

1 **Genetic screening for gynaecological cancer: where are we heading?**

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26 **Abstract/Summary**

27 The landscape of cancer genetics in gynaecological oncology is rapidly changing. The traditional
28 family-history based approach has limitations and misses >50% mutation carrier. This is now being
29 replaced by population-based approaches. The need for changing the clinical paradigm from family-
30 history based to population based BRCA1/BRCA2 testing in Ashkenazi Jews is supported by data that
31 demonstrates population-based BRCA1/BRCA2 testing does not cause psychological harm and is cost
32 effective. This article covers various genetic testing strategies for gynaecological cancers, including
33 population-based approaches, panel and direct-to-consumer testing as well the need for innovative
34 approaches to genetic counselling. Advances in genetic-testing technology and computational
35 analytics have facilitated an integrated systems medicine approach, providing increasing potential
36 for population-based genetic testing, risk stratification and cancer prevention. Genomic information
37 along-with biological/computational tools will be used to deliver predictive, preventive, personalized
38 and participatory (P4) and Precision medicine in the future.

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43 Key Words – Population screening, genetic testing, genetic screening, BRCA1, BRCA2, cancer genes,

44 Risk stratification, Risk prediction

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46 **Introduction**

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48 The traditional approach to genetic testing for high penetrance ovarian, breast and endometrial
49 cancer gene mutations has involved testing affected individuals from high risk families through high
50 risk cancer genetic clinics following intensive face to face genetic counselling. This family-history (FH)
51 driven approach requires individuals and general practitioner's to recognise and act on a significant
52 FH. Mutation carriers, who are unaware of their FH, who do not appreciate the risk/significance of
53 their FH, who are not proactive in seeking advice, and those who lack a strong FH (eg. from small
54 families) get excluded from this process. It is not surprising that FH based prediction models are only
55 moderately effective at predicting the presence of a BRCA1/BRCA2 mutation and have poor negative
56 likelihood ratios for predicting their absence.[1] Their performance of these models falls further in
57 population based cohorts when comparing BRCA1/2 carrier mutation rates to those in high risk
58 families.[2] We[2] and others[3, 4] have shown that the FH based approach misses over half the at
59 risk mutation carriers. Similar findings where significantly large proportion of identified mutation
60 carriers lack a strong FH of cancer have been reported in testing of breast cancer (BC), ovarian
61 cancer (OC) and endometrial cancer (EC) case series unselected for FH.[5-11] Furthermore, our
62 analysis of data from London genetic testing laboratories indicates that only 12% of the identifiable
63 BRCA1/BRCA2 carriers in the Ashkenazi Jewish (AJ) population have been identified over 10 years by
64 the current family history based approach. Modelling of the current rates of detection in the NHS
65 (National Health Service) indicates that it will take around 45 years to identify the carriers in the
66 London Jewish population who are detectable on the basis of a family history, and that this will still
67 miss half the people at risk. Identified BRCA1/2 and mismatch repair mutation carriers can opt for
68 risk reducing salpingo-oophorectomy (RRSO) to reduce their ovarian cancer risk;[12, 13]
69 MRI/mammography screening, risk-reducing mastectomy (RRM) [14], or chemoprevention with
70 selective estrogen receptor modulators (SERM) to reduce their breast cancer risk;[15] preventive
71 hysterectomy to reduce endometrial cancer risk;[16] as well as pre-implantation genetic diagnosis

72 (PGD).[17] Given the effective options available for ovarian, endometrial and breast cancer risk
73 management and prevention in these high risk women, the points above raise serious questions
74 about the adequacy of the current FH-based approach and suggest that a move towards new
75 approaches for risk prediction and case identification are justified. All of the limitations described
76 above can be overcome by a population based approach to genetic testing.

