# The Role of Membrane Tumour Necrosis Factor Alpha in the Function and Efficacy of Anti-Tumour Necrosis Factor Antibodies in Inflammatory Bowel Disease.

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### **Statement of originality**

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### **Details of collaboration**:

I declare that the work presented in this thesis is my own with the exception of Chapters 6 and 7, in which some of the experiments were performed in collaboration with Paolo Biancheri and Paolo Giuffrida respectively.

### Abstract

Tumour Necrosis Factor Alpha (TNF $\alpha$ ) is central to the immunopathogenesis of inflammatory bowel disease. It is initially expressed on the cell surface as a trimer, membrane TNF $\alpha$ , and cleaved from the cell surface by TNF $\alpha$  Converting Enzyme (TACE) to release a soluble trimeric form of the cytokine (sTNF $\alpha$ ). Infliximab, an anti-TNF $\alpha$  antibody revolutionised the treatment of IBD. However infliximab is ineffective in up to a third and nearly half of patients lose response to infliximab over time. As yet the mechanisms of action of infliximab are unclear, although the importance of mTNF $\alpha$  is emerging.

The aim of the study was to establish whether pharmacological and endogenous TACE inhibitors change the expression of mTNF $\alpha$  with a reciprocal change in sTNF $\alpha$  and other pro-inflammatory cytokines. Additionally possible mechanisms of action of anti-TNF $\alpha$  antibodies were tested.

The expression of mTNF $\alpha$  and sTNF $\alpha$  were measured with FACS and ELISA respectively in vitro and ex vivo in controls and IBD patients. The functional effects of infliximab and etanercept were explored using a TNF $\alpha$  transfected CHO cell line and peripheral blood and lamina propria mononuclear cells. Changes in receptor tyrosine kinase (RTK) phosphorylation as a result of TNF $\alpha$  neutralisation in IBD explants were determined using a RTK phosphoarray.

A significant reduction in sTNF $\alpha$  and an increase in mTNF $\alpha$  were seen with some TACE inhibitors, without any change in other pro-inflammatory cytokines. Apoptosis was not seen with anti-TNF $\alpha$  antibodies in either the TNF $\alpha$  expressing cell line or in PBMCs. The study showed for the first time that infliximab reduces phosphorylation of RTKs such as EGFR, FGFR, Eph as well as those involved in T cell receptor signalling such as ZAP-70 and Lck.

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For the sunshine you each bring into my life

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# List of abbreviations

ADAM17	A disintegrin and metalloproteinase
ADCC	Antibody Dependent Cytoxicity
AJ	Adherens junctions
ATGI6LI	Autophagy gene 16 Like 1
APC	Antigen presenting cells
CARD	Caspase activation and recruitment domain
CD	Crohn's disease
CDAI	Crohn's disease activity index
CDC	Complement Dependent Cytotoxicity
СНО	Chinese hamster ovary
CRP	C-Reactive Protein
CSF	Colony stimulating factor
DMSO	Dimethyl sulfoxide
DC	Dendritic cell
DSS	Dextran sulphate sodium
EDTA	ethylenediaminetetraacetic acid
Eph	Erythropoietin producing hepatocellular
Eph R	Erythropoietin producing hepatocellular Receptor
EGFR	Epidernal growth factor receptor

ELISA	Enzyme-linked immunosorbent assay
FACS	Fluorescence activated cell sorting
FAE	Follicle associated epithelium
FGFR	Fibroblast growth factor receptors
FLT3	A receptor tyrosine kinase required for dendritic cell development
FSC	Forward scatter
GALT	Gastrointestinal associated lymphoid tissue
GC	Germinal centres
GDF-1	Growth differentiation factor-1
GI	Gastrointestinal
GM-CSF	Granulocyte macrophage colony-stimulating factor
HBSS	Hanks's balanced salt solution
HER	Heregulin is one of a family of ligands with tumour initiating
	potential
HGFR	Hepatocyte growth factor receptor
HLA	Human leukocyte antigen
HRP	Horseradish peroxidase
IBD	Inflammatory bowel disease
IE	Intestinal epithelium
IFN	Interferon

IFX	Infliximab
Ig	Immunoglobulin
IGF-IR	Insulin-like growth factor -1 receptor
IL	Interleukin
Ins R	Insulin R
ITAM	Immunoreceptor tyrosine-based activation motif
JAK	Janus Kinase
LP	Lamina propria
LPMCs	Lamina propria mononuclear cells
LPS	Lipopolysaccharide
М	Microfold
MAP	Mitogen-activated protein
МАРК	Mitogen-activated protein kinase
M-CSFR	Macrophage colony stimulation factor receptor
MFI	Mean fluorescence intensity
МНС	Major histocompatibility complex
MMP	Matrix metalloproteinase
MLN	Mesenteric lymph node
MyD88	Myeloid differentiation factor 88
NADPH	Nicotinamide adenine dinucleotide phosphate-oxidase

NFKB	Nuclear factor kappa B
NK	Natural killer
NLR	Nod-like receptor
NO	Nitric oxide
NOD	Nucleotide-binding oligomerisation domain
PAMP	Pathogen associated molecular pattern
PBMCs	Peripheral blood mononuclear cells
PBS	Phosphate buffered saline
PCR	Polymerase chain reaction
PDGFR	Platelet derived growth factor
PLC	Phospholipase C
PMA	Phorbol 12-myristate 13-acetate
PP	Peyer's patches
PRR	Pattern recognition receptor
PSA	Polyssacharide A
RA	Retinoic acid
RNA	Ribonucleic acid
RPMI	Roswell Park Memorial Institute-1640
	medium
RTK	Receptor tyrosine kinase

SCID	Severe combined immunodeficiency			
SED	Subepithelium dome			
SFB	Segmented filamentous bacteria			
SSC	Side scatter			
STAT	Signal transducer and activator of			
	transcription			
TACE	Tumour Necrosis Factor Alpha			
	converting enzyme			
ТАМ	Tumour-associated macrophage			
TED	Trans epithelial dendrites			
TGF	Transforming growth factor			
Th1	T helper 1			
Th2	T helper 2			
Th17	T helper 17			
TJ	Tight junctions			
TIMP	Tissue inhibitors of metalloproteinases			
TLRs	Toll-like receptors			
TNF	Tumour necrosis factor			
TNFRI	Tumour Necrosis Factor Receptor I			
TNFRII	Tumour Necrosis Factor Receptor II			
Tregs	T regulatory cells			

TSLP	Thymic stromal lymphopoietin				
UC	Ulcerative colitis				
VEGFR	Vascular	endothelial	growth	factor	
	receptor				

### **1** General Introduction

### **1.1** The Intestinal Immune System

#### 1.1.1 The Gut Mucosal Immune System

The gastrointestinal tract is the largest immune organ in the body and is responsible for absorbing nutrients as well as maintaining the integrity of the mucosal barrier to potentially harmful antigens and organisms. However, it is also important that tolerance is induced to both innocuous antigens to prevent potentially harmful and inappropriate inflammation as well as tolerance to commensal microorganisms that are required for digestive function (Mowat, 2003). The cross talk of cells of the innate and adaptive immune system with the microbiome, in combination with the specialised properties of the cells in the intestine, all contribute to immune homeostasis.

In the classic paradigm of mucosal immunity, responses are divided into inductive and effector sites against antigens from the gut lumen. Briefly, the inductive sites are the Peyer's patches (PP), where luminal antigens are sampled by M cells and dendritic cells, and presented to naïve T and B cells. The sensitised cells migrate to the mesenteric lymph nodes (MLN), traverse the thoracic duct to enter the blood stream where they home to the intestinal lamina propria using specific adhesion molecules and chemokines.

The glycocalyx forms the first physical barrier to the luminal contents of ingested nutrients and the microbiome. It is composed of mucus produced by goblet cells and anti-microbial peptides released by Paneth cells. The thick consistency of mucus traps microorganisms preventing their invasion. The intestinal epithelium (IE), beneath the glycocalyx is a single layer of cells that originate from multipotent stem cells located within the intestinal crypt. The epithelial cells have a number of adhesive properties to maintain the barrier, such as cadherins, desmosomes and tight junctions (Garcia-Hernandez et al., 2017). The epithelium absorbs nutrients and can produce cytokines and chemokines, which help maintain the balance between tolerance and inflammation. The selective permeability of the IE is achieved via the transcellular and paracellular pathways. The transcellular pathway operates via specialised transporter mechanisms located on the apical and basolateral surfaces (Kiela and Ghishan, 2009). The paracellular pathways are associated with the transport in the intercellular space between adjacent epithelial cells. It is composed of tight junctions (TJs) and adherens junctions (AJs), which form the apical junctional complex. Adherens junctions together with desmosomes are responsible for forming strong, adhesive, intercellular bonds (Baum and Georgiou, 2011). Permeability, on the other hand is determined by the TJs. Four integral transmembrane proteins have been identified; occludin (Furuse et al., 1993), junctional adhesion molecule (JAM) (Martin-Padura et al., 1998), claudins (Furuse et al., 1998) and tricellulin (Ikenouchi et al., 2005). The intracellular domains are anchored to the peri-junctional actomysin ring via the interaction with an intracellular protein such as zonular occludens (ZO) proteins. The extra-cellular component of the protein forms the barrier.

The intestinal epithelium is composed of various cell types with specialised functions, namely enterocytes which are primarily involved in the absorption of nutrients; goblet cells, which contain trefoil peptide factors, important in intestinal repair (Taupin and Podolsky, 2003). Paneth cells, found at the bottom
of crypts, secrete antimicrobial peptides which regulate the gut microbiota as well as growth factors involved in maintenance of neighbouring stem cells. The epithelium also contains tuft cells whose function has previously been unclear, but has recently been shown to initiate type 2 responses to parasites by secreting IL-25 (Gerbe et al., 2016). IL-25 activates tissue resident group 2 innate lymphoid cells (ILC2s) to secrete IL-13 which acts on the crypt progenitor cells to promote differentiation of tuft and goblet cells (von Moltke et al., 2016). Finally, enteroendocrine cells sense nutrients (Gribble and Reimann, 2016).

Microfold (M) cells located within the epithelium play a vital role in the follicular associated epithelium (FAE) of Peyer's patches. Peyer's patches are organised into 3 regions namely the FAE, which consists of a single cell layer of epithelial cells adjacent to the intestinal lumen; the subepithelium dome (SED) underlying the FAE; and B cell follicles and T cells areas (Victora et al., 2012). Ten per cent of the epithelial cells are M cells (Kanaya et al., 2012). M cells are specialised phagocytic cells in the FAE, which capture luminal antigens and present them to the immune cells in the dome. M cells differ from other epithelial cells in that the glycocalyx overlying them is thinner making it easier for them to phagocytose antigens. They also have an irregular brush border and smaller microvilli. They phagocytose and transcytose antigen across the epithelium where the antigen enters intraepithelial pockets beneath the M cell basolateral membrane which contains lymphocytes and mononuclear phagocytes.

Mononuclear phagocytes are composed of dendritic cells and macrophages. There is a substantial overlap in the surface phenotype of DCs and macrophages and differentiating between them can be difficult. For example CD11c and MHC class II are expressed by both macrophages and DCs. However the expression of CD64 and Flt3 can identify cells as macrophages, as opposed to CD64-, Flt-cells which are DCs (Bain et al., 2013).

Macrophages are found in the lamina propria throughout the entire GI tract and are located predominantly close to the epithelium. They capture and destroy material breaching the intestinal barrier due to their location and their phagocytic and bactericidal properties (Smythies et al., 2005). Trans epithelial dendrites (TEDs) extend their processes into the lumen to capture antigen; although these cells were initially thought to be dendritic cells due to their expression of CD11c and MHC class II, it is now known that the trans epithelial dendrites originate from CXCR1+ macrophages (Niess et al., 2005). In the steady state intestinal macrophages are hypo responsive to cytokine and Toll-like Receptor (TLR) activation. CD103+ dendritic cells in the lamina propria promote Foxp3+ regulatory T cells in the presence of retinoic acid and TGF $\beta$ , whereas CD103-dendritic cells drive T cells to produce pro-inflammatory cytokines such as IL-6 and TNF $\alpha$  (Ng et al., 2010).

One of the cytokines produced by epithelial cells is thymic stromal lymphopoietin (TSLP) which exerts its biological activities by binding to IL-7 receptor IL7R $\alpha$  and the specific TSLP receptor resulting in the phosphorylation of signal transducer and activator of transcription (STAT)5 (Takai et al., 2012). TSLP promotes the development of tolerogenic dendritic cells in the lamina propria (Iliev et al., 2009) which induce the differentiation of naïve T cells into

Foxp3+ Tregs and block the development of Th1 and Th17 cells (Rescigno and Iliev, 2009).

Naive T cells are presented with microbial antigens either in the Peyer's patches or in the MLN by antigen-loaded dendritic cells which have migrated to the MLN via the afferent lymphatics resulting in the priming and expansion of effector T cells. The primed T cells then exit the MLN via the efferent lymphatics, return to the circulation via the thoracic duct and home to the gut lamina propria.

The trafficking of T cells to the appropriate organs is a highly regulated process mediated by members of the selectin, integrin and chemokine protein family. Selectins expressed on the endothelial surface and selectin ligands on the circulating leucocyte bind loosely, tethering the leukocyte to the endothelium. Chemokines activate integrins, which then form a tight bond to their specific adhesion molecules, which enables the extravasation of the leukocyte across the endothelium.

Different combinations of chemokines and integrins are found in different parts of the body to ensure that leukocytes are recruited to the areas needed. Cells expressing CCR9 and  $\alpha 4\beta 7$  generated in the PP migrate back to the intestinal lamina propria. CCR9 binds to the ligand CCL25 that is preferentially secreted by the small intestinal epithelium (Bekker et al., 2015).

The integrin  $\alpha 4\beta 7$  binds mucosal addressin cell adhesion molecule-1 (MAdCAM-1). The expression of CCR9 and  $\alpha 4\beta 7$  is induced by dendritic cells in Gut Associated Lymphoid Tissue (GALT). Iwata et al., (2004) showed that

this was mediated by retinoic acid (RA), a metabolite of vitamin A. Vitamin A deficient mice have few T cells in the small intestinal lamina propria (Iwata et al., 2004). Retinoic acid also induces gut-homing IL-10 producing regulatory T cells (Bakdash et al., 2015). DCs in GALT express RALDH, the key enzyme in converting retinol into retinoic acid, while DCs at other sites do not. Retinoic acid binds to cognate nuclear receptors which act as transcription factors to drive  $\alpha 4\beta7$  and CCR9 gene expression.

The epithelium also contains a large number of lymphocytes, mostly CD8+ T cells and is enriched in gamma delta T cells. These cells are thought to be able to kill virally infected epithelial cells. Space precludes a detailed discussion of these cells because there is very little evidence they play a role in IBD.

# 1.1.2 The Effector Site of Mucosal Immunity- The Lamina Propria

The lamina propria is the effector site of mucosal immune responses. After interaction with APCs, T cells receive signals via their T cell receptors (TCRs), co-stimulatory molecules and a complex network of cytokine signals and undergo activation and differentiation from naïve T cells into effector CD4+ T cells. One of the most important signalling pathways, which transduce cytokines, is the JAK-STAT pathway (Soldevila et al., 2004). The pathway consists of the Janus kinase (JAK) proteins JAK1, JAK2, and TYK2 and signal transducer and activator of transcription (STAT) proteins one to six. JAK activation occurs following ligand binding to a cell surface receptor, which brings two JAKS into close proximity. This results in phosphorylation of tyrosine residues on STATS, which are latent transcription factors located in the cytoplasm. The activated STAT enters the nucleus and activates or represses transcription of target genes.

This provides a mechanism to translate an extracellular signal into a transcriptional response. The suppressors of cytokine signalling (SOCS) proteins are inhibitors of STAT proteins by interacting with Janus kinases to prevent STAT activation. (Nicholson et al., 1999).

The main types of effector T cell lineages are Th1, Th2, Th17 and Tregs and their differentiation is related to particular cytokines and transcription factors.

# 1.1.2.1 Th1 cell development

Dendritic cells secrete IL-12 on exposure to pathogens, which binds to the IL-12 receptor on the naïve T cell, upregulates the Th1 transcription factor T- box expressed in T cells (T-bet), and down-regulates GATA3, the transcription factor for Th2 cells. IL-12 activates STAT4 signalling giving rise to the transcription and secretion of IFN $\gamma$ . In addition Th1 cells secrete TNF $\alpha$  and IL-2 to regulate cell-mediated immunity, such as to intracellular pathogens. T-bet expression is central in the balance between susceptibility to infection and autoimmunity. T-bet deficient mice are resistant to autoimmune diseases such as diabetes and colitis, but are more susceptible to mycobacterial and viral infections (Powell et al., 2010).

## 1.1.2.2 Th2 cell development

Following CD4+ activation through the TCR, the activation of STAT6 by IL-4 leads to the transcription of GATA-3 which results in the release of IL-4, IL-5, IL-10 and IL-13 which promote B cell function. IL-4/ STAT6 signalling in PP leads to class switching to IgA. TGF-beta is also an Ig switch factor (Seo et al., 2009).

#### 1.1.2.3 Th17 cell development

Th17 cells require TGF $\beta$ , IL-21, and IL-6 (rather than IL-17) (Bettelli et al., 2006). Th17 cells produce IL-17 and IL-22 providing defence against extracellular pathogens. They express a specific transcription factor ROR gamma t.

# 1.1.2.4 T regulatory cell development

T regulatory cells are a population of T cells responsible for suppressing the proliferation of effector T cells and the production of Th1 and Th2 cytokines. T reg cells normally constitute 1-10 % of the T cell population. Natural T regs are thymus derived and are characterized by their expression of CD4, CD25 and have high expression of the transcription factor Foxp3. They are suppressed by both cytokines (ie IL-10) and contact dependent pathways (CTLA-4). Inducible Tregs are the CD4 subset, which become regulatory when they see antigen in the presence of TGF $\beta$ . They are also FoxP3+ and suppress by making TGF $\beta$ . Recent studies have shown that numbers of functional Tregs in the gut in IBD are the same as in normal gut, the high expression of smad7 in effector cells makes them resistant to TGF $\beta$  made by Tregs (Fantini et al., 2009).

# 1.1.3 The Intestinal Microbiome

The intestinal microbiome consists of up to  $10^{13}$  microorganisms maximally concentrated in the colon (MacDonald et al., 2011b). These are made up of just a few main phyla, with the two most dominant being Bacteroidetes and Fermicutes, and at lower numbers of the phyla Actinobacteria, Proteobacteria, Fusobacteria and Verrocomicrobia (Eckburg et al., 2005). The proportions of

these are dynamic within an individual and vary between individuals depending on the type of birth, diet, age and antibiotic use. The microbiome consists of transient and permanent species depending on their ability to colonise the gut. Permanent microbiota have adapted to establish a mutual relationship with the host and do not usually survive as free-living organisms. These are true commensals. Transient microbiota on the other hand do not have a mutualistic relationship with the host but survive despite host defences. The effect can be innocuous, pathogenic or beneficial.

Commensal bacteria are beneficial to the host by competing for space and nutrients within the lumen and thereby preventing other pathogenic bacteria increasing in numbers that could be harmful to the individual. The microbiome also provides nutritional benefits to the host such as synthesizing vitamins (Kau AL et al., 2011) and ferment fibre to produce short chain fatty acids, such as butyrate, which is a major energy source for colonocytes, and stimulates colonic blood flow and electrolyte exchange (Bhat and Kapila, 2017). Butyrate is also an inhibitor of histone deacetylation which affects transcription of pro- and anti-inflammatory genes (MacDonald et al., 2011b). However it is becoming increasingly clear that their presence is also vital in developing the gut immune system. Indeed germ free mice have small Peyer's patches, and have very low numbers of T cells and plasma cells in their gut, which increases following introduction of microbes (Hooper et al., 2001).

The intestinal microbiota influences mucosa and T-cell responses. T-helper type I (Th1) and Th2 cells control intracellular microorganisms and helminths respectively. Th17 cells protect the host from bacterial and fungal infections

(Ivanov et al., 2008). Ivanov et al., (2008) initially showed the direct association between the presence of a single microbe, the segmented filamentous bacterium (SFB), in the small intestine of mice and the accumulation of Th17 cells in the small intestine lamina propria, and their ability to mount effective Th17 responses. Germ free mice have significantly impaired mucosal and systemic Th17 responses. Mice treated with antibiotics also show a reduction in Th17 cells in the SI LP. The reduction in Th17 cells was inversely proportional to T reg cell numbers in the SI LP (Ivanov et al., 2009).

