

PLASMA CELL AND B CELL-TARGETED TREATMENTS FOR USE IN ADVANCED MULTIPLE SCLEROSIS

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Running head: Targeting B cells to control autoimmunity

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ABSTRACT

There is increasing evidence that agents that target peripheral B cells and in some instances plasma cells can exhibit marked effects on relapsing multiple sclerosis. In addition, B cells, including plasma cells, within the central nervous system compartment are likely to play an important role in disease progression in both relapsing and progressive MS. However, current B cell-targeting antibodies may not inhibit these, because of poor penetration into the central nervous system and often oligoclonal bands of immunoglobulin persist within the cerebrospinal fluid despite immunotherapy. Through targeting B cells and plasma cells in the CNS, it may be possible to obtain additional benefit above simple peripheral depletion of B cells. As such there are a number of inhibitors of B cell function and B cell depleting agents that have been developed for myeloma and B cell leukaemia and lymphoma, which could potentially be used off-label or as an experimental treatment for advanced (progressive) MS.

Keywords: B cells, immunotherapy, multiple sclerosis, progressive multiple sclerosis.

HIGHLIGHTS

- Peripheral B cell responses have been targeted to inhibit active/relapsing multiple sclerosis.
- Inhibition of advance (progressive) multiple sclerosis may be achieved by targeting B cell responses in the CNS.
- Agents exist that could be used to target such B cell responses and could be used to show benefit

INTRODUCTION

Although multiple sclerosis (MS) is historically considered to be a T cell-mediated autoimmune disease of the central nervous (CNS), response to therapy has demonstrated that inhibitors of B cell activity are potent inhibitors of disease (Stüve et al. 2005; Reviewed in Baker et al. 2017 and Baker et al. 2018). There is evidence that all current effective disease-modifying therapies for relapsing MS interfere with B cell function, either through depletion of B cell numbers or inhibition of effector functions, in a manner that reflects their level efficacy in relapsing MS. (Gandoglia et al. 2017; Storek et al 2004; Dooley et al. 2015; Reviewed in Baker et al. 2017; Ceronie et al. 2018). Strikingly, for any given disease-modifying agent, the degree of memory B cell inhibition correlates well with disease-modifying efficacy (Dooley et al. 2015; Reviewed in Baker et al. 2017). Indeed, continuous depletion of CD20-expressing B cell subsets has led to the licencing of the first agent to treat both relapsing and primary progressive MS (Montalban et al. 2017; Hauser et al. 2017; Mulero et al. 2018).

In addition to playing a significant role in driving relapsing biology, B cells are likely to play an important role in disease progression, both in the context of relapsing and primary and secondary progressive disease, which have active components driven by the adaptive-immune response entering the CNS (Lublin 2014) and non-active disease-associated neurodegenerative components probably driven by glial-derived immune responses that may be poorly-responsive to current disease modifying treatments (Lublin 2014; Montalban et al. 2017; Al Salama 2019; Reviewed in Baker et al. 2018).

However, B-cell depleting monoclonal antibodies may not effectively target the B cell compartment with the CNS, as antibodies penetrate the CNS poorly (Tran et al. 2014; Reviewed in Baker et al. 2018) and oligoclonal bands (OCB) often persist despite therapy (Reviewed in Pryce & Baker 2018 and Baker et al. 2018). Therefore, by targeting B cells and plasma cells in the CNS (**Figure 1**), it may be possible to obtain additional benefit above simple peripheral depletion of B cells. As such, there are a number of inhibitors of B cell function and B cell depleting agents (**Figure 1**) that have been developed for myeloma and B cell leukaemia and lymphoma, which could be used off-label or as an experimental treatment for progressive MS, given that lumbar puncture and intraventricular delivery of intrathecal CD20-depleting antibodies has so far been disappointing for targeting B cell responses within the CNS (Topping et al. 2016; Komori et al. 2016; Bergman et al. 2016).

