

1 **A genome for gnetophytes and early evolution of seed plants**

2 Tao Wan^{1,2‡}, Zhi-Ming Liu^{3‡}, Ling-Fei Li^{1‡}, Andrew R. Leitch^{4‡}, Ilia J. Leitch^{5‡}, Rolf Lohaus^{6,7},
3 Zhong-Jian Liu^{8‡}, Hai-Ping Xin^{2,9}, Yan-Bing Gong¹⁰, Yang Liu¹, Wen-Cai Wang⁴, Ling-Yun
4 Chen^{2,11}, Yong Yang¹², Laura J. Kelly⁴, Ji Yang¹³, Jin-Ling Huang^{14,15}, Zhen Li^{6,7}, Ping Liu¹, Li
5 Zhang¹, Hong-Mei Liu¹, Hui Wang¹, Shu-Han Deng³, Meng Liu³, Ji Li³, Lu Ma⁴, Yan Liu³,
6 Yang Lei³, Wei Xu³, Ling-Qing Wu³, Fan Liu², Qian Ma¹⁰, Xin-Ran Yu³, Zhi Jiang³,
7 Guo-Qiang Zhang⁸, Shao-Hua Li¹⁶, Rui-Qiang Li³, Shou-Zhou Zhang¹, Qing-Feng Wang^{2,11*},
8 Yves Van de Peer^{6,7,17*}, Jin-Bo Zhang^{3*} & Xiao-Ming Wang^{1*}

9

10 ¹Key Laboratory of Southern Subtropical Plant Diversity, Fairy Lake Botanical Garden,
11 Shenzhen & Chinese Academy of Science 518004, PR China. ²Sino-Africa Joint Research
12 Centre, Chinese Academy of Science, Wuhan 430074, PR China. ³Novogene Bioinformatics
13 Institute, Beijing 100083, PR China. ⁴School of Biological and Chemical Sciences, Queen
14 Mary University of London, Mile End Road, London E1 4NS, UK. ⁵Jodrell Laboratory, Royal
15 Botanic Gardens, Kew, Surrey TW9 3AB, UK. ⁶Department of Plant Biotechnology and
16 Bioinformatics, Ghent University, Ghent 9052, Belgium. ⁷Centre for Plant Systems Biology,
17 VIB, Ghent 9052, Belgium. ⁸Shenzhen Key Laboratory for Orchid Conservation and
18 Utilization, National Orchid Conservation Centre of China and Orchid Conservation and
19 Research Centre, Shenzhen 518114, PR China. ⁹Key Laboratory of Plant Germplasm
20 Enhancement and Specialty Agriculture, Wuhan Botanical Garden, Chinese Academy of
21 Sciences, Wuhan 430074, PR China. ¹⁰State Key Laboratory of Hybrid Rice, College of Life
22 Sciences, Wuhan University, Wuhan 430072, PR China. ¹¹Key Laboratory of Aquatic Botany
23 and Watershed Ecology, Wuhan Botanical Garden, Chinese Academy of Sciences, Wuhan
24 430074, PR China. ¹²State Key Laboratory of Systematic and Evolutionary Botany, Institute
25 of Botany, Chinese Academy of Sciences, Beijing 100093, PR China. ¹³Education Key
26 Laboratory for Biodiversity Science and Ecological Engineering, Fudan University, Shanghai
27 200438, PR China. ¹⁴Institute of Plant Stress Biology, State Key Laboratory of Cotton Biology,
28 Henan University, Kaifeng, 475001, PR China. ¹⁵Department of Biology, East Carolina

29 University, Greenville, NC27858, USA. ¹⁶Beijing Key Laboratory of Grape Sciences and
30 Enology, Institute of Botany, Chinese Academy of Sciences, Beijing 100093, PR China.

31 ¹⁷Genomics Research Institute, University of Pretoria, Private bag X20, Pretoria 0028, South
32 Africa.

33 [†]These authors contributed equally to this work. Correspondence should be addressed to
34 Q.F.W. (qfwang@wbgcas.cn), Y.V.d.P. (yves.vandeppeer@psb.vib-ugent.be), J.B.Z.
35 (zhangjinbo@novogene.com) or X.M.W. (719921868@qq.com).

