

**Efficacy of silicate-substituted calcium phosphate with enhanced strut porosity  
as a standalone bone graft substitute and autograft extender in an ovine distal femoral critical defect model**

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**1 Abstract:**

2 A synthetic bone graft substitute consisting of silicate-substituted calcium phosphate with increased strut porosity  
3 (SiCaP EP) was evaluated in an ovine distal femoral critical sized metaphyseal defect as a standalone bone graft, as  
4 an autologous iliac crest bone graft (ICBG) extender (SiCaP EP/ICBG), and when mixed with bone marrow aspirate  
5 (SiCaP EP/BMA). Defects were evaluated after 4, 8, and 12 weeks with radiography, decalcified paraffin-  
6 embedded histopathology, non-decalcified resin-embedded histomorphometry, and mechanical indentation testing.  
7 All test groups exhibited excellent biocompatibility and osseous healing as evidenced by an initial mild  
8 inflammatory response followed by neovascularization, bone growth, and marrow infiltration throughout all SiCaP  
9 EP-treated defects. SiCaP EP/ICBG produced more bone at early time points, while all groups produced similar  
10 amounts of bone at later time points. SiCaP EP/ICBG likewise showed more favorable mechanical properties at  
11 early time points, but was equivalent to SiCaP EP and SiCaP EP/BMA at later time points. This study demonstrates  
12 that SiCaP EP is efficacious as a standalone bone graft substitute, mixed with bone marrow aspirate, and as an  
13 autograft extender.

**14**  
**15 1 Introduction**

16 Silicate-substituted calcium phosphate (SiCaP) has been established as an efficacious synthetic bone graft substitute  
17 material. Silicate substitution mimics natural ionic substitutions of physiological bone apatite [1], where the  
18 presence of silicon has previously been demonstrated to be critical for early/*de novo* bone formation [2, 3]. Studies  
19 have shown that SiCaP enhances adsorption of fibronectin (a protein implicated in osteogenic cell adhesion and  
20 development) [4], human osteoblast and mesenchymal stem cell attachment [5, 6], stimulates osteoblastic  
21 differentiation of mesenchymal stem cells [6], and, when containing an optimal level of 0.8 wt% Si (i.e. substituted  
22 with 2.6 wt% silicate), generates more bone ingrowth in animal *in-vivo* studies compared to hydroxyapatite that does  
23 not contain silicon [7]. In comparison to  $\beta$ -tricalcium phosphate ( $\beta$ -TCP) and calcium sulfate bone graft substitutes,  
24 SiCaP produces more bone and resorbs via targeted osteoclastic remodeling instead of dissolution [8]. Moreover,  
25 the clinical efficacy of SiCaP has been demonstrated in spinal fusion [9] and foot and ankle procedures [10].

26  
27 Building on the established efficacy of SiCaP's chemistry, SiCaP EP has been formulated with enhanced porosity to  
28 further increase bone formation potential. While maintaining the chemical composition of SiCaP, SiCaP EP has

1 increased strut porosity which mimics the microporous osteocyte lacunae network present in physiological bone. In  
2 common research terms, microporosity and strut porosity are used interchangeably. Strut pores are formed from the  
3 interconnected spaces existing between particles of calcium phosphate which have been sintered together to form the  
4 struts in the SiCaP EP scaffold. The term “strut-porosity” is used to describe the pore volume fraction of each strut.

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6 *In-vitro* studies have shown that increasing the strut porosity of SiCaP EP correspondingly increases its bioactivity  
7 in that it formed a biological apatite layer faster compared to a lower strut porosity SiCaP graft and  $\beta$ -TCP bone  
8 graft when exposed to simulated body fluid [11]. An *in-vitro* human mesenchymal stem cell study likewise  
9 demonstrated that SiCaP EP supported greater cell attachment and proliferation compared to a lower strut porosity  
10 SiCaP graft and a bioactive glass bone graft, and also supported greater osteoblastic differentiation compared to a  
11 bioactive glass bone graft in absence of external osteogenic factors [12].