ANTIBODIES

Monoclonal antibodies provide the most specific way of targeting particular B cell subsets. Indeed, both CD19 and CD20-specific antibodies have been found to inhibit relapsing MS (Reviewed in Baker et al. 2017 and Baker et al. 2018). However, as these markers are not expressed by plasma cells, it demonstrates that they and immunoglobulin are not essential for disease activity (Ireland et al. 2012; Baker et al. 2017a). Whilst these target the mechanisms driving relapsing disease (Hauser et al. 2017; Reviewed in Baker et al. 2018), CD20-depleting

antibodies also can inhibit, at least active, primary progressive MS (Hawker et al. 2009; Montalban et al. 2017). This can be targeted by ocrelizumab and off-label rituximab and other antibodies in development), such as ofatumumab ((Du et al. 2017; Bar-Or et al. 2018). However, the action against progressive MS may be secondary to inhibition of active disease, rather than via a direct neuroprotective effect (Hawker et al. 2009; Castillo-Trivino et al. 2013). Indeed, this may not be surprising as monoclonal antibodies fail to significantly enter the CNS (**Figure 1**), CD20-depleting antibodies exhibit limited direct killing of B cells in the CNS (Topping et al. 2016; Komori et al. 2016), do not kill plasma cells (Sabatino et al. 2016) and do not initially affect immunoglobulin levels and OCB within the cerebrospinal fluid (Castillo-Trivino et al. 2013; Sabatino et al. 2016; Studer et al. 2014). Although, there are antibody agents that can target plasma cells, as used in myeloma such as: indatuximab (CD138-specific)-ravtansine; lorvotuzumab (CD56-specific)-mertansine, CD38 (daratumumab) and CD27+, memory B cells such as varlilumab (Robart & Robart 2016; Schönfeld et al. 2017; Burris et al. 2017), given the poor penetration of antibodies (99.9% excluded. Kikuchi et al. 2004; Tran et al. 2014) across the blood-brain barrier and the limited killing capacity of intrathecal-administered antibody (Topping et al. 2016; Komori et al. 2016), probably due to lack of sufficient effector mechanisms for complement fixation and/or antibody dependent cellular cytotoxicity within the CNS (Komori et al. 2016), use of antibodies does not appear to be the most optimised method to target B cells within the CNS. However, CD20-depletion could be a base on which to add neuroprotection and repair activity as the drug may have immune-reconstitution therapy potential (Baker et al. 2017). However, B cells form a central part of immunity. As such, continuous B cell depletion is associated with reductions in immunoglobulin levels and an increased risk of infection that is going to accumulate with time (Hauser et al. 2017; Giovannoni 2018). These risks can be significant, and is of interest that the development of ocrelizumab was terminated in other CD20-responsive autoimmunities because of infection-related fatalities adversely affecting the risk:benefit balance (Harigai et al. 2012; Emery et al. 2014). However, it may be possible to achieve benefit from pulsed therapies to avoid problems with continuous immunosuppression (Baker et al. 2017).

SMALL MOLECULE IMMUNE INHIBITORS

B cells have a more rapid turnover than T cells and rapidly die *in vitro* and are susceptible to cytostatic agents that kill cells during DNA replication (Baker et al. 2017a). Therefore, B cells including both regulatory and effector B cells are particularly sensitive to cytostatic agents (Minagawa et al. 1987; O'Neill et al. 1992; Baker et al. 2017a). In animals this can mean that B cell therapy can have distinct and opposing activity, dependent on timing of treatments (Minagawa et al. 1987; O'Neill et al. 1992; Sefia et al. 2017). Similarly B cell immunotherapy can inhibit (Baker et al. 2017; Baker et al. 2018; Sabatino et al. 2018), but in some instances augment MS, possibly due to influences on distinct B cell subsets (Kappos et al. 2014; Baker et al. 2017). Therefore, actual use will demonstrate where the therapeutic balance resides, but targeting memory B cell responses inhibits MS (Baker et al. 2017a). There are a number of agents that could be used to target B cells.