36

37 **Abstract**

38 Gnetophytes are an enigmatic gymnosperm lineage comprising three genera, *Gnetum*,
39 *Welwitschia* and *Ephedra*, which are morphologically distinct from all other seed
40 plants. Their distinctiveness has triggered much debate as to their origin, evolution,
41 and phylogenetic placement amongst seed plants. To increase our understanding of
42 the evolution of gnetophytes, and their relation to other gymnosperms and seed plants,
43 we report here a high-quality draft genome sequence for *Gnetum montanum* - the first
44 for any gnetophyte. By using a novel genome assembly strategy to deal with high
45 levels of heterozygosity, we assembled > 4 Gb of sequence encoding 27,491
46 protein-coding genes. Comparative analysis of the *G. montanum* genome with other
47 gymnosperm genomes unveiled some remarkable and distinctive genomic features,
48 such as a diverse assemblage of retrotransposons with evidence for elevated
49 frequencies of elimination rather than accumulation, considerable differences in intron
50 architecture, including both length distribution and proportions of (retro) transposon
51 elements, and distinctive patterns of proliferation of functional protein domains.
52 Furthermore, a few gene families showed *Gnetum*-specific copy number expansions
53 (e.g. CesaA) or contractions (e.g. LEA), which could be connected with *Gnetum*'s
54 distinctive morphological innovations associated with their adaptation to warm, mesic
55 environments. Overall, the *G. montanum* genome enables a better resolution of
56 ancestral genomic features within seed plants, and the identification of genomic
57 characters that distinguish *Gnetum* from other gymnosperms.

58

59 **Introduction**

60 The seed plants today are represented by five distinct lineages: the species-rich
61 angiosperms (flowering plants, *c.* 352,000 species) and four gymnosperm lineages
62 (which together comprise *c.* 1,000 species and encompass cycads, *Ginkgo biloba*,
63 conifers and gnetophytes). It is apparent from their long fossil record (dating back to
64 the Late Devonian *c.* 360 million years ago (Mya)) that considerably greater seed
65 plant diversity existed in the past¹. Nevertheless, widespread extinctions among many

66 gymnosperm lineages means that today's gymnosperms are only a relic of their
67 former diversity, and this has presented a major challenge for reconstructing
68 evolutionary relationships between the extant lineages². Probably the most
69 controversial outstanding question in plant evolution is the phylogenetic position of
70 gnetophytes³ (comprising the genera *Gnetum*, *Welwitschia* and *Ephedra*, Fig. 1) in
71 relation to the other seed plant lineages. Apparent morphological similarities with
72 angiosperms, such as vessel-like water conducting cells, double fertilization, and leaf
73 morphologies with reticulate venation, have historically led to the proposition that
74 gnetophytes form a group that is sister to angiosperms (termed the 'Anthophyte
75 hypothesis')^{4,5}. That hypothesis has, however, largely been rejected by molecular
76 phylogenetic data and a deeper understanding of the developmental pathways that
77 lead to similar morphological features. Nevertheless, the use of molecular data has
78 also been problematic in inferring the exact phylogenetic position of gnetophytes,
79 with topologies differing depending on the type of sequence data (e.g. plastid versus
80 nuclear genes, nucleotide versus amino acid data) and analytical approach used (e.g.
81 maximum parsimony, maximum likelihood, Bayesian, multispecies coalescent based
82 methods)⁶⁻⁸. Consequently, several possible hypotheses have been put forward that
83 place gnetophytes as sister to: (i) Pinaceae ('Gnepine' hypothesis); (ii) cupressophytes
84 ('Gnecup' hypothesis); (iii) all conifers ('Gnetifer' hypothesis); (iv) all other
85 gymnosperms; or (v) all seed plants⁹. Currently, the emerging consensus, based on
86 both older and more recent studies, and recently released data from the 1KP initiative
87 (see <https://sites.google.com/a/ualberta.ca/onekp/>, and Wickett et al. (8)), indicates
88 that gnetophytes are sister to, or within, the conifers.

