Designing Computationally Creative Musical Performance Systems

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ABSTRACT
This is work in progress where we outline a design process for a computationally creative musical performance system using the Creative Systems Framework (CSF). The proposed system is intended to produce virtuosic interpretations, and subsequent synthesized renderings of these interpretations with a physical model of a bass guitar, using case-based reasoning and reflection. We introduce our interpretations of virtuosity and musical performance, outline the suitability of case-based reasoning in computationally creative systems and introduce notions of computational creativity and the CSF. We design our system by formalising the components of the CSF and briefly outline a potential implementation. In doing so, we demonstrate how the CSF can be used as a tool to aid in designing computationally creative musical performance systems.

CCS CONCEPTS
• Computing methodologies → Instance-based learning;
• Applied computing → Sound and music computing;

KEYWORDS
computational creativity, expressive music performance, virtuosity, case-based reasoning

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1 INTRODUCTION
Musical Metacreation (MuMe) [18], a recently formed sub-field of Computational Creativity, seeks to bring together all research into the automation of creative musical tasks. In doing so it has re-categorized many areas of work which did not have a specifically creative focus into a field which does. This includes work into Computer Systems for Expressive Musical Performance (CSEMP), which may now be interrogated on issues which they were not intended or sufficiently equipped to answer - specifically related to their creativity and creative behaviors.

Within Computational Creativity (CC) systems deemed to display creative behavior are capable of reflection. Without this element of reflection, a point raised by Agres et al. [4], Bundy [9] and others, systems are only generative. As, in general, CSEMPs do not employ a full reflection loop or self-reasoning of their output, they are not considered to be creative systems from a CC standpoint. Developing a creative CSEMP, that can reflect allows for research directly into the creative processes within musical performance. For example, investigating virtuosity in bass guitar performances. How though, does one go about developing a creative CSEMP?

We propose using the the Creative Systems Framework (CSF) by Wiggins [30, 31], as a design tool to frame and describe both new or even existing CSEMPs (if their authors wished to turn them to creative systems). At a basic level the CSF can act as a checklist of requirements for a creative system. However, it also allows for direct comparison between different creative systems which can aid in evaluating both the systems creative behavior and its output [4, 30–32].

The CSF has been used to describe a live coding scenario, and through doing so highlights how, and where, the computer can be given more creative control/responsibility over

1 Reflection is the ability for an agent (or in the context of this paper, computational system) to evaluate or reason about its creative output, and in light of this evaluation adapt or alter its behaviour.

2 This is not intended as a criticism of, or to diminish, the value of the previous work into expressive musical performance, only as to draw a distinction between general approaches to CSEMPs and that of creative systems.

3 The bass guitar, could be any musical instrument, however we have chosen this due to our own experience and expertise with the instrument.
the produced performance [32]. By using this framework to
design a creative musical performance system, we demon-
strate the design capability of the CSF, in addition to its
descriptive and analytical usefulness.

In Section 2 we introduce the context and scenario as
that of virtuosity within the computational performances
with a physical model of a bass guitar, and outline our in-
terpretations of virtuosity and how we relate it to musical
performance. From here, in Section 3, we explain the ideas
of computational creativity and then introduce and explain
the basics of the CSF. In Section 4 we then use the CSF to
design a creative musical performance system and provide
an example implementation. We hope that by providing a
working example of the CSF others will embrace, use and
apply the CSF in the design of their own creative musical
performance systems.

2 INVESTIGATING VIRTUOSITY IN MUSICAL
PERFORMANCES

Our work is motivated by the question:

"To what extent a computer, as judged by a hu-
man audience, can demonstrate virtuosity in
computational performances with a physical model
of a bass guitar?"

To aid in answering this question we have developed a
theory, using case-based reasoning and reflection, of how
virtuosic performances can be created. The system designed
using the CSF in Section 4 is the realization of this theory.
First, we clarify our interpretations of virtuosity, music per-
formance and the applicability of case-based reasoning to
music performance creation.