77

78 **Principles of Population Testing for Genetic Cancer**

79 The original 10 principles for population screening were proposed by Wilson and Jungner in
80 1968.[18] The criteria proposed by the United Kingdom National Screening committee (UKNSC)[19]
81 for 'screening for late onset genetic disorders: breast and ovarian cancer' are based on these
82 principles. The Wilson and Jungner criteria have been modified over the years by a number of
83 others[20-22] and adapted to genetic susceptibility for disease. Khoury et al[23] and Andermann et
84 al[24] have presented a synthesis of emerging criteria. Table-1 summarises the published criteria
85 into three relevant categories (a) The condition and the population, (b) the screening test and (c) the
86 screening programme. Common and unique features of UKNSC breast and ovarian cancer[19],
87 Khoury[23] and Andermann[24] criteria are highlighted in Table-1. Maximum overlap between the 3
88 criteria relate to the condition and the population. Andermann criteria do not cover issues related to
89 the screening test per se but provide more details on requirements for programme implementation.
90 UKNSC breast and ovarian cancer criteria do not adequately cover performance of the screening
91 test, prevalence, acceptability, cost effectiveness and evaluation of programme implementation.
92 Criteria by Khoury et al appear most comprehensive and overlap both UKNSC breast & ovarian
93 cancer and Andermann criteria.

94

95 The above published criteria do not address some key issues for population screening of cancer gene
96 mutations . It is essential that the penetrance of the gene be well established through validated
97 studies before being incorporated into a screening programme. Initial data on risk estimates for new

98 genetic discoveries may be based on small numbers with wide confidence intervals and at times do
99 not get confirmed in validation studies. Another important issue is understanding the impact of
100 genetic testing on psychological health and quality of life, particularly on a population basis. While
101 there is adequate data for high risk populations, data on this in a low-risk non-Ashkenazi Jewish
102 population are lacking. This is needed to make an appropriate assessment balancing both risks and
103 benefits of screening. It is important for prospective well designed implementation studies on
104 population-based genetic testing to be undertaken prior to implementing a screening programme.
105 Downstream management pathways should be established for at risk individuals before programme
106 implementation. As one gene may affect more than once cancer, these should also include links to
107 management options for other cancers at risk from one mutation, for e.g., colorectal cancer in
108 mismatch repair mutations/ Lynch Syndrome. A population based genetic-screening programme
109 needs to also establish and outline guidelines covering ethical and legal responsibilities such as
110 discrimination, data protection, reporting requirements, disclosure or information sharing with
111 family and health care providers, sample and data storage and ownership as well as licensing/patent
112 issues that may arise. In Table-2 we present an amalgamation of published criteria as well as some
113 additional criteria adapted for population-based genetic testing for gynaecological cancer gene
114 mutations. The additional criteria address some of the lacunae in previously published criteria
115 described above.

116

117 **Testing in high-prevalence populations: The Ashkenazi Jewish Model**

118 The Ashkenazi Jewish (AJ) population has been used as a 'population model' and BRCA1/BRCA2
119 founder mutations as a 'disease model', to investigate the pros and cons of a population based
120 approach for testing for high penetrance dominant cancer gene mutations. BRCA1/BRCA2 mutations
121 are 10-20 times more common in the AJ population (1 in 40 prevalence rate)[2, 3, 25, 26] compared
122 to the general non-AJ population. Three BRCA1/BRCA2 mutations are commonly found in the
123 Ashkenazi Jewish population and are called founder mutations: in BRCA1 exons 1 and 20

124 (185delAG(c.68_69delAG), 5382insC(c.5266dupC)) and a segment of BRCA2 exon 11
125 (6174delT(c.5946delT)). In addition almost all the BRCA1/BRCA2 associated risk is explained by three
126 founder mutations making testing easier and cheaper. We compared 'population' and 'FH based'
127 approaches for BRCA1/BRCA2 testing in the Genetic Cancer Prediction through Population Screening
128 (GCaPPS) randomised trial in the North London AJ community.[2] Participants were randomised to
129 FH based (only individuals fulfilling strict family history criteria used in clinical genetics underwent
130 genetic testing) and population based (all individuals irrespective of FH underwent genetic testing)
131 testing arms. We found no difference in anxiety, depression, quality-of-life, health anxiety, distress,
132 uncertainty and overall experience of genetic testing between FH and population-based arms. This
133 indicates that genetic testing in a low risk population does not harm quality-of-life or psychological
134 well-being, or cause excessive health concerns and outcomes are similar to those found in high risk
135 populations seen in cancer genetics clinics.[27-29] Population based BRCA1/BRCA2 testing also leads
136 to an overall reduction in anxiety, distress, and uncertainty,[2, 30, 31] though higher levels of cancer
137 related distress in those testing positive has been reported in a single arm study.[30] While pre-test
138 and post-test counselling was provided to all participants in the GCaPPS study, mutation carriers
139 identified in the Israeli and Canadian studies received only post-test counselling. Data from the
140 GCaPPS trial[2] as well as single arm Canadian[4, 30] and Israeli[3] studies confirm high acceptability
141 as well as satisfaction with population testing amongst both men and women in the Jewish
142 population.