89 So far, the availability of whole genome sequences for gymnosperms has been limited
90 to conifers (specifically to Pinaceae)¹⁰⁻¹³ and *G. biloba*¹⁴, with no whole genome
91 assemblies available for the two remaining major seed plant lineages - cycads and
92 gnetophytes. This deficiency, together with the conflicting phylogenetic evidence for
93 relationships among these groups, is impeding our understanding of genome evolution
94 across all seed plants. Here, we present a high-quality draft genome of *G. montanum*,

95 the first for gnetophytes. The availability of this genome, as well as survey sequence
96 data and transcriptome data from other vascular plants (including novel data from
97 gnetophytes *Ephedra* and *Welwitschia*), enables us to compare genomic characters
98 with *G. biloba*, conifers, angiosperms and non-seed plants. Comparisons within
99 gymnosperms, and between gymnosperms and angiosperms, highlight the unique
100 nature of the *Gnetum* genome, providing new insights into patterns of genome
101 divergence across seed plants.

102

103 ***Genome assembly and annotation***

104 The genome of *G. montanum* ($2n = 44$) is small compared with other gymnosperms
105 (flow cytometry: 4.2 Gb / 1C; k-mer analysis: 4.11 Gb), and is highly heterozygous
106 and rich in repeats (Supplementary Fig. 1a-c, and Supplementary Note 1). To
107 overcome problems caused by repeats and heterozygosity, we generated deep
108 coverage ($\sim 302\times$, Supplementary Table 1) Illumina sequence data and applied a
109 novel genome assembly strategy (Supplementary Note 2, Supplementary Fig. 2) to
110 assemble 4.07 Gb of sequence (contig N50 size = 25.02 kb, scaffold N50 size =
111 475.17 kb, Supplementary Table 2), to which $> 99\%$ of genome reads, $> 90\%$ ESTs
112 and $> 99\%$ of BACs were mapped (Supplementary Fig. 1d, e, Supplementary Table 3
113 and Note 3).

114 A total of 27,491 protein-coding genes were predicted from this assembly
115 (Supplementary Table 4 and Note 4), 97% of which were supported by orthology ($>$
116 50% coverage of high-scoring segment pair, Supplementary Fig. 3a) with existing
117 protein sequences and/or RNA-seq data from multiple tissues (Supplementary Table
118 5). A BUSCO analysis to assess the quality of the genome and annotation
119 completeness suggested that 81% of the genes have been recovered (Supplementary
120 Table 6). Unlike conifer genomes, which contain numerous pseudogenes¹⁵ (e.g. 8,328
121 in *Picea abies*, 13,550 in *Pinus taeda*), many fewer were found in the *G. montanum*
122 genome (3,122, Supplementary Note 5). The read depth distribution across genic
123 regions (Supplementary Fig. 3b) suggested little sequence redundancy caused by

124 heterozygosity (see Supplementary Fig. 3c for further confirmation of gene assembly
125 quality).

126

127 ***Repetitive sequence dynamics***

128 Repetitive sequences have been shown to account for the major component of all
129 gymnosperm genomes that have been sequenced to date¹¹⁻¹⁴, with diverse and ancient
130 transposable elements (TEs), especially LTR retrotransposons (LTR-RTs), being
131 particularly prevalent. Overall, the repetitive element content of *G. montanum* was
132 also high (85.9%) and dominated by LTR-RTs (especially *gypsy*-like elements), which
133 comprised 77.4% of the genome (Supplementary Table 8 and Supplementary Note 6).
134 The genome assembly of *G. montanum* is likely to be sufficient to represent most of
135 the LTR-RTs, since their length is typically around 25 kb¹⁶, whilst 90% of the
136 scaffolds are larger than 34 kb. Phylogenetic reconstructions of the reverse
137 transcriptase domains of LTR-RTs in *G. montanum* and *P. taeda* revealed that most of
138 the *gypsy*- and *copia*-like elements in *G. montanum* were restricted to just a few clades,
139 representing only a small minority of the diversity encountered in *P. taeda*
140 (Supplementary Fig. 4, Supplementary Note 6).