The Terrain of Virtuosity

Virtuosity has many connotations and interpretations that
can be both positive and negative and the exact interpreta-
tion or understanding of virtuosity will vary from person
to person [15]. To allow for this range of differing views
we treat virtuosity as a property of a performance that can
only be assigned by those that witnessed it (a.k.a audience
members). Not all audience members may think the perform-
ance demonstrates virtuosity, and those that do might not
have the same reasons. These reasons will be informed by
each individual’s understanding of the domain the music and
performance is in, the performer, as well as their own indi-
vidual expertise, knowledge and sensibilities [15]. All these
factors don’t define what virtuosity is, but instead form a
terrain [15] that is navigated when a judgment on virtuosity
is made. It is also generally accepted that experts/institutions
opinions are given a greater weighting of importance when
compared to those of the general public.

Whilst we do not wish to exclude serendipitous occur-
rences of performances which demonstrate virtuosity, how-
ever, generally performances demonstrating virtuosity are
more likely to be performed by a virtuoso. We consider a vir-
tuoso to be someone who has demonstrated the ability to con-
sistently produces virtuosic performances, which requires
they will have both highly developed technical proficiency
on their instrument and musical sensibilities.

Using Case-base Reasoning

All performing musicians, not just virtuosos, use their own
previous experiences, knowledge and ability to develop a
musical performance. Based on this idea we have decided to
use case-based reasoning as the foundation for our theory
for musical performance.

Case-based reasoning solves new problems by reusing
and adapting the solutions of similar problems. In the case of
musical performance, this is producing a performance for a
new previously unseen musical piece, by drawing upon the
experience and knowledge gained through previous perfor-
mances of similar musical pieces. It has been used to great
effect in CSEMPs, such as SaxEx by [5, 11] which produced
expressive saxophone performances of jazz standards and
in work by Tobudic and Widmer [21, 22, 23] which learns
and applies expressive rules for piano performance. Case-
based reasoning has also been used within more explicitly
creative systems such as poetry generation systems [12] and
in melody creation systems [19].

Formalising Musical Performance

Finally we wish to formalise the production of a musical
performance as the result of a process, in which a set of
musical instrument techniques, \( \{t_1, t_2, \ldots\} \), are applied to
a sequence of musical notes, \( \langle n_1, n_2, \ldots \rangle \), by the player of
the instrument. We represent the actions of the \textit{Player}
of the musical instrument as a function which applies a set of
techniques to a sequence of notes. This is summarized by
Equation 1.

\[
\text{Performance} = \text{Player}(\{t_1, t_2, \ldots\}, \langle n_1, n_2, \ldots \rangle)
\] (1)

How and what techniques are applied to each note shall
be left implicit within the \textit{Player} function for the moment as
it can be done through many different methods. For example
using rules such as the KTH rules [8, 13] or more sophisti-
cated machine learning methods [24–29] as well a case-based
reasoning [5, 11, 21–23]. This is also where any reflection
or reasoning about the production of the performance may
happen.
3 COMPUTATIONAL CREATIVITY AND CREATIVE SYSTEMS

Types of Creative Behaviour
We take Computational creativity to be:

"The philosophy, science and engineering of computational systems which, by taking on particular responsibilities, exhibit behaviours that unbiased observers would deem to be creative." - Colton and Wiggins [10]

Creative behaviours can be differentiated into exploratory creativity and transformational creativity. Both methods of creativity rely upon the notion of a conceptual space. This notion, from the perspective of Boden [6, 7], is a space that contains a set of artefacts (or creative products), referred to as concepts. A conceptual space has a set of rules which determine the types of artefacts it contains. Exploratory creativity is the process of producing artefacts that are known to be possible within the conceptual space, yet might not have previously been produced. As there are many different types of artefacts, there are also many different conceptual spaces.

