An integrated optimisation approach to airport ground operations to foster sustainability in the aviation sector

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Abstract

With increasing air traffic, rising fuel costs and tighter environmental targets, efficient airport ground operations are one of the key aspects towards sustainable air transportation. This complex system includes elements such as ground movement, runway scheduling and ground services. Previously, these problems were treated in isolation since information, such as landing time, pushback time and aircraft ground position, are held by different stakeholders with sometimes conflicting interests and, normally, are not shared. However, as these problems are interconnected, solutions as a result of isolated optimisation may achieve the objective of one problem but fail in the objective of the other one, missing the global optimum eventually. Potentially more energy and economic costs are thus required. In order to apply a more systematic and holistic view, this paper introduces a multi-objective integrated optimisation problem incorporating the newly proposed Active Routing concept. Built with systematic perspectives, this new model combines several elements: scheduling and routing of aircraft, 4-Dimensional Trajectory (4DT) optimisation, runway scheduling and airport bus scheduling. A holistic economic optimisation framework is also included to support the decision maker to select the economically optimal solution from a Pareto front of technically optimal solution. To solve this problem, a multi-objective genetic algorithm is adopted and tested on real data from an international hub airport. Preliminary results show that the proposed approach is able to provide a systematic framework so that airport efficiency, environmental assessment and economic analysis could all be explicitly optimised.

Keywords: Airport operations, Environmental impact, Ground movement, multi-objective optimisation

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1 1. Introduction

Global air traffic is continuing to grow steadily and the 3.1 billion airline passengers carried in 2013 are 2 forecasted to double to about six billion by 2030 [1]. By that time, many airports will reach their maximum 3 capacity resulting in a great pressure to fully utilise the available resources and the need for efficient ground 4 operations. Furthermore, the global effort to meet ambitious environmental targets such as reaching an 5 emission-free airport ground movement in Europe by 2050 [2], together with rising fuel costs, push the 6 airlines to cut fuel consumption as much as possible. Advances in research in the last decades have seen 7 improvement in the fuel efficiency and mitigation of environmental impact for new aircraft due to innovative 8 design [3] or the application of alternative fuels [4, 5, 6]. However, in addition to technological developments q in maximising energy utilisation, there is a considerable potential to achieve the same objective by optimising 10 operational procedures at airports, which is still untapped fully. 11

Previously, different information (e.g. landing time, pushback time or aircraft ground position) were 12 possessed by different stakeholders with limited sharing. However, with the abovementioned challenges 13 imposed on airports, this approach cannot be sustained in the future. This was recognized by Eurocontrol 14 with the introduction of the Airport Collaborative Decision Making (A-CDM) concept [7]. The core idea of 15 A-CDM is the cooperation and real-time data sharing between airport operators, aircraft operators, ground 16 handlers and air traffic control in order to reduce delays, improve the predictability of events and optimise 17 the utilisation of resources. In line with A-CDM concept, optimisation of different airport ground problems 18 such as ground movement, runway scheduling, gate assignment and scheduling of ground services need to be 19 treated in a more integrated and coordinated manner instead of current isolated practices, to fully appreciate 20 the same positive effects given by A-CDM. 21

Previous research on airport ground operations mostly focused on individual sub problems. A number of papers have been published on runway scheduling problem. The objective is often expressed as a minimisation of delay, the number of changes compared to First-come-first-served (FCFS) sequence, makespan or their combination. A wide range of exact and heuristic methods employed to solve this problem include dynamic programming [8], hybrid tabu search [9, 10], genetic algorithm [11] and heuristics [12]. A detailed review of recent research on runway scheduling problem can be found in [13].

Previous papers on ground movement problem mostly focused on minimisation of the total taxi time or other time related objectives [14]. Minimisation of the total taxi time is the main goal of the genetic algorithm proposed by Pesic et al. [15], mixed integer linear programming formulation used in [16, 17] or a graph-based approach utilised in [18] or [19]. Deviations from the scheduled time of departure or arrival are penalized in [20, 21]. A combination of time related objectives is minimised in [22].

Recently, a few researchers started to consider also fuel consumption as a objective for the ground 33 movement problem. Papers focused on the stand holding problem [23, 24, 25] take the fuel consumption into 34 account indirectly, maximising the time an aircraft spends at the stand, with their engines off, rather than 35 taxiing. Multi-objective optimisation has been employed by Ravizza et al. [26] to simultaneously minimise 36 taxi time as well as fuel consumption. Their approach combines a routing and scheduling algorithm [18] with 37 the Population Adaptive based Immune Algorithm (PAIA) [27] in search of the trade-off between the total 38 taxi time and fuel consumption expressed as a fuel consumption index. The following work [28] introduced 39 a fast heuristic procedure for speed profile optimisation to speed up the search. Results in [26, 27, 28] 40 indicated that the fastest schedule normally leads to higher fuel burn due to heavy acceleration required to 41 achieve short taxi time. 42

Only a few papers considered ground movement and runway scheduling as an integrated and intercon-43 nected problem. Deau et al. [29, 30] proposed a two-stage approach in which a branch and bound algorithm 44 was used, in the first stage, to find the best runway sequence regarding the deviation from assigned slots and 45 then, in the second stage, a genetic algorithm was applied to find a solution for the ground movement prob-46 lem minimising the difference from the target times resulting from the runway sequence found in the first 47 stage. A mixed integer linear programming formulation by Clare and Richards [31] minimises a weighted sum of taxi time and distance related objectives with respect to runway scheduling constraints. Frankovich 49 and Bertsimas [32] introduced an integer programming formulation for selecting a runway configuration, 50 assigning flights to runways and determining their sequence, and after solving these and fixing them, deter-51

mining the gate-holding duration of departures and routing of flights on the airport surface with the aim of
 minimising delays.

Research on the optimisation of ground services includes scheduling of airport buses [33], optimisation of 54 luggage handling process [34], or scheduling of other services [35] such as fuelling [36], catering [37], cleaning, 55 water and sanitation processes. As pointed out in [38, 33, 35], optimisation of ground services shares similar 56 characteristics. As a result, and due to the fact that the particular airport under investigation in this study 57 does not have gates, only stands, in this paper we focus only on the scheduling of airport buses which is an 58 example of ground service optimisation problems. Although gate assignment has a direct impact on ground 59 movement through the location of gates/stands assigned to flights [39], its planning is normally carried out 60 at a tactical level usually for the whole day. Since ground movement, runway scheduling and scheduling of 61 ground services requires operational planning, gate assignment is not main focus of this work. It is worth 62 pointing out that these optimisation problems are closely interrelated with each other, for example, a runway 63 sequence determines times at which aircraft have to start/finish their taxi and subsequently the schedule of 64 ground services needed at the gates. 65

Recently, this kind of optimisation problems has been introduced in [40] as multi-component optimisa-66 tion problems, which are common in transportation research [41, 42, 9]. As shown in [40, 43], optimisation 67 of multi-component problems in an isolated manner may not find globally optimal solutions, since solution 68 for one problem can fail in the objective of the other one and thus miss the global optimum. Furthermore, 69 these problems are not only difficult to solve in their own right, but even more so when combined, due to the 70 interdependence among them. The proposed approach in this paper follows the same line of research. Legit-71 imately, this type of problems with different stakeholders and objective functions can be tackled more easily 72 with a multi-objective optimisation approach [43], in which each objective can be addressed appropriately. 73 Furthermore, as the result of multi-objective optimisation is a set of solutions, under unprecedented events, 74 the decision maker will have more readily available alternatives as backup plans without sacrificing too much 75 cost or other resources. Finally, improving predictability of events by implementing A-CDM concept both 76 in operation and optimisation means that previously conservative planning can now be reviewed in order to 77 further improve the airport capacity, decrease excessive waiting time, avoid fuel-intensive speed profiles or 78 requirement of extra resources. 79

In the light of the above discussions, in this paper, we propose to use a multi-objective genetic algorithm 80 framework, namely the Non-dominated Sorting Genetic Algorithm-II (NSGA-II) [44], which considers several 81 elements: ground movement problem, runway scheduling and scheduling of airport buses in a more holistic 82 manner. This integrated multi-objective approach incorporating the optimal 4-Dimensional Trajectory 83 (4DT) [27] enables the investigation of the trade-off between different objectives and, assuming the A-CDM 84 system is in place, facilitates more precise control of the taxiing aircraft in order to take full advantage of 85 optimised scheduling. Furthermore, a holistic economic optimisation framework is introduced in this paper 86 to support the decision maker in selecting the most cost-effective solution from a Pareto front of optimal 87 solutions. The main contributions of this paper can be summarized as follows: 88

 The proposed integrated multi-objective approach optimises ground movement problem, runway scheduling and scheduling of airport buses simultaneously with respect to different objectives, in particular fuel consumption, which was not considered in previous studies. It is worth mentioning, that runway scheduling and ground movement problem are in itself multi-objective problems.