12  
13 *In-vitro* results have been corroborated with integrative *in-vivo* models. *In-vivo* large animal critical size defects  
14 treated with SiCaP EP produced more bone formation compared to SiCaP with lower strut porosity [13]. SiCaP EP  
15 produces equivalent spinal fusion rates to autologous iliac crest bone graft in a rabbit posterolateral fusion (PLF)  
16 model—a validated, clinically predictive model for posterolateral fusion rates [14]. In a challenging rabbit PLF  
17 model where animals were administered chemotherapy treatment, SiCaP EP had higher spinal fusion rates compared  
18 to autologous iliac crest bone graft, a SiCaP graft with lower strut porosity, as well as  $\beta$ -tricalcium phosphate /  
19 bioactive glass / collagen graft [15]. Further, SiCaP EP stimulates ectopic bone formation when implanted in sheep  
20 paraspinal muscle pouches, much like an osteoinductive growth factor [16-18]. Therefore the efficacy of SiCaP EP  
21 as a standalone graft is well-established; however, the added efficacy of combining SiCaP EP with autograft and  
22 bone marrow aspirate in an extremities model has not been studied.

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24 In this study, SiCaP EP was evaluated as a standalone bone graft, mixed with bone marrow aspirate (BMA), and  
25 mixed with autologous iliac crest bone graft (ICBG) in a sheep distal femoral critical sized defect model.

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## 1 2 Materials and Methods

### 2 2.1 Implants

3 The SiCaP EP implants were fabricated as described previously [19-21]. SiCaP EP was comprised of porous,  
4 irregularly shaped microgranules (i.e. granule size of 1–2 mm) of phase-pure silicate-substituted calcium phosphate  
5 (0.8 wt% Si) with a total porosity of  $82.5 \pm 2.5\%$  and a strut porosity of  $39 \pm 8\%$  in an aqueous poloxamer carrier.  
6 The material characterization of chemical composition, density, total porosity, and strut porosity has been described  
7 previously [13].

8

9 In this study, SiCaP EP was evaluated as a standalone, mixed with bone marrow aspirate (BMA) obtained from the  
10 sternum in a 2:1 ratio (SiCaP EP/BMA), or SiCaP EP mixed with autologous iliac crest bone graft (ICBG) in a 1:3  
11 ratio (SiCaP EP/ICBG). These configurations were selected to demonstrate that SiCaP EP is efficacious as a  
12 standalone bone graft, that SiCaP EP is efficacious when mixed with BMA up to a ratio of 2:1 (and any mixture  
13 ratio in between 100% SiCaP EP and SiCaP EP/BMA in a 2:1 ratio), and SiCaP EP is efficacious when mixed with  
14 autologous bone up to a ratio of 1:3 (and any mixture ratio in between 100% SiCaP EP and SiCaP EP/ICBG in a 1:3  
15 ratio).

16

### 17 2.2 Surgical Procedure

18 All surgical procedures and animal husbandry adhered to protocols approved by the Institutional Animal Care and  
19 Use Committee of an AAALAC-certified preclinical testing facility (AccelLAB Inc., Boisbriand, QC, Canada).  
20 Adult female sheep ( $\geq 12$  months) underwent bilateral surgery on the distal femur with two defects (approximately 8  
21 mm diameter with 15 mm depth) created on the medial side of each femoral condyle (four defects per animal). This  
22 model was previously validated as a critical size defect demonstrating that empty defects of this dimension are not  
23 capable of healing spontaneously within 12 weeks [13]. Defects were randomly assigned to be either treated with  
24 SiCaP EP, SiCaP EP/BMA, or SiCaP EP/ICBG. Bone healing was assessed after three time points: 4 weeks (W4), 8  
25 weeks (W8), and 12 weeks (W12) of implantation.

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### 1 2.3 High Resolution Microradiography and Specimen Retrieval

2 On day of necropsy, microradiographs of all samples were taken using a high-resolution radiographic apparatus  
3 (Digital Radiography System, Model MX-20, Faxitron Bioptics, LLC, Tucson, AZ, USA) in order to easily identify  
4 and locate the defects. After macroscopic examination, each distal epiphysis was cut from the diaphysis using an  
5 appropriate diamond saw (IsoMet<sup>®</sup> Model 1000; Buehler, Lake Bluff, IL, USA). Half of the distal epiphyses  
6 (including implant sites) were assigned to histology and placed in individual, appropriately-labeled containers with  
7 neutral buffered formalin. The histological blocks were cut in two sections (one for decalcified histopathology and  
8 one for non-decalcified histomorphometry) by sawing the sample along its longitudinal axis. The sections were cut  
9 longitudinally through the defect from the bone surface to the end of the drill hole producing rectangular-shaped  
10 defect sections. The other half of distal epiphyses (including implant sites) were harvested for mechanical testing,  
11 wrapped in saline-soaked gauze, placed in a sealed container containing enough saline to keep the samples wet, and  
12 stored at 4°C.