SBF are different from other gut commensals in that they adhere to the ileal epithelium (Atarashi et al, 2015). Other bacterial species, which are able to adhere to the epithelium, are also able to induce Th17 cell responses. These include E.coli 0157 and Citrobacter rodentium (Atarashi et al., 2015). However mutant forms of E.coli 0157 deficient in a protein required for epithelial adhesion, called intimin, are unable to adhere to the IE and fail to induce Th17 responses (Atarashi et al., 2015).

Recent findings by Sano et al., (2015) demonstrate that the induction of Th17 cells by SFB is due to the expression of a protein called serum amyloid A (SAA) protein 1 and 2 on epithelial cells in the ileum.

The induction of T reg cells is associated with the non-pathogenic Clostridium clusters XVIa and IV (Atarashi et al., 2011). Treg cells of mice colonised with these clusters also contain a large number of Helios- Foxp3+ cells suggesting that the Clostridium IV clusters may induce colonic iTreg conversion. Additionally these cells also express high levels of CTLA-4 on their surface and make IL-10 (Atarashi et al., 2011). Round and Mazmanian, (2010) showed that

polysaccharide A (PSA), an immunomodulatory molecule produced by Bacteroides fragilis increases expression of IL-10 and requires TLR2 signalling. PSA has over the years been shown to have many apparently conflicting functions in the gut and its real role has not yet been discovered.

#### **1.1.4 Pattern Recognition Receptors (PRRs)**

PRRs recognise conserved structures of bacteria and viruses, called pathogen associated molecular pattern (PAMP)s, and alert the host to a potential infection. PRRs include transmembrane Toll-like receptors (TLR) and intracytoplasmic receptors such as NOD receptors. There are 10 TLRs and their distribution varies throughout the gut. TLR5 is basically expressed in the colonic epithelium and recognises invasive flagellated bacteria, whilst TLR2 and TLR4 are found predominantly in the colonic crypts. TLR3 is expressed in mature enterocytes in the small and large bowel (Elia et al., 2015). Alternatively NOD1 and -2, also known as CARD 4 and -15 are found in the cytosol of epithelial cells. Following injury or ulceration, PRRs are exposed to PAMPs such as lipopolysaccharide (LPS). Recognition of LPS by TLR4 and its co-factors CD14 and MD2 triggers signalling through MYD88 and results in NFkB and MAPK activation. Mice lacking either TLR2, TLR4, TLR9, or Myd88 are highly susceptible to DSS colitis (Rakoff-Nahoum et al., 2004). TLR4-deficient mice have decreased proliferation following DSS injury than wild-type mice. TLR4 expression is reduced in the IEC and LPMCs in IBD (Abreu, 2010). Activation through TLR4 expression leads to increased TNF $\alpha$  production, as well as phagocytosis and translocation of bacteria. TLR2 and 4 are expressed at low levels by IECs in the normal colon and TLR3 in the small intestine and normal colon.

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## **1.2 Inflammatory Bowel Disease**

Inflammatory bowel disease consists of 2 main conditions, Crohn's disease (CD) and Ulcerative Colitis (UC), which are chronic relapsing and remitting diseases affecting 261,000 and 115,000 people in the UK respectively (NICE 2013). The aetiology is incompletely understood, but appears to be a complex combination of genetic and environmental interactions which result in immune dysfunction. Although there are similarities between UC and CD they are in fact different diseases. The challenge that they present is the increasing incidence worldwide (Molodecky et al., 2012) and the limitations of current treatments.

UC and CD are both relatively recent diseases whose incidence has increased dramatically since the 1950s. Both are more common in Northern Europe and North America (Bernstein et al., 2006b) (Bernstein et al., 2006a) although the incidence is increasing in Asia (Thia et al., 2008) and Eastern Europe (Ng et al., 2015) . It has also been traditionally more common in Caucasians, and genetic mutations in NOD 2, which are found in 15 % of CD individuals, are only found in Caucasians. However the incidence in Caucasians is also environmental, as the incidence in 2<sup>nd</sup> generation Asians in the UK is greater than resident Caucasians (Probert and Brown, 2008). The age of onset is different for UC and CD. UC develops throughout life whereas CD has two thirds of cases diagnosed in late adolescence and early adulthood. The age range of onset appears to be changing with increasing presentations in childhood as well as in elderly patients (Nguyen et al., 2017). There is a slight female preponderance in CD, which is not seen in UC. Smoking is a risk factor for CD (Calkins, 1989) as well as being associated with earlier recurrence following surgery and poorer response to

medical treatment. Smoking in UC however, is protective (Ponder and Long, 2013). Appendectomy before the age of 20 is also strongly protective against UC (Baron et al., 2005)

# 1.2.1 Clinical and Histological Features of IBD

The main symptoms of Crohn's Disease are diarrhoea, abdominal pain and weight loss. Unexplained anaemia and growth failure in children may also be the only presenting features. The symptoms are often non-specific and chronic although acute abdominal pain raising the possibility of acute appendicitis can also be due to CD. Ulcerative colitis also presents with diarrhoea and abdominal pain, although bleeding per rectum is a more predominant feature than in CD, likely due to the different parts of the gut affected.

Crohn's Disease can affect any part of the intestine from the mouth to the anus, often discontinuously, although it more commonly affects the terminal ileum. Ulcerative colitis initially affects the rectum and can extend proximally to involve the whole colon. Both UC and CD are associated with extra intestinal features in up to 50% of patients. These include arthralgia, uveitis, pyoderma gangrenosum (Weizman et al., 2014) as well as other life-threatening conditions such as venous thrombo-embolism and primary sclerosing cholangitis. Extra intestinal manifestations are generally more common in active disease with the exception of ankylosing spondylitis and uveitis. CD is also associated with fistulas between loops of bowel or external and internal surfaces as well as abscesses (Yamamoto and Watanabe, 2014).

Diagnosis is based on symptoms, examination, blood tests suggesting inflammation, and imaging. The gold standard is endoscopic and histopathological evidence of inflammation. Biopsies favouring a diagnosis of CD show the presence of granulomas, show patchy lesions, a normal mucosal surface and predominantly involvement of the cecum and ileum (Feakins, 2014). In UC on the other hand, the inflammation and ulceration is limited to the mucosa, there is lymphocytic but a mainly granulocytic infiltration and crypt abscesses. There is a loss of goblet cells from the epithelium and extensive epithelial cell damage (DeRoche et al., 2014). Although CD and UC are 2 separate diseases, the overlap in their presenting symptoms and areas of the bowel affected can make diagnosis difficult. In fact in some patients the diagnosis is changed (Prenzel and Uhlig, 2009).

In CD, inflammation extends from the mucosa into the deeper muscle layers. In addition to inflammation, fibrosis is also seen in areas of previous inflammation and characterised by an excessive deposition of extracellular matrix proteins (Biancheri et al., 2015). Although the tissue can heal without the development of fibrosis, chronic inflammation and the repeated healing required appears to be associated with the development of fibrosis (Rieder et al., 2017).

In UC the rectum is always affected and the disease can extend proximally to involve the whole of the colon. Twenty per cent of patients with UC have pan colitis which is associated with an increased risk of developing colon cancer (Munkholm, 2003).

#### 1.2.2 Management of IBD

Management is both medical and surgical, although the need for surgery has reduced since the introduction of anti-TNF antibodies (Vogelaar et al., 2009). Treatment varies depending on the site and severity of disease and whether the treatment is to induce or maintain remission. The majority of drugs are used for both CD and UC although their indications vary slightly. Thus an accurate diagnosis is vital prior to treatment.

Steroids are used in the acute phase in mild disease in CD in order to induce remission. Enteral therapy is used instead of steroids in children, however its efficacy in adults is limited, possibly due to poor compliance (Penagini et al., 2016). In ulcerative colitis steroids may be required to induce remission in the acute phase. Mesalazines, either topically for left sided disease, or orally for more extensive disease, are the mainstay of treatment in UC. In both CD and UC more powerful immunosuppressants such as azathioprine, 6 mercaptopurine or less frequently methotrexate, are introduced if steroids or aminosalicylates are insufficient to induce remission, or if relapse occurs after cessation of steroid therapy (Timmer et al., 2016).

In a proportion of patients with IBD in whom azathioprine is ineffective anti-TNF treatments are possible. Those with clinical features of a poor prognosis such as severe endoscopic lesions, age less than 40 years, ileal or ileocolonic location and perianal disease at time of diagnosis, should be considered for anti-TNF early (Jauregui-Amezaga et al., 2015). Anti-TNF treatment will be considered in a separate section. Surgical intervention for CD is considered in individuals presenting with bowel obstruction, localised peritonitis thought to be due to appendicitis (Gomollón et al., 2017) (Yarur et al., 2014), and in individuals with strictures amenable to surgery. Surgery plays an important role in the complications of CD such as strictures, fistulae and abscesses. There is no medical therapy shown to be effective in fibrosis, although endoscopic dilatation can be used if the strictures are short. Anti-TNF antibodies are used to help close fistulae and are effective in up to 60% of patients (Allocca et al., 2017).

In UC, fewer individuals have surgery than in CD, (Singh et al., 2015). This may however occur at first presentation with severe colitis, or in those patients in whom medical therapy is not an option. It is thought that surgical intervention is reducing with the use of biologics (Fu et al., 2014).

# 1.2.3 Genetics of IBD

The incidence of CD and UC is increased in first-degree relatives compared to the general population, and the incidence of CD particularly, is increased amongst monozygotic twins compared to dizygotic twins (38% concordance compared to 2% respectively); whereas in UC the concordance rates are 15% and 8% respectively (Halfvarson, 2011). However the absence of simple Mendelian inheritance suggests that multiple gene loci are responsible and that the pathophysiology is multifactorial. In 2001, Hugot et al., (2001) and Ogura et al., (2001) discovered that mutations in the gene encoding NOD (nucleotide oligomerization domain) 2, also known as caspase activation and recruitment domain (CARD) 15 was associated with CD in 15% of patients. NOD2 consists of two amino-terminal caspase recruitment domains (CARDs), a centrally located domain, and multiple leucine-rich repeats (LRRs) at its carboxyterminal. Three different mutations were found in NOD2, all located near the leucine rich repeat (LRR) region (Hugot et al., 2001). Nucleotide oligomerization domain is expressed in gut epithelial cells, antigen presenting cells (macrophages and dendritic cells) and Paneth cells (Strober et al., 2014). NOD2 acts as an intracellular receptor for the peptidoglycan components of gram-positive bacteria and the mutations result in loss of function (van Heel et al., 2005). Activation leads to the activation of NF $\kappa$ B and mitogen-activated protein (MAP) kinase pathways and increases the production of proinflammatory cytokines such as TNF $\alpha$  and IL-1 $\beta$ . The mutations are only found in Caucasians with CD and are associated with an increased incidence of ileal fibrotic disease (Radford-Smith and Pandeya, 2006). Individuals and homozygous for a single NOD2 mutation or compound heterozygotes have a 20-40 fold increase in the risk of developing CD. However it is important to emphasise that many healthy individuals carry the same NOD2 mutations. NOD1, although structurally similar to NOD2 does not play a major role in disease susceptibility (Zouali et al., 2003).

Since the advent of genome wide association studies, 163 different IBD loci containing gene variants either over-expressed or under expressed in IBD have been identified. The genes cluster into groups with different functions. NOD2 for example or the autophagy genes, ATG16L1 and IRGM relate to bacterial handling (Parkes et al., 2007). The IBD3 region (6p21.1-23) is an area that encompasses the human leukocyte antigen (HLA) genes and is associated with UC and CD (Muro et al., 2014). This region also encodes TNF $\alpha$  and the TNF $\alpha$  receptor. A polymorphism in the TNF $\alpha$  receptor was found to be associated with

infliximab response in Japanese patients (Matsukura et al., 2008). The Immunochip study led to the validation of a total of 163 genetic loci containing susceptibility to IBD (Jostins et al., 2012). IBD loci are shown in Table 1.

		Cellular innate immunity	Immune mediated	Other		Immune mediated	Other	HLA	TH17		Immune mediated	Other	Epithelial barrier
	CD	NOD2	IL2RA	GCKR		IL10	CRMD3	DRB103	STAT3J	AT3J 23R 12B 12B 12B 12B 12 172 172 17 17 1 1 1 1 1 1 1 1 1 1 1			
		IRGM	IL18RAP	THADA		CARD9	RTEL1		L23R		IL8RA / IL8RB	OTUD3 / PLA2G2E	ECM1
		ATG16	IL27	SP140		MOTH	DECEDI		H 10D				
		LRRK2	PTPN22	PRDX5		MSII	PIGER4	-	IL12B		IL2 / IL21	PIM3	HNF-4A
			CCR6	ZPF36L1		REL	KIF21B	-	AK2				
			ERAP2	ZIMZ1		ICOSLG	NKX2-3		FUT2		IFNY / IL26	DAP	CDH1
			CCL2/CCL7	CPEB4	_ IBD -	IL1R2	CREM		TYK2		IL7R	CAPN10	LAMB1
			TNFSF11	FADS1		YDJC	CDKAL1	-			TNFRSF9	JAK2	GNA12
			BACH2	TAGAP		PRDM1	STAT3	-			TNFRSF14		
			ITLN1	DENNDIB		TNFSF15	ZNF365	-			IDES		
			TAGAP	DNMT3A		SMAD3	PSMG1				11173		
						PTPN2					LSP1		
			VAMP3	MUC1/ SCAMP3		TNFRSF6B					FCGR2A		

Table 1.1 Inflammatory bowel disease (IBD) loci, represented by lead gene name, according to pathway. (Ek et al, 2014)

# **1.2.4** The Microbiome in IBD

There have been huge advances recently in the understanding of the relationship between the gut microbiome and IBD (especially CD) with the advent of next generation sequencing. Although the association between IBD and microbes has been suspected for many years due to clinical observations. Firstly the majority of lesions are seen in the areas of the bowel in which the microflora are most abundant, such as the terminal ileum and colon. The use of metronidazole, an antibiotic, is an effective treatment of peri-anal CD (Rutgeerts et al., 2004) Crohn's disease has been successfully treated if the area of inflamed bowel is diverted, and lesions return when the faecal stream is reintroduced into the bowel (Flanagan et al., 2012), (Sauk et al., 2014). Bacteria, which are associated with the mucosa such as Bacteroides fragilis, have been found in increased numbers in the biofilm of IBD patients compared to controls (Swidsinski et al., 2005). Dubinsky et al., (2006) showed that raised immune responses to microbial antigens were associated with a more aggressive phenotype in paediatric CD. There is still controversy as to the pathogenesis of the microbiota and IBD. The proposed theories are discussed below.

# 1.2.4.1 Functional changes in commensal bacteria

Some strains of commensal bacteria are able to adhere to and invade intestinal epithelial cells such as a more virulent strain called AIEC (adherent/ invasive E. coli). AIEC showed the ability to survive and replicate within macrophages in ileal CD (Baumgart et al., 2007) and increased numbers of E. coli are associated with reduced time before relapse (Lopez-Siles et al., 2014) and active disease (Mylonaki et al., 2005). The receptor, which enables ileal colonisation in CD by AIEC, was identified by (Barnich et al., 2007) as CEACAM6. In addition its expression is activated by TNF $\alpha$  and IFN $\gamma$ .

# 1.2.4.2 Commensal Microbiota Dysbiosis

The microbiome in IBD patients is less diverse than normal patients. Species which are reduced in IBD are clostridium species and Faecalibacterium praunitzii (Sokol et al., 2009), (Joossens et al., 2011). Low numbers of F prausnitzii are associated with post- resection relapse in CD, which is likely related to its purported ability to stimulate secretion of IL-10 by dendritic cells

(Wu et al., 2017). Nonetheless there are many who consider that the changes in the microbiota seen in IBD are secondary to inflammation, and that to imply that a dysbiosis is responsible for immune mediated diseases is far fetched.

#### 1.2.4.3 Defective containment of commensal bacteria

NOD2, which is predominantly expressed in Paneth cells, senses muramyl dipeptide (MDP) in the cytoplasm and triggers downstream signalling pathways such as NF $\kappa$ B thus initiating antimicrobial activities. NOD2/CARD15 polymorphisms in CD prevent the effective binding of bacterial peptidoglycan and reduce the production of antimicrobial  $\alpha$ -defensins and subsequent defective clearance of invasive bacteria (Wehkamp et al., 2005).

#### 1.2.4.4 Defective host immunoregulation

IBD patients have aggressive T cell responses to commensal bacteria and fail to develop tolerance to oral antigens (Sartor and Muchlbauer, 2007). The NOD2/ CARD15 mutation reduces effective clearance of bacteria. Finally IBD in IL-2 null mice and IL-10 null mice depends on the presence of a normal gut flora. When the mice are placed in a germ-free environment they do not develop colitis but when they are exposed to either a single species of bacteria or a mixed bacterial colonisation they develop colitis very quickly (Podolsky, 1997). In 2007 two autophagy related genes, immune-related GTPase family M protein (IRGM) and autophagy-related 16-like 1 (ATG16L1), were identified and associated with CD (Hampe et al., 2007), (Massey and Parkes, 2007). These are variants that control autophagy, the breakdown of bacteria by the autophagosome. Saitoh et al., (2008) showed that in mice knocked out for ATG16L, a protein necessary for autophagic recruitment, TLR and TNF were stimulated leading to abnormal inflammasome activity in innate immune cells.

## 1.2.5 Adaptive Immunity in IBD

In CD there is an excessive Th1 response. CD4+ cells release large amounts of IFN $\gamma$ , and TNF $\alpha$ , and Th1 inducing cytokines such as IL-12 and IL-18 are also increased. Rovedatti et al., (2009) showed that there is an increase in the number of Th17 and Th1/Th17 cells in IBD. In health, lamina propria T cells would normally undergo apoptosis in the absence of the peptides that activated them in PP, but in IBD, the presence of luminal peptides and survival cytokines such as IL-2, IL-7 and IL-15 allow the cells to persist and continue to secrete cytokines (MacDonald et al., 2011a). The large amounts of TNF $\alpha$  and IFN $\gamma$  induce macrophages to fuse and become epithelioid giant cells and form granulomata. Resident myofibroblasts activated by pro-inflammatory cytokines produce matrix metalloproteinase (MMP)-3 that causes local mucosal damage and ulceration (Pender et al., 1997), (Salmela et al., 2002). MMP3 is increased in the fistulous tracts of patients with CD (Scharl and Rogler, 2014) possibly due to increased IL-17A expression (Biancheri et al., 2013). The defective barrier allows microbes to infiltrate and exposes T cells and APC in the lamina propria to an increased amount of antigen that perpetuates the inflammatory cycle. Regulatory T cells have been shown to be vital in inhibiting inflammation in animal models of IBD and an inflammatory enteropathy similar to CD occurs following mutations in FOXP3 gene and results in no Tregs (Bennett et al., 2001).

#### **1.3** TNFα and IBD

The most important advance in IBD has been related to TNF $\alpha$ . Tumour Necrosis Factor  $\alpha$  is a key cytokine in inflammation. It was initially found to be increased in the serum of children with an acute episode of UC and colonic CD (Murch et al., 1991). Following this TNF $\alpha$  secreting cells were increased in inflamed mucosa of CD and UC children (Breese et al., 1994). It was a step change in IBD therapy when (van Dullemen et al., 1995) showed that a single infusion of an anti-TNF $\alpha$  human/mouse chimeric monoclonal antibody (later called infliximab) led to the normalisation of the CDAI scores and mucosal healing in 8 out of 10 Crohn's patients. The clinical response to a single dose of infliximab was still evident 12 weeks after the infusion (Targan et al., 1997). Infliximab is effective in fistula healing (Yang et al., 2015). It was later shown to be effective in UC as well (Armuzzi et al., 2004).