143

144 A key issue of concern raised by many has been that mutation penetrance with population
145 ascertainment may be less than the penetrance estimates obtained from families attending cancer
146 genetics clinics, which can range from 81-88% for BC and 21-65% for OC.[5, 32-34] This has been
147 addressed by:

148 (a) Penetrance estimates (56-64% for BC and 16% for OC) obtained from the population based
149 Washington-Ashkenazi-Study which have been corrected for ascertainment.[35-38]

150 (b) Published meta-analysis integrating population and cases series based data reporting risks of 43-
151 67% for BC and 14-33% for OC.[39]

152 (c) More recently high penetrance estimates (40-60% for BC and 53-62% for OC) irrespective of FH
153 obtained in a large Israeli population study which corrected for previous potential biases in
154 estimates as well as ascertainment through female carriers.[3]

155 These data indicate that breast/ovarian cancer penetrance for AJ BRCA1/BRCA2 carriers identified
156 through population testing and those without a strong FH are also 'high', though as expected these
157 estimates are a bit lower than those obtained from individuals attending cancer genetic clinics.

158

159 A health-economic evaluation is essential to balance costs and benefits in the context of setting
160 public health policy for genetic testing for BRCA1/BRCA2 mutations. Our cost-effectiveness analysis
161 suggests that population testing for BRCA1/BRCA2 mutations in AJ women >30 years reduces breast
162 and ovarian cancer incidence by 0.34% and 0.62% and saves 0.101 more Quality adjusted life-years
163 (QALYs) leading to 33 days gain in life-expectancy. We found population-based testing is extremely
164 cost-effective compared to traditional FH based approach, with a discounted incremental cost-
165 effectiveness ratio (ICER) of '-£2079/QALY'. [40] This is well below the cost-effectiveness threshold
166 used by NICE of £20,000/QALY. [41] The overall impact of such a strategy in the UK would be a
167 reduction in ovarian cancer cases by 276 and breast cancer cases by 508, at a discounted cost saving
168 of £3.7 million. A strength of this study is the extensive sensitivity analyses to explore model
169 uncertainty. This included a deterministic sensitivity analysis in which all model parameters were
170 varied widely at the extremes of their confidence intervals or range as well as a probabilistic
171 sensitivity analysis in which all variables are varied simultaneously across their distributions. Despite
172 a wide range of scenarios, both deterministic and 94% of simulations on probabilistic sensitivity
173 analysis suggested that population-screening is highly cost-effective compared with the current FH
174 based testing. [40] It's noteworthy that a cost-saving is obtained after implementing population-
175 screening among UK AJ women over 30 years old. There are not many health care interventions that

176 save both lives and money! This has important implications for clinical care, population/public
177 health, as well as providers/commissioners of health care.

178

179 Successful population based mass screening strategies for logistics, costs and acceptability are best
180 delivered outside a hospital setting. Genetic testing in a population screening programme should
181 also be implemented outside the hospital setting. In addition, some sections/groups of the
182 population for reasons of confidentiality do not wish to be seen going to a hospital. We have
183 demonstrated successful recruitment to such a program using a community/high-street based
184 model[2] and Gabai-Kapara et al[3] have successfully undertaken testing through health screening
185 centres/ national blood banks.

186

187 **Implications of the AJ Model**

188 There is now good evidence to show that population testing for BRCA1/BRCA2 mutations in
189 Ashkenazi Jews fulfils the necessary principles for population screening for genetic susceptibility of
190 disease listed above (Table-2). Hence, there is a pressing need to change the current clinical
191 paradigm of FH based testing for BRCA1/BRCA2 founder mutations in the Jewish population to a
192 systematic population based approach. This has recently been advocated by us and other health
193 professionals[40, 42] as well as charity and patient groups.[43] Such a strategy if implemented can
194 save both lives and money. The issues that remain to be addressed are related to logistics and
195 control which may vary by country and/or health care systems. Well defined downstream
196 management pathways involving general practitioners, clinical genetics teams, breast surgeons and
197 gynaecologists need to be further expanded or if necessary developed in countries where these are
198 not yet established.