• By using the proposed integrated multi-objective approach, a comprehensive comparative study is conducted by choosing the representative different solution approaches found in the literature with respect to different objectives.

• The introduced economic optimisation framework represents a general framework in selection of a sustainable solution to the airport problems in view of an economic perspective.

The rest of the paper is organised as follows. Section 2 provides details about individual components of the integrated model, including runway scheduling, ground movement problem and scheduling of airport buses. The integrated solution method and the proposed evolutionary algorithm framework is described in Section 3. A set of computational experiments are carried out using data instances from Doha International
 Airport in Section 4; results of the isolated approach and the proposed method are also compared in this
 section. Finally, conclusions are drawn in Section 5.

¹⁰⁴ 2. Problem description

In this paper, the integrated optimisation problem of airport ground operations consists of three subproblems: 1) runway scheduling, 2) ground movement problem, 3) bus scheduling problem. The individual sub-problems are described in the next sections using nomenclature given in Table 1.

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109 2.1. Runway scheduling

The runway scheduling problem generally consists of the Aircraft Landing Problem (ALP) and the Aircraft Take-off Problem (ATP) with the aim to find the optimal sequence and scheduled landing time or take-off time of aircraft at the given runways with respect to the given objective functions and constraints. In this paper, we consider only ATP, as from the practical point of view, it is easier to control taking-off aircraft still on the ground rather than airborne arriving aircraft.

The minimum time interval between aircraft landing or taking-off constrains the throughput of the run-115 way. The enforced separations between aircraft are due to wake vortices and in-flight separation constraints. 116 Landing or taking-off aircraft create wake vortices which have to dissipate before another aircraft can safely 117 use the runway. The strength of wake vortices and thus separation depends on the type and weight of 118 aircraft. A larger separation is required whenever a light aircraft follows a larger and heavier aircraft, as it 119 creates stronger wake vortices. Furthermore, if aircraft use standard instrument departure routes (SIDs), 120 additional separation is needed to ensure correct in-flight separation [13]. The use of SIDs is not considered 121 in this paper as the Doha international airport under consideration does not have SIDs established. However, 122 the SIDs related separation can be easily taken into account as described later in this section. 123

The formal definitions for runway scheduling presented in this paper are as follows. Let $M = (A \cup D)$ 124 be the set of total |M| = m arriving aircraft A and departing aircraft D. The wake vortex separations 125 are estimated using minimum separation distance, runway occupancy time and average velocities for ap-126 proach/climb as described in [45] and are given in Table 2. Then, we define $V(v_i, v_i)$ to be the function 127 to return the wake vortex separations from Table 2 for weight categories v_i and v_i of leading aircraft 128 i and trailing aircraft j. The wake vortex separations used in this paper satisfy the triangle inequality 129 $V(v_i, v_j) + V(v_j, v_e) \ge V(v_i, v_e)$ for aircraft taking off in the order of i, j, e. In case of established SIDs, the 130 related separations can be considered by a similar function and a table as $V(v_i, v_i)$ and Table 2 for aircraft 131 departing on the same SID. 132

Let r_i be the actual landing time for aircraft $i \in A$ and take-off time for aircraft $i \in D$. For arriving aircraft, r_i is given, while for departing aircraft it can be calculated as follows. Let d_i denote the time the departing aircraft $i \in D$ arrived at the runway holding point, then it can take-off immediately, i.e. $d_i = r_i$ if there is enough time elapsed from landing/take-off time r_{i-1} of the previous aircraft i-1 to comply with separation given by $V(v_i, v_{i-1})$, otherwise, the departing aircraft i has to wait at the runway holding point until it is safe to take-off:

$$r_i = \begin{cases} d_i & \text{if } d_i - r_{i-1} \ge V(v_i, v_{i-1}), \\ d_i + w_i & \text{otherwise.} \end{cases}$$

We denote the waiting time w_i of the departing aircraft $i \in D$:

$$w_{i} = \begin{cases} 0 & \text{if } d_{i} - r_{i-1} \ge V(v_{i}, v_{i-1}), \\ V(v_{i}, v_{i-1}) - (d_{i} - r_{i-1}) & \text{otherwise.} \end{cases}$$

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Table 1: Nomenclature.

	Description		
g_1	The total time objective	$\tau(origin, destination)$	Travelling time of the bus be- tween <i>origin</i> and <i>destination</i>
g_2	The fuel consumption objective	N	Set of active bus trips
g_3	The bus scheduling cost objec- tive	n	The number of bus trips
M	Set of all aircraft	G = (O, P)	Vehicle scheduling network
m	The number of all aircraft	Ŏ	Set of nodes on the vehicle scheduling network
A	Set of arriving aircraft	Р	Set of arcs on the vehicle schedul- ing network
D	Set of departing aircraft	С	Cost of arc
$V(v_i, v_j)$	Function to calculate the wake vortex separations for weight categories v_i and v_j of leading aircraft <i>i</i> and following aircraft <i>j</i>	b	Variable determining if arc is covered by a bus
v	Weight category	k,l	Bus trip index
i, j, e	Aircraft index	pop_{\max}	Maximum number of generations
r	Actual landing time for arriving aircraft/take-off time for depart- ing aircraft	pop_{number}	The current generation index
d	Arrival time of the departing air- craft at the runway holding point	c^a	The total strategic cost
w	Waiting time at the runway	c^{fuel}	Fuel cost
t^{rwy}	The total runway delay	C^{total}	The total cost
f^{rwy}	The total runway fuel	R	Rated output of aircraft
$\phi_{v_i}, \phi_{v_i}^{idle}$	Calculated and idle fuel flow for weight category v_i , respectively	h	Weight
δ	Safety time distance between taxiing aircraft	a, a_{max}	acceleration and maximum accel- eration of aircraft respectively
y	Integer representing the speed profile	η	Thrust level
q	The shortest taxi route	Thr	Thrust
s	Stand	w eight	Weight of aircraft
$T(q_i, y_i)$	Travel time of aircraft i taxing on route q_i for given speed profile	FR	Rolling resistance
x	y_i The pushback time	p_{arr}	Arrival time of buses after arriv- ing aircraft came to a stand
z	The arrival time to the stand	p_{emb}	Embarking/disembarking time of passengers
$F(q_i, y_i, v_i)$	The amount of fuel burned for aircraft <i>i</i> of weight category v_i during taxiing on the route q_i fol- lowing the speed profile y_i	p_{dep}	Departure time of buses before the pushback time of departing aircraft
t^{taxi}	The total taxi time	$p_{headway}$	Headway between buses
f^{taxi}	The total fuel burned during ground movement	sp	Average speed of buses

-		Trailing (v_j)					
		A-H	A-L	A-S	D-H	D-L	D-S
	A-H	96	157	207	60	60	60
Looding (a)	A-L	60	69	123	60	60	60
Leading (v_i)	A-S	60	69	82	60	60	60
	D-H	60	60	60	96	120	120
	D-L	60	60	60	60	60	60
	D-S	60	60	60	60	60	60

Table 2: Separations in seconds between departing (D) and arriving (A) flights for weight classes: Heavy (H), Large (L), Small (S) [45].

The objective of the runway scheduling is to minimise the total runway delay t^{rwy} and the total runway fuel f^{rwy} burned by aircraft while waiting to take-off which depends on the delay w_i and idle fuel flow $\phi_{v_i}^{idle}$ specified for the weight category v_i :

$$t^{rwy} = \sum_{i=1}^{D} w_i \tag{1}$$

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$$f^{rwy} = \sum_{i=1}^{D} w_i \cdot \phi_{v_i}^{idle} \tag{2}$$

The idle fuel flow $\phi_{v_i}^{idle}$ corresponds to fuel flow from the International Civil Aviation Organization (ICAO) engine database for 5 % of full power thrust of the representative aircraft, as explained in Section 2.2.

147 2.2. Ground movement

The aim of the ground movement problem is to route aircraft from source to destination locations, i.e. from runway to gate/stand and vice versa in a time and fuel efficient manner, respecting routes of other aircraft while preventing conflicts between them.