#### **1.3.1** TNFα production

TNF $\alpha$  is produced mainly by macrophages but also by CD4+ T cells, NK cells, neutrophils and eosinophils. TNF $\alpha$  can regulate enterocyte growth and alter the permeability of the epithelial barrier (Feng et al., 2013). TNF $\alpha$  also stimulates the production of MMPs and other tissue remodelling enzymes in the intestinal mesenchymal cells that are central to regulating epithelial cell function (Salmela et al., 2002).

Tumour Necrosis Factor alpha exists in two forms, initially as a trimer on the cell membrane called membrane  $TNF\alpha$ , and after cleavage from the cell surface

by the matrix metalloproteinase TNF $\alpha$  converting enzyme (TACE/ ADAM17) into a secreted trimeric molecule, soluble TNF $\alpha$  (sTNF $\alpha$ ) (Black et al., 1997). Soluble TNF $\alpha$  is produced by activated macrophages and lymphocytes and can bind to TNFRI and TNFRII. TNFRI and TNFRII are expressed on almost all nucleated cells.

TACE also cleaves other transmembrane cytokines from the cell surface such as epidermal growth factor receptor ligands, TGF $\alpha$ , L-selectin, and IL-6 signalling pathways (Sharma et al., 2014). In addition, TACE deficient mice die at birth (Black et al., 1997). Mice with barely detectable levels of ADAM17 (due to generation of a new exon within the ADAM17 gene) show enhanced susceptibility in inflammatory bowel disease models as well as showing severe skin inflammation (Chalaris et al., 2010). The role of TACE in humans however may be less important as a TACE knockout patient has been described and leads a relatively normal life despite recurrent inflammation of the skin and bowel (Blaydon et al., 2011).

The natural inhibitor of TACE is TIMP-3, which also inhibits matrix metalloproteinases and has been shown to reduce pro-inflammatory cytokine transcripts in IBD biopsies (Monteleone et al., 2012). Virtually all cells in the body can express TACE, but it is barely detectable on the surface of most cells, suggesting that the expression of TACE on the cell surface is regulated. Erk-mediated phosphorylation has been shown to translocate TACE to the cell surface (Soond et al., 2005) and iRhom2 is also needed for surface expression (Adrain et al., 2012).

Membrane TNF $\alpha$  is constitutively expressed on Natural Killer cells but not on resting T, B cells or monocytes (Caron et al., 1999) but is expressed on T cells, macrophages and monocytes following activation (Horiuchi et al., 2010). Membrane TNF $\alpha$  can bind to both receptors but generally has a greater affinity for TNFRII. Binding of TNFRI results in activation of the caspase dependent apoptosis, whereas the binding of TNFRII signals TRAF2 and NF $\kappa$ B induction, which has anti-apoptotic effects (Atreya et al., 2011). Both these effects occur locally due to the cell-to-cell interaction. In addition to acting as a ligand, mTNF $\alpha$  can also bring about changes in the cell expressing mTNF $\alpha$  via reverse signalling (Horiuchi et al., 2010). The cytoplasmic tail of the transmembrane TNF $\alpha$  protein can trigger intracellular signals such as activating MAP kinase increasing TGF $\beta$  production (Pallai et al., 2016).

TNF $\alpha$  and particularly mTNF $\alpha$  play a major role in defending the host against bacterial, viral and parasitic infections. Various studies using transgenic mice expressing only mTNF $\alpha$  have shown the involvement of TNF $\alpha$  in macrophage migration and granuloma formation following *Mycobacterium tuberculosis* infection. Membrane TNF $\alpha$  was shown to be sufficient to allow cell-cell signalling and control of mycobacterial infection in TNF KO mice and mTNF $\alpha$ mice (Fremond, 2005).

# 1.3.2 Anti-TNFa Agents

Infliximab is a human/mouse chimeric monoclonal antibody, which is effective in UC and CD. Other TNF $\alpha$  antibodies have been developed which differ in their structure. Adalimumab is a recombinant whole antibody and certolizumab pegol is a PEGylated Fab' fragment of a humanised monoclonal antibody that binds and neutralises human TNF $\alpha$  and both are effective in IBD (Nesbitt et al., 2007). Etanercept is engineered from TNFRII coupled to the Fc receptor of IgG, and is not licensed for use in IBD due to its poor efficacy shown in a double blind trial (Sandborn et al., 2001). Although it is still not clear how anti-TNF $\alpha$ antibodies work in IBD it is helpful to consider their different structures to help understand their mechanisms of action.

# 1.3.3 Proposed mechanisms of action of Anti-TNF Antibodies

Originally TNF $\alpha$  was thought to be a key cytokine because when produced by macrophages it fed back and induced secretion of other inflammatory mediators such as IL-8 and diminished expression of adhesion molecules E-selectin and ICAM-1 (Feldman et al., 1998). Neutralising soluble TNF $\alpha$  in activated PBMC blocked this feedback loop. However this is clearly not the whole picture. The duration of the response to a single dose exceeds the half-life of the antibody. In addition, etanercept, which neutralises sTNF $\alpha$  is ineffective in the treatment of Crohn's disease (Sandborn et al., 2001). Infliximab reduces the proinflammatory cytokine IL-1 $\beta$  in PBMCs stimulated with LPS (Nesbitt et al., 2007). Other pro-inflammatory cytokines such as IL-6 and IL-8 are reduced in the serum of CD patients following treatment with infliximab (Suzuki et al., 2015).

Complement-dependent cytotoxicity (CDC) of Jurkat cells and CHO cells bearing mTNF $\alpha$  is seen with infliximab but not etanercept (Mitoma et al, 2008, Arora et al, 2009) possibly due to the lack of the CH1 domain, which contains the C1q binding site in etanercept. However Kaymakcalan et al (2009) was unable to show CDC by infliximab in activated human PBMCs.

Antibody-dependent cytotoxicity (ADCC) occurs when the Fc portion of IgG1 binds to Fc receptors on NK cells resulting in granzyme B and perforin release and ultimately target cell lysis. ADCC was seen using an mTNF $\alpha$  expressing cell line incubated with infliximab but not etanercept (Arora et al., 2009), (Nesbitt et al., 2007). The concept of reverse signalling on binding to mTNF leading to apoptosis has also been shown.

Apoptosis, also known as programmed cell death, is an important process in the regulation of the immune system. A balance of pro- and anti- apoptotic factors exist and these can be set in motion in 2 ways; via the intrinsic cell death pathway or the extrinsic pathway. P53 is a regulatory molecule found in the cytoplasm which detects cell stress and DNA damage. If this is detected, p53 translocates to the nucleus to initiate transcription of pro-apoptotic factors. The extrinsic pathway can be activated via various means such as the death receptor ligand interacting with FAS, TNF and TNFR1 interaction.

Lugering et al., (2001) were the first to show apoptosis of peripheral blood monocytes following infliximab infusions in patients with CD. Apoptosis was then shown to occur in T cells in the lamina propria 24 hours after infliximab infusion as well as in vitro using an activated Jurkat cell line cultured with infliximab (Ten Hove et al 2002). Van den Brande et al., (2003) compared infliximab and etanercept and showed that both neutralise TNF $\alpha$ , however only infliximab induced apoptosis. Increased apoptosis was also shown in mucosal biopsies 10 weeks following infliximab and was caspase dependent (Di Sabatino et al., 2004). Waetzig et al., (2005) showed apoptosis occurs via reverse signalling through mTNF $\alpha$ .

A final non-immunological explanation for the success of infliximab is that activated fibroblasts in IBD express mTNF $\alpha$  and infliximab binding induces inside out signalling and a large increase in their production of TIMP-1, the endogenous MMP inhibitor (Di Sabatino et al., 2007).

Anti-TNF $\alpha$  antibodies have allowed patients with IBD to achieve more than just symptomatic relief. Infliximab has been shown to induce mucosal-healing, and reduced the number of hospitalisations and surgery (Rutgeerts et al., 2004). The ACT I and ACT II trials showed that infliximab was also effective in steroid refractory ulcerative colitis (Rutgeerts et al., 2005). However only 50% of patients respond to infliximab and those that do respond do not all continue to do so. In addition to this, the side effects of anti-TNF $\alpha$  antibodies, principally infections, are significant. This indicates that better pharmaceutical options are still needed.

# 2 Aims

- 1. To establish whether pharmacological and endogenous TACE inhibitors reduce the production of sTNF $\alpha$  and whether other pro-inflammatory cytokines would or would not be affected.
- 2. To elucidate which cells express mTNF $\alpha$  in PBMCs and LPMCs and whether pharmacological and endogenous TACE inhibitors increase mTNF $\alpha$  expression.
- To elucidate whether infliximab reduces pro-inflammatory cytokines or causes apoptosis and the role of mTNFα in these activities.
- 4. To determine if the addition of  $TNF\alpha$  neutralising agents to mucosal biopsies from patients with IBD ex vivo has any effect on RTKs.
- 5. To establish whether the addition of infliximab to mucosal biopsies influences the production of other cytokines and chemokines.

3 Regulation of Soluble Tumour Necrosis Factor Production by Tumour Necrosis Factor Alpha Converting Enzyme (TACE) Inhibitors

# 3.1 Introduction

Tumour Necrosis Factor  $\alpha$  is a key cytokine in inflammation. It was initially found to be increased in the serum of children with an acute episode of UC and colonic CD (Murch et al., 1991). Breese et al., then showed that TNF $\alpha$ secreting cells were increased in inflamed mucosa of CD and UC children (Breese et al., 1994). It was a step change in IBD therapy when Targan et al. (1997) showed that neutralising TNF $\alpha$  reduced inflammation in CD, and later in UC by (Armuzzi et al., 2004). Since then various anti-TNF $\alpha$  antibodies have been developed and are the mainstay of treatment for steroid-dependent CD and UC.

Tumour Necrosis Factor  $\alpha$  is a unique cytokine because it exists as a trimer in 2 forms. Firstly on the cell membrane (mTNF $\alpha$ ) and as a secreted molecule known as soluble TNF $\alpha$  (sTNF $\alpha$ ). Both forms have been shown to be responsible for driving inflammation (Perrier et al., 2013). Soluble TNF $\alpha$  preferentially binds to TNF $\alpha$  receptor I whereas mTNF $\alpha$  preferentially binds to TNFRII (Rauert et al., 2010).

Soluble TNF $\alpha$  is formed when membrane TNF $\alpha$  is cleaved from the cell surface by the TNF $\alpha$  converting enzyme (TACE) (Black et al., 1997). Virtually all cells in the body can express TACE but it is barely detectable on the surface of most cells, suggesting that the expression of TACE on the cell surface is regulated. Erk-mediated phosphorylation has been shown to translocate TACE to the cell surface (Soond et al., 2005) and iRhom2 is also needed for surface expression (Adrain et al., 2012).

Tumour necrosis factor  $\alpha$  converting enzyme also known as A Disintigrin and Metalloproteinase-17 (ADAM 17) is responsible for a wide range of physiological processes in addition to TNF $\alpha$  release. These include processing adhesion proteins (L-selectin (Le Gall et al., 2009) and ICAM-1), cytokine receptors (IL-6R (Chalaris et al., 2011) and TNFR) and epidermal growth factor-receptor ligand (Dey et al., 2010). In addition, TACE deficient mice die at birth (Black et al., 1997). Mice with barely detectable levels of ADAM17 (due to generation of a new exon within the ADAM17 gene) show enhanced susceptibility to inflammatory bowel disease models as well as severe skin inflammation (Chalaris et al., 2010). The role of TACE in humans however may be less important as a TACE knockout patient has been described and leads a relatively normal life despite recurrent inflammation of the skin and bowel (Blaydon et al., 2011).

The natural inhibitor of TACE is tissue inhibitor of metalloproteinase (TIMP)-3 (Amour et al., 2000), (Black et al., 1997). TIMP-3 is constitutively expressed in the intestinal epithelial cells in normal and inflamed human gut (Fréour et al., 2009). TIMP-3 deficiency is associated with increased hepatic inflammation and TIMP-3 knockout mice develop severe colitis when exposed to 2,3,4 trinitribenzene-sulfonic acid (TNBS) compared to TIMP-3 transgenic mice which are resistant to TNBS colitis (Monteleone et al., 2012). TACE inhibition has been shown to increase TNF $\alpha$  mediated hyper permeability of the intestinal barrier

(Fréour et al., 2009). In IBD (Monteleone et al., 2012) showed that TIMP-3 is down regulated in inflamed CD but up regulated when Smad7 (an inhibitor of TGF- $\beta$ 1 signalling) was knocked down. (Cesaro et al., 2009) found that increased TACE expression in mucosal biopsies was associated with inflammation in CD and TIMP-3 expression was reduced.

There has been an interest in the therapeutic benefits of TACE inhibitors to reduce  $TNF\alpha$  production. Animal models have shown improved inflammation in arthritis and an iatrogenic inhibitor of TACE, compound 11p, was also shown to result in an improvement in inflammation in DSS induced colitis (Sharma et al., 2014). However the role of TACE inhibitors in IBD is still unclear.

# 3.2 Aims

To elucidate whether sTNF $\alpha$  production by LPMCs, PBMCs and biopsies would decrease with the addition of TACE inhibitors and whether other proinflammatory cytokines would or would not be affected. Accordingly the following experiments were carried out.

1. Soluble TNFα production was measured by activated PBMCs and LPMCs with the TACE inhibitor Marimastat in healthy controls.

2. Soluble TNF $\alpha$  production was measured in supernatants of IBD colonic biopsies incubated with the endogenous TACE inhibitor TIMP-3.

3. IL-1 $\beta$ , IL-6 and IL-8 were measured in supernatants of IBD colonic biopsies incubated with the endogenous TACE inhibitor TIMP-3.

4. Soluble TNF $\alpha$  and other pro-inflammatory cytokine production was measured with a specific TACE inhibitor in activated PBMCs, and in the supernatants of biopsies cultured overnight.

## 3.3 Materials and Methods

#### 3.3.1 Patients and Sample Selection

Colonic samples were taken from patients undergoing colonoscopy or colonic resections after obtaining consent. Specimens from intestinal resections were obtained from CD and UC patients requiring surgery or healthy control specimens of patients without CD or UC requiring surgery for cancer. Colonic biopsies were also taken from patients with diarrhoea without any endoscopic macroscopic inflammation who had functional diarrhoea at the end of their diagnostic work-up. Inflamed mucosal samples were from patients with active symptoms from their IBD and macroscopic evidence of inflammation. Patients were consented to take part in research which had been approved by the City and East London research ethics committee (10/H0704/74).

# **3.3.2** Isolation of Peripheral Blood Mononuclear Cell (PBMC)

Healthy individuals and patients with a confirmed diagnosis of CD or UC gave their consent to donate blood for the study. The blood was collected in heparinised tubes and then diluted with phosphate buffered saline (PBS Invitrogen, Paisley, UK) in a 1:1 ratio and layered onto an equal volume of Ficoll (Amersham Pharmacia Biotech, Little Chalfont, UK) for centrifugation at 150g for 20 minutes at 21°C. The mononuclear cell layer was removed and washed with PBS and centrifuged again at 150g for 5 minutes at 10°C. The cell pellet was isolated and then resuspended. The cells were counted using a haemocytometer with trypan blue to measure cell viability.

# 3.3.3 Isolation of Lamina Propria Mononuclear Cells (LPMC) from Surgical Resections

Specimens from intestinal resections were obtained following consent of CD and UC patients requiring surgery. Control specimens were obtained following consent of patients without CD or UC requiring surgery for cancer. The specimens were taken immediately to the histopathology department for macroscopic examination. Full thickness pieces of gut in length from 3-7cm were then removed from the specimen by the histopathologist and placed in ready to use Roswell Park Memorial Institute-1640 medium (RPMI)(Invitrogen) on ice and transported to the lab. The mucosa was removed from the specimens with scissors and incubated in calcium- and magnesium-free Hank's balanced salt solution (HBSS) with 1mM ethylene tetra-acetic acid (EDTA)(Sigma-Aldrich, Gillingham, Dorset, UK) for 30 minutes at 37°C with agitation in order to remove the mucus and epithelial cells. The tissue was then cut into 3-4mm pieces with a scalpel and digested in 1mg/ml collagenase (Sigma-Aldrich, Gillingham, UK) and DNAase I (10U/ml; Roche, Mannheim, Germany) with agitation for 60 minutes at 37°C. The suspension was then passed through a 100µm cell strainer and washed in RPMI (Sigma-Aldrich, Gillingham, UK). The pellet was resuspended in 20ml of PBS and the LPMCs purified using Ficoll density gradient (centrifuged at 150g for 30 minutes at 20°C). The cell pellet was resuspended in RPMI and the cells counted using trypan blue.

# 3.3.4 PBMC cell activation

Following PBMC isolation, PBMCs were activated using LPS (Sigma-Aldrich, Pool, UK), anti CD3/ anti CD28 antibody or PMA/ Ionomycin. One millilitre

medium containing 1 million PBMCs was placed in a well of a 24 well plate in the following conditions; medium, LPS (100ng/ml; Sigma-Aldrich, Pool, UK), anti CD3/28 antibody (1µg/ml; eBioscience, San Diego, CA), LPS and Marimastat (10µM; Sigma-Aldrich, Pool, UK) and anti CD3/28 antibody and Marimastat (10µM) for 24 and 48 hours. A dose response experiment was later carried out with 0.1µM, 1µM and 10µM concentrations of Marimastat and LPS (100ng/ml; Sigma-Aldrich, Pool, UK). One millilitre of 1 million PBMCs were also placed in a 24 well plate in the following conditions; DMSO, PMA (50ng/ml Sigma-Aldrich, Pool, UK)/ Ionomycin (500ng/ml) for 4 hours, or PMA / Ionomycin and GW280264X, a gift from Prof Stefan Rose-John (10µM). The supernatant was stored at -80°C for further analysis by ELISA.

#### 3.3.5 Organ Culture of Intestinal Mucosal Biopsies

Uninflamed and inflamed colonic biopsies obtained during colonoscopy were cultured (one biopsy per well) in 24 well plates (VWR International, Lutterworth, UK) in 300µl of serum free HL-1 medium (Cambrex BioScience, Wokingham, UK) for 24 and 48 hours at 37°C, 5% CO. TACE inhibitors (Marimastat 10µM, TIMP-3 100ng/ml (R and D Systems, Abingdon, UK) and GW280264X 10µM) were added. The supernatant was collected and stored at - 80°C and cytokines measured by ELISA.

# 3.3.6 Measurement of Cytokine Production by ELISA

Ninety-six well microplates (VWR International, Lutterworth, UK) were coated with anti-TNF- $\alpha$  (480µg/ml; R and D Systems, Abingdon, UK), anti-IL-6 (360µg/ml; R and D Systems, Abingdon, UK), anti-IL-1 $\beta$  (480µg/ml; R and D

Systems, Abingdon, UK) or anti-IL-8 (720µg/ml; R and D Systems, Abingdon, UK) overnight at room temperature. The plates were then washed 3 times using ELISA wash solution (PBS 0.05% Tween (Sigma-Aldrich, Gillingham, UK)) and then blocked with PBS 0.1% bovine serum albumin (BSA) (TNF $\alpha$ , IL-6, IL-1 $\beta$ ) or PBS 0.01% BSA (IL-8) for 1 hour at 37°C. Following another 3 washes, the plates were incubated with serially diluted recombinant cytokine standards and diluted samples (1:2 for TNF $\alpha$ , 1:10 for IL-6, 1:5 for IL-1 $\beta$ , 1:50 for IL-8). After 2 hours the plates were washed and then incubated with a biotinylated anti-TNF- $\alpha$  (4g/ml), anti-IL-6 (9µg/ml), anti-IL-1 $\beta$  (4µg/ml) and anti-IL-8 (3.6µg/ml) for 1 hour. The plates were then washed and incubated with Streptavidin HRP (1 in 200) for 20 minutes before washing, and substrate solution was added. Finally without washing, 50µl of stop solution (H2SO4) was added in order to measure the optical density of each well using a microplate reader at 450nm.

# 3.4.1 Soluble TNFα production is reduced by Marimastat in both activated PBMCs and LPMCs

Soluble TNF $\alpha$  levels were undetectable in non-activated PBMCs of healthy controls as expected. Soluble TNF $\alpha$  increased when PBMCs were activated with LPS to 1574pg/ml and 2422pg/ml in the 2 healthy donors after 24 hours. This increase is greater in one individual when PBMCs are incubated for 48 hours (2949pg/ml) but less in the other individual (901pg/ml). When Marimastat, was co-incubated with LPS for 24 or 48 hours the concentration of sTNF $\alpha$  was markedly reduced (Figure 4.1).