199

200 Findings from the AJ model, while of direct importance for the AJ population, cannot be directly
201 extrapolated to the rest of the general (non-Jewish) population. These may however, have

202 implications and be of relevance for other populations with founder mutations[44] across the world.
203 With the falling cost of testing, as well as rising awareness, understanding, acceptance and demand
204 for genetic testing in society, this is becoming an increasingly important area of study and
205 investigation. Khoury et al highlighted a framework with four phases of translational research to
206 guide the applicability of genomic discoveries for prevention in health care,[45] and estimated that
207 only 3% of research has been directed at downstream clinical implementation. Clearly, a lot more
208 research is needed to assess feasibility, acceptability, impact on psychological health, cost
209 effectiveness and applicability of such an approach in lower prevalence general populations.

210

211 **Testing of Population based Cancer Case Series**

212 UK[46] and other international guidelines[31, 47-49] recommend that BRCA1/2 testing should be
213 offered at a $\geq 10\%$ carrier probability/risk threshold. Recently published case series data indicate that
214 BRCA1/BRCA2 mutations are present in 11%-23% of non-mucinous epithelial OC.[50-56]
215 Identification of carriers has prognostic implications, and offers opportunities to access new
216 treatment options like PARP inhibitors and enter novel clinical trials,[57, 58] as well as having
217 implications for predictive testing and cancer prevention for family members. Hence, a number of
218 guidelines now recommend testing for all non-mucinous epithelial OC as well as triple negative
219 breast cancers,[48] and a number of centres in North America and some in Europe have adopted this
220 practice. However, despite growing demand from patient groups and charities it is not yet uniformly
221 available in clinical practice, including across most parts of England and Europe.

222 Another example of population based case series ascertainment is the identification of Lynch
223 Syndrome (LS). 1.6-5.9% patients with endometrial cancer (EC)[11, 59-61] and 1.8-3.7%[62] with
224 colorectal cancer (CRC) have mismatch repair (MMR) gene (MLH1/MSH2/MSH6/PMS2)
225 mutations/LS. Currently Amsterdam-II[63] & Bethesda Criteria[64] are widely used to identify LS
226 individuals. Molecular immuno histochemistry (IHC) & microsatellite instability (MSI) analysis for 'all'
227 EC and CRC cases is more effective at identifying MMR carriers/LS than Amsterdam-II/Bethesda or

228 modified age linked criteria alone.[62, 65-67] Reflex testing of tumour tissue is followed by pre-test
229 counselling/ informed consent for those selected for genetic testing following IHC/MSI analysis. Such
230 an approach would also benefit non serous epithelial OC, 20% of which are MMR deficient.[68]
231 Despite publication of guidelines and policy recognition,[49, 69] lack of funding is currently
232 preventing harmonised implementation of the population based cancer case series approach. This is
233 greatly compounded by limited awareness and knowledge of these issues amongst treating
234 clinicians, pathologists, general practitioners and the population at large. Implementation also has
235 significant implications for expansion in cancer genetics services and downstream management
236 pathways. Nevertheless, as logistics for delivery get ironed out and awareness and acceptance
237 increases, its applicability will increase and become widespread. This approach is here to stay and
238 will expand to other relevant cancers and gene mutations.

239 **Panel Testing and Potential for Population based Risk Stratification**

240 The genomic era has heralded a rapidly changing landscape in cancer genetics. Advances in genetic
241 testing technology with massive parallel sequencing, and big strides in computational analytics
242 enabling synthesis of complex, large volume, cross disciplinary data has facilitated an integrated
243 systems medicine approach, which in turn is transforming diagnostic, therapeutic and preventive
244 healthcare strategies. In addition to the traditional high penetrance genes (e.g. BRCA1, BRCA2 and
245 MMR genes), a number of newer intermediate/ moderate penetrance genes have been recently
246 identified for ovarian (e.g. RAD51C, RAD51D, BRIP1),[70-72] breast (e.g. PTEN, ATM, TP53, PALB2,
247 NBN, RAD51B, and CHEK2) and other cancers. The availability of high throughput technologies has
248 led to multiplex panel testing becoming available in clinics. This enables testing for a number of
249 genes leading to increased efficiency in time and costs of testing. The Office of Public Health
250 Genomics (OPHG), Centers for Disease Control and Prevention (CDC), has described the 'ACCE'
251 model/process for evaluating genetic tests, which incorporates four key components: analytic
252 validity; clinical validity; clinical utility; and associated ethical, legal and social implications.[73, 74]