13

### 14 2.4 Mechanical Testing

15 Static axial indentation testing was conducted on the center of fresh defect sites (circular cross-sections) while  
16 immersed in sterile saline at 37°C. Mechanical testing was conducted using a universal testing hydraulic machine  
17 (Instron, Model 8521, Norwood, MA, USA). Specimens were loaded on a pivoting compression platen to enable  
18 alignment of test direction and the long axis of the defect site (z plane). An undersized indentation probe (4 mm  
19 diameter) was brought into contact with the 8 mm diameter defect site such that the probe and defect centers were  
20 aligned without losing contact.

21

22 Sheep femur specimens were subjected to an increasing axial compressive load; both load and displacement were  
23 monitored and recorded continuously throughout the duration of the test. The latter continued until the specimen  
24 failure criterion was attained, where failure was defined as a noticeable decrease or increase in the stress beyond the  
25 elastic period or after the ultimate strength had been attained. The maximum application range of the force  
26 acquisition device (load cell) was 2000 N at a constant crosshead speed of 0.002 mm/sec. Load-displacement data  
27 recorded from the test was transformed to stress-strain data. The compressive modulus of elasticity (a measure of  
28 stiffness), compressive yield strength (the engineering stress at which a material exhibits a specified limiting

1 deviation from the (linear) proportionality of stress to strain), and ultimate compressive strength (the maximum  
2 compressive stress which a material is capable of sustaining) were calculated from the stress-strain plots obtained  
3 for each specimen.

#### 4 5 2.5 Decalcified Histopathology

6 The specimens were decalcified with formic acid for at least seven days, processed and infiltrated with paraffin.  
7 Using standard microtomy, they were further sectioned in order to produce three thin decalcified sections per treated  
8 defect that were stained with Goldner's Trichrome. The sections were evaluated by the Study Pathologist in a  
9 blinded manner and graded according to cell type and responses following guidance provided in ISO 10993-6  
10 adapted to evaluate bone tissue. Low magnification (3.3x) and high magnification (21.1x) images of each  
11 histological slide were digitally captured.

#### 12 13 2.6 Non-Decalcified Histomorphometry

14 The specimens were processed and infiltrated with methyl methacrylate and polymerized. They were then  
15 microground and polished down to a thickness of less than 60  $\mu\text{m}$  (Exakt 400 CS; Exakt Micro Grinding System,  
16 Oklahoma City, OK, USA) in order to produce three non-decalcified resin-embedded sections per treated defect.  
17 The non-decalcified sections were stained with modified Paragon. Low and high-magnification images (3.3x and  
18 21.1x, respectively) of each sample were captured using a digital slide scanner (NanoZoomer 2.0 Digital Pathology  
19 2.0-HT System; Hamamatsu, Boston, MA, USA) in order to obtain whole-section images. These scans were  
20 analyzed using the Aperio ImageScope v10.2.2.2319 software (Aperio Technologies, Inc., Vista, CA, USA) in order  
21 to obtain the histomorphometric data of interest. Histomorphometric measurements were performed in a blinded  
22 manner by one observer. For each section, the Study Pathologist delineated the total defect volume (TV) and  
23 "taught" Aperio to recognize: bone volume (BV) and graft volume (GV) within the defined defect volume.  
24 Histomorphometric calculated parameters included percentage absolute bone volume (BV/TV), percentage absolute  
25 graft volume (GV/TV), and percentage normalized bone volume (BV/(TV-GV)). For each of the three treatment  
26 groups, the mean measurements and standard deviations were calculated.

1 2.7. Statistical Analysis

2 Statistical evaluation of possible differences between groups in selected histomorphometric, histopathologic, and  
3 mechanical measurements were performed using SigmaStat software. SiCaP EP was compared to SiCaP EP/BMA  
4 and SiCaP EP/ICBG in separate analyses at each time point. Equal variance and normality tests were performed.  
5 When both were successful, one way analysis of variance (ANOVA) was used (with Tukey's post-hoc tests for the  
6 appropriate multiple comparisons). When either equal variance or normality tests failed, a Kruskal-Wallis analysis  
7 was used to determine if statistical significance existed and pairwise significance was determined with Wilcoxon's  
8 Mann Whitney U test. Differences were considered statistically significant when  $p \leq 0.05$ .

9

10 **3 Results**

11 3.1 Early Death and Implantations

12 Three of the implanted animals (two from the W4 cohort and one from the W8 cohort) did not survive until  
13 scheduled euthanasia. Necropsy and histopathological analyses of one of the W4 animals indicated that the death  
14 was possibly attributed to acute cardiac failure or blood loss secondary to the surgical procedures and not related to  
15 the implantation of the Test Articles. The remaining two animals had clotted blood in the digestive system and  
16 lesions compatible with a non-steroidal anti-inflammatory drug effect. The cause of death was not related to the  
17 implantation of the Test Articles.