Soluble TNF $\alpha$  also increased when PBMCs were activated with anti CD3/anti CD28 antibody for 24 hours (977.30pg/ml and 3975.30pg/ml) (Figure 3.1). Soluble TNF $\alpha$  is further increased when the PBMCs are activated for 48 hours (2696.50pg/ml and 10477.80pg/ml respectively). Marimastat again markedly reduced sTNF $\alpha$  production (Figure 3.1).

# 3.4.2 The concentration of sTNFα is reduced in a dose dependent manner by Marimastat

PBMCs from one healthy individual were activated with either LPS or anti CD3/28 antibody and then increasing doses of Marimastat (0.1 $\mu$ M, 1 $\mu$ M and 10 $\mu$ M) were added. Inhibition of sTNF $\alpha$  was in a dose dependent manner. Inhibition was much less in activated T cells than LPS activated monocytes (Figure 3.2).

Similar findings were seen when LPMCs were activated with anti CD3/28 and co-incubated with Marimastat (Figure 3.3). LPS was not used to activate LPMCs because it is well known that resident macrophages in normal colon are refractory to LPS (Nakata et al., 2006). Very little sTNF $\alpha$  is detected in LPMCs from normal colonic mucosa; a mean of 32.58pg/ml (with a range between 0 and 124 pg/ml). Activation with anti-CD3/28 increases sTNF $\alpha$  to a mean of 1389.48 (range between 174.60 pg/ml and 2724.60 pg/ml). Co-incubation with Marimastat reduced sTNF $\alpha$  to a mean of 573.18 (range between 365.50 pg/ml and 1224.60 pg/ml).

# 3.4.3 Tissue Inhibitor of Metalloproteinase (TIMP)-3 significantly reduces sTNFα in IBD biopsies.

The natural inhibitor of TACE is TIMP-3, which also inhibits MMPs and has been shown to reduce pro-inflammatory cytokines expressed on CD LPMCs, as well as cytokine RNA in CD biopsies treated with recombinant TIMP-3 (Monteleone et al., 2012). Further experiments were carried out adding TIMP-3 to mucosal biopsies from four CD and three UC patients with active disease (Figures 3.4). There was a statistically significant (P=0.0241) reduction in sTNF $\alpha$  concentration in the supernatant of inflamed mucosal biopsies incubated with TIMP-3 when a paired t test was used. The reduction seen in CD biopsies alone was also significant (P=0.0437). The UC biopsies reduced from a mean of 120.52 pg/ml in HL-1 to 49.30 pg/ml.
### 3.4.4 Tissue Inhibitor of Metalloproteinase (TIMP)-3 did not reduce IL-1β, IL-8 or IL-6 in IBD biopsies.

The pro-inflammatory cytokines IL-6, IL-1 $\beta$  and IL-8 in the supernatant of cultured biopsies from UC and CD patients were then determined using ELISA (Figure 3.4). There was a small reduction in IBD biopsies in the mean IL-1 $\beta$ , IL-8 and IL-6 concentration. IL-1 $\beta$  reduced from 244 pg/ml (range of 0 to 845) in medium to 92.70 pg/ml (range of 18.12 to 403) with TIMP-3; IL-8 reduced from 44709.38 pg/ml (range of 35886 to 48266) to 35,985.33 pg/ml (range of 75 to 58826), and IL-6 reduced from 9899.54 pg/ml (range 1787.41 to 35,886) to 7,029.43 pg/ml (range of 624.44 to 19976).

### 3.4.5 The highly specific TACE inhibitor GW280264X reduces sTNFα in activated PBMCs.

We next tested a highly specific ADAM17 inhibitor, GW280264X, a gift from Prof Stefan Rose-John. PBMCs from healthy controls were activated with PMA/Ionomycin (Figure 3.5). There was a significant increase in sTNF $\alpha$  with activation (p=0.012) and in addition co-incubation with the selective TACE inhibitor, significantly reduced secretion of TNF $\alpha$  (p=0.019).

Lamina propria mononuclear cells isolated from surgical resections of one UC and one CD individual were activated with anti CD3/28 antibody. There was an increase in sTNF $\alpha$  (1964.22 pg/ml from 97.56 pg/ml in the individual with CD and 1515.33 pg/ml from 159.78 pg/ml in the UC individual) (Figure 3.6).

## 3.4.6 The highly specific TACE inhibitor GW280264X significantly reduces sTNFα in IBD.

There was a statistically significant reduction in sTNF $\alpha$  (P=0.0033) in IBD biopsies (six UC patients and four CD patients). When a paired t test was applied to the UC biopsies alone there was also a significant reduction in sTNF $\alpha$  (P=0.037); sTNF $\alpha$  reduced from a mean of 221.05 pg/ml (a range of 156.27 to 320.93) to a mean of 109.74 pg/ml (range of 25.1 to 220.9). A reduction was also seen ex vivo in inflamed CD biopsies from a mean sTNF $\alpha$  concentration of 145.77 pg/ml (128.18 to 157.56) to a mean of 101.52 pg/ml (range of 56.44 to 134.55) with 10µM GW280264X. The reduction however was not statistically significant.

There was no change in the concentration of IL-1 $\beta$ , IL-8 or IL-6 in UC or CD biopsies.

#### 3.5 Figures



Figure 3-1 Soluble TNFα increases with activation by LPS and anti CD3/28 and reduces with Marimastat 10μM. PBMCs from 2 control donors were incubated for 24 or 48 hours with medium, anti CD3/28 antibody or anti-CD3/28 antibody with 10μM Marimastat or LPS with or without Marimastat. There was an increase in sTNFα with activation of both LPS and anti CD3/28 antibody, which decreased with Marimastat.



Figure 3-2 Soluble TNFα increases with activation by LPS and anti CD3/28 and reduced with Marimastat 10μM in a dose dependent manner. PBMCs from one healthy donor was incubated for 24 or 48 hours with medium, anti CD3/28 antibody or anti-CD3/28 antibody with 0.1μM, 1μM and 10μM Marimastat or LPS with or without 0.1μM, 1μM and 10μM Marimastat. There was an increase in sTNFα in the supernatant with activation of both LPS and anti CD3/28 antibody which decreased with Marimastat.



Figure 3-3 Soluble TNFα increased in LPMCs isolated from four healthy individuals following activation with 24 hours anti CD3/28 antibody (1μl/ml) and was reduced with 10μM Marimastat.



Figure 3-4 TIMP-3 significantly reduces sTNF $\alpha$  in IBD biopsies. Biopsies from 4 CD and 3 UC patients were incubated in HL-1 medium with or without TIMP-3 (100ng/ml) for 24 hours. The concentrations of sTNF $\alpha$ , IL-1 $\beta$ , IL-6 and IL-8 in the supernatant were measured by ELISA. \*=P<0.05



Figure 3-5. Soluble TNFα significantly decreases with co-incubation of GW280264X. Peripheral blood mononuclear cells were incubated for 4 hours in DMSO, PMA/Ionomycin and PMA/I and 10μM GW280264X. \*=P<0.05



Figure 3-6. The TACE inhibitor GW280264X reduces sTNFα in activated IBD LPMCs of incubated for 24 hours. LPMCs were incubated with DMSO, anti CD3/28 antibody (1µl/ml) and anti CD3/28 antibody and GW280264X in one CD individual and one UC individual. The sTNFα concentration was measured in the supernatant by ELISA.



Figure 3-7 The TACE inhibitor GW280264X significantly reduces sTNFα in IBD biopsies. Inflamed biopsies from 6 UC patients and 4 CD patients were incubated in HL-1 medium or GW280264X (10μM) for 24 hours. The concentration of sTNFα, IL-1β, IL-6 and IL-8 in the supernatant was measured by ELISA. \*\*=P<0.005

#### 3.6 Discussion

I aimed to establish whether pharmacological and endogenous TACE inhibitors reduce soluble TNF $\alpha$  and identify any changes in other pro-inflammatory cytokines. Preliminary studies with a broad spectrum MMP inhibitor, Marimastat (Tsuji et al., 2002), showed a reduction in sTNF $\alpha$  secretion with 10µM Marimastat. In fact a dose of 0.1µM was sufficient to reduce sTNF $\alpha$ production (Figure 3.2). Although Marimastat has not been tested in the gut previously, Tsuji et al showed that LPS-induced sTNF $\alpha$  in the plasma was reduced in mice following oral administration of Marimastat (Tsuji et al., 2002).

The naturally occurring TACE inhibitor, TIMP 3 was then examined ex vivo. Consistent with the findings of Marimastat, a significant reduction in sTNF $\alpha$  was seen in the supernatant of organ culture medium following 24 hours incubation with TIMP-3. Previous studies have looked at endogenous TIMP-3 expression in IBD, finding that its expression is reduced in active CD (Cesaro et al., 2009), but upregulated in quiescent CD and reduced in DSS induced colitis in mice (Sharma et al., 2014). Monteleone et al. (2012) and Sharma et al. (2014) found similar effects on sTNF $\alpha$  following the administration of TIMP-3 or compound 11p, a pharmacological TACE inhibitor.

However their findings differ in the changes seen with other pro-inflammatory cytokines. Both studies showed a reduction in pro-inflammatory cytokines. The variation in these results could possibly be due to the patchy nature of inflammation in CD and greater numbers of samples are required to minimise this variability. It could also be due to the different experiments used.

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(Monteleone et al., 2012) measured pro-inflammatory cytokines by post translational transcripts. Tumour necrosis factor is a master cytokine, which results in downstream release of pro-inflammatory cytokines; it is possible therefore that 24 hours is too short a time period to detect these changes. Carrying out an ELISA following 48 hours incubation may reveal a reduction in cytokines. Sharma et al., (2014) used a specific TACE inhibitor as opposed to TIMP-3 and experiments were in mice not human gut. Monteleone et al., (2012) showed the reduction in pro-inflammatory cytokines using flow cytometry of cell expression on LPMCs which again is likely to show changes earlier than in the supernatant. The concentration of TIMP-3 used was the same as Monteleone et al., (2012) (100ng/ml) but it may be helpful to use higher concentrations to see if the results are different. The reduction in sTNF $\alpha$  was not significant in UC, although the numbers are small.

A specific TACE inhibitor was given by Professor Rose-John and tested in similar experiments to see if changes found were consistent and significant. The reduction in sTNF $\alpha$  in PBMCs was significant with GW280264X. The activation with PMA/ Ionomycin did not result in sTNF $\alpha$  concentrations comparable to activation with LPS or anti CD3/28 however. The changes seen ex vivo with GW280264X were similar to TIMP-3, showing a reduction in sTNF $\alpha$ . Interestingly the reduction was significant in UC biopsies but not CD biopsies (the opposite finding to TIMP-3). This again may be due to the patchy nature of inflammation. They may also suggest a difference in TACE inhibition between UC and CD individuals.

It is not clear from these results whether the reduction in sTNF $\alpha$  is antiinflammatory or pro-inflammatory. Fréour et al., (2009) showed increased intestinal permeability with TIMP-3 and postulated that this was due to the associated reduction in TNFR shedding. These results support previous findings that TACE inhibitors reduce sTNF $\alpha$ .

Having examined the effects of TACE inhibitors on sTNF $\alpha$  and other proinflammatory cytokines, the next step was to elucidate whether TACE inhibitors induce a reciprocal change in mTNF $\alpha$  expression. 4 Regulation of Membrane Tumour Necrosis Factor Alpha by Tumour Necrosis Factor Alpha Converting Enzyme Inhibitors

#### 4.1 Introduction

Tumour necrosis Factor  $\alpha$  is a key cytokine, which drives disease inflammation in inflammatory bowel disease. It is initially expressed on the cell surface as a trimer on the cell membrane. Membrane TNF $\alpha$  is constitutively expressed on Natural Killer cells but not on resting T, B cells or monocytes (Caron et al., 1999), but is expressed on T cells, macrophages and monocytes following activation (Horiuchi et al., 2010).

Membrane TNF $\alpha$  binds to TNFRI but has a greater affinity for TNFRII. Binding of TNFRI results in activation of caspase dependent apoptosis, whereas the binding of TNFRII signals TRAF2 and NFKappaB induction, which has antiapoptotic effects (Atreya et al., 2011). Both these effects occur locally due to the cell-to-cell interaction. In addition to acting as a ligand, mTNF $\alpha$  can also bring about changes in the cell expressing mTNF $\alpha$  via reverse signalling (Horiuchi et al., 2010). The cytoplasmic tail of the transmembrane TNF $\alpha$  protein can trigger intracellular signals such as activating MAPkinase increasing TGF $\beta$  production (Pallai et al., 2016).

The importance of mTNF $\alpha$  is crucial to resolve colonic inflammation induced in immunodeficient mice (Perrier et al., 2013) . This was shown by comparing the improvement in colitis between mice treated with XENP1595, which neutralises

sTNF $\alpha$  alone, a monoclonal anti-TNF $\alpha$  antibody that neutralises both mTNF $\alpha$ and sTNF $\alpha$  and an isotype. Improvement was only seen when mTNF $\alpha$  was neutralised. The role of mTNF $\alpha$  in IBD is also supported by the different efficacies of two anti-TNF $\alpha$  antibodies. Infliximab a monoclonal anti-TNF $\alpha$ antibody is effective in IBD, whereas etanercept is not (Sandborn et al., 2001). Both anti-TNF $\alpha$  antibodies neutralise sTNF $\alpha$  with similar binding, but etanercept binds weakly to mTNF $\alpha$  (Nesbitt et al., 2007) and (Vos et al., 2011). (Atreya and Neurath, 2016) also showed that response to infliximab was better in patients with an increased number of mTNF $\alpha$  cells in the mucosa.

The 26 kilodalton (kd) mTNF $\alpha$  is cleaved into a 17kd fragment from the cell surface by the adamalysin TNF $\alpha$  Converting Enzyme (TACE) (Black et al., 1997). Cesaro et al., (2009) showed that TACE mRNA is strongly expressed in biopsies from CD patients, but TIMP-3 the natural inhibitor of TACE is poorly expressed. Increased TACE expression at mRNA level was also shown in DSS induced colitis by Sharma et al., (2014), which was associated with a suppression in TIMP-3. Treatment with compound 11p, a selective TACE inhibitor, ameliorated the colitis and restored pro-inflammatory cytokines to normal levels.

Monteleone et al., (2012) showed mTNF $\alpha$  expression significantly increased on CD LPMCs when incubated with TIMP-3 for 36 hours and significantly reduced expression of IL-6, IFN $\gamma$  and IL-17A.

To elucidate which cells express mTNF $\alpha$  in PBMCs and LPMCs and whether TACE inhibitors increase mTNF $\alpha$  expression the following experiments were carried out.

- 1. PBMCs were activated with LPS, anti-CD3/28 antibody and PMA/ Ionomycin and analysed using flow cytometry for mTNFα expression.
- 2. Activated PBMCs and LPMCs were incubated with different TACE inhibitors and the change in mTNF $\alpha$  expression measured by flow cytometry.
- 3. LPMCs from UC and CD patients were incubated with TACE inhibitors and the change in mTNF $\alpha$  expression measured by flow cytometry.

#### 4.3 Materials and Methods

#### 4.3.1 Isolation of Peripheral Blood Mononuclear Cell (PBMC)

Healthy individuals and patients with a confirmed diagnosis of CD or UC gave their consent to donate blood for the study. The blood was collected in heparinised tubes and then washed with phosphate buffered saline (PBS Invitrogen, Paisley, UK) in a 1:1 ratio and layered onto an equal volume of Ficoll (Amersham Pharmacia Biotech, Little Chalfont, UK) for centrifugation at 150g for 20 minutes at 21°C. The mononuclear cell layer was removed and washed with PBS and centrifuged again at 150g for 5 minutes at 10°C. The cell pellet was isolated and then resuspended. The cells were counted using a haemocytometer with trypan blue to measure cell viability.

### 4.3.2 Isolation of Lamina Propria Mononuclear Cells (LPMC) from Surgical Resections

Specimens from intestinal resections were obtained following consent of CD and UC patients requiring surgery. Control specimens were obtained following consent of patients without CD or UC requiring surgery for cancer. The specimens were taken immediately to the histopathology department for macroscopic examination. Full mural thickness sections varying in length from 3-7cm were then removed from the specimen by the histopathologist and placed in readv to Roswell Park Memorial Institute-1640 medium use (RPMI)(Invitrogen) on ice and transported to the lab. The mucosa was removed from the specimens with scissors and incubated in calcium- and magnesium-free Hank's balanced salt solution (HBSS) (Life Technologies, Paisley, UK) with 1mM ethylene tetra-acetic acid (EDTA)(Sigma-Aldrich, Gillingham, UK) for 30 minutes at 37°C with agitation in order to remove the mucus and epithelial cells. The tissue was then cut into 3-4mm pieces with a scalpel and incubated in 1mg/ml collagenase (Sigma-Aldrich, Gillingham, UK) and DNAase I (10U/ml; Roche, Mannheim, Germany) with agitation for 60 minutes at 37°C to break down intercellular connective tissues. The suspension was then passed through a 100µm cell strainer and washed in RPMI (Sigma-Aldrich, Gillingham, UK). The pellet was resuspended in 20ml of PBS (Invitrogen, Paisley, UK) and the LPMCs purified using Ficoll density gradient (centrifuged at 150g for 30 minutes at 20°C. The cell pellet was resuspended in RPMI and the cells counted using trypan blue.

#### 4.3.3 Separation of CD14+ and CD14- cells by magnetic beads.

MACS (Miltenyi Biotec, Bergisch Gladbach, Germany) was also used to separate CD14+ and CD14- PBMCs which could then be activated with LPS or anti CD3/28 antibody respectively. The MACS separator uses magnetically labeled microbeads with CD14+ antibody, which bind to CD14+ PBMCs. The cell suspension is loaded onto a MACS column, which is placed in a magnetic field. The CD14+ cells are retained in the column, whilst the CD14- cells pass through and collected. When the magnetic field is removed from the column the CD14+ cells also pass through and can be collected separately. Following PBMC isolation, PBMCs were centrifuged at 300g for 10 minutes at 4 °C and resuspended in 80µl of PBS (Invitrogen, Paisley, UK) per 10<sup>o</sup> cells. Cells were passed through a strainer to remove clumps and 20µl of CD14+ microbeads added. Cells were agitated and then refrigerated for 10 mins. Cells were then washed in PBS (Invitrogen, Paisley, UK) and resuspended in up to 500µl of PBS before being passed through the MACS separator.

#### 4.3.4 PBMC and LPMC cell activation

Following PBMC and LPMC isolation, 1 million PBMCs or LPMCs were activated using LPS (Sigma-Aldrich, Gillingham, UK), anti CD3/ anti CD28 antibody (eBioscience, San Diego, CA) or PMA/ Ionomycin (Sigma-Aldrich, Gillingham, UK). One millilitre of 1 million PBMCs were placed in a well of a 24 well plate in the following conditions; medium, LPS (100ng/ml; Sigma-Aldrich, Gillingham, UK), anti CD3/28 antibody (1µg/ml; eBioscience, SanDiego, CA), LPS and Marimastat (10µM) and anti CD3/28 antibody and Marimastat (10µM) for 24 and 48 hours. One millilitre of 1 million PBMCs were also placed in a 24 well plate in the following conditions; DMSO, PMA (50ng/ml; Sigma-Aldrich, Gillingham, UK)/ Ionomycin (500ng/ml) for 4 hours, or PMA / Ionomycin and GW280264X, a gift from Prof Stefan Rose-John (10µM). After 4 hours the supernatant was stored at -80°C for analysis by ELISA whilst the cells were washed and prepared for FACS analysis.