Within our proposed system we will only be addressing the ideas of exploratory creativity. However, for completeness transformational creativity can occur by creating artefacts which contain properties from two or more different conceptual spaces (combinatorial creativity), through changing how a conceptual space is explored, or through changing the language used to describe the conceptual space and its artefacts [30, 31].

Valuing a Creative Artefact
Artefacts in our scenario are musical performances, determining whether the performance displays virtuosity or not is a way of assigning value to these performances. In generally though artefacts may not all be created equally, and thus have different values. Precisely what contributes to an artefact being valued is summarized by Wiggins et al. [33] as:

"... a relation between an artefact, its creator and its observers and the context in which creation and observation takes place." [33]

There is also a distinction to be made between value an novelty. It is possible to have artefacts be valued that are not novel, and artefacts to only be valued because they are novel.

The Creative Systems Framework
Wiggins, proposes a clarification and formalised abstract representation of exploratory creative systems, as described by Boden [7], called the Creative Systems Framework (CSF) [30, 31]. The purpose of which is to help to describe creative systems.

The creative systems framework is based upon the following septuple:

\[ \langle \mathcal{U}, \mathcal{L}, \llbracket \cdot \rrbracket, \langle ..., \rangle, \mathcal{R}, \mathcal{T}, \mathcal{E} \rangle \]  

the symbols of which, and related symbols that fully express the framework are as provided in Table 1.

The ruleset \( \mathcal{R} \) defines a kind of artefact and forms the rules that define the conceptual space in which all artefacts of that kind can be found. A conceptual space for a given \( \mathcal{R} \) can be created through the following formalisation of Boden's conceptual space by Wiggins and Forth [32]:

\[ \{ c \mid c \in \mathcal{U} \land \llbracket \mathcal{R} \rrbracket(c) \geq 0.5 \} \]

Concepts are deemed part of a conceptual space if the results of applying functions generated by the function generator \( \llbracket \cdot \rrbracket \) to \( \mathcal{R} \), when compared to \( \mathcal{U} \), is greater than a real valued comparator. In the Equation 3 this is a value of 0.5.

New artefacts are discoverable through traversing the conceptual space. \( \mathcal{T} \) is a set of rules which define how this traversal occurs. To perform the traversal of the conceptual space requires that \( \mathcal{T} \) be interpreted by \( \langle ..., \rangle \). Equation 4 shows the usage of \( \langle ..., \rangle \) as a function acting upon a sequence of known concepts/artefacts, \( c_{\text{in}} \), to produce a sequence of new concepts, \( c_{\text{out}} \). \( \mathcal{R} \) and \( \mathcal{E} \) are included in the interpretation function to allow for reasoning over the type and value of
artefacts that are being traversed by $\mathcal{T}$. However, they are not a requirement of $(\ldots, \ldots)$, by removing $\mathcal{R}$ from the interpretation it is possible to generate artefacts not bound by the rules of $\mathcal{R}$, and removing $\mathcal{E}$ allows for the generation of artefacts which is not guided by any evaluation.

\[
\text{c}_{\text{out}} = \langle\langle\mathcal{R},\mathcal{T},\mathcal{E}\rangle\langle\text{c}_{\text{in}}\rangle
\]

The final unexplained symbol in Equation 2 is $\mathcal{E}$. This is a set of rules which define, appropriately contextualized, the evaluation of generated artefacts or concepts. Value is determined from a set of functions generated by interpreting $\mathcal{E}$ with $\llbracket\rrbracket$. By effectively utilizing the results of $\mathcal{E}$, reflection upon the artefacts being produced by the creative system is possible. Following from this a further useful mechanism is the function $\llbracket\rrbracket^*$, defined such that:

\[
\mathcal{F}^*(X) = \bigcup_{n=0}^{\infty} \mathcal{F}^n(X)
\]

With $\mathcal{F}$ being a set-valued function of sets. Using this equations with suitable substitutions, such as those provided in Equation 6, it is possible to generate all valued artefacts that are possible given a specific $\mathcal{R}$ and $\mathcal{T}$.