In this paper, we follow a concept introduced in [26, 27], which in the light of previous research can 151 be called Active Routing (AR) in acknowledge of the fact that the optimised 4DTs for ground movement, 152 consisting of three spatial dimensions and time as the fourth dimension, are seamlessly embedded within 153 the optimisation of routes and schedules. AR consists of two parts: firstly, the routing and scheduling 154 problem aims to find a set of optimal routes and schedules for arriving or departing aircraft, and the 4DT 155 optimisation problem focuses on finding a set of unimpeded multi-objective optimal speed profiles for the 156 routes from the first part. In this paper, we use the shortest path algorithm as a route optimisation method. 157 However, any routing method, such as the k-QPPTW [26], could be used instead. 158

Speed profiles are used in this paper to represent 4DTs, since not all dimensions are required as aircraft's 159 movement are bounded by taxiways. In this case, it is sufficient to completely define their position in time 160 with routes and speed profiles. In order to further reduce the complexity of the speed profile optimisation 161 problem, the route of an aircraft is further divided into larger segments, each containing several edges as 162 shown in Fig. 1. For example, several consecutive straight edges typically form one straight segment. The 163 turning segment consists of consecutive edges between which have an angle of at least 30 degrees. The 164 maximum speed on straight segments is restricted to maximum 30 knots $(15.43 \text{ m} \cdot \text{s}^{-1})$ and turning speed 165 is set to a constant speed of 10 knots $(5.14 \text{ m} \cdot \text{s}^{-1})$, similarly as in [27, 26, 28]. Subsequently, each straight 166 segment is divided into four parts, corresponding to four different aircraft taxiing phases (acceleration, 167 travelling at constant speed, braking and rapid braking with maximum deceleration) with a typical taxiing 168 behaviour as can be seen in Fig. 2). Furthermore, the maximum acceleration and deceleration rate a_{max} is 169 set to 0.98 m s^{-2} for passenger comfort [46]. The resulting piece-wise linear speed profile can be described 170 using four free variables (acceleration, length of acceleration phase, length of constant speed phase, length 171 of rapid deceleration phase) which define a unique speed profile over a segment. By searching for values of 172

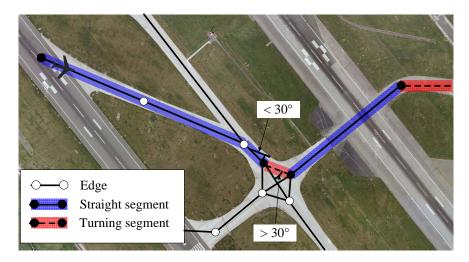


Figure 1: An example of one route of an aircraft on taxiways, represented by segments consisting of edges.

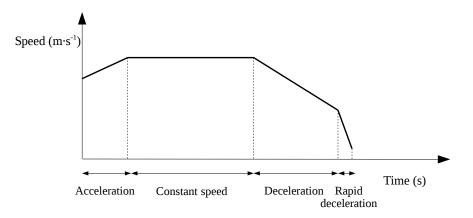


Figure 2: An illustration of a speed profile on a straight segment, divided into four phases.

these four variables, one can explore different speed profiles with different taxi time and fuel consumption.
The heuristic described in [28] is employed to find optimised speed profiles.

Fuel consumption corresponding to a speed profile is calculated as follows. As mentioned above, four phases are defined for a straight segment: acceleration, constant speed, braking and rapid braking. Firstly, thrust levels for each phase are established, which for the phase of braking and rapid braking the thrust levels are assumed to be 5% of full rated power whereas for turning the thrust level is set to 7% [47]. For other phases, the thrust levels are estimated as a ratio of calculated thrust Thr and maximum power output D of the service.

$$R$$
 of the engine:

$$\eta = \frac{Thr}{R} \tag{3}$$

Thrust Thr is a sum of acceleration force, calculated as product of aircraft's weight weight and acceleration a, and rolling resistance force FR:

$$Thr = weight \cdot a + FR \tag{4}$$

The fuel flow ϕ_{v_i} corresponding to the thrust level η is obtained by linear interpolation/extrapolation using reported fuel flows from ICAO database at 7% and 30% similarly as in [47]. Finally, the fuel consumption for the segment is calculated by multiplication of fuel flow for the specific phase and the time spent in this state. For details of this approach, interested readers are referred to [46].

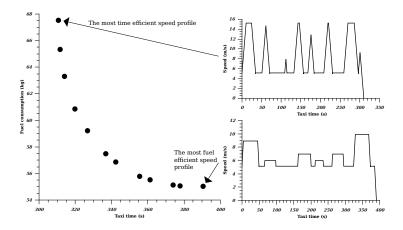


Figure 3: A typical Pareto front of optimal speed profiles for the shortest route between the gate and the runway with speed profiles shown (left), the most time and fuel efficient speed profiles corresponding to solutions indicated by arrows (right).

As the shortest path between gate and runway can be generated before on-line optimisation, the optimised speed profiles for each route q_i and weight category v_i can be pre-computed as well, stored in a database (lookup table) as a Pareto front and then retrieved during the on-line optimisation in order to save computational time. As can be seen in the example of the Pareto front for the shortest route in Fig. 3, only non-dominated optimised speed profiles are saved in the database.

Given the route of the aircraft and time needed to travel from origin to destination depending on the chosen speed profile, some delay may be added in order to prevent conflicts between taxiing aircraft. A conflict is prevented by maintaining a safe time distance $\delta = 12$ s between aircraft (which corresponds to approximately 62 m at taxiing speed 10 knots, similarly as in [15]).

Let y_i be an integer representing the speed profile of aircraft *i* from the Pareto front of efficient speed profiles retrieved from the database for the shortest route q_i from the runway to the stand s_i for arriving aircraft $i \in A$, or vice versa for departing aircraft $i \in D$. We define a function $T(q_i, y_i)$ which returns travel time of aircraft *i* taxiing on route q_i for given speed profile y_i , including delay to prevent taxiing conflicts. Function $F(q_i, y_i, v_i)$ is defined to return the amount of fuel burned for aircraft *i* of weight category v_i during taxiing on the route q_i following the speed profile y_i .

Then, the objective of the ground movement problem is to minimise the total taxi time t^{taxi} and the total fuel f^{taxi} burned during ground movement:

$$t^{taxi} = \sum_{i=1}^{M} T(y_i) \tag{5}$$

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$$f^{taxi} = \sum_{i=1}^{M} F(y_i) \tag{6}$$

205 2.3. Bus scheduling

The third objective is related to the airport bus scheduling problem. In addition to gates, an airport usually has a number of remote stands which are located on the apron. For each aircraft parked at the stand, one or several buses are needed to transfer passengers from the aircraft to the terminal or vice versa. This creates a scheduling problem which is similar to the vehicle scheduling problem (VSP) encountered in public transportation. The airport bus scheduling problem is relevant to almost all airports with remote stands as passengers usually cannot just walk to/from the terminal. The difficulty in solving the problem grows for busier airports and therefore more relevant to bigger airports. Nevertheless, as mentioned in Section 1, scheduling of different ground services bears similarities, and therefore airport bus scheduling problem can
 be regarded as an example of ground service optimisation problems.

The VSP considered in this paper is formulated as the Single-depot vehicle scheduling problem (SDVSP) which is a special case of VSP with a single depot and single type of vehicles. The goal of the VSP in general is to find a set of feasible vehicle schedules which assign trips to vehicles such that:

- every active trip is covered by exactly one vehicle,
- each vehicle performs a feasible sequence of compatible trips, or none at all, and
- the overall cost of the schedules is minimised.