#### 4.3.5 Staining for Flow Cytometry

LPMCs and PBMCs from each of the conditions following activation were washed in 1 ml of FACS buffer and resuspended in 100µl of FACS buffer (PBS + 2mM EDTA + 2% BSA). Cells were then incubated on ice with 20µl of FACS blocking buffer (Human Fc Receptor Binding Inhibitor) (eBioscience; Hatfield, England) to reduce non-specific binding via Fc receptors. The cells were then incubated with FITC bound mTNF $\alpha$  antibody or its isotype control (R and D systems, Abingdon, UK), PeCy7 bound TNFα antibody or its isotype control (BioLegend, London, UK), Pacific Blue anti-CD14 antibody (BD Bioscience, Oxford, UK) and PE anti-CD3 antibody (BioLegend, London, UK) for 20-30 minutes at 37°C in the dark. Cells were then washed three times in FACS buffer and analysed using LSRII flow cytometry (BD Bioscience, Oxford, UK). Isotype controls were purchased from the same manufacturer as the antibodies. Data was analysed using FlowJo software (Tree Star Inc, OR, USA).

#### 4.3.6 Gating Strategy

Lymphocytes were gated using forward scatter and side scatter properties. Doublets were then excluded using forward scatter and side scatter width. CD3+ or CD14+cells were then identified by PE and Pacific Blue fluorescence respectively. The FITC isotype and mTNF FITC fluorescence enabled the final gating to quantify mTNF+ CD3+ and mTNF+ CD14+ cells.

#### 4.4 **Results**

## 4.4.1 Membrane TNF $\alpha$ expression significantly increases in PBMCs activated with anti CD3/28 antibody

PBMCs were activated with anti CD3/28 for 48 hours and analysed by flow cytometry. Initially an antibody for intracellular TNF $\alpha$  detection was used as the vendor claimed that it was also effective in detecting membrane TNF $\alpha$ . However another antibody specific for membrane TNF $\alpha$  was also purchased and compared to optimise the detection of mTNF $\alpha$  expression (Figure 4.1). There was a significant increase in mTNF $\alpha$  expression (P=0.019) with anti CD3/28 activation using the membrane specific antibody (Figure 4.2). There was no difference in mTNF $\alpha$  expression on PBMCs incubated with medium by the two antibodies (a mean of 1.6 for iTNF $\alpha$  antibody and a mean of 0.8 for the mTNF $\alpha$  antibody), but there was an increased detection in mTNF $\alpha$  by the latter in PBMCs incubated with anti-CD3/ anti-CD28 antibody (mean of 3.6 for iTNF $\alpha$  and a mean of 9.7 for mTNF $\alpha$ ) (Figure 4.2).

### 4.4.2 mTNFα expression was greater with a PE bound CD3+ antibody than MACS separated CD14- cells.

In order to measure the mTNF $\alpha$  expression in monocytes and T cells two different methods were carried out and then compared. PBMCs were separated into CD14+ and CD14- cells. The former were activated with LPS and mTNF $\alpha$ expression measured by FACS (Figure 4.3). CD14- cells were activated with anti CD3/ anti CD28 and mTNF $\alpha$  expression measured by FACS (Figure 4.4). The mTNF $\alpha$  expression was also measured in similar experiments in which PBMCs were not separated but anti CD3 or antiCD14 antibodies used to quantify the cell populations. There was a higher detection of CD3+cells when a PE bound CD3+ antibody was used than when the CD14- cells separated by magnetic beads. There was no difference between the two methods in the detection of CD14+ mTNF $\alpha$  cells (Figure 4.5).

### 4.4.3 Marimastat does not increase the mTNFα expression on activated PBMCs.

Membrane TNF $\alpha$  is significantly increased on PBMCs activated with anti CD3/28 antibody but the expression of mTNF $\alpha$  is not increased further with Marimastat. PBMCs do not show an increase in mTNF $\alpha$  with LPS activation or with LPS and Marimastat (Figure 4.6).

#### 4.4.4 Marimastat increases mTNFα in UC but not in CD LPMCs.

LPMCs from inflamed colon were incubated in medium or activated with anti CD3/28 antibody (1µg/ml) and Marimastat (10µM) and CD3+mTNF $\alpha$  cells calculated by FACS. In CD mTNF $\alpha$  increased with anti CD3/28 from 1.1% to 20.4% but reduced with Marimastat to 1.45%. In UC mTNF $\alpha$  increased with activation from 2.72% to 11.1% and further increased with Marimastat to 23.5% (Figure 4.7).

# 4.4.5 The highly specific TACE inhibitor GW280264X significantly increases mTNFα expression in CD3+ PBMCs.

There was a statistically significant (P=0.01) increase in mTNF $\alpha$  expression between activated lymphocytes with GW280264X compared to no GW280264X in CD3+ PBMCs. The mean fluorescence intensity was also statistically significant (P=0.0045) (Figures 4.8 and 4.9).

#### 4.5 Figures



Figure 4-1. PBMCs from healthy donors were incubated in medium or anti CD3/28 antibody for 48 hours. The mTNFα expression was measured by flow cytometry comparing two antibodies, one for TNFα (intracellular or membrane) and the other specifically for membrane TNFα. The upper panel is the non specific antibody and mTNFα detected was 1.08% of total cell count. The lower panel is the specific mTNFα and 8.50% cells expressed mTNFα. Representative plots of 3 experiments.



Figure 4-2 . mTNF $\alpha$  expression increased significantly when detected with mTNF $\alpha$  antibody. PBMCs from 3 healthy donors activated with anti CD3/28 for 48 hours and stained with either non specific TNF $\alpha$  (iTNF $\alpha$ ) antibody or mTNF $\alpha$  antibody for FACS analysis. Figure shows the mean and standard deviation of 3 experiments. The mTNF $\alpha$  antibody detected an increased percentage of mTNF $\alpha$  on the activated lymphocytes, which was significant (P<0.5) using a paired t test.



Figure 4-3. PBMCs from healthy controls were separated into CD14+ and CD14-ve cells by magnetic beads. CD14+ve cells were incubated in medium or LPS (100ng/ml) for 24 hours and the cells analysed for mTNFα expression by flow cytometry. Membrane TNFα expression increased with LPS from 2.73% to 9.11% in the first experiment (upper panel) and 2.45 to 5.56% in the second experiment (lower panel).



Figure 4-4 . PBMCs from healthy controls were separated into CD14+ and CD14-ve cells by magnetic beads. CD14-ve cells were incubated in medium and anti CD3/28 antibody (1 $\mu$ g/ml) for 48 hours and the cells analysed for mTNF expression with flow cytometry. Membrane TNF $\alpha$  expression increased with anti CD3/28 5.09% to 5.2% in the first experiment and 1.52 to 3.64% in the second experiment.



Figure 4-5. CD3+PE staining detected more mTNF $\alpha$  cells than MACS selection of CD14- cells. PBMCs were separated into CD14+ and CD14- populations and then stimulated before FACS analysis of mTNF $\alpha$ + expression. In addition PBMCs were stimulated without prior MACS separation CD3+PE and CD14+Pacific Blue used to detect the different cell populations. The result between the two were compared. Results from 6 donors.





Figure 4-6. Membrane TNF $\alpha$  is significantly increased with anti CD3/28 antibody. PBMCs were incubated in medium or activated with anti CD3/28 antibody (1µg/ml) or LPS (100ng/ml) and Marimastat (10µM) added. The FACS plot shows CD3+mTNF $\alpha$  cells and CD14+mTNF $\alpha$  cells calculated by FACS. Marimastat does not increase mTNF $\alpha$  following activation with anti CD3/28 or LPS. Graph showing the mean % of mTNF $\alpha$  and the standard deviation where n=6 in CD3+ cells and n=5 in CD14+ cells. \*\*=P<0.005



Figure 4-7. Marimastat increases mTNF $\alpha$  in UC but not in CD. Plots show one experiment with UC and one with CD. LPMCs from inflamed colon were incubated in medium or activated with anti CD3/28 antibody (1µg/ml) and Marimastat (10µM) and CD3+mTNF $\alpha$  cells calculated by FACS. In CD mTNF $\alpha$  increased with anti CD3/28 from 1.1 to 20.4% but reduced with Marimastat to 1.45%. In UC mTNF $\alpha$  increased with activation from 2.72% to 11.1% and further increased with Marimastat to 23.5%.



Figure 4-8. The highly specific TACE inhibitor GW280264X significantly increases mTNFα expression in CD3+ cells. A representative plot of PBMCs cultured in medium, P/I or P/I with GW280264X (10μM) for 4 hours and stained for CD3+ and mTNF+ cells.



Figure 4-9 The highly specific TACE inhibitor GW280264X significantly increases mTNF $\alpha$  expression in CD3+ cells. PBMCs were cultured in medium, P/I or P/I with GW280264X (10 $\mu$ M) for 4 hours. There was a statistically significant (P=0.01) increase in mTNF $\alpha$  expression between activated lymphocytes with GW280264X compared to no GW280264X in CD3+cells. The mean fluorescence intensity was also statistically significant (P=0.0045). Error bars indicate the standard deviation.

#### 4.6 Discussion

The aim was to establish which cells express mTNF $\alpha$  and whether pharmacological TACE inhibitors increase the expression of mTNF $\alpha$ . Membrane TNF $\alpha$  expression was not detected in resting CD3+ or CD14+ control PBMCs or LPMCs. This is consistent with findings by Caron et al., (1999) in which resting T cells did not express mTNF $\alpha$ . The expression of mTNF $\alpha$  increased significantly in CD3+ PBMCs with anti CD3/28 antibody activation but not with P/I activation. Alternatively mTNF $\alpha$  expression was increased on CD14+ PBMCs with P/I activation but not LPS. It is known that LPS can cause a silencing of mTNF $\alpha$  through reverse signalling (Eissner et al., 2000), it is possible that these differences are due to the small number.

The first TACE inhibitor tested in this study was the broad spectrum MMP inhibitor Marimastat (Tsuji et al., 2002). There was no further increase in mTNF $\alpha$  expression following activation with Marimastat in PBMCs activated with LPS or anti CD3/28 antibody. Tsuji et al., (2002) show Marimastat reduces plasma sTNF $\alpha$  in mice in LPS induced sTNF $\alpha$  production, however mTNF $\alpha$  was not measured. In this study Marimastat increases mTNF $\alpha$  expression in one experiment with UC LPMCs. Similarly Monteleone et al., (2012) show mTNF $\alpha$  expression increases in CD LPMCs with the endogenous TACE inhibitor TIMP3. Membrane TNF $\alpha$  was measured by flow cytometry using infliximab to bind to mTNF $\alpha$  and a secondary antibody bound to a fluorochrome to detect

mTNF $\alpha$ . Comparative experiments were not done in this study between the specific mTNF $\alpha$  antibody and infliximab.

The selective TACE inhibitor GW280264X statistically increased mTNF $\alpha$  in CD3+ activated PBMCs. The differences in the results with the two TACE inhibitors tested are likely due to their selectivity. Marimastat was originally designed as a MMP-9 inhibitor but at the concentrations used in this study, the selectivity for TACE is poor. GW280264X alternatively is a highly selective TACE inhibitor as demonstrated by the significant increase in mTNF $\alpha$  expression found.

Having shown how sTNF $\alpha$  and mTNF $\alpha$  expression change with stimulation and TACE inhibition, the next step was to elucidate possible mechanisms of action of infliximab and whether increasing mTNF $\alpha$  expression enhances these changes.

### 5 Functional Effects of Anti-Tumour Necrosis Factor Alpha Antibodies

#### 5.1 Introduction

Anti-TNF $\alpha$  agents are used in patients with CD and UC who continue to have active disease despite being treated with steroids or azathioprine. Infliximab was the first anti-TNF $\alpha$  antibody to be licensed for use in CD and is effective in inducing remission in about half of patients with CD and about a third of patients with UC (Hanauer, 2002) (Rutgeerts et al., 2005). Other anti-TNF agents have since been developed, namely adalimumab, etanercept, certolizumab and golimumab, however etanercept which showed comparable efficacy to infliximab in patients with rheumatoid arthritis, is not licenced for use in CD due to less convincing efficacy (Sandborn et al., 2001).

Hanauer (2002) showed that infliximab was more effective in maintaining remission when 8 weekly infusions are given rather than a single infusion, however a loss of response still occurs in up to one third of patients. The mechanism by which infliximab induces remission is not clear but proposed mechanisms have included neutralising TNF $\alpha$  and reducing the production of pro-inflammatory cytokines downstream, inducing direct or indirect apoptosis of T cells or macrophages bearing mTNF $\alpha$ , regulatory macrophages, and complement mediated lysis of these cells (Mizutani et al., 2013, ten Hove et al., 2002a, Mitoma et al., 2008). Many studies have used the different efficacies of etanercept and infliximab to support whether the mechanism of action studied is likely to play a significant role in IBD. These mechanisms are discussed in more detail below.

Infliximab is a human/mouse chimeric monoclonal antibody and etanercept is engineered from TNFRII coupled to the Fc receptor of IgG. Originally TNF $\alpha$ was thought to be a key cytokine because when produced by macrophages it fed back and induced secretion of IL-1, IL-6, IL-8 and GM-CSF (Butler et al., 1995). Neutralising soluble TNF $\alpha$  blocked this feedback loop (Butler et al, 1995). Infliximab reduces the pro-inflammatory cytokine IL-1 $\beta$  in PBMCs stimulated with LPS (Nesbitt et al., 2007). Other pro-inflammatory cytokines such as IL-6 and IL-8 are reduced in the serum of CD patients following treatment with infliximab (Mizutani et al., 2013).

Lügering et al., (2001) were the first to show apoptosis of peripheral blood monocytes following infliximab infusions in patients with CD. Apoptosis was then shown to occur in T cells in the lamina propria 24 hours after infliximab infusion as well as in vitro using an activated Jurkat cell line cultured with infliximab (ten Hove et al., 2002b). Van den Brande et al., (2003) compared infliximab and etanercept and showed that both neutralise TNF $\alpha$ , however only infliximab induced apoptosis. Increased apoptosis was also shown in mucosal biopsies 10 weeks following infliximab and was caspase dependent (Di Sabatino et al., 2004). Waetzig et al., (2005) showed apoptosis occurs via reverse signalling through mTNF $\alpha$ .

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Complement-dependent cytotoxicity (CDC) of Jurkat cells and CHO cells bearing mTNF $\alpha$  is seen with infliximab but not etanercept (Mitoma et al., 2008, Arora et al., 2009) possibly due to the lack of the CH1 domain by etanercept. However Kaymakcalan et al., (2009) was unable to show CDC by infliximab in activated human PBMCs.

Antibody-dependent cytotoxicity (ADCC) occurs when the Fc portion of IgG1 binds to Fc receptors on NK cells resulting in granzyme B and perforin release and ultimately target cell lysis. ADCC was seen using an mTNF $\alpha$  expressing cell line incubated with infliximab but not etanercept (Arora et al., 2009). Nesbitt et al., (2007) however showed ADCC using infliximab and etanercept in a TNF $\alpha$  expressing cell line, although the level of ADCC seen with etanercept was much less than infliximab. Mitoma et al., (2008) however showed similar levels of ADCC with etanercept as with infliximab in a mTNF $\alpha$  expressing Jurkat cell line. It has also been suggested that infliximab binds to mTNF $\alpha$  but etanercept does not (Vos et al., 2011).
#### 5.2 Aims

To elucidate whether infliximab reduces pro-inflammatory cytokines, causes apoptosis and the role of mTNF $\alpha$  in these activities. Accordingly the following experiments were carried out

- 1. Pro-inflammatory cytokines were measured by ELISA in the supernatants of inflamed IBD biopsies incubated with infliximab.
- 2. Apoptosis was measured in an mTNF $\alpha$  expressing cell line incubated with IgG1 or infliximab.
- Apoptosis was measured in PBMCs and LPMCs activated with anti CD3/28 and infliximab in cells from healthy controls and IBD patients. This work was carried out in collaboration with Paolo Biancheri.
- Apoptosis was measured in PBMCs activated with PMA/ Ionomycin and co-incubated with the TACE inhibitor GW280264X (a gift from Professor Rose-John) before the addition of infliximab or IgG1.

#### 5.3 Materials and Methods

#### 5.3.1 Organ Culture of Intestinal Mucosal Biopsies

Uninflamed and inflamed colonic biopsies obtained during colonoscopy were cultured (one biopsy per well) in 24 well plates (VWR International, Lutterworth, UK) in 300µl of serum free HL-1 medium (Cambrex BioScience, Wokingham, UK) and infliximab (10µg/ml; Remicade; Schering-Plough, Welwyn Garden City, UK) and IgG1 (10µg/ml) for 24 hours at 37°C, 5% CO. The supernatant was collected and stored at -80°C and cytokines measured by ELISA.

### 5.3.2 Measurement of Cytokine Production by ELISA

Ninety-six well microplates (VWR International, Lutterworth, UK) were coated with anti-IL-6 ( $360\mu g/m$ ]; R and D Systems, Abingdon, UK), anti-IL-1 $\beta$ ( $480\mu g/m$ ]; R and D Systems, Abingdon, UK) or anti-IL-8 ( $720\mu g/m$ ]; R and D Systems, Abingdon, UK) overnight at room temperature. The plates were then washed 3 times using ELISA wash solution (PBS 0.05% Tween (Sigma-Aldrich, Gillingham, UK)) and then blocked with PBS 0.1% bovine serum albumin (BSA) (IL-6, IL-1 $\beta$ ) or PBS 0.01% BSA (IL-8) for 1 hour at 37°C. Following another 3 washes, the plates were incubated with serially diluted recombinant cytokine standards and diluted samples (1:10 for IL-6, 1:5 for IL-1 $\beta$ , 1:50 for IL-8). After 2 hours the plates were washed and then incubated with a biotinylated anti-IL-6 (9ug/m), anti-IL-1 $\beta$  (4ug/m) and anti-IL-8 (3.6ug/m) for 1 hour. The plates were then washed and incubated with Streptavidin HRP (1 in 200) for 20 minutes before washing and substrate solution was added. Finally without washing 50µl of stop solution (H2SO4) was added in order to measure the optical density of each well using a microplate reader at 450nm.

#### 5.3.3 Culture of Chinese Hamster Ovary (CHO) cell lines

A CHO cell line transfected with membrane TNF $\alpha$  and a CHO cell line with an empty vector (VH2, Hinxton, Cambridge) were cultured in tissue culture flasks with F12 GIBCO Nutrient mixture with L Glutamine (Invitrogen, Paisley, UK) with 1% penicillin/ streptomycin, 10% FBS and 400µg/ml Hygromycin B added. Cells were passaged two or three times a week by removing the medium, rinsing the cell layer with sterile PBS and adding 3 mls Accutase (PAA Laboratories, Pasching, Austria) and placing in an incubator for 20 minutes. Ten ml of complete medium was then added to deactivate the Accutase (PAA Laboratories, Pasching, Austria) and 1/6 of the total volume of cells added to a new flask with fresh medium. The remaining cells were washed with PBS and centrifuged at 150g for 5 minutes at 10°C and the cell pellet resuspended before cells were counted with trypan blue to measure cell viability.

#### 5.3.4 Isolation of Peripheral Blood Mononuclear Cell (PBMC)

Healthy individuals and patients with a confirmed diagnosis of CD or UC gave their consent to donate blood for the study. The blood was collected in heparinised tubes and then washed with phosphate buffered saline (PBS Invitrogen, Paisley, UK) in a 1:1 ratio and layered onto an equal volume of Ficoll (Amersham Pharmacia Biotech, Little Chalfont, UK) for centrifugation at 150g for 20 minutes at 21°C. The mononuclear cell layer was removed and washed with PBS and centrifuged again at 150g for 5 minutes at 10°C. The cell pellet was isolated and then resuspended. The cells were counted using a haemocytometer with trypan blue to measure cell viability.

### 5.3.5 Isolation of Lamina Propria Mononuclear Cells (LPMC) from Surgical Resections

Specimens from intestinal resections were obtained following consent of CD and UC patients requiring surgery. Control specimens were obtained following consent of patients without CD or UC requiring surgery for cancer. The specimens were taken immediately to the histopathology department for macroscopic examination. Full thickness pieces of gut in length from 3-7cm were then removed from the specimen by the histopathologist and placed in ready to use Roswell Park Memorial Institute-1640 medium (RPMI)(Invitrogen, Paisley, UK) on ice and transported to the lab. The mucosa was removed from the specimens with scissors and incubated in calcium- and magnesium-free Hank's balanced salt solution (HBSS) with 1mM ethylene tetra-acetic acid (EDTA)(Sigma-Aldrich, Gillingham, UK) for 30 minutes at 37°C with agitation in order to remove the mucus and epithelial cells. The tissue was then cut into 3-4mm pieces with a scalpel and digested in 1mg/ml collagenase (Sigma-Aldrich, Gillingham, UK) and DNAase I (10U/ml; Roche, Mannheim, Germany) with agitation for 60 minutes at 37°C. The suspension was then passed through a 100µm cell strainer and washed in RPMI (Sigma-Aldrich, Gillingham, UK). The pellet was resuspended in 20ml of PBS and the LPMCs purified using Ficoll density gradient (centrifuged at 150g for 30 minutes at 20°C). The cell pellet was resuspended in RPMI and the cells counted using trypan blue.