\[
\llbracket\mathcal{E}\rrbracket^*((\langle\langle\mathcal{R},\mathcal{T},\mathcal{E}\rangle\langle\tau\rangle))
\]

The final part of the CSF is the allowance for Transformational Creativity. This is enabled by allowing for $\mathcal{R}$, $\mathcal{T}$ and potentially $\mathcal{E}$ to be redefined by the system whilst it is operating. This can be done by defining meta-level operators, $\mathcal{R}_L$, $\mathcal{T}_L$, and $\mathcal{E}_L$ which act upon and can change the rules defined in $\mathcal{R}$, $\mathcal{T}$ and $\mathcal{E}$, and using in place of $\mathcal{R}$, $\mathcal{T}$ and $\mathcal{E}$ in the septuple of Equation 2. The meta-level operators require that $\mathcal{L}$ be sufficiently expressive to allow for the modification of $\mathcal{R}$, $\mathcal{T}$ and $\mathcal{E}$. For a more thorough discussion please refer to works of Wiggins [30, 31].

4 FORMALISING OUR PROPOSED COMPUTATIONALLY CREATIVE MUSICAL PERFORMANCE SYSTEM

Based upon the formalisation from Section 3, we now utilize the CSF as part of the design process of our exploratory creative system for the computational creation of virtuosic bass guitar performances. More specifically, the computational creation of a virtuosic interpretation of a musical piece that will then be used to produce a subsequent performance using a physical model of an electric bass.

Interpretation of a Musical Piece

We refer back to Equation 1, where we formalised a performance to be the application of a set of performance techniques, to a sequence of notes (the musical piece). We see an interpretation of a piece as being the result of a decision making process related to what instrument or musical performance techniques should be applied to each note in musical piece. We shall call the process of assigning a performance technique to a musical note, adorning the note. We will allow notes to be adorned with multiple different techniques, assuming they do not pose a contradiction in the way they should be performed.

To allow for an adorned musical sequence to be performed, we require that all adornments (performance techniques) have an explicit, singular fixed interpretation. Here we draw a deliberate distinction between the interpretation of a sequence of musical notes, and the interpretation of the adornments, with the latter not being considered by our system. We do not wish to exclude expressive, or other such interpretations that are traditionally made through a realization of a performer’s intention and an personal interpretations of how adornments are performed. Thus, we require our adornments to be capable of describing expressive performance intentions, and that all adornments have precise definitions of how any expressive intention is to be performed.

By decoupling the performer’s intentions and interpretations from their technical execution we can create a firm distinction between the musical interpretation, and technical execution without preventing their correlation. By having this distinction between interpretation and technical execution, we can treat the physical model part of our performance system as a technically excellent musical performer who is capable of directly and precisely following our adornment scheme when producing a musical performance. Any perceived errors within the performance will therefore be down to how the musical piece was adorned and not due to how it was performed. This provides a level of traceability to the performance. It also allows for some implementation benefits, by allowing us to treat the physical model purely as a rendering process.

We now have a clearer picture of what our performance system is going to do (adorn musical note sequences with performance indicators), and the starting points of a specification along with some constraints over what is required. These can now be used to begin to formulate the components of the CSF septuple given in Equation 2.

**Defining the Language (\(\mathcal{L}\))**

First the language, $\mathcal{L}$, that will be used to describe the other components of the CSF is required to be defined. $\mathcal{L}$ would ideally be sufficiently expressive to allow for meta-level alterations over $\mathcal{R}$, $\mathcal{T}$ and $\mathcal{E}$. However, as we have imposed a restriction on our system to confine it only to exploratory

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4 For completeness we consider the application of expressive, contextual, situational and historic intentions and conventions to fall under the category performance techniques.