Cyclophosphamide is prodrug that is metabolised to a cytostatic agent that kills rapidly dividing cells and thus can target some B cells by virtue of their activation or by because B cells exhibit a higher turnover rate compared to other cells such as T cells (Macallan et al. 2003; Macallan et al. 2005). Therefore B cells may be more sensitive to cytostatic agents, although low-dose pulsed cyclophosphamide exhibits limited effects on plasma cells and memory B cells (Fassbinder et al. 2015). However, high-dose (120mg/kg-200mg/kg) cyclophosphamide has been used extensively in people with progressive MS, as part of a monotherapy or as part of Haematopoietic Stem Cell Therapy (HSCT) to induce mobilisation of CD34+ stem cells (La Mantia et al. 2007; Krishan et al. 2008; Bowen et al 2012; Atkins et al. 2016; Brochet al. 2017). Whilst cyclophosphamide and HSCT have exhibited marked impact on relapsing and active MS (Krishan et al. 2008; Aktins et al. 2016), people with progression have often continued to progress, although some people with advanced (progressive) MS appear to show some benefit (Bowen et al. 2012). However, people with MS often poorly tolerate cyclophosphamide, consistent with the non-selective activity on dividing cells including hair loss and gastrointestinal problems (La mantia et al. 2007; Krishan et al. 2008). Many people continue to show some worsening and OCB have persisted (60-160mg/kg) indicating ineffective CNS clearance of B cells within their CNS niches (Openshaw et al. 2000; Saiz et al. 2001; Carreras et al. 2003; Nash et al. 2012; Bowen et al. 2012).

Mitoxantrone is a type II topoisomerase inhibitor that disrupts DNA synthesis and repair and intercalates into DNA bases and kills dividing cells and so may target dividing B cells that are turning over (Macallan et al. 2005). Mitoxantrone depletes B cells and notably exhibits a marked impact on memory B cell in MS, consistent with its efficacy (Chan et al. 2005; Duddy et al. 2007; Baker et al. 2017). Pixantrone, a mitoxantrone analogue, is also a potent depleter of B cells (Gonsette et al. 2018). When mitoxantrone was used in combination with CD20-depletion there was marked depletion of peripheral blood B cells and also reductions, albeit to a more modest and transient level. of B cells with the cerebrospinal fluid that was associated with a positive treatment effect (Evdoshenko et al. 2013). Mitoxantrone is used for the treatment of active advanced MS (Martinelli Boneschi et al. 2013). It can show a significant but partial efficacy in reducing the risk of MS progression and the frequency of relapses in some but not all studies (Martinelli Boneschi et al. 2013; Grey Née Cotte et al. 2015). However, mitoxantrone is neurotoxic and is actively excluded from the CNS via the action of ABCG2 ATP-binding cassette transporter (Dalle et al. 2000; Cotte et al. 2009). The influence on OCB is also limited (Axelsson et al. 2013). This coupled with concerns about the risk of cardiotoxicity and therapy-related acute leukaemias, limit the value of mitoxantrone (Martinelli Boneschi et al. 2013).

Cladribine produces toxic moieties following phosphorylation of the adeonosine-analogue by deoxycytidine kinase and selectively kills, dividing and non-dividing, lymphocytes notably B lymphocytes (Giovannoni et al. 2017; Ceronie et al. 2018). Oral cladribine prodrug inhibits relapsing MS (Giovannoni et al. 2017). Likewise, parenteral, generic cladribine inhibits disease

activity in relapsing and progressive disease (Giovannoni et al. 2017, Rice et al. 2000). Although there is a perceived failure in progressive MS (Beutler et al. 1996; Rice et al. 2000), the trials were too short to really demonstrate full clinical benefit and there were positive imaging and clinical effects (Rice et al. 2000) and unlike any other licenced disease modifying treatment in MS, the drug is CNS penetrant (**Figure 1**) and can be active in the CNS (Kearns et al. 1994; Baker et al. 2019). Cladribine probably behaves as chemical anti-CD19 agent, with additional T cell inhibitory activity, based on the high expression of deoxycytidine kinase (DCK) in B cells, which facilitates cladribine-induced apoptosis of lymphocytes (Cocco et al. 2012; Baker et al. 2019). It is evident that DCK is expressed on early B cell lineages including immature, mature, memory B cells and plasmablasts but is down regulated in plasma cells (Cocco et al. 2012; Ceronie et al. 2018; Baker et al. 2019). However, parenteral cladribine has reduced or eliminated the occurrence of OCB in some people with MS (Sipe et al. 1994, Rejdak et al. 2018), suggesting that it can inhibit the development of plasma cells or that it blocks the factors that promote B cell niches within the CNS. Cladribine like alemtuzumab and ocrelizumab has some immune-reconstitution therapy potential, with a long-term benefit from a short course of treatment (Giovannoni et al. 2018). As such this may also provide an immunomodulatory platform on which to layer neuroprotection and repair.