#### 5.3.6 PBMC cell activation

Following PBMC isolation, PBMCs were activated using anti CD3 / anti CD28 antibody (eBioscience, SanDiego,CA) or PMA/ Ionomycin. One millilitre medium containing 1 million PBMCs was placed in a well of a 24 well plate in the following conditions; medium, anti CD3/28 antibody (1µg/ml; eBioscience, SanDiego, CA), anti CD3/28 antibody (1µg/ml) and infliximab (10µg/ml; Remicade, Scering-Plough, Welwyn Garden City, UK) and anti CD3/28 antibody (1µg/ml) and IgG1 (10µg/ml) for 24 hours. One millilitre of 1 million PBMCs were also placed in a 24 well plate in the following conditions; PMA (50 ng/ml Sigma-Aldrich, Gillingham, UK)/ Ionomycin (500 ng/ml) for 4 hours, or PMA/ Ionomycin and GW280264X, a gift from Prof Stefan Rose-John (10µM) and either IgG1 (100µg/ml) or infliximab (100 µg/ml; Remicade, Schering-Plough, Welwyn Garden City, UK).

#### 5.3.7 Flow Cytometric detection of membrane TNFa

CHO cells were aspirated and washed in 1 ml of FACS buffer and resuspended in 100µl FACS buffer (PBS, 2mM EDTA, 2% BSA). Cells were then incubated with 5µl fluorescin conjugated membrane TNF antibody (R and D, Abingdon, UK), or its matched isotype, for 20-30 minutes at 37°C in the dark. Cells were then washed three times in ice-cold FACS buffer and analysed by flow cytometry using LSRII (BD Bioscience, Oxford, England).

#### 5.3.8 Measurement of Apoptosis using Annexin V

Annexins are a family of calcium dependent phospho-lipid binding proteins that preferentially bind phosphatidylserine (PS). PS is normally located on the inner layer of the plasma membrane, however when apoptosis is initiated, PS is translocated to the outer layer of the phospholipid bilayer marking cells for phagocytosis. Fluorescently labelled Annexin V binds to the PS on the cell surface. In the early stages of apoptosis viability dyes such as propidium iodide are excluded by the plasma membrane. Thus cells in early apoptosis are positive for Annexin V but negative for PI. Whereas cells in late apoptosis, in which the cell membrane has lost its integrity, stain positively for Annexin V and PI.

PBMCs were washed once in PBS and then once with binding buffer. PBMCs were then resuspended in 250µl of binding buffer and 10µl of Annexin V (eBioscience, San Diego, CA) and incubated for 15 minutes at room temperature. Cells were then washed in binding buffer and resuspended in 200µl of binding buffer (eBioscience,San Diego, CA) and 5µl of PI (eBioscience, SanDiego, CA) added. Cells were put on ice in the dark until analysis by flow cytometry. Data was analysed using FlowJo software (Tree Star Inc, OR, USA).

#### 5.4 Results

# 5.4.1 Infliximab and etanercept do not reduce the production of IL-1β,IL-6 or IL-8 in inflamed IBD biopsies cultured ex vivo.

Inflamed biopsies from four CD and 1 UC patient were incubated for 24 hours with infliximab (10µg/ml), etanercept (10µg/ml) or IgG1 (10µg/ml). There was a wide range of IL-1 $\beta$ , IL-6 and IL-8 produced which did not change with the addition of infliximab or etanercept (Figure 5.1).

#### 5.4.2 Surface TNFa on CHO cells

CHO cells were cultured and passaged two to three times per week. At passage cells that were removed were washed and mTNF $\alpha$  expression measured using a FITC conjugated anti-mTNF $\alpha$  antibody (Figure 5.2). The mean from 3 experiments was 74.3% (range of 55-91%) (Figure 5.3). There was no staining of control CHO cells.

## 5.4.3 Apoptosis is not increased in the mTNFα transfected CHO cell line cultured with infliximab.

There was no difference in apoptosis in CHO cells incubated for 24 hours with infliximab (10 $\mu$ g/ml) compared to IgG1 (10 $\mu$ g/ml). Apoptosis was measured using Annexin V and PI (Figure 5.4). These results were similar in CHO cells, which did not express mTNF $\alpha$  (results not shown).

### 5.4.4 Apoptosis does not increase in CD14-ve PBMCs incubated with infliximab compared to IgG1 or etanercept.

Figure 5.5 shows two experiments in which CD14-ve PBMCs activated with anti CD3/28 antibody for 48 hours are then incubated with IgG1 ( $10\mu g/ml$ ), infliximab ( $10\mu g/ml$ ) and etanercept ( $10\mu g/ml$ ). Apoptosis with infliximab is greater in one experiment only but no different in the second experiment.

# 5.4.5 Apoptosis in unstimulated CD3+LPMCs in inflamed CD was not increased.

Figure 5.6 shows one experiment in which inflamed CD LPMCs were isolated and incubated with infliximab or IgG1 for 24 hours. There was no difference in apoptotic cells although this was only one experiment.

# 5.4.5 The TACE inhibitor GW280264X does not increase the apoptosis in PBMCs incubated with infliximab.

PBMCs from healthy controls were incubated for 48 hours with anti-CD3/ anti CD28 (1µg/ml) antibody with and without GW280264X (10µM). Infliximab (100µg/ml) or IgG1 (100µg/ml) was then added for 24 hours. A higher concentration was used as this was the concentration used by Nesbitt et al (2007). The cells were then stained with Annexin V and Propidium Iodide for apoptosis and analysed by flow cytometry. Greater apoptosis was detected with infliximab and GW280264X, however an increase in apoptosis was also detected with IgG1 and GW280264X (Figure 6.7).





Figure 5-1. Infliximab and etanercept did not reduce IL-1 $\beta$ , IL-6 or IL-8 in inflamed IBD biopsies. Inflamed biopsies from four CD and 1 UC patient were incubated for 24 hours with infliximab (10  $\mu$ g/ml), etanercept (10 $\mu$ g/ml) or IgG1 (10 $\mu$ g/ml). The concentration of IL-1 $\beta$ , IL-6 and IL-8 was measured by ELISA. The box plots represent the mean concentration of 5 patients for each cytokine and the the standard deviation.



Figure 5-2. A representative FACS plot showing mTNFa expression in the mTNFa transfected CHO cells compared to the mock transfected CHO cells.



Figure 5-3. CHO cells transfected with mTNF $\alpha$  showed a mean mTNF $\alpha$  expression of 74.3% and the standard deviation. CHO cells transfected with mTNF $\alpha$  were analysed 3 times by FACS to assess mTNF $\alpha$  expression compared to the control CHO cells that were not transfected.



Figure 5-4. Apoptosis is not increased in mTNFα transfected CHO cells with infliximab compared to IgG1. A representative FACS showing CHO cells after 24 hour incubation with infliximab (10µg/ml) or IgG1 (10µg/ml) and the percentage apoptotic cells calculated by flow cytometry using Annexin V and propidium iodide. Apoptotic cells were 0.1% with infliximab and 1.9% with IgG1.



Figure 5-5. Apoptosis is not increased in CD14-ve activated PBMCs incubated with infliximab compared to IgG1 or etanercept. Two experiments in which CD14-ve PBMCs activated with anti CD3/28 antibody for 48 hours and then incubated with IgG1 ( $10\mu$ g/ml), infliximab ( $10\mu$ g/ml) and etanercept ( $10\mu$ g/ml). Apoptosis (Annexin V +ve/ PI-ve cells) was quantified by flow cytometry. The percentage of apoptotic cells are shown above each FACS plot; IgG1 14.8% and 33.9%; infliximab 22.7% and 34.2%; etanercept 14.6% and 29.6%.



Figure 5-6. There was no increase in apoptosis in unstimulated CD3+ LPMCs of inflamed CD with infliximab compared to IgG1. LPMCs were isolated and incubated with infliximab (10µg/ml) or IgG1 (10µg/ml) for 24 hours before analysis with Annexin V, PI and an anti CD3 antibody to detect CD3+ cells.



Figure 5-7. GW280264X does not increase apoptosis in PBMCs incubated with infliximab. PBMCs from healthy controls were incubated for 48 hours with anti-CD3/ anti CD28 (1 $\mu$ g/ml) antibody with and without GW280264X 10 $\mu$ M. Infliximab (100 $\mu$ g/ml) or IgG1 (100 $\mu$ g/ml) was then added for 24 hours before staining cells with Annexin V and Propidium Iodide and analysed by flow cytometry . Figure shows the mean of 3 experiments and the standard deviation. A significant difference was seen between IFX and IFX +GW280264X however there was also an increase seen in GW280264X +IgG1. Therefore this difference is not due to IFX. \*=P<0.05

#### 5.6 Discussion

The aim of this chapter was firstly to elucidate whether infliximab reduces proinflammatory cytokines. No change in the concentration of IL-1 $\beta$ , IL-8 or IL-6 was detected ex-vivo. This is inconsistent with other studies. Nesbitt et al., (2007) showed a reduction in IL-1 $\beta$  in PBMCs following incubation with LPS although PBMCs were initially incubated with infliximab or IgG1 before the addition of LPS. Petito et al., (2016) recently showed a reduction in IL-6 and IL-8 in the supernatant of colonic biopsies incubated with infliximab.

The second aim was to establish the contentious issue of apoptosis with infliximab. Apoptosis was not seen in the mTNF $\alpha$  transfected CHO cell line in this study. Preliminary experiments showed that the CHO cell line expressed mTNF $\alpha$  and CHO cell lines are recognised for their robust nature in vector expression (Meleady et al., 2008). Ten Hove et al., (2002a) showed apoptosis in a Jurkat cell line with infliximab.

Apoptosis was not seen in this study in PBMCs or LPMCs with infliximab or etanercept. Aghnolt et al., (2001) did not show apoptosis in colonic cultures from IBD patients. However apoptosis has been shown in PBMCs and LPMCs with infliximab (Nesbitt et al., 2007, Van den Brande., 2004, Di Sabatino et al., 2004) using Annexin V/PI to measure apoptosis. More recently Eder et al., (2013) failed to show apoptosis in uninflamed biopsies following infliximab or adalimumab using immunohistochemical analysis. Inflamed biopsies were not sampled. (Atreya et al., 2011) proposed a possible explanation for the detection of apoptosis when they showed apoptosis in TNFRII expressing CD4+ lamina propria T cells following incubation with mTNF $\alpha$  expressing CD14+ cells. However in this study, there was no apoptosis detected when PBMCs activated with P/I were incubated with the TACE inhibitor GW280264X and infliximab. Although the expression of mTNF $\alpha$  on CD14+ cells had not increased, which may have been an explanation for failing to show apoptosis. It is acknowledged that the sample size is small.

This study did not support two of the proposed mechanisms of action of infliximab in IBD. Vossenkaemper et al., (2014) showed increased phosphoprotein expression in IBD using phosphoarrays. The next step was to elucidate whether infliximab reduced inflammation in IBD by their effect on RTK signalling pathways.

### 6 The Effect of Anti-Tumour Necrosis Factor Antibodies on Receptor Tyrosine Kinase Phosphorylation

#### 6.1 Introduction

Infliximab is effective in reducing inflammation in IBD and increasing the time between relapses (Van Dulleman et al., 1995), (Targan et al., 1997), (Rutgeerts et al., 1999). However the mechanisms of action are still not clear. It has been suggested that it induces T cell apoptosis in the inflamed gut (ten Hove et al., 2002), (Van den Brande et al., 2003), kills cells expressing mTNF $\alpha$  by ADCC (Nesbitt et al., 2007), or kills them by complement activation (Mitoma et al., 2008), (Arora et al., 2009). It has also been suggested that infliximab induces regulatory macrophages (Vos et al., 2011). Initially it was considered that TNF $\alpha$ was a master cytokine and when made by activated macrophages fed back and induced the production of other inflammatory molecules such as IL-6 or IL-1- $\beta$ . (Butler et al., 1994). If this is indeed the case then it would be expected that infliximab would inhibit many of the downstream signalling pathways associated with cytokine driven inflammation.

Receptor tyrosine kinases (RTK) are a family of receptors that signal primarily through phosphorylation events leading to a cascade of signalling pathways downstream which control cell signalling, the cell cycle, migration as well as cell proliferation and differentiation. Receptor tyrosine kinases (RTK) include Eph proteins, EGFR, PDGFR. The RTK families, which have been shown to be involved in the gut, are discussed in more detail below. The Erythropoietin producing hepatocellular (Eph) receptor tyrosine kinases are the largest family of RTK. Eph proteins are expressed on almost all cells and are involved in wound healing and maintenance of intestinal cell populations. Eph proteins are divided into two subgroups -A and B. With few exceptions Eph A receptors bind to Ephrin A ligands and Eph B receptors to Ephrin B ligands. Eph A and B are similar in structure; extracellularly they have a ligand binding domain and a cys- rich domain (encompassing Sushi and Epidermal Growth domain) and 2 fibronectin bound Factor domains. Intracellularly a transmembrane region, a tyrosine kinase domain, the sterile alpha motif and the PDZ (postsynaptic density protein 95, discs large 1, and zonula occludens 1) domain. The interaction between Eph receptors and Ephrin ligands result in phosphorylation of tyrosine residues located between the transmembrane and tyrosine kinase domains.

Ephrin ligands are unusual for RTK ligands because they are membrane bound rather than soluble. Ephrins can be divided into 2 two subsets; named A and B, based on their molecular structure; A-Ephrins are bound to the cell membrane by a glycosyl-phosphatidylinositol (GPI) anchor; B-Ephrins are transmembrane proteins (Pasquale., 1997). The binding of the Eph proteins and the Ephrin ligands direct collaborative cell movements by cell-cell signalling (Drescher., 2002). Eph-Ephrin signalling is vital embryologicaly in axonal and vascular development (Flanagan and Vanderhaeghen, 1998), (Gale and Yancopoulos, 1999). In the intestine Eph-Ephrins interactions organise the position of cells within the intestinal epithelial crypts (Batlle et al., 2002) and cell migration up the crypts by changing the expression of Eph receptors (Poliakov et al., 2004). (Holmberg et al., 2006) showed that Eph B receptors on progenitor cells coordinate migration and proliferation in the stem cell niche. Thus they are likely to play an important role in the regenerative capacities in the gut following damage. In IBD the damage leads to massive increases in epithelial renewal as the gut tries to cover over ulcers with new epithelium. Interestingly Hafner et al., (2005) showed an up-regulation of Eph B2 receptor expression in both lesional and peri-lesional epithelial cells of IBD patients.

Epidermal Growth Factor Receptors (EGFR) are cell surface growth receptors widely expressed in the intestinal tract on the apical and basolateral surface of villus enterocytes (Avissar et al., 2000). When EGF binds to EGFR the receptor dimerises resulting in the autophosphorylation of the EGFR RTKs which triggers various signalling pathways downstream. The signalling pathways involved include Ras/MAPK, phospholipase C/protein kinase C, and STATS signal pathways (Diegelmann et al., 2012). The signalling pathways play a vital role in cellular proliferation and differentiation that help in intestinal development and mucosa repair (Wee et al., 2015). EGFR expression is present in 60-80% of sporadic colorectal cancer (CRC) (Ciardiello and Tortora, 2003) and EGFR inhibitors appear to be effective in the treatment of CRC. There is also an increase in EGFR expression in IBD associated cancer (Svrcek et al., 2007).

The fibroblast growth factor receptors (FGFRs) are a family of tyrosine kinases, which consist of four members FGFR1, FGFR2, FGFR3 and FGFR4 which are very similar structurally (Touat et al., 2015). The extracellular component consists of two or three immunoglobulin-like structures which are required for FGF binding, an acidic region and a heparin-binding region (Brooks et al.,

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2012). Phosphorylated tyrosine kinase sites on the transmembrane region act as docking sites for various adaptor proteins. The proteins are then phosphorylated by the activated FGFR and stimulate four possible signalling pathways downstream; PLC, JAK, PI3K and GRB2. The result is a regulation of cell proliferation, differentiation, migration and survival (Beenken and Mohammadi, 2009), (Ornitz, 2000) and plays a role in embryonic development and wound healing (Oladipupo et al., 2014). The association between FGFRs' dysregulation and tumorigenesis has led to the development of a large number of FGFR inhibitors in cancer treatment (Turner et al., 2010).

Platelet derived growth factor (PDGF) is a major growth factor which plays a central role in the repair process. PDGF transduces signals by binding to PDGF receptor- of which there are two- PDGFR- $\alpha$  and PDGFR- $\beta$  which are tyrosine kinase receptors. They are structurally similar with 5 Ig-like domains extracellularly, two of which form the binding site for PDGF. In the intracellular region, up to eleven tyrosine kinase residues are located. These sites are autophosphorylated on binding of PDGF and trigger a range of signaling cascades such as ERL MAPK. Defects in PDGFR signaling has been associated with various cancers (Medves and Demoulin, 2012).

The mitogen-activated protein kinase (MAPK) pathways are important in controlling inflammation, cell differentiation, cell growth and malignancy. There are three major constituents; the extracellular signal-regulated kinases (ERKs) such as p42/p44, the c-Jun N-terminal kinases, and the p38 MAPKinases. Apart from ERK 3 and 4 and NLK, full activation of MAPKinases requires dual simultaneous phosphorylation of threonine and tyrosine within a Threo-X-Tyro

motif where X is a specific amino acid. The amino acid varies between MAPKinases and confers specificity for the upstream kinase. Phosphorylation results in a conformational change in the protein exposing the kinase active site and enable substrate binding (Chen et al., 2001). Activation of the signaling cascade can be triggered by a wide range of stimuli, in general ERKs are activated by mitogens and differentiation signals whilst Jun and MAPK are activated by cellular stresses (Riemann et al., 2015). TNF can activate all three groups (including hormones, growth factors, PAMPS, cytokines and environmental stresses and cellular stress particularly by TNF $\alpha$ ).

Increased expression of ERK 1 and 2, p38 and MAPK are seen in IBD patients compared to controls (Waetzig et al., 2002). Levels of activated p38 MAPK were found to be raised in the inflamed mucosa of patients with IBD (Docena et al., 2010) and inhibitors of MAPK reduce phosphorylated MAPK in biopsies cultured ex vivo from patients with IBD (Docena et al., 2010). Hommes et al., (2002) showed that a Jnk and p38 MAPK inhibitor given for 12 days reduced MAPK phosphorylation when tested in vitro on colonic biopsies. After 4 weeks, 67% showed a clinical response and a significant reduction in CDAI.

The Jak/ Signaling transducer and activation of transcription (STAT) 3 pathway is used by cytokines such as IL-6 (De Simone et al., 2015) and IL-10 (Lejeune et al., 2002). On binding of cytokines to its membrane receptor a conformational change occurs in associated JAK molecules resulting in autophosphorylation and a second phosphorylation on the cytokine receptor. Subsequently docking sites for STAT are exposed which are also phosphorylated and translocate to the nucleus regulating transcription of various genes (O'Shea et al., 2004). STAT 5 is involved in the development of different T cell subsets, specifically T regs (Yao and Shao., 2007). The role of STAT 3 in IBD is well documented (Kaur et al., 2016), (Zundler and Neurath, 2016), (Jauregui-Amezaga et al., 2015), and its expression is increased in both UC and CD lamina propria T cells (Mudter et al., 2005). In addition a JAK1, 3 and 5 inhibitor (Tofacitinib) shows efficacy in UC (Sandborn et al., 2012) although has not been shown to be more effective than placebo in CD (Panés et al., 2015).