A bus journey which is used to carry passengers is named active trip, otherwise it is called a deadheading 221 trip. Two trips are said to be compatible if they can be carried out consecutively by the same vehicle. Each 222 aircraft i parked at stand s_i gives rise to active trips between the stand s_i and the terminal (or in the 223 opposite direction) as follows. Similarly, as for runway scheduling, aircraft are categorized into weight 224 categories according their weight. It is assumed that small category aircraft needs one bus to transfer all 225 its passengers, large category aircraft two and heavy category requires three buses. This assumption is 226 based on the fact, that airports usually use a specialised bus from Cobus which has a capacity of about 100 227 passengers. The way the trips are generated is in general prescribed by airport management and is different 228 for arriving and departing flights [33]. Usually, if multiple buses are needed to serve an aircraft, trips do not 229 start at the same time, but rather they are shifted, as passengers first embark/disembark the first bus, then 230 the second, etc. Let x_i be the pushback time from the stand s_i for departing aircraft $i \in D$ and z_i the arrival 231 time to the stand s_i for arriving aircraft $i \in A$. In this paper, the following time requirements are assumed: 232 for arriving flights $i \in A$, the first and the second bus (if any) are required to be present at the stand s_i no 233 later than $p_{arr} = 1$ minute after the arrival time z_i at the stand s_i of aircraft i due to the fact that usually 234 two stairs are used at the same time to disembark passengers from the aircraft. The third bus has to arrive 235 $p_{arr} + p_{headway} = 4$ minutes after z_i , where the headway $p_{headway} = 3$ minutes. For departing flights $i \in D$, 236 the first bus must finish disembarking passengers $p_{dep} = 2$ minutes before the pushback time x_i , the second 237 bus has to leave $p_{dep} + p_{headway} = 5$ minutes, and the third bus $p_{arr} + 2 \times p_{headway} = 8$ minutes before 238 x_i . The time needed for the passengers to embark/disembark the bus p_{emb} is set to 10 minutes. By adding 239 travelling time of the bus specified by the function $\tau(origin, destination)$ and embarking/disembarking time 240 p_{emb} to z_i for arriving aircraft or x_i for departing aircraft, respectively, we can determine the time the bus 241 is at the terminal. The travelling time of the bus $\tau(origin, destination)$ is calculated using the distance 242 and an average speed $sp = 50 \text{ km} \cdot \text{h}^{-1}$. This way, a set of active trips $N = \{1, 2, \dots, n\}$ can be defined 243 by considering all flights $i \in M$. In addition to these trips, each bus which is used during the day has to 244 perform a pull-out trip from the depot at the beginning of the day and a pull-in trip at the end of the day. 245 The SDVSP can be defined using the formulation presented in [48] as follows. Let G = (O, P) be the 246 vehicle scheduling network with set of nodes O representing start and end locations of each trip and set of 247 arcs P corresponding to trips. Each arc has a cost c_{kl} associated with it which corresponds to time needed 248 for the bus to get from origin to destination. To represent costs for using a vehicle, arcs from or to a depot 249 have a large fixed cost penalty set to 10,000 minutes. We introduce a decision variable b_{kl} which is equal to 250 1 if a vehicle covers trip k directly after trip l, and $b_{kl} = 0$ otherwise. The goal of SDVSP is to minimise the 251 objective function: 252

$$\min g_3 = \sum_{(k,l)\in P} c_{kl} b_{kl} \tag{7}$$

Subject to:

$$\sum_{l:(k,l)\in P} b_{kl} = 1 \qquad \forall k \in N \tag{8}$$

$$\sum_{k:(k,l)\in P} b_{kl} = 1 \qquad \forall l \in N \tag{9}$$

$$b_{kl} \in \{0, 1\} \qquad \qquad \forall (k, l) \in P \tag{10}$$

The constraints 8 and 9 ensure that each trip is assigned to exactly one predecessor and one successor. Finally, the bus scheduling costs are determined by transforming the abovementioned mathematical model to a linear assignment problem and solving it by the algorithm of [49] with the generated trips as an input.

256 2.4. Integrated optimisation problem

The abovementioned optimisation sub-problems are combined into the integrated optimisation problem with the objective functions:

• g_1 : the total time,

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- g_2 : fuel consumption,
- g_3 : bus scheduling cost.

As can be seen in Equation 11, g_1 corresponds to the sum of total taxi time t^{taxi} and runway delay t^{rwy} . The second objective g_2 , as can be seen in Equation 12, relates to the fuel consumption during ground movement f^{taxi} and waiting for the take-off f^{rwy} . The third objective g_3 is equal to the bus scheduling costs as defined in Equation 7.

$$g_1 = t^{taxi} + t^{rwy} \tag{11}$$

$$g_2 = f^{taxi} + f^{rwy} \tag{12}$$

The three sub-problems are interconnected by decision variables, namely the pushback time for departing aircraft x_i and the speed profile y_i for all aircraft, from which all other input parameters can be derived as explained above and illustrated in Fig 4. The decision variable x_i is an integer value in the range from -300 to 300, representing seconds before/after the baseline time given as input flight schedule. The range of values for decision variable y_i is from 1 to 12, due to the specifications of the pre-computed database which stores 12 different Pareto optimal speed profiles. The value of 1 represents the most time-efficient (fastest) speed profile whereas 12 corresponds to the most fuel efficient one.

274 3. A multi-objective integrated framework incorporating economic optimisation

275 3.1. Integrated solution method

In order to optimise the objective functions of the integrated optimisation problem stated in Section 2, a multi-objective evolutionary framework is proposed in this section.

The solution framework for the integrated optimisation problem is based on the implementation of Fast Non-dominated Sorting Genetic Algorithm (NSGA-II) [44] which is a well-known evolutionary algorithm adapted for multi-objective optimization. In evolutionary algorithms, solutions to a problem are represented as individuals in a population. Each individual consists of a set of genes, where each gene corresponds to a decision variable. As stated in Section 2.4, the decision variables are the pushback time x_i for departing aircraft $i \in D$ and the speed profile y_i for all aircraft $i \in M$.

The basic structure of our algorithm is outlined in Algorithm 1. Firstly, the initial population is generated by creating individuals with random values of the genes. The initial population is evaluated in lines 2–4. In the next step, individuals with the high fitness values, i.e. with low objective function values, are selected for reproduction. New individuals are created by applying a 2-point crossover to two parent individuals. Next,

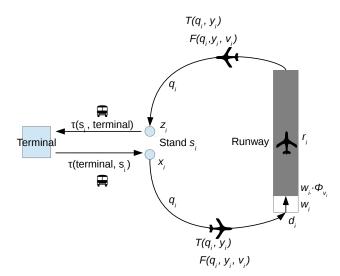


Figure 4: Schematic diagram of airport ground operations showing the relationship between parameters of the optimisation framework.

²⁸⁸ for each individual a mutation is performed according to the mutation rate. During the mutation, the value

of one gene is randomly changed. The individual is then evaluated and objectives g_1, g_2, g_3 are calculated.

The loop in lines 3–15 is repeated until the maximum number of generations pop_{max} is reached. The result of the evolutionary algorithm is then a set of individuals which form the Pareto front of optimal solutions.

Algorithm 1: Evolutionary algorithm for airport ground operations optimisation.

1 generate initial population; 2 for each individual in population do 3 calculate g_1, g_2, g_3 ; 4 end 5 $pop_{number} := 1;$ 6 while $pop_{number} < pop_{max}$ do select good individuals for reproduction; 7 apply 2-point crossover; 292 8 for each individual in population do 9 10 perform mutation; calculate $g_1, g_2, g_3;$ 11 end $\mathbf{12}$ 13 replace population; $pop_{number} := pop_{number} + 1;$ 14 15 end **Result**: Pareto front of optimal solutions

293

The procedure to calculate g_1, g_2, g_3 in lines 3 and 11 is further explained in detail in Algorithm 2. Firstly, 294 aircraft are considered sequentially according to their initial sequence specified by input flight schedule. For 295 each arriving aircraft $i \in A$ a shortest route q_i is found between the runway and designated stand s_i or in the 296 opposite direction for departing aircraft $i \in D$. The generated route q_i and weight category v_i of aircraft i is 297 used to read the optimal speed profiles from the database. The speed profile specified by y_i which is selected 298 in line 8 is used to schedule the aircraft i along the route q_i after all taxiing conflicts has been resolved in 299 line 9. Then, the total taxi time t^{taxi} and the total fuel f^{taxi} is computed as stated in Section 2.2. Next, for 300 each departing aircraft $i \in D$, the runway holding point arrival time d_i is determined, given the scheduling 301 of taxiing aircraft assigned during ground movement phase in lines 1–12. Subsequently, the delay w_i is 302

calculated in line 15 in order to get the total runway delay t^{rwy} and the total fuel f^{rwy} in line 17. Given the pushback time x_i from the stand s_i for departing aircraft $i \in D$ and arrival time z_i to the stand s_i for arriving aircraft $i \in A$, a set of bus trip is generated in line 19 as explained in Section 2.3. By solving the corresponding VSP, the third objective g_3 can be calculated. Finally, objectives g_1, g_2, g_3 are returned in line 21.