18

19 Thirty defects were assessed in the W4 cohort: N=5 defects per treatment group were assessed with  
20 histopathology/histomorphometry and N=5 defects per treatment group were assessed with mechanical testing.

21 Thirty-six defects were assessed in the W8 cohort: N=6 defects per treatment group were assessed with  
22 histopathology/histomorphometry and N=6 defects per treatment group were assessed with mechanical testing.

23 Forty-two defects were assessed in the W12 cohort: N=7 defects per treatment group were assessed with  
24 histopathology/histomorphometry and N=7 defects per treatment group were assessed with mechanical testing. In

25 addition, non-implanted adjacent cancellous bone specimens underwent mechanical testing (N=12 samples total)  
26 and were used as a Control Group.

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28

1 3.2 High-Resolution Radiography

2 After evaluation of the radiographs at all time points, no evidence of fracture between the defects could be observed.  
3 Macroscopic examination of the implantation sites likewise demonstrated no apparent fracture between the implant  
4 sites at all time points.

5  
6 3.3 Mechanical Testing

7 After 4 weeks of implantation, the compressive modulus of elasticity of SiCaP EP/ICBG-treated defects was  
8 equivalent to that of the Controls (Fig. 1). By 8 weeks post-op, the stiffness of all treated defects was statistically  
9 equivalent to that of the Controls, with the stiffness of SiCaP EP/ICBG treated defects being significantly greater  
10 than those treated with SiCaP EP. At 12 weeks following implantation, there was no significant difference in  
11 compressive modulus of elasticity between any of the treated defects or the controls. Similar patterns of behavior  
12 were seen for the compressive yield strength (Fig. 2) and ultimate compressive strength (Fig. 3), except that at 8  
13 weeks, strength values for SiCaP EP/ICBG-treated defects were significantly greater than those treated with SiCaP  
14 EP and SiCaP EP/BMA. Again by 12 weeks, there was no significant difference between any of the treated defects  
15 or the controls.

16

17 3.4 Decalcified Histopathology

18 Representative Goldner's Trichrome-stained decalcified histology images of SiCaP EP, SiCaP EP/BMA, and SiCaP  
19 EP/ICBG at all three time points are provided in Figures 4, 5, and 6 respectively. Histopathology scores from the  
20 decalcified paraffin-embedded sections are provided in Table 1.

21

22 At 4 weeks, the bone formed in the SiCaP EP (Fig. 4) and SiCaP EP/ICBG (Fig. 5) treated defects appeared much  
23 more mature and organized than the bone in the SiCaP EP/BMA (Fig. 6) treated defects. Bone morphology  
24 appeared comparable at 8 and 12 weeks amongst all three test groups.

25

26 Necrosis, infection, fibrinous exudates, and tissue degeneration were not seen in any of the implant sites at all time  
27 points. Fatty infiltrate was not observed in any implant sites at 4 weeks. At 8 and 12 weeks post-implantation,  
28 polymorphonuclear cell infiltration and plasma cell infiltration were not seen in any implant sites.



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Infiltration of 0 to 10 lymphocytes per high-powered (x400) field at 4 weeks and 1 to 5 lymphocytes at 8 and 12 weeks were seen in some implant sites from all groups. Following 4 weeks of implantation, infiltration of 0 to 5 polymorphonuclear cells in the SiCaP EP and SiCaP EP/BMA groups and 0 to 5 plasma cells in the SiCaP EP/BMA and SiCaP EP/ICBG groups were seen in the connective tissue or near the surface of the defect. These findings were interpreted as secondary to the surgical procedures and not related to the implantation of the Test Articles.

The tissue reaction to the implant materials at 4 weeks was characterized by the infiltration of 1 to 10 macrophages and 0 to 10 giant cells per high-power field around the implant and none to a moderately thick band of fibrocytes/fibrous connective tissue/fibrosis. At 8 weeks post-op, there was infiltration of 1 to 10 macrophages and giant cells per high-power field around the implant while at 12 weeks there was infiltration of 1 to 10 macrophages and none to 10 giant cells per high-power field around the implant. At both 8 and 12 weeks, there was a narrow to thick band of fibrocytes/fibrous connective tissue/fibrosis and none to several layers of fatty infiltrate (adipose tissue and/or marrow within the defect site).