T cell subsets are important in IBD. How T cells are activated and differentiate into different subsets is of interest. ZAP-70 is a cytoplasmic tyrosine kinase mainly expressed in T cells and mediates T cell receptor (TCR) signaling. Following TCR engagement, Lck associated to CD4 is brought into proximity with the TCR enabling the phosphorylation of the ITAM motifs situated on the TCR<sup>\(\)</sup> chain. After ITAM binding, ZAP-70 is phosphorylated by Lck, activating ZAP-70 (Wang et al., 2010). ZAP-70 activation triggers a number of signalling cascades resulting in rearrangement of the T cell cytoskeleton, IL-2 synthesis and release and T cell proliferation (Boubek et al., 1996). Loss of function of expression of ZAP-70 in humans leads to SCID in which CD4+ T cells are nonfunctional and CD8+ T cells are absent (Elder et al., 1994). Bouzid et al recently showed an association between a ZAP-70 polymorphism and CD in a Tunisian population (Bouzid et al., 2013). A heterozygous mutation in ZAP-70 was identified in a Chinese patient who developed early onset and recurrent infections as well as IBD amongst other autoimmune clinical manifestations (Liu et al., 2017).

The different efficacies of infliximab and etanercept in IBD have been discussed in chapter 5. The reasons for this difference is not yet understood and research is still needed to explain why etanercept, an anti-TNF $\alpha$  antibody is ineffective in CD whilst infliximab is effective.

### 6.2 Aims

To use phosphoarrays to determine if the addition of  $TNF\alpha$  neutralising agents to mucosal biopsies from patients with IBD ex vivo has any effect on RTKs. Accordingly the following experiments were carried out.

- The phosphorylation events in inflamed IBD biopsies incubated in infliximab were measured. Samples were initially snap frozen and stored at -80°C prior to cell lysis and phosphoarray.
- The phosphorylation changes in inflamed biopsies of UC and CD individuals incubated in etanercept were then measured and compared to infliximab.

#### 6.3 Materials and Methods

#### 6.3.1 Organ Culture of Intestinal Mucosal Biopsies

Inflamed colonic biopsies obtained during colonoscopy were cultured (one biopsy per well) in 24 well plates (VWR International, Lutterworth, UK) in 300µl of serum free HL-1 medium (Cambrex BioScience, Wokingham, UK) for 24 hours at 37°C, in 5% CO<sub>2</sub>. At the start of the culture infliximab (5µg/ml; Remicade®, Schering-Plough, Welwyn Garden City, UK), etanercept (5µg/ml; Enbrel®, Wyeth, Maidenhead, UK) or IgG1 were added. The supernatant was collected after 24 hrs and stored at -80°C before undergoing cytokine array analysis. The biopsy was snap-frozen and stored at -80°C prior to cell lysis and phosphoarray.

#### 6.3.2 Phosphoarray

Pathscan RTK signalling arrays (Cell Signalling Technology, Danvers, MA) were used to determine the phosphorylation status of receptor tyrosine kinases. One hundred microlitres RIPA buffer (containing  $10\mu$ l/ml protease inhibiter and  $10\mu$ l/ml phosphatase inhibiter) was added to each biopsy on ice. The biopsy was then sonicated for 2-3 seconds three times before centrifugation for 10 minutes at 12000g at 4°C. The supernatant was aspirated and the protein concentration calculated using the Bradford assay. The supernatants were stored at -80°C.

The PathScan RTK Signalling Antibody Array kit is a slide based antibody array based upon the sandwich immunoassay principle. It allows for the simultaneous detection of 28 receptor tyrosine kinases and 11 signalling nodes phosphorylated at tyrosine or other residues. The manufacturer precoats the slide, with capture antibodies spotted in duplicate onto nitro-cellulose glass slides. A multi-well gasket is fixed onto the slide and 150µl of blocking buffer is introduced into each well. The slide is placed on a rocker at room temperature for 15 minutes. The blocking buffer is then decanted from each well and 150µl of sample lysate containing 75µg of protein is introduced into the well and incubated on a rocker at room temperature for two hours. The wells are then washed four times with array wash buffer for five minutes each before 150µl of Array Detection Antibody Cocktail is introduced and incubated for one hour. The slide is washed again four times and 150µl of streptavidin HRP is introduced into each well. The slide is washed and then removed from the gasket. Lumiglow and peroxide were then pipetted onto the slide, which was then exposed to X-ray film, and the pixel intensity quantified using Image J.

### 6.4 Statistical Analysis

Data was analysed using GraphPad Prism (GraphPad Software, San Diego, CA) software. Student's paired t-test was used to compare two values from the same individual. P values of less than 0.05 were considered statistically significant.

#### 6.5 Results

## 6.5.1 The phosphorylation levels detected in inflamed CD and UC biopsies were high.

Inflamed biopsies from five CD patients and four UC patients were incubated with IgG1 or infliximab for 24 hrs. The pixel intensities of healthy controls were studied in Vossenkaemper et al., (2014) in our group and shown to be low compared to IBD. Figure 6.1 shows the array after exposure to X ray film and the RTK map identifying the different RTKs on the array. The pixel intensity of inflamed CD and UC biopsies showed high phosphoprotein levels in the majority of phosphoproteins. Figures 6.2 and 6.3 show each of the biopsies' phosphoprotein levels for CD and UC respectively as represented by a heatmap. There is some variation between the samples as well as between the different phosphoproteins from the same biopsy. The range varied from 0.01 (the value in TrkA and TrkB in CD2, CD3 and CD4) up to 78.4 (the value of Src in UC1).

## 6.5.2 The phosphoprotein levels were higher in the UC individuals compared to the CD individuals.

The mean pixel intensity in the CD biopsies and the UC biopsies for each receptor tyrosine kinase was greatest for the EGFR, FGFR, Insulin R and Stat families (19.36 in FGFR4 up to 39.04 in EGFR) (Figures 6.2 and 6.3,6.4 to 6.7). The mean pixel intensity was low in the NGFR and HGFR families (2.79 in TrkA and up to 10.67 in Ron/ MST1R) (Figure 6.2), in fact in 3 of the individuals the pixel intensity was the same as the background in these families (CD2, CD3 and CD4 in Figure 6.2).

# 6.5.3 There was a global reduction in phosphoprotein levels with infliximab in CD and UC biopsies.

Figure 6.1 shows a representative slide of a CD biopsy with infliximab and IgG1 and the array map for identification of RTKs. The relative pixel intensity is reduced in all phosphoproteins in each CD individual (Figure 6.2) and three out of four UC individuals (Figure 6.3).

## 6.5.4 PDGFR, c-Kit, M-CSFR/CSF and FLT3 phosphoproteins in the PDGFR family were reduced significantly with infliximab.

Four RTK were measured in the PDGFR family, which were c-Kit, FLT3, PDGFR and M-CSFR. The mean change in pixel intensity of all five CD and four UC individuals reduced in all four RTK (Figure 6.4). The reduction was significant in c-Kit (p=0.001), PDGFR (p=0.026), M-CSFR/ CSF (0.022) and FLT3 (p=0.008) (Figure 6.8).

# 6.5.5 Infliximab significantly reduced the phosphoproteins Eph A2, Eph A3, Eph B1 and Eph B3 in IBD.

Six RTKs from the Ephrin family were measured; Eph A1, Eph A2, Eph A3, Eph B1, Eph B3 and Eph B4. The mean pixel intensity in the phosphoproteins in the biopsies incubated in IgG1 and infliximab showed a reduction in all members in CD and in UC (Figure 6.4). The reduction in IBD biopsies was

significant in Eph A2 (p=0.002); Eph A3 (p= 0.02), Eph B1 (p=0.004), and Eph B3 (p=0.007) (Figure 6.9).

## 6.5.6 Infliximab significantly reduced the phosphoproteins EGFR and HER2.

Three phosphoproteins from the EGFR family were measured which were EGFR/ Erb1, HER2/ Erb2 and HER 3/ Erb3 and infliximab reduced the levels of each (Figure 6.5). The mean pixel intensity in the 5 CD biopsies was reduced from 39.04 to 27.70 and in the UC biopsies from 44.7 to 42.8. Together there was a significant reduction with infliximab in inflamed IBD biopsies in EGFR (p=0.02) and HER 2 (p=0.02) (Figure 6.10). The reduction in HER 3 was not significant.

# 6.5.7 Infliximab significantly reduced the phosphoproteins FGFR3 and FGFR4.

Three phosphoproteins from the FGFR family were measured, namely FGFR1, FGFR3 and FGFR4. Infliximab significantly reduced the levels in each phosphoprotein (Figure 6.5). FGFR3 reduced from 27.40 to 17.13 (p=0.016) and FGFR4 reduced from 19.40 to 10.20 (p=0.021) (Figure 6.11). The pixel intensity for each phosphoprotein in IgG1 and infliximab can be seen in Figure 6.11 showing the reduction in all but one individual with infliximab.

## 6.5.8 Infliximab significantly reduced Axl, Tyro3, Tie2 and VEGFR phosphoproteins.

The RTK measured in the Axl family were Axl and Tyro3. There was a significant reduction in Axl, Tyro and Tie2 and VEGFR when a paired t test is carried out on all IBD biopsies where p=0.001 (Axl), p=0.004 (Tyro3), p=0.001 (Tie2) and p=0.003 (VEGFR) (Figure 6.6 and 6.12).

### 6.5.9 Infliximab significantly reduced phosphoproteins p44/MAPK, S6 Ribosomal protein and c-Abl in inflamed IBD biopsies.

Infliximab reduced phosphorylation of p44/MAPK and s6 ribosomal protein and c-abl which is significant in the IBD biopsies in p44/MAPK (p=0.015), S6 Ribosomal protein (p=0.026) and c-abl (p=0.024) (Figure 6.7 and 6.13).

# 6.5.10 Infliximab significantly reduces phosphoproteins IRS-1 and Zap-70 in CD biopsies.

Although the reduction in phosphoprotein intensity in IBD biopsies together is not significant, when a paired t test is applied to the CD biopsies alone the reduction is significant where p=0.007 in Zap-70 and p=0.017 in IRS-1 (Figure 6.13 and 6.14).

### 6.5.11 Infliximab significantly reduced phosphoproteins Akt/PKB/Rac on Ser 473 in inflamed IBD biopsies.

There was a significant reduction in Ser473 in inflamed IBD biopsies (p=0.007) (Figure 6.7 and 6.15). In the CD biopsies alone however there was a significant reduction in Src (p=0.02), Lck (p=0.015) and Sat 1 (p=0.016) (Figure 6.16).

### 6.5.12 Etanercept reduces PDGFR, c-Kit FLT3 and CSFR phosphorylation in inflamed IBD biopsies

The phosphorylation intensities were high in the IBD biopsies with IgG1, seen in the heatmap showing the changes in all four of the inflamed IBD biopsies (3 UC, 1 CD) (Figure 6.17) There was a reduction in receptors in the PDGFR family, including PDGFR, c-Kit, FLT3 and CSFR, although the reduction is not significant. There was no change in the phosphorylation of the other RTKs tested with etanercept (Figure 6.18 and 6.21).



Figure 6-1 Phosphoarray showing a sample chemiluminescent film of IgG1 and infliximab and the array map with the RTKs and signaling nodes listed. IBD biopsies were incubated for 24 hours with IgG1 (5µg/ml) or infliximab (5µg/ml) and the biopsies then lysed and analysed for phosphorylation intensity with a phosphoarray.

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Figure 6-2. Infliximab reduces the phosphorylation in all RTKs measured in CD biopsies. A heatmap representing the mean pixel intensity measured in the inflamed biopsies of five CD individuals following incubation for 24 hours with infliximab (5µg/ml) or its control IgG1 (5µg/ml).



Figure 6-3. Infliximab reduces the phosphorylation in all RTKs measured in UC biopsies. A heatmap representing the mean pixel intensity measured in the inflamed biopsies of four UC individuals following incubation for 24 hours with infliximab (5µg/ml) or its control IgG1 (5µg/ml).

### **PDGFR and Eph Family**



Figure 6-4 Infliximab reduces phosphorylation in IBD explants in PDGFR and Eph family. Mean pixel intensity and the standard deviation from explants of 5 CD and 4 UC patients incubated for 24 hours with Infliximab (5µg/ml) and IgG1 (5µg/ml).

### EGFR,FDGFR,InsR



Figure 6-5 Infliximab reduces phosphorylation in IBD explants in EGFR anf FGFR family. Mean pixel intensity and the standard deviation for 18 receptor tyrosine kinases from explants of 5 CD and 4 UC patients incubated for 24 hours with Infliximab (5µg/ml) and IgG1 (5µg/ml).


Figure 6-6. Infliximab reduces phosphorylation in IBD explants in RTKs. Mean pixel intensity and the standard deviation for receptor tyrosine kinases from explants of 5 CD and 4 UC patients incubated for 24 hours Infliximab (5µg/ml) or IgG1 (5µg/ml).



Figure 6-7. Mean pixel intensity and the standard deviation of RTKs and signalling nodes from explants of 5 CD and 4 UC patients incubated for 24 hours with Infliximab (5µg/ml) or IgG1 (5µg/ml).



Figure 6-8. All phosphoproteins in the PDGFR are reduced significantly with infliximab. Five CD and 4 UC biopsies were incubated for 24 hours with infliximab ( $5\mu g/ml$ ) or IgG1 ( $5\mu g/ml$ ) and the change in phosphoprotein expression measured by calculating the pixel intensity. \* = p<0.05, \*\* = p<0.005.



Figure 6-9 EphA2, EphA3, EphB1 and Eph B3 in the Eph family are significantly reduced in IBD biopsies with infliximab. Five CD and four UC biopsies were incubated for 24 hours with Infliximab  $(5\mu g/ml)$  or IgG1  $(5\mu g/ml)$  and the phosphoprotein expression measured by calculating the pixel intensity. \* = p<0.05, \*\* = p<0.005.



Figure 6-10. Infliximab reduced the phosphoprotein levels of EGFR and HER 2 in the EGFR family significantly in IBD biopsies. Five CD and four UC inflamed biopsies were incubated for 24 hours with infliximab ( $5\mu$ g/ml) or IgG1 ( $5\mu$ g/ml) and the phosphoprotein expression measured by calculating the pixel intensity. \* = p<0.05, \*\* = p<0.005.



Figure 6-11. Infliximab reduced the phosphoprotein levels of FGFR3 and FGFR4 significantly in inflamed IBD biopsies. Five CD explants and four UC were incubated for 24 hours with infliximab  $(5\mu g/ml)$  or IgG1  $(5\mu g/ml)$  and the phosphoprotein expression measured by calculating the pixel intensity. These phophoproteins are from the FGFR family. \* = p<0.05, \*\* = p<0.005.



Figure 6-12. Infliximab significantly reduces Axl, Tyro3, Tie2/TEK and VEGFR in inflamed IBD biopsies. Five CD and four UC explants were incubated for 24 hours with Infliximab (5 $\mu$ g/ml) or IgG1 (5 $\mu$ g/ml) and the phosphoprotein expression measured by calculating the pixel intensity. \* = p<0.05, \*\* = p<0.005, \*\*\* = p<0.0005.



Figure 6-13. Infliximab significantly reduces the phosphoproteins p44/ MAPK, S6 Ribosomal Protein and c-Abl in inflamed IBD biopsies. Five CD and four UC biopsies were incubated for 24 hours with Infliximab (5µg/ml) or IgG1 (5µg/ml) and the phosphoprotein expression measured by calculating the pixel intensity. \* = p<0.05.



Figure 6-14. Infliximab significantly reduces the phosphoproteins IRS-1 and Zap-70 in inflamed CD biopsies. Five CD explants and four UC explants were incubated for 24 hours with Infliximab (5 $\mu$ g/ml) or IgG1 (5 $\mu$ g/ml) and the phosphoprotein expression measured by calculating the pixel intensity. \* = p<0.05, \*\* = p<0.005.



Figure 6-15. Infliximab significantly reduces the phosphoproteins Ser473 in inflamed IBD biopsies. Five CD and four UC biopsies were incubated for 24 hours with Infliximab (5µg/ml) or IgG1 (5µg/ml) and the phosphoprotein expression measured by calculating the pixel intensity. \*\*= p<0.005.



Figure 6-16. Infliximab significantly reduced Src, Lck and Stat 1. Five CD explants were incubated for 24 hours with Infliximab ( $5\mu g/ml$ ) or IgG1 ( $5\mu g/ml$ ) and the phosphoprotein expression measured by calculating the pixel intensity. \* = p<0.05.



Figure 6-17. Etanercept does not reduce the phosphorylation intensity of IBD biopsies. Etanercept (5µg/ml) or IgG1 (5µg/ml) were added to inflamed IBD biopsies (3 UC and 1CD) for 24 hours. The phosphorylation intensity of RTKs was measured by phospoarray.



Figure 6-18. Etanercept does not reduce phosphorylation in IBD explants in PDGFR and Eph Family Mean pixel intensity and standard deviation for receptor tyrosine kinases from explants of 1 CD and 3 UC patients incubated for 24 hours with Etanercept (5µg/ml) or IgG1 (5µg/ml).

#### EGFR,FDGFR,InsR



Figure 6-19. Etanercept does not reduce phosphorylation in IBD explants in EGFR and FGFR family Mean pixel intensity and standard deviation for receptor tyrosine kinases from explants of 1 CD and 3 UC patients incubated for 24 hours with Etanercept (5µg/ml) or IgG1 (5µg/ml).



Figure 6-20 Etanercept does not reduce phosphorylation in IBD explants in RTKs. Mean pixel intensity and standard deviation for 18 receptor tyrosine kinases from explants of 1 CD and 3 UC patients incubated for 24 hours with Etanercept (5µg/ml) or IgG1 (5µg/ml).



Figure 6-21 Etanercept does not reduce phosphorylation in IBD explants in signalling proteins. Mean pixel intensity and standard deviation for 18 receptor tyrosine kinases from explants of 1 CD and 3 UC patients incubated for 24 hours with Etanercept (5µg/ml) or IgG1 (5µg/ml).

#### 6.7 Discussion

Vossenkämper et al., (2014) showed increased phosphorylation in CD and UC inflamed biopsies compared to controls. UC biopsies also showed a greater relative phosphorylation intensity than CD biopsies (Vossenkämper et al., 2014). This is understandable as there is a great deal of repair and regeneration required in IBD as a result of mucosal inflammation.

The RTKs involved in repair and wound healing are the EGFR, FGFR and PDGFR families. Whilst previous observations have included activity of RTKs in IBD these results show for the first time that infliximab significantly reduces the phorphorylation activity.

MAPK is known to be activated by TNF and increased expression of ERK 1 and 2 (also called p44/MAPK) and p38 MAPK was shown in IBD by Western blot and immunohistochemistry of IBD biopsies (Waetzig et al., 2002). Waetzig et al., (2002) also showed a reduction in ERK 1 and 2 (p44/MAPK) expression following glucocorticoid treatment.

ERK 1 and 2 levels have not been measured in IBD with infliximab, however Faurschou et al., (2008) showed a reduction in ERK 1/2 expression in keratinocytes following UVB damage. A reduction in Akt Thre and Akt Ser-473 was also shown similar to this study.

PDGF is a major growth factor which plays a central role in the repair process. PDGFR was significantly reduced in IBD biopsies (P=0.026) after 24 hours. Kumagai et al., (2001) showed increased expression of PDGFR by in situ hybridization and immunohistochemistry on surgical IBD resections in active inflammation as well as areas of fibrosis adjacent to the ulcer base. The changes with infliximab have not been shown previously.

CSFR-1 RNA transcripts have been shown to be increased in IBD when measured by real time PCR (Franze et al., 2016). Franze et al., (2016) also used immunohistochemistry to show up-regulation of this receptor in epithelial and lamina propria mononuclear cells. This is consistent with an increased phosphorylation intensity seen by Vossenkaemper et al., (2014). However the effect of infliximab has not been looked into previously.

This study shows high phosphorylation of EGFR in inflamed colon. This was also shown by Hardbower et al., (2017) when they looked specifically at EGFR phosphorylation in macrophages. There was increased phosphorylation compared to inactive colon in IBD.

The role of Eph receptors in the intestinal crypt has been shown recently by Jurek et al., (2016) and previously by Holmberg et al., (2006). Cooperation between Eph A and B recptors is required for mitogenesis in the stem cell niche (Jurek et al., 2016). Hafner et al., (2005) showed increased expression of Eph B2 in CD biopsies. Eph B2 was not included in the phosphoarray used however Eph A2, EphB1 and EphB3 were shown to be raised in IBD and reduced with infliximab. It is not possible to say whether the reduction in Eph receptor phosphorylation is due to an improvement in healing by infliximab and therefore a down regulation of the proliferation of progenitor cells or whether infliximab directly effects Eph phosphorylation. No other studies have looked at the effect of infliximab on Eph receptors.