253 Burke and Zimmerman proposed an enhanced scheme for evaluation of genetic tests with significant
254 emphasis on 'clinical utility'.^[75] Concern has been expressed at the lack of precise cancer risk
255 estimates for a number of the genes which are part of these gene testing panels.^[76] This lack of
256 adequate clinical validation before regulatory approval or clinical implementation has been
257 construed by some as being tantamount to technological misuse.

258

259 Large multi-centre international collaborations (e.g. Breast Cancer Action Consortium (BCAC),^[77]
260 Ovarian Cancer Action Consortium (OCAC),^[78] Consortium of Investigators of Modifiers of BRCA1/2
261 (CIMBA),^[79] Collaborative Oncological Gene-environment Study (COGS)),^[80] have enabled genome
262 wide association studies (GWAS) and large-scale genotyping efforts resulting in the discovery of
263 numerous common genetic variants associated with cancer risk.^[81, 82] Around 17 such variants
264 have been identified for OC and 100 for BC.^[76, 83] Each individual variant is associated with only a
265 small increase in risk. However, the risk estimate for individuals who carry multiple risk alleles is 2-3
266 fold higher than those with a low polygenic load.^[83] OC and BC risk prediction algorithms
267 incorporating a polygenic risk score (PRS) based on both the known common variants and the total
268 hypothesised polygenotype in addition to BRCA1, BRCA2 and other familial effects have been
269 developed to improve risk prediction.^[83-85] For example, the lifetime OC risk for a BRCA1/BRCA2
270 negative woman, with two affected first degree relatives is >5% if she is at the top 50% of the PRS
271 distribution. In addition, a number of lifestyle, medical and personal factors such as contraceptive
272 pill use, tubal ligation, parity, endometriosis, subfertility, age, family-history (first degree relative(s)
273 with OC),^[85] aspirin^[86] and hormone replacement therapy (HRT)^[87] have been shown to be
274 associated with OC risk. Recently the population distribution of lifetime risks of OC was quantified by
275 adding common genetic (SNP) risk factors to the known epidemiologic ones.^[85] Eight combinations
276 of risk factors gave a life time OC risk $\geq 5\%$ and 2% of the US population were found to have a lifetime
277 risk $\geq 5\%$.^[85] Development and validation of new models for OC risk prediction and population
278 stratification is also the subject of ongoing research in the PROMISE (Predicting Risk of Ovarian

279 Malignancy Improved Screening and Early detection) programme.[88] Such an approach
280 incorporating polygenic risk information has also been suggested for BC, where it is estimated that
281 11% of the population representing 34% of cases can be identified[84] for targeted
282 chemoprevention.[89]

283

284 Rising health care costs and ever increasing price of new cancer treatments/drug therapies in a
285 challenging economic environment further magnify the importance of newer cost-effective
286 preventive strategies. Development of such models provides hope for the principle of using risk
287 stratification for the purpose of targeted primary prevention and early detection. Currently the
288 most effective method of preventing OC is risk reducing salpingo-oophorectomy (RRSO), with a
289 reported hazard ratio (HR) for the procedure of 0.06 (CI:0.02,0.17) in a low-risk population[90] and
290 0.21 (CI:0.12,0.39) in high-risk BRCA1/BRCA2 carriers.[13] However, surgical prevention in current
291 clinical practice (RRSO) is usually only available as a primary prevention strategy to high risk women
292 (life time risk >10%). The precise risk threshold at which RRSO should be undertaken for OC
293 prevention needs review in the context of evaluating and implementing a population based OC risk
294 stratification strategy. We speculate that it is likely this will lie well below the current accepted
295 practice of 10% risk. Although Screening for OC has not yet been shown to reduce mortality,[91]
296 incidence screening results from the UKCTOCS study published recently indicate that screening using
297 the risk of ovarian cancer algorithm (ROCA) doubled the number of screen-detected epithelial OC
298 compared with a fixed Ca125 cut-off[92]. Mortality outcome results from the trial are expected to be
299 published at the end of 2015. Should a mortality effect be demonstrated, a risk based appropriately
300 targeted OC screening programme would become feasible. Evaluation of any population strategy
301 needs to incorporate chemoprevention options such as use of the pill[93] and other factors like
302 aspirin[86] being identified through pooled analyses for OC, as well as Tamoxifen for BC.[89]