Creative processes we can be stricter in our formulation of $L$. $L$ is required to describe what a concept is, which for our system is a sequence of adorned notes. From this we then formalise what an adorned note is, then adornments and notes etc. A full formalisation of the basic syntax that forms $L$ is given in Figure 1. We can see that $\langle$ concepts $\rangle$ can be empty, indicated by $\langle\rangle$, or can be a comma separated list of one or more $\langle$ adorned-notes $\rangle$. Adorned notes pairs of $\langle$ adornment $\rangle$ and the $\langle$ note $\rangle$ that they adorn. Adornments can be empty; a singular adornment, which will belong to an adornment group, have an identifier and its own parameters; or a list of multiple adornments. Notes are tuples of pitch, inter-onset-interval and duration. Pitch is represented via pitch-class value, for example the class of midi pitches. Inter-onset-interval, and duration are specified in rhythm notation and will be related to the start and end times of the piece. Adornment groups, id and parameters have their own vocabularies, with $a_n$, $g_n$ and $p_n$ being elements of these respected vocabularies. These vocabularies specify the type of adornments and the types of parameters that adornments can have. The value of the parameters is confined to numeric type for simplicity.

![Figure 1: Backus-Naur form definition for $L$, not including the subsets $R$, $I$ or $E$.](image-url)

**Defining the Universe ($U$)**

$U$, is a universe of concepts defined by $\langle$ concept $\rangle$ in Figure 1. The $U$ which we have formed can be considered to be a universe of all possible musical note sequences and all possible adornment interpretations of these musical note sequences, including sequences which do not contain any notes.

**Defining the Ruleset, $R$, and Conceptual Space ($C$)**

Within bass playing there are certain techniques which cannot be applied simultaneously.\(^5\) Within our current formalisation there is no restrictions on what, and how many adornments can be applied to a note. This can result in contradictory adornments being applied to a note, making an ill-formed interpretation. The $\langle$ adornment-group $\rangle$ was included in $L$ so that it is possible to form groups where all adornments will be mutually exclusive in relation to all other adornments in the same group. To check that a particular note does not have an ill-formed adornment set, we can check that only one adornment from a group has been applied to the note. This however, requires additional syntactic rules to check the the $\langle$ adornment $\rangle$ of notes. Also, ignoring John Cage’s $4’33”$, a performance of a musical piece also requires at least one note in its sequence. Thus, to produce interpretations that can be performed, requires that we only allow well-formed interpretations of note sequences which are greater than zero in length. These two restrictions form the ruleset $R$, which is formalised in Figure 2.

We now have enough components of the CSF defined to use Equation 3 to produce a conceptual space of all performable interpretations, of all performable note sequences. The last issue we need to address is that of what constitutes an empty concept within our newly defined $C$. As we require at least one musical note for a performance to be played, the notion of an empty concept in $U$ is not valid within our conceptual space $C$. Thus, we need to define a new notion of an empty concept, $\top \in C$. We shall set $\top \in C$ to be a non-zero length sequence of notes where the adornments for each note is empty. Notes need to be included within our empty concept as we do not wish to exclude performances that might be judged virtuosic based upon only the notes contained within a piece. With this formulation there will be multiple empty concepts within $C$, one for every unique sequence of notes (or musical piece). We will also refer to $\langle \top \rangle_1$ as a creatively empty concept, as no creative processes have been applied to it.

**Defining the Traversal Strategy ($T$)**

In exploratory creativity, concepts are found by traversing the conceptual space, moving from one concept to another.

\(^5\)We direct the reader to the work of Abeßer [2], Abeßer et al. [3], Kramer et al. [16] for examples of this in their playing taxonomy.
which solves a similar problem will be \( T \in L \) where:

- Retain and \( R \) functions that form the ruleset our traversal method, thus \( T \) and so forth. We have chosen to use case-based reasoning as an extension to that of exploratory creativity. Removing this restriction is one possible way of allowing for our system to be capable of transformational creativity, which could be another application or potential extension to this work.