	A	Algorithm 2: Evaluation procedure.	
		/* Ground movement	*/
	1	for aircraft $i \in M$ do	
	2	if $i \in A$ then	
	3	generate the shortest route q_i between runway and s_i ;	
	4	else	
	5	generate the shortest route q_i between s_i and runway;	
	6	end	
	7	retrieve optimal speed profiles for route q_i and weight category v_i ;	
	8	select speed profile y_i ;	
	9	resolve taxiing conflicts;	
	10	schedule aircraft <i>i</i> using route q_i and speed profile y_i ;	
307	11	end	
507	12	calculate $t^{taxi}, f^{taxi};$	
		/* Runway scheduling	*/
	13	for aircraft $i \in D$ do	
	14	determine d_i ;	
	15	calculate w_i ;	
	16	end	
	17	calculate $t^{rwy}, f^{rwy};$	
		/* Bus scheduling	*/
	18	for aircraft $i \in M$ do	
	19	generate bus trips taking z_i, x_i, s_i as an input;	
	20	end	
	21	Solve VSP to determine g_3 ;	
		Result : g_1, g_2, g_3	
308			

In the case of a real decision support system, the decision maker is responsible for choosing one solution found by the multi-objective integrated framework. The solutions on the Pareto front are optimal in the Pareto sense, meaning that any one of the solutions is not the best in all objectives comparing to the other one. As a result, other information is required for the decision makers in order to decide the best schedule. The next section proposes an economic framework for this purpose.

314 3.2. Economical optimisation

The conceptual framework presented in this section paves the way to a holistic (technical / environmen-315 tal / economic) optimisation of the airport ground operations performance by managing how the aircraft 316 schedules are planned. As stated in Section 2.4, the integrated optimisation problem minimises 3 objectives, 317 namely g_1, g_2, g_3 . From the economic point of view, the first two objectives g_1, g_2 are related to cost relevant 318 for airline company operating the aircraft. The third objective g_3 is relevant for the airport operating the 319 buses and it is airport dependent since large airports are generally more technically efficient and have less 320 operational wastage than small airports [50]. The proposed economic model focuses on the cost for the 321 airline company and therefore economic optimisation of aircraft schedules is performed from their point of 322 view. The third objective g_3 is considered later in Section 4.3. 323

To be consistent with Eurocontrol [51], the following categories of *aircraft strategic costs* (i.e. variable or marginal costs) are relevant for aircraft ground operations:

• Fuel, as previously modelled and discussed in Section 2.2.

- Non-fuel aircraft cost, including:
- Maintenance: they include costs of delay incurred by aircraft and related to factors such as the mechanical attrition of aircraft waiting at gates or taxiing.
- Fleet: these costs refer to the full cost of fleet financing, such as depreciation or rentals of aircraft, etc.
- Crew cost: is the variable cost of the crew personnel, i.e. pilot and flight attendant salaries.

Those non-fuel aircraft costs are specific for aircraft, and an example for an Airbus A320 aircraft according to Eurocontrol [51] is given in Table 3.

Table 3: Non-fuel aircraft costs according to [51] for base scenario for Airbus A320 aircraft.

	${\bf \in}{\bf \cdot}{\bf h}^{-1}$	${\in}{\cdot}{\rm s}^{-1}$
Maintenance	720	0.200
Fleet	610	0.169
Crew	360	0.100
Total c^a	1690	0.469

335

The fuel cost c^{fuel} of $0.71 \in kg^{-1}$ (as on 17.1.2014 [52]) is used in the economic optimisation model. The key idea of the proposed economic optimisation model is to sum the aircraft cost for each schedule to calculate its total aircraft strategic cost C^{total} as given in Equation 13:

$$C^{total} = c^a \cdot g_1 + c^{fuel} \cdot g_2 \tag{13}$$

Knowing the cost for each schedule, it will be possible to determine the optimal schedule/group of schedules
for aircraft. This is intended not to replace the human decision maker in selecting the best solution rather
than provide extra information from the economic perspective.

Fig. 5 provides a qualitative idea of this approach. For solutions on the Pareto front, shorter the total time, higher the fuel cost as fast taxiing involves heavy acceleration. Similarly, lower total time results in lower aircraft cost due to their time dependency. On the other hand, too long total time causes excessive fuel burn. For each solution, by summing up the non-fuel aircraft cost and fuel cost it is possible to obtain a parabolic-like total aircraft strategic cost function with a minimum. The minimum represents the optimal or most cost effective solution among those on the Pareto front with consideration of the consumed fuel.

The next section presents results obtained by applying the proposed multi-objective framework incorporating the economic optimisation model to a real-world instance.

350 4. Computational results and discussion

351 4.1. Experimental setup

The algorithm was tested on a dataset of real arrival and departure flights on Doha International Airport 352 (DOH) which was the largest airport in Qatar and a hub airport for Qatar Airways until the new Hamad 353 International airport was completed in late April 2014. DOH airport has 55 stands and no gates. The 354 considered data was recorded on 16th March 2014 and divided into two instances representing medium and 355 high traffic conditions. The instance *medium* includes 96 flights between 17:00 and 21:00 UTC from which 356 50 are arrivals and 46 departures. The instance high consists of 84 flights between 21:00 and 23:00 UTC 357 from which there are 27 arrivals and 57 departures. The data provided specified landing/pushback times 358 359 and gates/runway exits for each flight.

The aircraft have been divided into 3 groups according their wake vortex separation requirements. For each category, a representative aircraft is designated and its specifications are used during the fuel consumption calculation. The specifications are summarized in Table 4.

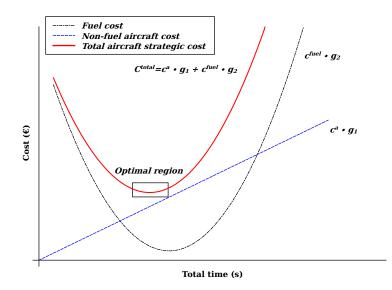


Figure 5: The total aircraft strategic cost is a sum of non-fuel aircraft cost and fuel cost.

Table 4:	Specifications	of the	representative	aircraft.

	Learjet 35A	Airbus A320	Airbus A333
Take-off weight $weight$	8300 kg	78000 kg	230000 kg
Engines	TFE731-2-2B	CMF56-5-A1	CF6-80E1A2
Number of engines	2	2	2
Rated output R	2×15.6 kN	2×111.2 kN	$2{\times}287$ kN
Rolling resistance FR	1221 N	11.48 kN	33.84 kN
Fuel flow at 7% R	$0.024 \ {\rm kg \cdot s^{-1}}$	$0.101 \ {\rm kg \cdot s^{-1}}$	$0.228 \ {\rm kg \cdot s^{-1}}$
Fuel flow at $30\%~R$	$0.067 \ {\rm kg \cdot s^{-1}}$	$0.291 \ {\rm kg \cdot s^{-1}}$	$0.724 \ {\rm kg \cdot s^{-1}}$

363

The computational experiments were performed on a computer with an Intel i3-2120 processor and 3.16 GB of RAM, running Linux. The evolutionary algorithm is implemented using the Inspyred package for Python [53]. Based on initial experiments, the maximum number of generations pop_{max} was set to 150 and each population contained 200 individuals.

368 4.2. Sensitivity analysis of bus scheduling

Before the actual computational experiments, a sensitivity analysis of bus scheduling was performed to 369 investigate the effect of different time parameters set in Section 2.3. Namely, arrival time of the buses after 370 arriving aircraft came to a stand p_{arr} , embarking/disembarking time of passengers p_{emb} , headway between 371 buses $p_{headway}$, departure time of buses before the pushback time of departing aircraft p_{dep} and average 372 speed of buses sp. The parameters were varied, one at a time, from the established base case, as set in 373 Section 2.3, over a reasonable range. Then, Algorithm 1 with objective g_3 was run to find a solution with 374 minimum bus scheduling cost under current parameters. The impact of parameters on overall bus scheduling 375 cost is shown in Fig. 7. As can be seen, embarking/disembarking time of passengers p_{emb} and average speed 376 of buses sp have the most influence on bus scheduling cost with approximately linear relationship for p_{emb} 377 and inversely proportional relationship for sp. In contrast, arrival and departure time of buses p_{arr}, p_{dep} 378 or headway $p_{headway}$ had only little effect on bus scheduling cost. In conclusion, setting the appropriate 379 bus scheduling parameters accurately, namely embarking/disembarking time of passengers p_{emb} and average 380

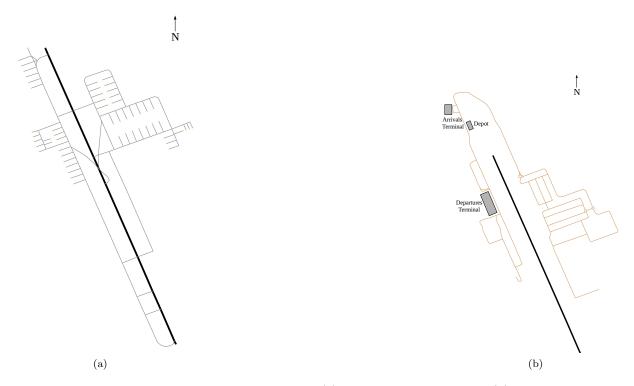


Figure 6: Layout of DOH airport with taxiways (a) and service road network (b) used by buses.

speed of buses *sp*, is an important task for airport management. However, as their effect is approximately proportional, they do not affect the generalisation of results obtained by computational experiments carried out in next sections.