303 Although current models offer limited discrimination, they do permit identification of a higher risk
304 sub-group, towards whom effective clinical interventions may be targeted. This can contribute

305 towards reducing the burden of disease in the population. The falling cost of genetic testing coupled
306 with sophisticated modelling and emergence of better defined cost-effective therapeutic
307 interventions will enable implementation of such a strategy for OC and other cancers, including BC in
308 the near future. However, further research confirming 'clinical validity' and 'clinical utility' of this
309 approach is needed before widespread implementation of such a population screening and
310 stratification strategy.

311

312 **Genetic Counselling**

313 Pre-test genetic counselling reduces distress, improves patients' risk perception[31] and remains
314 part of international guidelines prior to genetic testing.[47] All participants in the GCaPPS population
315 study received pre-test and post-test counselling. Unlike GCaPPS,[2] the Israeli[3] and Canadian[4]
316 studies did not provide pre-test counselling but reported high satisfaction with the population
317 testing process. 'Pre-test counselling' has not yet been directly compared to an approach of 'no pre-
318 test counselling' or only 'post-test counselling' in a randomised trial. Newer approaches like
319 telephone counselling,[94, 95] DVD based counselling[96] have been found to be non-inferior and
320 cost-efficient compared to standard face to face counselling. There is widespread recognition that
321 successful implementation of case series testing requires a move away from the standard face-to-
322 face genetic counselling approach. Informed consent and pre-test counselling needs to be delivered
323 by the non-cancer genetics professional community. Different models being explored for this
324 purpose include mainstreaming[97] and use of dedicated trained nurse specialists co-ordinated
325 through a regional genetics service.[98] However, data comparing outcomes of these approaches
326 are lacking. Efficient, acceptable, and cost-effective ways of delivering information on genetic risk
327 will be needed for the successful implementation of any population-based testing program and this
328 area requires more research.

329

330 Specific attention also needs to be paid to pre-test counselling and post-test counselling of results in
331 the context of panel testing. This is more complicated given the large number of genes, some
332 without precise risk estimates or interventions of proven clinical benefit for identified carriers. In
333 addition uncertainty exists on how to deal with variants of uncertain significance (VUS)/ incidental
334 findings, the identification of which will increase with the number of genes tested. Results of
335 clinically significant mutations of sufficient risk need to be returned to participants and it is
336 important for the possibility of incidental findings as well as plans for disclosure/non-disclosure to be
337 discussed with participants at the outset. New approach(es) to counselling for informed consent
338 such as a 'tiered and binned' approach are being explored.[99] Information is organised into
339 clinically relevant 'bins' and levels ('tiers') of detail given out are dependent on an individual's needs
340 to make an informed decision. Given the potential complexity and interpretation of results, pros and
341 cons need to be carefully discussed with patients by experienced and well-informed health
342 professionals.[100] Specific tools/decision aids to facilitate understanding of risk and informed
343 consent need to be developed for panel testing and any population testing strategy. In addition, the
344 use of adjuncts like DVDs, helplines and telephone counselling approaches are yet to be evaluated
345 outside a single gene setting.

346

347 **Direct to Consumer (DTC) genetic testing**

348

349 Technological and scientific developments over the last few years have led to a number of
350 companies offering a range of genetic testing services for common genetic variants as well as rare
351 and high penetrance single gene disorders. These services are sold directly to consumers through
352 avenues outside the traditional health system such as via the internet, television or other means.
353 Driven by aggressive advertising and increasing awareness, the commercial market for this has been
354 growing at a rapid rate. Proponents of DTC testing point to increased consumer access, consumer
355 autonomy and empowerment as advantages. A number of professional bodies, authorities, scientists