Mycophenolate is a lymphocyte-selective depleting agent that is metabolised in the plasma and the liver into mycophenolic acid, which is a reversible inhibitor of inosine monophosphate dehydrogenase (IMPDH) involved in *de novo* purine biosynthesis. This pathway is essential for lymphocyte proliferation (Euqui et al. 1991). However, there is limited data concerning benefit of use in MS, but it may inhibit active disease (Michel et al. 2014; Xioa et al. 2014; Fakih et al. 2018). This agent can inhibit B cell activation and differentiation *in vitro*, although terminally differentiated plasma cells appear to be less sensitive to the effects of mycophenolate, possibly due to down regulation and insensitivity of the IMPDH (Karnell et al. 2011). However there does not appear to be gross changes in most B cell subsets following treatment with mycophenolic acid in humans, although in some instance plasma cells appeared to be reduced in non-MS conditions (Zhao et al. 2012; San Segundo et al. 2012; Fickenberg et al. 2012). However the influence on B cell subsets require study and positive effects on non-active elements of progressive MS have yet to be found (Fakih et al. 2018).

B CELL-SELECTIVE INHIBITORS

Given the success of B cell depletion using CD20 monoclonal antibodies, there is significant commercial interest in developing B cell depleting agents or B cell inhibitors.

Brutons tyrosine kinase (BTK) inhibitors reversibly or irreversibly block the BTK enzyme that plays a crucial signalling role in B cell development and mutations in BTK are associated with X-linked agammaglobulinemia, where B cell fails to mature. BTK inhibitors prevent B cell activation and block downstream B cell survival pathways. There are a number of BTK inhibitors in clinical development, initially for cancer and the first study in MS has been reported to have

a positive impact in active disease using evobrutinib (Montalban et al. 2019). This is a first generation BTKi that has been reported to inhibit lesion formation and the generation of relapses in phase II trials (Montalban et al. 2018). This also inhibits macrophage function and may thus have additional activities relevant for advanced MS (Alankus et al. 2018). Some first generation agents have been approved for treating haematological malignancies and graft versus host disease, such as Ibrutinib. This appears to be a p-glycoprotein substrate, which may limit CNS penetration (van Hoppe et al. 2018) and infections following use commonly occur (Tillman et al. 2018; Hsiehchen et al. 2018). Second generation inhibitors have been developed that have fewer side effects and greater specificity (Wu et al. 2016; Pal Singh et al. 2018). There is significant commercial interest in BTKi development and include agents such as PRM226, which is a CNS penetrant BTKi, ABBV-105 and HM71224/LY3337641 (Wu et al. 2016; Robak & Robak 2017; Pal Singh et al. 2018). However, although caution is needed when comparing studies, the reduction in the level of lesion formation by evobrutinib does not appear to be as marked as found with CD20-depletion and this may have implications for staging within the therapeutic ladder of MS treatments (Kappos et al. 2011; Montalban et al. 2019). In addition, BTKi have been used to augment cancer therapy when used in combination with other agents (Geyer et al. 2019). It remains to be seen whether there could be benefit from being used as add-on therapy in MS. This will become clearer as more studies are undertaken and published.

Proteasome Inhibitors. The proteasome plays a pivotal role in the control of many cell cycle-regulatory processes, including regulation of nuclear factor kappa B activity and control of apoptosis (Schenken 2002). Proteasome inhibitors can sensitize cells to induce apoptosis and have been used to treat multiple myeloma, using agents such as bortezomib that is partially excluded from the CNS by p-glycoprotein (Forn et al. 2016). One could use such an agent to make advantage of the finding that p-glycoprotein exclusion pump is down-regulated in MS lesions, to selectively target areas of pathology (Al-Izki et al. 2014). However, bortezomib can have undesirable side-effects such as the induction of neuropathy (Alé et al. 2014). There are second generation inhibitors such as carfilzomib and ixazomib, with more limited neuropathic effects (Karademir et al. 2018). These inhibitors target plasma cells, but also have impact on activated naïve and memory B cells and may have activity against peripheral autoimmunity (Mulder et al. 2013, Alexander et al. 2015). CNS-penetrant proteasome inhibitors such as marizomib have been generated (Di et al. 2016). It remains to be seen whether these agents will have merit in the treatment of MS and whether they can be used long-term to treat MS, especially as proteasome activators may be neuroprotective (Schattling et al. 2019).