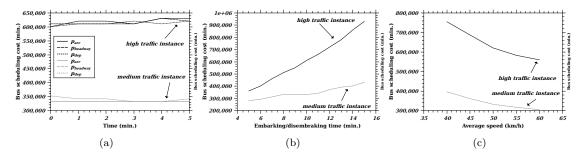


Figure 7: Sensitivity analysis of bus scheduling: Minimum bus scheduling cost obtained with varying parameters (a) arrival time (p_{arr}) , departure time (p_{dep}) and headway $(p_{headway})$ of buses, (b) embarking/disembarking time of passengers (p_{emb}) , and (c) average speed of buses (sp).

383

384 4.3. Comparison of different approaches

As reviewed in Section 1, previous research usually treated the ground movement problem in isolation from other surface operations as well as focused only on one objective. Therefore, we start our results by comparison of our integrated approach with previous approaches and present the benefit of the proposed method. Section 2.4 stated that three objectives g_1, g_2, g_3 related to total time, fuel consumption and bus scheduling are considered in this paper. In order to simulate different approaches previously proposed in

research literature, we introduce weights h_1, h_2, h_3, h_4 with possible values $\{0, 1\}$ in Equation 14, which 390 enable us to "switch off" various part of the objective function. Using different combinations of weights 391 as shown in Table 5, the following algorithms have been devised according to the previously proposed 392 approaches as reviewed in Section 1. It is worth mentioning that the implemented approaches in Table 5 are 393 all based on the optimised speed profiles to calculate their respective fuel consumption. Therefore, results 394 presented in the following sections have been improved in terms of fuel compared to their counterparts in 395 the original literature. Algorithms A and B correspond to approach in which only ground movement or 396 runway scheduling is being optimised, taking into account only the total taxi time or total runway delay, 397 respectively. Algorithm C represents the integrated ground movement and runway scheduling optimisation 398 with only time objective g_1 minimised. Ground movement optimisation with total taxi time and taxi fuel 399 minimisation is considered in Algorithm D. Integrated runway scheduling and ground movement with time 400 and fuel objective is minimised in Algorithm E. Finally, Algorithm I corresponds to approach proposed in 401 this paper, with fully integrated runway scheduling, ground movement and bus scheduling optimisation and 402 g_1, g_2, g_3 objectives. 403

$$g_1 = h_1 \cdot t^{taxi} + h_2 \cdot t^{rwy}$$

$$g_2 = h_3 \cdot (f^{taxi} + f^{rwy})$$

$$g_3 = h_4 \cdot g_3$$
(14)

Table 5: Alternative algorithms devised by considering objective functions with different weights corresponding to research approaches reviewed in Section 1.

Weight	h_1	$h_2 \\ t^{rwy}$	h_3	h_4	Description	D - f
Alg.	t	t' ~ s	g_2	g_3	Description	References
А	1	0	0	0	Only taxi time	[15, 16, 17, 18, 19, 20, 21, 22]
В	0	1	0	0	Only runway delay	[8, 9, 10, 11, 12]
С	1	1	0	0	Integrated runway scheduling and ground movement, only time objective	[29, 30, 31, 32]
D	1	0	1	0	Ground movement with fuel	$\begin{bmatrix} 23, \ 24, \ 25, \ 26, \ 27, \\ 28 \end{bmatrix}$
Ε	1	1	1	0	Integrated runway scheduling and ground movement, time and fuel objective	This paper
Ι	1	1	1	1	Integrated runway scheduling, ground movement and bus scheduling	This paper

404

Note, that although some algorithms do not consider ground movement, the solutions generated by these algorithms use the optimised speed profiles as explained in Section 2.2.

407 4.3.1. Visual comparison

Algorithm 1 was run with objective functions as given in Equation 14 with weights set according to Table 5. The Pareto front for each Algorithm A–I was constructed by considering 30 repeated runs of the algorithm and leaving only non-dominated solutions. The fronts are depicted in Fig. 8. In order to provide a better overview of the results, the fronts are projected to 2-objective space in Fig. 9 and 10, considering

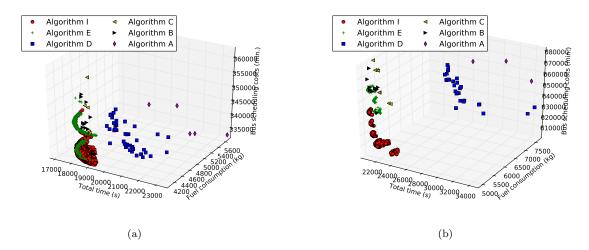


Figure 8: Pareto fronts obtained by different algorithms for (a) medium traffic instance and (b) and high traffic instance.

 g_{12} g_1, g_2, g_1, g_3 and g_2, g_3 , respectively. By visually examining the Pareto fronts, it can be observed that the proposed algorithms performed similarly for both data instances.

With regard to the total time objective g_1 , it can be observed, that Algorithm A and D resulted in solutions with a very high value of g_1 . Minimisation of objective g_1 was the main aim of Algorithm C. As a result, it obtained the best values of the total time g_1 .

For the fuel consumption (objective g_2), Algorithm A,C and D obtained higher values than other algorithms. The algorithm that performs the best in terms of g_2 cannot be determined by visual observation.

⁴¹⁹ Considering the bus scheduling cost objective g_3 , it can be seen, that for the *medium* traffic instance ⁴²⁰ Algorithms A–I performed similarly. In contrast, for the *high* traffic instance, Algorithm I obtained the ⁴²¹ minimum values of g_3 .

From the visual observation, it can be concluded that Algorithm I and E resulted in the best trade-off curves compared to other algorithms. Note, that in case of *high* traffic instance the evolutionary algorithm produced the front with worse spread for Algorithm I compared to Algorithm E as can be seen in Fig. 10 due to increased complexity of the instance. Otherwise, Algorithm I covered most of the Pareto optimal solutions generated by Algorithm E.

Finally, it can be observed that inclusion of all three objectives g_1, g_2, g_3 increased the number of non-427 dominated solution found by Algorithm I. This result can be beneficial in case of unprecedented events, 428 when the decision maker needs to have more readily available alternatives as backup plans. More solutions 429 then enable the decision maker to select another schedule without sacrificing too much of objective q_1, q_2 430 or q_3 . In order to illustrate the effect of unprecedented situation, the following example is presented. 431 Lets assume, that the decision maker selected a solution for the high traffic instance with $[g_1, g_2, g_3] =$ 432 [21411, 5030, 620914] in which departing aircraft #127 has a scheduled pushback time 21:56:47. Then, if 433 a delay of 3 minutes occurs, schedule has to be modified. There is another solution in the Pareto front 434 with $[g_1, g_2, g_3] = [21441, 5025, 620906]$ in which aircraft preceding #127 have the same values of decision 435 variables as in the original solution, but #127 has pushback time 22:00:58. The schedule can be modified by 436 implementing the rest of the new solution, resulting in $[g_1, g_2, g_3] = [21445, 5025, 620906]$. As can be seen, 437 the new solution is similar in terms of g_1, g_2, g_3 to the originally selected solution. Note, that the presence 438 of an alternative solution is not guaranteed by the algorithm and the ability to provide robust solutions will 439 be investigated in future work. 440

As intuitive results shown above, it seems that the introduced multi-objective optimisation framework so