356 and individuals have highlighted concerns regarding this. These concerns relate to the quality,
357 analytic utility, clinical utility and validity of the scientific data that forms the basis of a number of
358 reports provided by DTC companies.[101, 102] The European Society of Human Genetics (ESHG),
359 American Society of Clinical Oncology (ASCO) and American Society of Human Genetics (ASHG)
360 published formal policy guidelines regarding DTC testing and advertising.[102-104] Some argue that
361 regulation and laws cannot guarantee responsible use. However a voluntary international product
362 quality assurance certificate along the lines of ISO could control for compliance with ethical
363 standards, counselling, scientific validity, provide commercial advantages to DTC companies and be a
364 better option.[105] Nevertheless, there remains widespread concern in the professional community
365 regarding overstatement of effectiveness, minimization of risks, lack of 'informed' consent, data
366 protection issues and overselling of tests by DTC companies. There is also uneasiness and
367 apprehension about the lack of adequate pre-test information and post-test counselling, leading to
368 inappropriate health outcomes/ detrimental consequences. Although smaller market players
369 remain, three of the larger players have stopped offering it. Navigenics and deCODEme stopped
370 when they were sold and 23andMe discontinued marketing of their personal genome service under
371 FDA orders in November 2013.[106] While a number of scientists and clinicians welcomed this
372 step,[107, 108] some critics deemed it to be paternalistic, over-cautious, damaging to commercial
373 free-speech and patient empowerment.[109] The debate will continue.

374

375 **Future Perspectives**

376

377 Going forward, further validation studies will provide more precise risk estimates for a number of
378 the newer gene mutations. Absolute risk values derived from relative risk estimates will be made
379 available for the purpose of counselling/informed consent for genes for which they are yet
380 unavailable. We speculate that redefined thresholds for interventions like RRSO will enable
381 implementation of cost effective surgical prevention strategies for moderate penetrance OC genes.

382 Emergence of validated data in the not too distant future will lead to widespread clinical
383 implementation of panel testing for genes like RAD51C, RAD51D, BRIP1, PALB2, CHEK2, ATM, etc. in
384 women with strong FH of cancer and cancer case series. Although some have suggested that
385 population based testing for BRCA1/BRCA2 genes could now be introduced into the general non-
386 Jewish population,[110] this is still premature as data on acceptability, clinical validity and cost-
387 effectiveness are lacking and implementation studies have not been undertaken. However, this will
388 happen in the future once these studies are undertaken. Validated models incorporating
389 combination(s) of a range of genetic (high, moderate and low penetrant) and epidemiologic/
390 environmental factors will become available for clinical implementation. As new risk variants are
391 discovered, the performance of risk prediction models will get refined and improve. It is important
392 for epigenomic data to also be incorporated into risk prediction models and the large data sets
393 needed to facilitate this require developing. With the declining costs of sequencing, the use of gene-
394 panel testing, as well as whole-exome and whole-genome sequencing, will become more
395 widespread. Large scale prospective studies of general population based testing for a panel of cancer
396 genes/genetic variants as well as epidemiologic factors incorporated into risk prediction algorithms
397 will need to be undertaken to evaluate clinical utility, acceptability, impact on psychological health
398 and quality of life, uptake of preventive strategies, as well as cost-effectiveness, delivery pathways,
399 and long term health outcomes. An initial small pilot study for OC is proposed to commence along
400 these lines in 2016 within the PROMISE grant.[88]

401

402 **Integration into P4 Medicine and Precision Medicine**

403

404 'P4 medicine' consists of Predictive, Preventive, Personalized, and Participatory medicine.[111]

405 'Precision medicine' includes development of prevention and treatment strategies that take

406 individual variability into account.[112] Systems medicine driven approaches incorporating genomic

407 information (genomic medicine) along with appropriate biological and computational tools for data