B CELL SURVIVAL FACTORS

There are a number of B cell growth, differentiation and survival factors including interleukin 4, Interleukin 6, Interleukin 10 and Interleukin 13, lymphotoxin and tumour necrosis factor, and a number of chemokines notably B cell-attracting chemokine 1/CXCL13, which support B cell growth and survival (Housley et al. 2016). Many can be inhibited by specific monoclonal antibodies such as tocilizumab (IL-6 receptor) and siltuximab (IL-6) and others (Klimatcheva et

al. 2015; Beauchemin & Carruthers 2016). However, these will have the same CNS penetration issues as agents targeting B cell surface markers and some may have unwanted activities (Beauchemin & Carruthers 2016; Kemanetzoglou & Andreadou 2017). Inhibition of B cell activating factor (BAFF, TNFLS13B) and a proliferation-inducing ligand (APRIL) serves as a warning that not all B cell inhibitors are beneficial (Reviewed in Baker et al. 2017). Atacicept is a fusion protein of the transmembrane activator and calcium modulator and cyclophilin-ligand interactor (TACI, TNFRSF13B) receptor that blocks BAFF and APRIL to deplete mature B cells and plasma cells, but not memory cells and appears to augment MS relapse (Kappos et al. 2014; Sergott et al. 2015; Reviewed in Baker et al. 2017). It is therefore of interest that studies with monoclonal inhibition of BAFF using tabalumab did not inhibit lesion formation, but importantly it did appear to worsen MS (Silk & Nantz 2018). BAFF stimulates the TACI receptor, the BAFF-receptor (TNFRSF13C) expressed by most B lineage cells and the B cell maturation antigen receptor (TNFRSF17), which highly expressed by mainly be plasma cells (Mackay et al. 2003; Darce et al. 2007). APRIL stimulates TACI, expressed by memory B cells and plasma cells (www.biogps.org) and BCMA (Mackay et al. 2003; Darce et al. 2007), but interestingly inhibition of BAFF with belimumab does not appear to augment the memory B cell response (Ramsköld et al. 2018). Therefore, this not only implicates APRIL as a problematic growth factor in MS, importantly it provides yet more evidence to support the hypothesis that the memory B cells are central mediators in the pathogenesis of MS (Reviewed in Baker et al. 2017).

TUMOUR NECROSIS FACTOR INHIBITORS.

Tumour necrosis factor (TNF) is a plasma cell survival factor and a number of the markers involved in B cell development are members of the TNF superfamily such as CD40 and CD27, which are MS susceptibility genes (Jourdan et al. 1999, Dendrou et al. 2013; Fliggett et al. 2014). Inhibitors of TNF are used to treat multiple myeloma indicating the sensitivity of B cells to these treatments. Most MS treatments are also active in other autoimmune diseases, but inhibition of TNF has been a notable exception and even appears to augment CNS demyelinating disease (Kemanetzoglou & Andreadou 2017). Whilst it has been postulated that TNF inhibition can augment memory B cell responses and so drive relapsing MS (Baker et al 2017a), other explanations are possible (Kemanetzoglou & Andreadou 2017). As such, because antibodies are largely excluded from the CNS, there is a possibility that there is no inhibition of TNF within the CNS and therefore no inhibition of local B cell responses occurs in MS, unlike in other TNF inhibition-sensitive peripheral autoimmunities. As such it has been shown that TNF contributes to the formation of ectopic B cell follicles and these may contribute to disease progression in MS (Paulino et al. 2018, Pryce & Baker 2018; Baker et al. 2018). Peripheral inhibition of TNF has been shown to block B cell follicles (Cañete et al. 2009; Pryce & Baker 2018). This would suggest benefit may be driven by targeting CNS-derived TNF (**Figure 1**. Baker et al. 1994).

Intrathecal/Ventricular TNF inhibition. There is experimental evidence the local delivery of TNF into the CNS offers benefit over systemic delivery (Baker et al. 1994). However, given the history of anti-TNF treatment in MS, this approach will be difficult to recruit and undertake.