Dark or pale-greyish granular material, interpreted to be the SiCaP EP material/degradation product was observed being phagocytized by macrophages and/or giant cells in many implant sites. The mean macrophage and giant cells scores were relatively similar in all groups at all time points. Fibrocytes/fibrous connective tissue, fibrosis of the marrow and/or at the surface of the defects was seen in all groups, without encapsulation. The mean fibrosis scores tended to be slightly higher in the SiCaP EP/BMA group than the SiCaP EP and SiCaP EP/ICBG groups at all time points. Following 8 weeks of implantation, the mean fatty infiltrate score tended to be slightly lower in the SiCaP EP group when compared to the two other groups.

Neovascularization, characterized by a minimal to broad band of capillary proliferation, was observed in implants sites from all groups at all time points. The mean neovascularization scores tended to be slightly higher in the SiCaP EP/BMA group when compared to the two other groups at 4 weeks. Neovascularization increased in the SiCaP EP and SiCaP EP/ICBG groups over time. At 12 weeks post-implantation, the mean neovascularization scores were relatively similar in all groups.

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2 All the defect sites contained residual graft material. This was distributed as multifocal granules or sometimes  
3 aggregates that served as nodes for new bone formation or were being incorporated or totally surrounded by new  
4 bone. Based on the absence of adverse tissue effects and the mild tissue reaction to the implant materials, healing of  
5 the implant sites appeared to be progressing in a normal fashion at all time points.

6

### 7 3.5 Non-Decalcified Histomorphometry

8 As there was significantly less graft present at the three end-points of the study in the case of the SiCaP EP/ICBG  
9 group, related to the fact that a smaller volume of synthetic graft was initially implanted in these specific defects  
10 sites to accommodate the additional presence of the autograft in this treatment group, the new bone growth was  
11 analyzed both in terms of absolute (% of graft in defect as a whole) and normalized (% of graft in available empty  
12 space) volume percentages in order to obtain an unbiased view of the relative progression and rates of healing within  
13 the three different treatment groups. While the mean absolute bone volume percentage was significantly higher in  
14 the SiCaP EP/ICBG group when compared to the SiCaP EP and SiCaP EP/BMA groups at 4 weeks, there was no  
15 statistically significant difference in absolute bone volume percentages between the different treatment groups at 8  
16 and 12 weeks (Fig. 7). The mean normalized bone volume percentage showed no significant differences between  
17 SiCaP EP and SiCaP EP/ICBG at all time points. The SiCaP EP/ICBG group had significantly higher normalized  
18 bone volume compared to the SiCaP EP/BMA group at 4 weeks, but no significant differences were observed at 8  
19 and 12 weeks (Fig. 8). At weeks 4, 8 and 12, the mean absolute graft volume percentage was significantly lower in  
20 the SiCaP EP/ICBG group when compared to the SiCaP EP and SiCaP EP/BMA groups (Fig. 9). This was attributed  
21 to the fact that a smaller volume of synthetic graft was implanted in the SiCaP EP/ICBG defects as compared to the  
22 other groups on account of the addition of ICBG.

23

24 The mean absolute bone volume percentage in the defects increased over time in all three groups, with significantly  
25 higher mean absolute bone volume percentages at week 8 and week 12 when compared to week 4 (Figs. 10-12).  
26 The mean absolute graft volume percentages significantly decreased at week 8 in the SiCaP EP groups compared to  
27 week 4 (indicating graft resorption), while graft volume percentages were statistically similar at weeks 8 and 12  
28 (Fig. 10). This pattern of behavior was also followed by SiCaP EP/BMA treated defects, although the drop in

1 absolute graft volume from 4 to 8 and/or 12 weeks was not statistically significant (Fig. 11). In contrast, there was  
2 relatively little variation in SiCaP EP/ICBG over the entire period of the study (Fig. 12)

3

#### 4 **4 Discussion**

5 The ovine distal femoral defect model is an accepted and validated model used to assess bone grafts and bone void  
6 fillers in several peer-reviewed publications [13, 21-27]. Sheep are specified in ISO 10993-6 as an appropriate  
7 model for bone implantation studies and provide a fully functional *in-vivo* anatomical model for bone healing  
8 following defect creation and bone remodeling. Adult sheep have a similar body weight to humans (though weight  
9 has quadrupedal as opposed to bipedal distribution) and ovine bones are suitable for the implantation of human  
10 implants and prostheses [28]. Ovine bone tissue exhibits similar mechanical properties, morphological structures and  
11 healing capacity to human bone. Sheep bones are also large enough to allow serial sampling and multiple  
12 experimental procedures [29].