The type of problem we will be solving is the problem of adorning a sequence of notes to produce a performance. Cases are sequences of notes which have been adorned (non-empty concepts in \( C \)). To produce an interpretation of a musical piece, we must retrieve a case that has the most similar sequence of notes and reuse its adornments. Retrieval requires a similarity measure that can determine how similar two or more different sequences of notes are to each other. We have chosen to use melodic and rhythmic feature analysis methods to determine similarity, specifically features from FANTASTIC by Müllensiefen [17] and the SynPy Tool Kit by Song et al. [20].

Once the case with the most similar sequence of notes is found, its adornments are then applied to our new musical piece. To ensure we do not change the sequence of notes, we are explicitly restricting our reuse function to only operate on note adornments. Once the adornments have been applied we need to perform a check to ensure well-formedness and revise any ill-formed adornments. The case can then be stored in the case database.

However, checking the well-formedness of the adornments isn’t really reflecting on, or reasoning, over what was produced, it is just a syntax check. A system needs to be able reflect upon what has produced to be considered creative from a CC standpoint. Thus, once a new case has been produced we would like the system to evaluate it to determine how likely the performance of the interpretation will be judged as being virtuosic by a non-biased human audience. Performing this step differentiates our work from previous case-based reasoning CSEMPs. To do this a case can be evaluated, with respect to \( E \), within the revision step of our case-based reasoning process.

### Evaluation (\( E \))

A formalised syntax for \( E \) is presented in Figure 3b. Here we can chose to combine a measure of how challenging an interpretation will be to perform with a, as yet still hypothetical, perceptual model of people’s perceptions of bass guitar
Table 2: Summary of our proposed system design described using the CSF

<table>
<thead>
<tr>
<th>Component</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>$U$</td>
<td>A universe of \langle concepts \rangle as defined in Figure 1</td>
</tr>
<tr>
<td>$L$</td>
<td>Is a fully defined by combination of Figures 1, 2, 3a, 3b</td>
</tr>
<tr>
<td>$\lfloor \ldots \rfloor$</td>
<td>Generates the functions for $R$ in Figure 2 and $E$ in 3b and created mappings onto values $[0,1]$</td>
</tr>
<tr>
<td>$\langle \ldots \rangle$</td>
<td>Generates a new sequence of concepts be performing case-based reasoning, formalised in $T$, and using $R$ and $E$.</td>
</tr>
<tr>
<td>$R$</td>
<td>Formalised in Figure 2</td>
</tr>
<tr>
<td>$T$</td>
<td>Formalised in Figure 3a</td>
</tr>
<tr>
<td>$E$</td>
<td>Formalised in Figure 3b</td>
</tr>
</tbody>
</table>

Implementation and CSF Design Summary

As our design process has been incremental, we provide a summary of our proposed system design described using the CSF in Table 2. For brevity, we indicate the figures where we formalised each specific component.

A potential implementation of the theory outlined within this paper is shown in Figure 4a, along with its data and processes, shown in Figure 4b. This implementation takes a Guitar Pro file\(^8\) as input, and is parsed using PyGuitarPro\(^9\) to convert the musical information into our \langle concept \rangle representation, defined by $R$. This \langle concept \rangle can then be processed by our case-based reasoning system, which is the implementation of $T$, and $\langle \ldots \rangle$, and relies upon FANTASTIC and SynPy for similarity information. $E$ is then implemented within the evaluation module where we have our own technique complexity rate calculations, based upon method of inferring playing complexity values statistically form a survey response of 498 bass players along with virtuosity judgments. Finally we can synthesize the audio of the performance using a physical model, such as the one developed by Kramer et al. [16], which has its own note representation, thus the melody/note encoding and decoding processes.

5 SUMMARY

Throughout this paper our emphasis has been on designing a computationally creative musical performance system using the Creative Systems Framework (CSF). The final system implementation is still theoretical, and has been constructed on the assumption of certain implementations being available, likewise within our design. Our briefly proposed implementation is provided to tie together the full design process and highlight what the CSF helps to describe. We hope that our...
example highlights how the CSF can be used when designing creative systems.

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