408 interpretation will be used to deliver P4 and Precision medicine in the future. This will enable
409 introduction of individualised tailored prevention and/or treatment strategies. Integration and
410 implementation of a population screening strategy for collecting genomic and epidemiologic
411 information will be essential for the application of P4/Precision medicine approaches for cancer
412 prevention and treatment. Our current health care systems are concentrated primarily on treatment
413 of disease. They are not focused on prediction /prevention and maintaining 'wellness'. Delivery of a
414 P4/Precision medicine approach incorporating population based testing will require a big change in
415 focus. While precision medicine delivered treatment strategies for those with cancer are likely to
416 remain hospital led, approaches for prediction and prevention will require a move away from
417 hospitals and clinics to the community/high-street and/or home environment. It will involve use of
418 new and innovative information tools, resources, devices, apps and health information systems for
419 individuals to proactively participate in managing their health. It will also require the development of
420 new care pathways and relationships between participating individuals and healthcare providers.
421 Providers need to deliver predictive information as well as develop downstream management
422 pathways for delivering effective risk-reducing clinical interventions for the at-risk population and
423 monitoring long term health outcomes. Different solutions are likely to emerge for different
424 countries and commercial companies offering newer DTC models with built in safeguards. In
425 addition appropriate oversight/regulatory framework will need to be integrated into this process to
426 maximise possible impact for population benefit. Education of the public/ consumers as well as
427 general practitioners, genetic clinicians, gynaecologists, health care providers and stake holders
428 involved in management of these women remains a massive challenge which also needs addressing.
429 In January 2015, President Obama announced a precision medicine initiative with cancer as an
430 important component within the scheme.[113] Many more such initiatives and funding streams
431 driven innovative research studies are needed to fulfil its potential.

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433 **EXECUTIVE SUMMARY**

- 434 • The traditional family-history based approach for genetic testing has limitations and misses
435 >50% mutation carriers. It is being replaced by population-based approaches for genetic testing.
- 436 • Population-based BRCA1/BRCA2 testing in Ashkenazi Jews does not cause psychological harm
437 and identifies more people at risk, reduces breast and ovarian cancer incidence and is extremely
438 cost effective. This supports a change in the clinical paradigm in this population.
- 439 • Population-based testing of cancer case series is becoming more widespread. However, lack of
440 funding and awareness amongst clinicians is preventing harmonised implementation. Its
441 successful application requires counselling with new approaches like mainstreaming, involving
442 the non cancer genetics clinical community.
- 443 • The availability of high throughput technologies has led to multiplex panel testing becoming
444 available in clinics. However, a number of genes being tested in these panels lack precise cancer
445 risk estimates and uncertainty exists on how to deal with VUS and incidental findings. Pros and
446 cons need to be carefully discussed with patients by experienced and well-informed health
447 professionals.
- 448 • A number of newer intermediate/ moderate penetrance genes and common genetic variants
449 have recently been identified for ovarian, breast and other cancers. Development of
450 sophisticated risk models incorporating genomic and epidemiologic information coupled with
451 availability of high throughput technology for genetic testing and falling costs provides
452 opportunity for using risk stratification for the purpose of targeted primary prevention and early
453 detection.
- 454 • There has been widespread concern in the professional community regarding overstatement of
455 effectiveness, minimization of risks, lack of 'informed' consent, data protection issues and
456 overselling of tests by DTC companies. The appropriateness of DTC and need for proper
457 regulation and safe-guards remains a matter of ongoing debate.

- 458 • In the near future, emergence of validated data will lead to widespread clinical implementation
459 of panel testing for moderate penetrance genes like RAD51C, RAD51D, BRIP1, PALB2, CHEK2,
460 ATM, etc. in women with strong FH of cancer and OC/BC cancer case series.
- 461 • Large scale prospective studies of general population based testing for a panel of cancer
462 genes/genetic variants as well as epidemiologic factors incorporated into risk prediction
463 algorithms need to be undertaken to evaluate clinical utility, acceptability, impact on
464 psychological health/ quality of life, cost-effectiveness and long term health outcomes.
- 465 • Systems medicine driven approaches incorporating genomic information (genomic medicine)
466 along with appropriate biological and computational tools for data interpretation will be used to
467 deliver P4 and Precision medicine in the future. This will enable introduction of individualised
468 tailored prevention and/or treatment strategies.

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476 RM and IJ are investigators on the GCaPPS trial on population testing for BRCA mutations, funded by
477 the cancer charity The Eve Appeal. RM declares no other conflict of interest. IJ has a financial
478 interest in Abcodia, Ltd., a company formed to develop academic and commercial development of
479 biomarkers for screening and risk prediction. IJ is a member of the board of Abcodia Ltd and a
480 Director of Women's Health Specialists Ltd.

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483 **References**

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485 **Papers of special note have been highlighted as: “* of interest” or “** of considerable interest”**

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