13

14 To eliminate potential confounding variables due to bone type and loading conditions in different anatomical  
15 locations, defects in this study were only made in the medial distal femoral epiphysis. As a negative control, this  
16 study references to published results from an identical model also using defects 8 mm in diameter and 15 mm deep  
17 in the medial distal femoral epiphysis of adult female sheep ( $\geq 12$  months) [13], which showed that empty defects  
18 created in this surgical model resulted in poor bone regeneration at 12 weeks with no evidence of bone ingrowth in  
19 the center of the defect, therefore validating that this is a critically sized defect within 12 weeks of surgery. An adult  
20 female sheep population was used to avoid any potential variability attributed to gender specific hormonal influence  
21 on bone metabolism.

22

23 In this study, new bone formation and bone remodeling as monitored via mechanical testing, decalcified  
24 histopathology, and non-decalcified histomorphometry were observed in all test groups including SiCaP EP as a  
25 standalone bone substitute, when mixed with either bone marrow aspirate (BMA) or autologous iliac crest bone graft  
26 (ICBG).

27

1 After 4 and 8 weeks of implantation, the mechanical evaluation showed that SiCaP EP/ICBG had a higher  
2 compressive modulus, yield strength and ultimate compressive strength compared to the SiCaP EP and SiCaP  
3 EP/BMA, which is indicative of more advanced osseous healing. At 12 weeks, all three test groups had comparable  
4 mechanical properties to the Controls. Histomorphometric measurement of absolute bone volume additionally  
5 indicated that SiCaP EP/ICBG supported more bone at 4 weeks than the other test groups, but that the amounts of  
6 bone produced at 8 and 12 weeks did not vary significantly between the three groups. This is consistent with the  
7 osteogenic and osteoinductive properties of ICBG autograft which contains viable tissue, cells, and growth factors.  
8 Walsh *et al.* similarly determined that treatment with autograft fully healed ovine distal femoral defects, and mixing  
9 autograft with synthetic calcium sulfate improved healing compared to synthetic calcium sulfate alone [30]. Other  
10 published animal models have determined that mixing synthetic bone grafts with autograft likewise improves spinal  
11 fusion rates [31, 32] and long bone diaphyseal defect healing [33, 34]. It was of interest, however that this  
12 advantage was only constantly apparent when considering the absolute bone volume data at the very early time point  
13 of 4 weeks.

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15 Close examination of high-magnification histology images indicated that spicules of “old bone” surrounded by  
16 osteoid were observed in the SiCaP EP/ICBG group at 4 and 8 weeks, but not 12 weeks (data not shown). It is  
17 possible that some of the initial ICBG implanted in the defect was detected as new bone in the histomorphometric  
18 measurement as the image analysis software was not able to distinguish implanted autograft bone from new bone.  
19 In fact, normalizing bone volume to available space in the defect showed no difference between SiCaP EP and  
20 SiCaP EP/ICBG at all time points, and SiCaP EP/ICBG only showed significantly more normalized bone volume  
21 than SiCaP EP/BMA at 4 weeks (no significant differences at 8 and 12 weeks).

22

23 At weeks 4, 8 and 12, the mean absolute graft volume percentage was significantly lower in the SiCaP EP/ICBG  
24 group when compared to the SiCaP EP and SiCaP EP. This was attributed to the fact that a smaller volume of SiCaP  
25 EP was implanted in the SiCaP EP/ICBG defects compared to the other two groups. The mean absolute graft volume  
26 percentages decreased at week 8 in both the SiCaP EP and SiCaP EP/BMA groups (although this was only  
27 significant for the SiCaP EP group) indicating graft resorption and remodeling, while graft volume percentages were

1 relatively similar at week 8 and 12. It may be interpreted that the graft remodeling process had obtained a period of  
2 equilibrium after 8 weeks.

3  
4 Histopathologically, no adverse tissue reactions were attributable to the implant materials. The overall healing  
5 process was determined to be progressing in a normal fashion. At week 4, the tissue reaction to the SiCaP EP  
6 granules was characterized by the infiltration of few macrophages and giant cells throughout the defect site and  
7 isolated regions of fibrocytes/fibrous connective tissue/fibrosis. At weeks 8 and 12 in addition to lamellar bone,  
8 bone marrow tissue was observed in direct contact with the SiCaP EP granules, demonstrating the level of  
9 compatibility obtained between the granules and the soft and hard tissues of mature cancellous bone. A minimal to  
10 broad band of capillary proliferation, indicative of neovascularization, was observed penetrating into the defect sites  
11 for all groups. Neovascularization was observed to increase over time in the SiCaP EP and SiCaP EP/ICBG groups.  
12 The mean giant cell and fibrosis scores tended to decrease over the course of the study.

