrival of the eastern
- dog population. **b.** Map representing the geographic origin and age of the oldest archeological
- dog remains in Eurasia (12).
- 254

# 255 Supplementary Materials:

- 256 Materials and Methods
- 257 Figs. S1-S29
- 258 Tables S1-S7
- 259 References (22-110)
- 260 261
- 262

### **Figures:** 263



264

Fig. 1: Deep split between East Asian and Western Eurasian dogs.a. A neighbour-joining 265 tree (with bootstrap values) based on Identity by State (12) of 605 dogs. Red and yellow clades 266 represent the East Asian and Western Asian core groups respectively (12). b. A map showing the 267 location and relative proportion of ancestry (mean D-values) of dogs (Fig. S10). Positive values 268 (red) indicate that the population shares more derived alleles with the East Asian core while 269 negative values (yellow) indicate a closer association with the Western Eurasian core. 270 271



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Fig. 2: Effective population size, divergence times and mtDNA. *a*. Effective population size through time of East and Western Eurasian dogs and wolves with MSMC. *b*. Cross-coalescence rate (CCR) per year for each population pair in Fig. 2a. The CCR represents the ratio of within and between population coalescence rates (CR). The ratio measures the age and pace of divergence between two populations. Values close to 1 indicate that both within and between CR are equal meaning the two populations have not yet diverged. Values close to 0 indicate that the populations have completely diverged. *c*. Bar plot representing the proportion of mtDNA

- haplogroups at different time periods. *d*. Locations of archaeological sites with haplogroup proportions. *e*. Location of modern samples with haplogroup proportions.



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