Phosphodiesterase 4 (PDE4) Inhibition. There is evidence that PDE4 inhibitors are potent TNF inhibitors and consistent with this, rolipram may even augment relapsing MS disease (Sommer et al. 1995, Bielekova et al. 2009). However, worsening was not found with Ibudilast which also did not inhibit relapsing MS (Barkhof et al. 2010). Ibudilast is a CNS-penetrant phosphodiesterase 4 and migration inhibition factor inhibitor that may have some benefit in progressive MS, in contrast to pentoxifylline, and has slowed brain volume loss in MS (Meyers et al. 1998; Fox et al. 2018). Whether this targets intrathecal B cell responses is currently unknown.

Thalidomide Analogues. Thalidomide is also used to treat myeloma and is a potent inhibitor of TNF, however it produces tetragenic effects. There are a number of thalidomide analogues that have fewer side effects such as: lenalidomide, pomalidomide and apremilast. Pomalidomide is used to treat multiple myeloma (Gueneau et al. 2018). It remains to be seen whether they could target plasma cell responses in the CNS during MS.

VACCINATION THERAPY

Chimeric Antigen Receptor T cells (CAR-T) Therapy. A novel immunotherapy has emerged for cancer immunotherapy, whereby (CD8) T cells from individuals can be engineered to express cell-specific single chain variable antibody fragments that give specificity and signal T cell activation following ligation (Ruella & June 2016). Initial targeting constructs have been designed to target all CD19 or CD20 B cells (Ruella & June 2016; Zhang et al. 2017; Perales et al. 2018). These CART cells would have the capacity to enter the CNS to kill B cells, including the memory B cells and plasmablasts, which are the dominant B cell subsets with the CNS (Eggers et al. 2017). Tisagenlecleucel, which targets CD19 B cells has been licenced after success in cancer (Zhang et al. 2016). However, this therapy is not currently reversible and will lead to permanent depletion of all CD19 B cells, as they form and they will not directly target long-lived plasma cells that do not express CD19. These CAR-T cells will probably lead to the infection problems that have been associated with long-term B cell deletion using antibodies. The creation of more selective CAR-T and development of the technology for reversible, safer use of CART therapy would probably be ideally needed for use in MS (Duong et al. 2017; Perales et al. 2018). Recently, B cell maturation antigen (CD269) CAR-T cells have been produced for multiple myeloma, which will target B memory cells and notably plasma cells and may offer real promise for targeting pathogenic B cells with the CNS in the future (Cohen et al. 2019).

Epstein Barr Virus vaccination to kill virally-infected B cells. Epstein Barr virus, which may be the aetiological trigger of MS, infects B cells and causes expansion of memory B cells (Burns et al. 2015, Burns et al. 2016, Reviewed in Baker et al. 2018). These may presentation antigen

to T cells to drive MS (Reviewed in Baker et al. 2017). This virus creates survival advantage for the B cell and therefore killing of the virus may limit B cell activity. This may be achieved using EBV-specific CD8 cytotoxic cells (Pender & Burrows 2014). This approach is being trialled and commercially developed for MS and may offer some benefit in progressive MS (Pender MP et al. 2017).

SUMMARY

As B cells are increasingly being seen as an important pathogenic element of relapsing and progressive MS (Baker et al. 2017; Baker et al. 2018) searches for novel agents will increase. However, whilst these may offer promise, the failure and potential worsening of some B cell inhibitory agents (Sergott et al. 2015), indicates that until appropriate trials are undertaken their potential efficacy and safety cannot necessarily be predicted (Kappos et al. 2014, Sergott et al. 2015).

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FIGURE 1. Targeting domains for control B cell activity during multiple sclerosis.

Agents target plasma cells (blue) and/or B cell lineage cells (pink) cells and/or non-B cells (transparent), which are either quiescent (Dots) or dividing (Hatch). These can be targeted either in the periphery or within the periphery and central nervous system (Grey). Immunosuppressive agents that may be of value are shown, although their precise positioning is for illustrative purpose and can vary depending on the pharmacokinetic properties of the agents. Low activity against plasma cells is based on deoxycytosine levels and may not reflect events occurring in MS. BTKi Bruton's tyrosine kinase. Pi proteasome inhibitor, CY cyclophosphamide, CAR Chimeric antigen receptor.