13  
14 Typically, similar *in-vivo* studies investigate only 8 and 12 week time points. The 4 week data included in this study  
15 provided information about the efficacy of the treatments at early time points. The presence of mature, organized  
16 bone in the defects treated with both SiCaP EP and SiCaP EP/ICBG at 4 weeks demonstrates the bone forming  
17 potential of SiCaP EP. This study indicates that the excellent bioactivity of this material [11]; its capacity to  
18 promote mesenchymal stem cell attachment, proliferation, and osteoblastic differentiation in the absence of  
19 osteogenic factors [12]; and ability to form bone in an ectopic model [16-18] translates to excellent performance as  
20 an osteoconductive bone graft substitute in an orthotopic defect model whether used as a standalone, autograft  
21 extender, or mixed with bone marrow aspirate.

## 22 **5 Conclusions**

23  
24 The data revealed that all materials exhibited excellent safety and biocompatibility as evidenced by a mild post-  
25 operative tissue reaction. By 12 weeks, critical size defects treated with all three Test Articles had healed sufficiently  
26 to produce mechanical properties comparable to control cancellous bone. At 8 and 12 weeks, all three groups  
27 supported comparable amounts of new bone formation within the treated defects as measured by histomorphometry.  
28 SiCaP EP/ICBG showed more favorable mechanical properties and produced more absolute bone volume

1 percentage compared to SiCaP EP and SiCaP EP/BMA at early time points, though all three groups had comparable  
2 properties at 12 weeks. No differences between SiCaP EP and SiCaP EP/ICBG normalized bone volume were  
3 observed at all time points, while SiCaP EP/ICBG had greater normalized bone volume than SiCaP EP/BMA only at  
4 4 weeks (no significant difference at 8 and 12 weeks). SiCaP EP showed a significant decrease in absolute graft  
5 volume at 8 and 12 weeks compared to 4 weeks indicating graft resorption and remodeling; and a similar trend was  
6 identified for SiCaP EP/BMA. The treated defects were infiltrated with capillaries (indicating neovascularization)  
7 as well as bone marrow exhibiting mature osseous healing and regeneration. Therefore, this silicate-substituted  
8 calcium phosphate bone substitute with enhanced porosity has demonstrated efficacy as synthetic bone graft  
9 substitute whether used as standalone, or mixed with either bone marrow aspirate (BMA) or autologous iliac crest  
10 bone graft (ICBG).

11

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14

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## 1 Table Captions

2 **Table 1** Histopathology scores (Mean±SD) demonstrate that Silicate-substituted Calcium Phosphate with Enhanced  
 3 Porosity has comparable tissue reaction at all time points when used alone (SiCaP EP), mixed with bone marrow  
 4 aspirate (SiCaP EP/BMA), or mixed with iliac crest bone graft (SiCaP EP/ICBG)

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## 7 Figure Captions

8 **Fig. 1** Defects treated with silicate-substituted calcium phosphate with enhanced porosity mixed with iliac crest  
 9 bone graft (SiCaP EP/ICBG) had a greater compressive modulus of elasticity at early time points when compared to  
 10 treatment with stand-alone (SiCaP EP) or mixed with bone marrow aspirate (SiCaP EP/BMA), but all three groups  
 11 had comparable properties at 12 weeks. Data was statistically assessed with one-way ANOVA and Tukey's post-hoc  
 12 test for normal/equal variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for data that  
 13 did not pass normality/equal variance tests: \* - significant difference vs Control ( $p<0.05$ ), † - significant difference  
 14 vs SiCaP EP/ICBG ( $p<0.05$ )

15  
 16 **Fig. 2** Defects treated with silicate-substituted calcium phosphate with enhanced porosity mixed with iliac crest  
 17 bone graft (SiCaP EP/ICBG) had a higher compressive yield strength at early time points compared to treatment  
 18 with stand-alone (SiCaP EP) or mixed with bone marrow aspirate (SiCaP EP/BMA), but all three groups had  
 19 comparable properties at 12 weeks. Data was statistically assessed with one-way ANOVA and Tukey's post-hoc test  
 20 for normal/equal variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for data that did  
 21 not pass normality/equal variance tests: \* - significant difference vs Control ( $p<0.05$ ), † - significant difference vs  
 22 SiCaP EP/ICBG ( $p<0.05$ )