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ZAP-70 was shown to be significantly reduced by infliximab in the CD samples but not in the UC samples. Bouzid et al., (2013) showed a single nucleotide polymorphism rs13420683 was associated with CD but not UC in a Tunisian population supporting the importance of T cell signaling in CD.

It is interesting that the phosphorylation intensity is not reduced with etanercept. The phosphorylation intensity is high showing that the biopsies were taken from inflamed areas. Patients also were anti-TNF naïve. Sandborn et al., (2001) showed that etanercept was ineffective in CD in a pilot study. This was supported more recently with the lack of improvement seen in ankylosing spondylitis- associated intestinal inflammation following treatment with etanercept compared to infliximab or adalimumab (Chitul et al., 2017). Etanercept does not bind to mTNF $\alpha$  with the same avidity as infliximab (Nesbitt et al., 2007), (Shealy et al., 2010), but binding to sTNF $\alpha$  is similar to infliximab (Nesbitt et al., 2007). This study does not indicate how infliximab reduces the phosphorylation of RTKs, but it is possible that this is via mTNF $\alpha$  rather than However another possible explanation for the lack of effect by sTNFα. etanercept is related to the matrix metalloproteinases (MMPs). Biancheri et al., (2015) showed that etanercept is extremely sensitive to breakdown by MMP 3 and 12 which are in excess in the inflamed mucosa in IBD. The findings indicate the possibility that RTKs may be significant signaling pathways of clinical benefit in the treatment of IBD.

Having shown a significant change in RTKs using phosphoarrays, the next step was to use a cytokine array to elucidate changes in a large number of cytokines ex vivo by infliximab.

## 7 The Effect of Anti-Tumour Necrosis Factor Antibodies on Cytokine Production in IBD biopsies

#### 7.1 Introduction

Crohn's disease has characteristics of a Th1 type immune response with increased concentration of IFNγ and IL-12 (Breese et al., 1994), (Monteleone et al., 1997) and overexpression of Th1 transcription factors such as Stat-1 and T-bet (Neurath et al., 2002). UC however displays Th2 characteristics, increased production of IL-5, although not IL-13 as originally thought (Biancheri et al., 2014). Both CD and UC have increased numbers of Th17 cells which have the potential to secrete IL-17A, IL-17F, IL-21, IL-22 and IL-26 (Rovedatti et al., 2009), (Chen and Sundrud, 2016).

Tumour Necrosis Factor alpha (TNF $\alpha$ ) is abundant in both CD and UC (Breese et al., 1994). The most successful anti-cytokine treatment for IBD in the last 20 years has been anti-TNF $\alpha$  antibodies. Infliximab is effective in the treatment of inflammatory and fistulising CD as well as in UC (Targan et al., 1997), (Sands, 2001). However infliximab is not effective in almost half of patients and with others the response wanes over time (Rutgeerts et al., 2009). Understanding the downstream effect on cytokines by neutralising TNF $\alpha$  may help to identify alternative targets. The mechanisms of action of anti-TNF $\alpha$  antibodies are not fully understood. TNF $\alpha$  is thought to act as a master cytokine released by macrophages, which feeds back to the cell and leads to increased secretion of other pro-inflammatory cytokines. Neutralisation of mTNF $\alpha$  has been shown to result in a reduction in pro-inflammatory cytokines such as IL-6, IL-1 $\beta$  and IL-8 (Butler et al., 1995, (Nesbitt et al., 2007). (Mitoma et al., 2005) showed the induction of IL-10 in a mTNF $\alpha$  expressing Jurkat cell line following treatment with infliximab but not etanercept.

### 7.2 Aims

To establish whether the addition of infliximab to mucosal biopsies influences the production of other cytokines and chemokines, the following experiments were carried out

- Inflamed biopsies of CD and UC patients were incubated in the presence of anti-TNF antibodies and the supernatants analysed using a cytokine array panel.
- 2. The results between infliximab and etanercept were compared.

#### 7.3 Materials and Methods

#### 7.3.1 Organ Culture of Intestinal Mucosal Biopsies

Inflamed colonic biopsies obtained during colonoscopy were cultured (one biopsy per well) in 24 well plates (VWR International, Lutterworth, UK) in 300ul of serum free HL-1 medium (Cambrex BioScience, Wokingham, UK) for 24 at 37°C, in 5% CO<sub>2</sub>. At the start of the culture infliximab (Remicade®, Schering-Plough, Welwyn Garden City, UK; 5µg/ml), etanercept (Enbrel®, Wyeth, Maidenhead, UK; 5µg/ml) or IgG1 were added. The supernatant was collected after 24 hrs and stored at -80°C before undergoing cytokine array analysis.

#### 7.3.2 Cytokine Array Analysis

A human cytokine array panel A (R and D Systems, Abingdon, UK) was used to determine changes in 38 cytokines and chemokines simultaneously in the supernatants. Nitrocellulose membranes were precoated with capture antibodies in duplicate. The membrane is then placed in a multi-well dish containing 1ml of blocking buffer for 1 hour at room temperature on a rocking platform. Array buffers containing a buffered protein base provided by the manufacturer are added to 250µl of supernatant to make a total of 1ml and 15µl of detection antibody cocktail added, mixed and incubated for 1 hour at room temperature. The buffer is then aspirated from the wells and the sample/ antibody mixtures added onto the nitrocellulose membranes. The membranes are incubated overnight on a rocking platform at 4°C. The membranes were then removed and placed into plastic containers and rinsed with 20ml wash buffer before being washed for 10 minutes three times. Strepdavidin HRP (1 in 200) was then added to each well with the membranes and incubated for 30 minutes. The membranes were washed as before and placed on a plastic sheet. One ml chemilumescent mix was added and the membranes exposed on X-ray film and pixel intensity quantified using Image J.

#### 7.3.3 Statistical Analysis

Data was analysed using GraphPad Prism (GraphPad Software, San Diego, CA) software. Student's paired t-test was used to compare two values from the same individual. P values of less than 0.05 were considered statistically significant.

#### 7.4 Results

# 7.4.1 There is a high expression of pro-inflammatory cytokines in IBD biopsies

Figure 7.1 shows the expression of cytokines without treatment and the heatmap in Figure 7.2 shows the high expression of proinflammatory cytokines in biopsies cultures with IgG1. The cytokines which have the highest expression are G-CSF, CXCL1, sICAM-1, IL-1ra, IL-6, IL-8, IL-16, IL-17, IL-27, MIF, Serpin E and CCL5 (Figures 7.1 and 7.2).

## 7.4.2 Infliximab reduces C5/5a, CD40 ligand, IL-1ra, IL-2, IL-4, IL-8, IL-16, MIF, CCL5, IL-32a, CXCL10, CXCL11, CXCL12, TNFα and sTREM1.

There was a reduction in a large number of cytokines although the change was not significant. The fold change seen with infliximab was calculated by dividing the mean pixel intensity with IgG1 by the mean pixel intensity with infliximab. Table 7.1 shows six cytokines reduced by 2 to 5 fold namely C5/C5a (2.2), IL-10 (3.5), CCL5 (2.7), CXCL12 (3.2), TNF $\alpha$  (4) and sTREM1 (2.2)(2 CD and 1 UC patient) (Figure 7.3).

# 7.4.3 Etanercept reduces CD40 ligand, IL-6, IL-8, IL-17, IL-27, CCL3, CCL5 and TNFα.

Etanercept reduced the mean cytokines in the two CD patients tested. Although there was no change in the fold change seen. (Figure 7.4).

#### 7.5 Figures



Figure 7-1. Infliximab and etanercept reduced most of the cytokines tested. Etanercept reduced G-CSF, IL-6 and IL-8 which were unaffected by infliximab in this patient. Membranes from cytokine array testing cytokine array from supernatants of CD biopsies incubated for 24 hours with IgG1 (5µg/ml), infliximab (5µg/ml) and etanercept (5µg/ml).



Figure 7-2There is a high expression of pro-inflammatory cytokines in the controls which show a small reduction with infliximab. A heatmap showing inflamed biopsies from 2 CD patients and 1UC patient incubated with IgG1 (5µg/ml) and infliximab (5µg/ml) for 24 hours.



Figure 7-3. Infliximab reduces C5/5a, CD40 ligand, IL-1ra, IL-2, IL-4, IL-8, IL-16, MIF, CCL5, IL-32a, CXCL10, CXCL11, CXCL12 TNFα and sTREM1. Mean change in cytokine concentration in the supernatants of inflamed IBD biopsies (2 CD and 1 UC patient). Cytokine expression was measured by pixel intensity calculation on a cytokine array on the supernatants of biopsies incubated for 24 hours with infliximab (5µg/ml) or IgG1 (5µg/ml).

No change with infliximab			2-5 fold reduction with infliximab
CD40	IL-6	CXCL10	C5/C5a
ligand	IL-8	CXCL11	IL-10
G-csf	IL12-p70	CCL2	CCL5
GM- CSF	IL-13	MIF	CXCL12
CCL1	IL-16	CCL3	TNFα
SICAM-	IL-17	CCL4	sTREM1
1	IL-17E	Serpin E1	
IFN g	IL-23		
IL-1a	IL-27		
IL-2	IL-32a		
IL-4			
IL-5			

 Table 7.1. The fold change in cytokine intensity with infliximab and IgG1 in the supernatants of IBD biopsies incubated for 24 hours.



Figure 7-4 Etanercept reduces CD40ligand, IL-6, IL-8, IL-17, IL-27, CCL3, CCL5 and TNFα. Mean chemokine expression in the supernatants of 2 CD patients in which biopsies incubated in etanercept (5µg/ml) or IgG1 (5µg/ml) for 24 hours.

#### 7.6 Discussion

The development, growth, activation and function of the innate and adaptive immune response is controlled largely by cytokines. A fine balance exists to maintain tissue integrity. In IBD, in which the immune system is dysregulated infliximab is effective in achieving mucosal healing (Corica and Romano, 2017). It was hypothesized that infliximab would affect the concentrations of a wide range of cytokines and chemokines. By using a cytokine array, the role of new cytokines might be discovered.

The cytokines CCL5 (RANTES), MIF, IL-8 and IL 1ra reduced with infliximab in IBD biopsies. Increased concentrations of CCL5, MIF, IL-8 and IL1ra have previously been associated with IBD (McCormack et al., 2001), (Ansari et al., 2006), (Singh et al., 2016), (Neurath, 2014), (Daryani et al., 2015). This is the first study to show a reduction in the CCL5 and MIF with infliximab in IBD. Etanercept did not reduce MIF but there was a reduction in CCL5. The reduction seen with infliximab is not significant. One possibility for this is the numbers are very small (2 CD and 1 UC patient). The other possibility is that the time frame was too short. Vossenkämper et al., (2014) used a similar cytokine array to test a CD3 antibody otelixumab. Biopsies were incubated for 48 hours before the supernatants tested for cytokines. In the previous chapter, a significant reduction was seen in a wide range of RTKs which are involved in the regulation of cytokines and therefore it is reasonable to expect a change in cytokines. Incubating the IBD biopsies for 48 hours and increasing the number of samples would therefore be an important next step.

### 8 General Discussion

Tumour Necrosis Factor alpha is central to the immunopathogenesis of inflammatory bowel disease. It is initially expressed on the cell surface as a trimer and cleaved from the cell surface by TNF $\alpha$  Converting Enzyme (TACE) to release the soluble trimeric form of the cytokine. The aim of this study was to establish whether pharmacological and endogenous TACE inhibitors increase membrane TNF $\alpha$  with reciprocal reduction in soluble TNF $\alpha$  and identify any changes in other pro-inflammatory cytokines. Atreya et al., (2014) have shown an association between mTNF $\alpha$  expression on macrophages in the gut and the clinical response to adalimumab, another anti-TNF antibody used to treat IBD. In animal models, neutralisation of mTNF $\alpha$  is also important in improving colitis (Perrier et al., 2013).

The TACE inhibitors tested were a broad spectrum MMP inhibitor called Marimastat which has been reported to inhibit TACE, (Tsuji et al., 2002), the endogenous inhibitor TIMP-3 (Monteleone et al., 2012), and a specific inhibitor, GW280264X, which was a gift from Prof Stefan Rose-John. All TACE inhibitors showed a reduction in sTNF $\alpha$  by activated PBMCs and by explants of IBD mucosa cultured ex vivo. An increase in mTNF $\alpha$  was also seen in PBMCs activated with anti CD3/28 antibody in the presence of GW280264X. With the exception of Monteleone et al., (2012), who showed an increase in mTNF $\alpha$  expression on LPMCs from patients with IBD cultured with TIMP-3, most of the

studies with TACE inhibitors in IBD focus on sTNF $\alpha$  and not mTNF $\alpha$ . This study therefore adds to the work by Monteleone et al., (2012) in showing the effect of TACE inhibitors on mTNFa specifically. There was no change in the production of other pro-inflammatory cytokines by inflamed biopsies ex vivo, namely IL-1β, IL-6 and IL-8. This study does not indicate if TACE inhibitors have a pro- or anti-inflammatory effect or any other downstream effect as a result of increasing mTNF $\alpha$  expression. It also suggests that reducing TNF-alpha production has no effects on other cytokines. Although some studies have shown a reduction in pro-inflammatory cytokines with TACE inhibitors (Monteleone et al., 2012), (Sharma et al., 2014), Fréour et al., (2009) showed an increase in gut epithelial permeability when cultured with TIMP-3. A TACE knockout patient suffers from recurrent mild gut inflammation but the etiology of this inflammation is not known (Blaydon et al., 2011). A shortcoming of this study is that the number of samples was quite small and in cases where trends did not reach significance, increasing the number of samples studied would possibly show significant results.

Infliximab, an anti-TNF $\alpha$  antibody, has revolutionised the treatment of IBD (Kuek et al., 2007). However up to half of patients fail to respond and up to a third of patients lose response over time. The mechanisms of action are also incompletely understood. There is therefore a need to understand the mechanisms by which infliximab induces remission to help develop further treatments for IBD. Two of the suggested mechanisms of action were tested in this study, namely neutralisation of TNF $\alpha$  and subsequent reduction in other pro-inflammatory cytokines, and apoptosis.

The concentration of IL-1 $\beta$ , IL-8 or IL-6 did not change in inflamed IBD biopsies incubated with infliximab ex-vivo. Taken together with the fact that TACE inhibitors also did not reduce the production of other cytokines, it appears that in the gut, the paradigm originally thought to explain the mode of action of infliximab does not apply. Specifically it was suggested that TNF $\alpha$  was a master cytokine which when made by macrophages fed back and increased the production of other pro-inflammatory cytokines (Ogata and Hibi, 2003). The implication of these results is that it supports the use of other anti-cytokine therapies in patients who become infliximab unresponsive, such as anti-IL-6 or anti-IL-6R.

The second aim was to establish the contentious issue of apoptosis induction when mTNF $\alpha$  binds infliximab. This study did not show apoptosis either in a TNF $\alpha$  transfected cell line which expressed high levels of mTNF $\alpha$  or using activated PBMCs. Some studies have shown that infliximab induces apoptosis on Jurkat T cells and T cells from IBD mucosa (Lügering et al., 2001), (Van den Brande et al., 2003), (Di Sabatino et al., 2012). Other studies have failed to replicate these observations (Agnholt and Kaltoft, 2001), (Biancheri-unpublished observations). Apoptosis however is only one mechanism that has been suggested to explain the therapeutic benefits of infliximab. Others include the induction of regulator macrophages (Levin et al., 2016) and breakdown of the antibody in inflamed gut by matrix metalloproteinases (Biancheri et al., 2015). In addition etanercept does not cause apoptosis (Nesbitt et al., 2007) and is not clinically effective in CD, and likewise the anti-TNF antibody certolizumab is effective in treating CD but also does not cause apoptosis (Nesbitt et al., 2007). Some caution however must be given to the fact that etanercept is not effective in patients because (Biancheri et al., 2015) showed that etanercept is exquisitely sensitive to proteolytic degradation and loss of function. The capacity to induce apoptosis is therefore insufficient to explain the therapeutic benefit of infliximab.

The cytoplasmic tail of the transmembrane TNF $\alpha$  protein can trigger intracellular signals such as MAPkinase increasing TGF $\beta$  production (Pallai et al., 2016). MAPkinases are a member of receptor tyrosine kinases, which are important in controlling inflammation and cell regeneration. (Waetzig et al., 2002) showed increased expression of ERK 1 and 2 and Docena et al., (2010) showed increased activated p38 MAPK in the inflamed mucosa of patients with IBD. Vossenkämper et al. (2014) showed increased phosphorylation in a large number of RTKs including EGFR, FGFR and PDGFR in CD and UC inflamed biopsies compared to controls. There is a great deal of repair and regeneration required in IBD and infliximab has been shown to achieve mucosal healing (Corica and Romano, 2017).

The next aim was to elucidate the effect of infliximab on receptor tyrosine kinase phosphorylation in biopsies from IBD patients cultured ex vivo. The RTKs involved in repair and wound healing are the EGFR, FGFR and PDGFR families. Whilst previous observations have included activity of RTKs in IBD these results show for the first time that infliximab significantly reduces their phorphorylation activity. ERK 1 and 2 levels have not been measured in IBD after infliximab therapy, however Faurschou et al., (2008) showed a reduction in ERK 1 and 2 expression following infliximab treatment in keratinocytes

following UVB damage. A reduction in Akt Thr308 and Akt Ser-473 was also seen here.

It is not possible to say whether the reduction in phosphorylation is due to an improvement in healing by infliximab and therefore a down-regulation of the proliferation of progenitor cells or whether infliximab directly effects RTK phosphorylation. Related to this question is also that is is not clear the role for mTNF $\alpha$  in the down-regulation of RTK phosphorylation.

The TCR signalling proteins, ZAP-70 and Lck, are also reduced significantly in CD biopsies. This observation is of interest because Lck phosphorylates CD3 zeta allowing the recruitment of Zap70, which is then again phosphorylated by Lck to initiate T cell signalling. This suggests that infliximab is having a direct effect on T cell signalling, a key event in the pathogenesis of CD.

The significance of the effect of infliximab on RTK phosphorylation is accentuated by the lack of effect of etanercept which was not effective in CD (Levin et al., 2016).

Again however one must be cautious in over-emphasising these results because Biancheri et al., (2015) showed that etanercept loses its ability to neutralise TNF $\alpha$  when cleaved by MMPs. Repeating these experiments with effective anti-TNF antibodies such as certolizumab would be helpful in understanding the significance of the down-regulation of RTK phosphorylation.

The final chapter aimed to identify any previously unidentified cytokines related to the clinical efficacy of infliximab. The cytokines CCL5 (RANTES), MIF, IL-
8 and IL-1ra were reduced by infliximab. The reduction is not significant although it is acknowledged that the number of samples was very small. Increased concentrations of CCL5 (Zhou et al., 2009), MIF (Tamaki et al., 2006), IL-8 and IL-1ra have previously been associated with IBD (Marlow et al., 2013). This is the first study to show a reduction in CCL5 and MIF with infliximab in IBD.

## 9 Future Work

The reduction in phosphorylation of RTKs by infliximab is the main positive outcome of this work. It would be interesting to carry out the same experiments for 48 or 72 hours to see if the reduction in RTK phosphorylation is sustained or reduced further. Whilst MAPkinase inhibitors have been shown to be effective in reducing inflammation in IBD (Docena et al., 2010), (Coskun et al., 2011) it would be helpful to test the therapeutic benefit of some of the other RTK inhibitors such as sunitinib (Shin et al., 2010) already in use for colonic cancer in IBD.

Further experiments with TCR ZAP70 and Lck would also be interesting in CD patients. Quantifying changes in intracellular expression of ZAP70 and Lck in IBD patients with infliximab in LPMCs with flow cytometry or western blot could support the findings in reduced phosphorylation seen with infliximab in this study.

One of the questions, which remain unanswered, is whether TACE inhibitors are pro- or anti-inflammatory. The phosphoarray could be used to measure downstream signalling in IBD biopsies which have been incubated with the specific TACE inhibitor GW280264X.

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