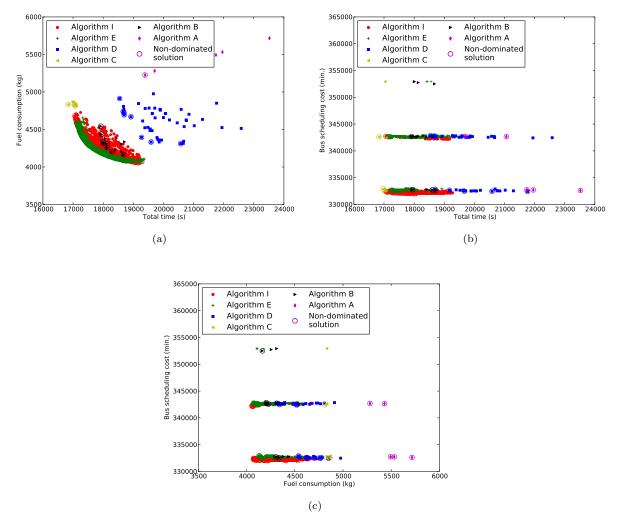


Figure 9: Pareto fronts obtained by different algorithms for medium traffic instance projected to (a) g_1, g_2 , (b) g_1, g_3 and (c) g_2, g_3 .

far opens a door for decision makers to make a more reasonable planning, and also provides more evidence 442 and information to back such decisions. However, it is still the case that without additional information, 443 the decision process is still very subjective based as multiple Pareto optimal solutions are available. This 444 situation will be even more severe due to visualisation difficulty as the number of the investigated objectives 445 increases. Furthermore, in terms of the performances of different algorithms, without a single measure, it 446 is hard to be convinced which algorithm performs the best. Therefore, in the next section, the proposed 447 economic optimisation in Section 3.2 is applied once the Pareto solutions from the multi-objective framework 448 are obtained. 449

450 4.3.2. Economic results

This section gives an account of the economic results. By applying the economic optimisation model as explained in Section 3.2, it is possible to determine the monetary value of the total time g_1 and the fuel burned g_2 and obtain a single optimal solution (or, more broadly speaking an optimal region of the Pareto front) from the economic point of view. For this purpose, the costs stated in Table 3 for Airbus A320 and

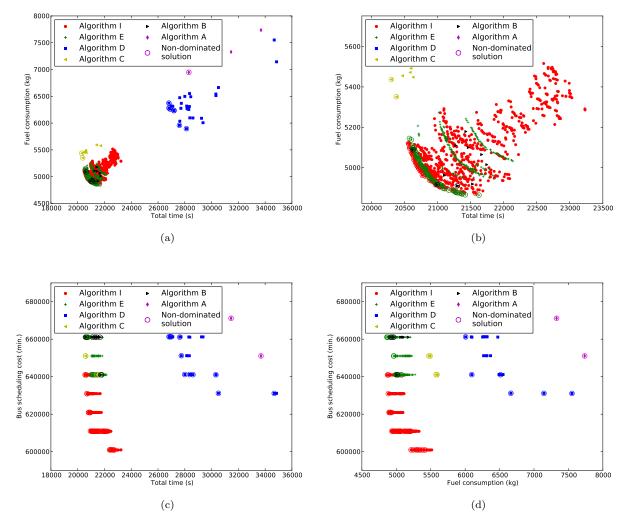


Figure 10: Pareto fronts obtained by different algorithms for high traffic instance projected to (a) g_1, g_2 in a global view, (b) g_1, g_2 in a zoomed view, (c) g_1, g_3 and (d) g_2, g_3 .

the fuel price are used as an example. Firstly, the value of the corresponding objective is multiplied by the total non-fuel aircraft cost and fuel price according to Equation 13. Fig. 11 and 12 depict the Pareto front after the modification. Then, the solution with the minimum costs can be selected for each algorithm. In order to consider statistical inference, the solution with minimum costs was selected from the Pareto front of each of 30 runs of the algorithm. Table 6 summarises the average values of optimal solutions of different algorithms for each data instance.

461

The comparison of algorithm performance supports the observations described in Section 4.3.1. The best values of the total time objective g_1 are obtained by Algorithm C in both data instances by adding up low values of both total taxi time t^{taxi} and runway delay t^{rwy} . However, the best values of the total time g_1 , are only possible at the expense of fuel intensive speed profiles, causing a high value of g_2 . Algorithm A resulted in the worst solutions related to the total time (objective g_1). Since Algorithm A minimised only the total taxi time t^{taxi} , it did not consider the runway delay. The additional waiting at the runway

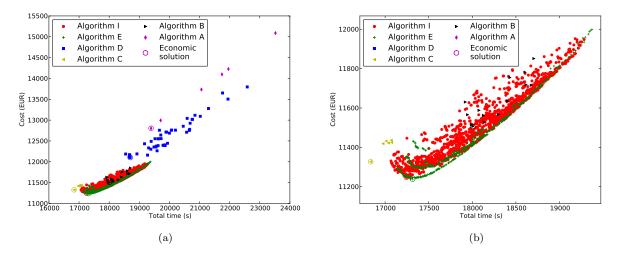


Figure 11: Economic analysis of Pareto fronts for medium traffic instance: (a) a global view, (b) a zoomed in view. Circles indicate the economic solution for the given algorithm.

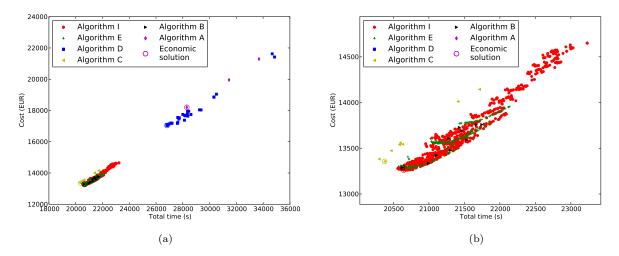


Figure 12: Economic analysis of Pareto fronts for high traffic instance: (a) a global view, (b) a zoomed in view. Circles indicate the economic solution for the given algorithm.

(runway delay t^{rwy}) then increased the total time g_1 . Compared to the solution with the minimum cost, 468 Algorithm A required 25% and 46% more fuel for *medium* and *high* traffic instances, respectively. For 469 the fuel consumption objective g_2 , the best solutions selected by economic optimisation are those obtained 470 by Algorithm B for the both traffic instances. In general, algorithms that included runway scheduling, or 471 runway scheduling and fuel consumption, i.e. Algorithm B, E and I, found solutions with the best values of 472 g_2 . In the case of Algorithm B, the low value of f^{rwy} is caused by optimised t^{rwy} and the low value of f^{taxi} 473 is a result of high total taxi time t^{taxi} . As explained in Section 2.2, there is a trade-off between t^{taxi} and 474 f^{taxi} , which means that the value of one cannot be decreased without increasing the value of another. All 475 other algorithms (Algorithm A,C,D) which do not considered runway scheduling, have their q_2 increased by 476 additional fuel burn at the runway (Algorithm A,D) or very short taxi times requiring fuel intensive speed 477

Algorithm	t^{taxi} (s)	f^{taxi} (kg)	t^{rwy} (s)	f^{rwy} (kg)	g_1 (s)	$g_2 \ (\mathrm{kg})$	g_3 (min.)	C^{total} (\in)	
	medium traffic instance								
A	16138	4768	5612	748	21750	5516	346460	14117	
В	17584	4208	825	124	18409	$\boldsymbol{4332}$	343728	11709	
\mathbf{C}	16180	4717	1031	152	17211	4869	341745	11529	
D	16528	4316	3221	445	19749	4762	344084	12643	
E	16639	4284	982	145	17621	4429	344407	11408	
Ι	16677	4329	907	133	17584	4463	336402	11415	
				high traffic	instance				
A	15884	4935	16737	2610	32621	7545	680548	20656	
В	17259	4388	4296	729	21554	5116	665068	13742	
\mathbf{C}	16211	4733	4798	810	21008	5543	670756	13789	
D	16537	4425	12050	1914	28586	6339	673511	17908	
E	16856	4392	4562	761	21419	5153	665751	13704	
I	16957	4400	4659	773	21616	5174	630981	13811	

Table 6: The average values of optimal solutions obtained by economic optimisation for each instance with the overall best value highlighted in **bold** face.

⁴⁷⁸ profiles (Algorithm C). The best solutions in terms of the third objective g_3 are those obtained by Algorithm ⁴⁷⁹ I, which included bus scheduling costs in its objective function.