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 24 **Fig. 3** Defects treated with silicate-substituted calcium phosphate with enhanced porosity mixed with iliac crest  
 25 bone graft (SiCaP EP/ICBG) had a higher ultimate compressive strength at early time points when compared to  
 26 treatment with stand-alone (SiCaP EP) or mixed with bone marrow aspirate (SiCaP EP/BMA), but all three groups  
 27 had comparable properties at 12 weeks. Data was statistically assessed with one-way ANOVA and Tukey's post-hoc  
 28 test for normal/equal variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for data that  
 29 did not pass normality/equal variance tests: \* - significant difference vs Control ( $p<0.05$ ), † - significant difference  
 30 vs SiCaP EP/ICBG ( $p<0.05$ )

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 32 **Fig. 4** Representative decalcified histology images of the SiCaP EP group stained with Goldner's Trichrome at each  
 33 time-point show infiltration of mature organized bone (green) throughout the defect at all time points along closely  
 34 affiliated with the graft material (white). Lower magnification images of the entire defect site (outlined in dashed  
 35 red lines) are presented in the top row with higher magnification images below.

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 37 **Fig. 5** Representative decalcified histology images of the SiCaP EP/BMA group stained with Goldner's Trichrome  
 38 at each time-point show infiltration of bone (green) throughout the defect which had a mature organized morphology  
 39 at 8 and 12 weeks. Bone was closely affiliated with the graft material (white). Lower magnification images of the  
 40 entire defect site (outlined in dashed red lines) are presented in the top row with higher magnification images below.

41  
 42 **Fig. 6** Representative decalcified histology images of the SiCaP EP/ICBG group stained with Goldner's Trichrome  
 43 at each time-point show infiltration of mature organized bone (green) throughout the defect at all time points along  
 44 closely affiliated with the graft material (white). Lower magnification images of the entire defect site (outlined in  
 45 dashed red lines) are presented in the top row with higher magnification images below.

46  
 47 **Fig. 7** The SiCaP EP/ICBG group had a higher absolute bone volume percentage at 4 weeks when compared to  
 48 treatment with stand-alone (SiCaP EP) or mixed with bone marrow aspirate (SiCaP EP/BMA) but all three groups  
 49 had comparable properties at 8 and 12 weeks. Data was statistically assessed with one-way ANOVA and Tukey's  
 50 post-hoc test for normal/equal variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for  
 51 data that did not pass normality/equal variance tests: \* - significant difference vs SiCaP EP/ICBG ( $p<0.05$ )

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1 **Fig. 8** The SiCaP EP/ICBG group had equivalent normalized bone volume percentage compared to treatment with  
2 stand-alone (SiCaP EP) at all time points. Data was statistically assessed with one-way ANOVA and Tukey's post-  
3 hoc test for normal/equal variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for data  
4 that did not pass normality/equal variance tests: \* - significant difference vs SiCaP EP/ICBG ( $p < 0.05$ )  
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6 **Fig. 9** The SiCaP EP and SiCaP EP/BMA groups had more absolute graft volume percentage compared to the  
7 extender group (SiCaP EP/ICBG). Data was statistically assessed with one-way ANOVA and Tukey's post-hoc test  
8 for normal/equal variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for data that did  
9 not pass normality/equal variance tests: \* - significant difference vs SiCaP EP/ICBG ( $p < 0.05$ )  
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11 **Fig. 10** The absolute bone volume increased (indicating osteoconduction) and absolute graft volume decreased  
12 (indicating graft resorption) over time in defects treated with SiCaP EP. Data was statistically assessed with one-way  
13 ANOVA and Tukey's post-hoc test for normal/equal variance data and Kruskal-Wallis analysis with Wilcoxon's  
14 Mann Whitney U test for data that did not pass normality/equal variance tests: \*  $p < 0.05$  compared to 4 weeks.  
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16 **Fig. 11** The absolute bone volume increased over time (indicating osteoconduction) in defects treated with SiCaP  
17 EP/BMA. Data was statistically assessed with one-way ANOVA and Tukey's post-hoc test for normal/equal  
18 variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for data that did not pass  
19 normality/equal variance tests: \*  $p < 0.05$  compared to 4 weeks.  
20

21 **Fig. 12** The absolute bone volume increased over time (indicating osteoconduction) in defects treated with SiCaP  
22 EP/ICBG. Data was statistically assessed with one-way ANOVA and Tukey's post-hoc test for normal/equal  
23 variance data and Kruskal-Wallis analysis with Wilcoxon's Mann Whitney U test for data that did not pass  
24 normality/equal variance tests: \*  $p < 0.05$  compared to 4 weeks.  
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