The overall results for *medium* and *high* traffic instances, as given in Table 6, show that t^{rwy} and subsequently f^{rwy} have much higher values in the *high* traffic instance compared to the *medium* traffic instance. This increase is due to high traffic level, causing a congestion on the runway. Similarly, higher bus scheduling costs g_3 are the result of high number of flights arriving/departing within a short time period.

In terms of costs C^{total}, Algorithm E found solutions with minimum average cost for both instances. The statistical significance of differences was further analysed by performing Student's t-test with significance level 0.05. The results of Algorithm E are not significantly better than results of Algorithm I for both instances. Algorithm E is significantly better than Algorithms A–D for the *medium* traffic instance and Algorithms A,C,D for *high* traffic instance.

Regarding the computational time, Algorithms A–E run about 8 to 10 minutes on average for the *medium* and *high* traffic instances, whereas the running time of Algorithm I was 3 times longer.

As explained in Section 3.2, the selection of a single solution from the Pareto front made by the economic 491 optimisation is affected by costs c^a and c^{fuel} . If costs c^a and c^{fuel} put more focus on the fuel objective g_2 , 492 the selected solutions will tend to have lower fuel consumption. However, only algorithms that are multi-493 objective, particularly Algorithms E and I, are able to produce a diverse set of trade-off solutions. Therefore, 494 although Algorithm B obtained the lowest value of g_2 for the *medium* traffic instance, the difference in total 495 $\cot C^{total}$ between Algorithm B and Algorithms E,I failed to prove significant for the high traffic instance, 496 which implies, with different costs c^a and c^{fuel} , it may not be able to provide solution with lower fuel 497 consumption (and subsequently C^{total}) than solutions generated by other multi-objective algorithms. For 498 example, with $c^a = 0.1 \in s^{-1}$ and $c^{fuel} = 1 \in kg^{-1}$, the economic optimisation selects solutions given in 499 Table 7. In this case, analysis using Student's t-test with the same significance level 0.05 revealed that the 500 best algorithm in terms of costs (Algorithms E and I) is significantly better than other algorithms. More 501 importantly, results of Algorithm B in terms of fuel consumption (objective g_2) are significantly worse than 502 those of Algorithm E and I. 503

504

507

The analysis of results from the economic point of view showed a similar pattern (supporting the reliability of the algorithms involved), and several results can be generalised. The most relevant are as follows:

• There is a real economic merit in selecting the best schedules considering the aircraft costs.

Table 7: The average values of optimal solutions obtained by economic optimisation with $c^a = 0.1 \in s^{-1}$ and $c^{fuel} = 1 \in kg^{-1}$ for each instance with the overall best solution highlighted in bold face.

Algorithm g_1 (s)		$g_2 \ (\mathrm{kg})$	$g_3 \ (\min.)$	C^{total} (\in)
		medium	traffic instar	nce
А	21750	5516	346460	7691
В	18409	4332	343728	6172
\mathbf{C}	17211	4869	341745	6590
D	20862	4486	345412	6572
\mathbf{E}	18765	4131	346747	6007
Ι	I 18867		339047	6007
		high tr	affic instanc	e
A	32621	7545	680548	10807
В	21554	5116	665068	7272
\mathbf{C}	21008	5543	670756	7644
D	29151	6202	676516	9117
E	21970	5008	664748	7205
I	22150	5042	633313	7257

- The algorithms allowing to reach the minimum total aircraft cost are those with an holistic perspective considering both ground movement and runway scheduling minimising time and fuel objectives simultaneously.
- The inclusion of bus scheduling has not a significant impact on the performance in terms of economic optimisation regarding aircraft cost. From an airline only perspective, Algorithm E and I are equivalent without significant differences in costs.
- The difference between the most cost efficient solution for Algorithm E and I in Table 6 is up to around 5% in bus scheduling cost. This is a saving relevant from the airport operator's point of view.
- Economical optimisation can be used for different scenarios (high or low non-fuel and fuel cost) as shown in Table 6 and 7. As fuel price is taken into account, it always takes environmental benefit into consideration.

⁵¹⁹ In conclusion, Algorithm I can be considered as the best one since it allows an optimisation from both the ⁵²⁰ perspectives of the airline and the airport operator.

521 5. Conclusions and Future development

This paper introduced an integrated optimisation approach to airport ground operations. A multi-522 objective genetic algorithm which considers several elements: ground movement problem, runway scheduling 523 and scheduling of airport buses was proposed to find aircraft schedules in a more holistic manner through 524 actively incorporating multi-objective 4DTs and economic optimisation. The integrated systems approach 525 facilitates more detailed investigation of the trade-off between different objectives and furthermore, the 526 most cost-effective solution, taking into account fuel saving, from a Pareto front of optimal solutions can 527 be obtained through the economic optimisation framework which assigns a monetary value to the given 528 schedule. The computational experiments conducted on real-world data from a major Asian airport showed 529 that the proposed approach is able to provide a systematic optimisation framework suitable for decision 530 support at the airport. Such decision support serves to achieve the aim of A-CDM concept to optimise 531 airport-related decisions in collaboration with both airline and airport operator, considering their preferences 532 and constraints utilising shared information. A detailed comparison of algorithms with different objective 533 functions, corresponding to previously applied approaches reviewed in Section 1 and categorized in Table 5, 534

showed that the integrated approach results in solutions with the lowest costs. Furthermore, the integrated 535 approach obtained better results in terms of fuel consumption (19% and 31% less fuel burned for the 536 economical solution compared to the worst solution). Bear in mind, algorithms mentioned in this paper 537 used optimised 4DTs already. Therefore, the proposed approach not only saves fuel by using 4DTs, but also 538 a further reduction is achieved by integrated multi-objective optimisation framework. Only the approach 539 which considered bus scheduling (Algorithm I) could find a schedule with low bus scheduling cost, particularly 540 in the high traffic period. To conclude, previously proposed approaches (represented by Algorithms A,B,D) 541 that considered ground movement problem, runway scheduling and ground services scheduling as isolated 542 problems, or optimised only time objective (Algorithm C), performed worse than the integrated approaches 543 (Algorithms E and I). The result indicates that there are strong interdependencies between airport ground 544 operations and respective time, fuel, and cost related objectives which were not fully taken into account in 545 previously proposed approaches. As the proposed optimisation approach which actively promotes integrated 546 and coordinated airport ground operations is better in terms of performance, economic and environmental 547 criteria, it can contribute to sustainability of air transportation. Furthermore, only the integrated approach can take into account objectives of the airline and the airport at the same time. 549

The generality of the proposed integrated optimisation approach is supported by the fact that it is not dependent on the layout of a particular airport, nor cost values, making it an approach applicable to other airports as well. However, the most benefit yielded by its application can be obtained on large and busy airports, where runway needs to be used as efficiently as possible, with high traffic on airport surface and high requirement of ground services for the aircraft, such as Doha International airport used as a case study in this paper. Furthermore, airports that face strict environmental regulations, putting more focus on fuel consumption, may find the proposed approach beneficial.

Nevertheless, a number of limitations of the proposed approach need to be mentioned and further adressed 557 in the future research. First, uncertainty inherent in airport operations has to be considered in order to 558 make the approach realistic. Delayed arrivals due to weather conditions, aircraft taxiing slower/faster than 559 prescribed by 4DTs or baggage being loaded late into the hold are only some examples of uncertainty that 560 can compromise otherwise optimal planning. Furthermore, ever changing situation at an airport calls for a 561 real-time scheduling which together with uncertainty could be tackled by dynamic and robust optimisation 562 techniques in the future. Other limitations open for further investigation include the application of a more 563 sophisticated routing algorithm for ground movement such as k-QPPTW [26], or more precise setting of bus 564 scheduling related parameters such as embarking/disembarking time based on real number of passengers in 565 each flight. 566

Finally, a number of further developments are possible from the economic point of view. Along with the aircraft cost there are other costs that are possible to include, namely airport cost and externalities. Every minute during which the airport infrastructure is used in an inefficient way is a cost for the airport, particularly during the peak traffic period. Since different periods during the day have different demand (peak vs. off-peak), airport cost may change over the day. Moreover this cost depends on the airport: some airports are very busy, other underused. Therefore, time objective can be priced to reflect cost for the airport as well.

Furthermore, further works should be devoted to the quantification and inclusion of the externalities. Externalities are costs or benefits that affect a party who did not choose to incur those costs or benefits. In the case considered, the key externalities are air emissions (in particular CO_2 and NO_X) and noise. It is possible to assign to them a monetary value and include them in the analysis.

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