141 Comparative analyses of repeats identified by RepeatExplorer using survey sequence
142 data from multiple gnetophytes (*G. montanum*, *G. gnemon*, *W. mirabilis* and
143 *E. altissima*) and *P. taeda* revealed substantial differences in the abundance of the
144 major repeat classes (Supplementary Fig. 5a, Supplementary Table 9 and
145 Supplementary Notes 1, 7). Further, the majority of individual repeat types (repeat
146 clusters in RepeatExplorer) were shown to be species-specific (i.e. containing
147 Illumina reads from just one species, data not shown). The species-specific nature of
148 the repeat profiles probably reflects the long estimated divergence times between
149 species (e.g. the two *Gnetum* species likely diverged between *c.* 25 Mya and 75
150 Mya)^{17,18}.

151 Previously, it was reported from conifers and *G. biloba* that LTR-RTs have

152 accumulated steadily over the last *c.* 25 Mya, especially between 16-24 Mya, a
153 process contributing to their large genome sizes^{11,12,14}. This interpretation is consistent
154 with the data here (Supplementary Table 10), which shows that most LTR-RTs in
155 conifers are intact (solo LTR / intact LTR ratio ranged from 0.16:1 to 0.72:1,
156 Supplementary Table 10). It is notable that the solo LTR / intact LTR ratio was
157 substantially higher in *G. montanum* (~1.94:1), which together with its small genome
158 and similar profile of accumulation (Supplementary Fig. 5b), suggests higher
159 frequencies of LTR-RT elimination than amplification compared with *G. biloba* and
160 conifers.

161 Most angiosperm genomes analysed to date have far fewer ancient repeats and less
162 divergent LTR-RT subsets than conifers and *G. biloba*, presumably due to more
163 efficient elimination and replacement processes operating within these angiosperm
164 genomes¹⁹ (e.g. in *Oryza sativa* the half-life of LTR-RTs is estimated to be less than
165 five million years²⁰, leading to “genome turnover”²¹). However, an exception to this
166 pattern has been observed in *Amborella trichopoda*. The genome of this species is
167 considered to have retained many features that were likely present in the ancestral
168 angiosperm genome²². It is notable that its repeat content¹³ and lower abundance of
169 intact LTR-RTs (i.e. solo LTR / intact LTR ratio = 2.43/1.0; Supplementary Table 10)
170 is similar to that observed in *G. montanum*. These observations suggest that neither *A.*
171 *trichopoda* nor *G. montanum* genomes have experienced recent, extensive (retro)
172 transposon activity, although they continue to eliminate repetitive sequences. Both
173 these species seem to differ from conifers and *G. biloba* with respect to the dynamics
174 of repeat accumulation^{11,12,14}, and from other angiosperms in terms of the levels of
175 repeat amplification/removal.

176

177 ***Intron morphologies***

178 Although intron size has been positively correlated with genome size across
179 eukaryotes as a whole²³, this trend does not translate well across broad and some
180 narrow taxonomic distances in seed plants (Fig. 2a). Previous studies of *G. biloba*¹⁴

181 and conifers^{11,12} have reported larger introns than angiosperms, probably arising from
182 the long-term, steady amplification of LTR-RTs (Fig. 2b), as also observed here,
183 where LTR-RTs account for 51% and 59% of the large intron sequences in *P. taeda*
184 and *G. biloba*, respectively (Fig. 2a, Supplementary Table 12). The evolution of these
185 large introns may have arisen from similar repeat accumulation processes that are
186 operating across the genome as a whole.

187 When comparing these observations with introns of *G. montanum*, it is apparent that
188 their introns are substantially smaller (minimum, mean and maximum intron lengths)
189 than those of *P. taeda* and *G. biloba* (Fig. 2a, see also statistics test in Supplementary
190 Table 11). In addition, the repeat composition of *G. montanum*'s introns is dominated
191 by both long interspersed nuclear elements (LINEs) as well as LTR-RTs, rather than
192 predominantly LTR-RTs, as in conifers and *G. biloba* (Fig. 2b, Supplementary Table
193 12). The correlation between smaller intron sizes and smaller genome size in *G.*
194 *montanum* compared with conifers and *G. biloba* may reflect the repeat dynamic
195 processes operating across its genome as a whole. In contrast, the variable length
196 distributions of introns in angiosperms suggest that the evolution of repeats in their
197 introns do not necessarily reflect the repeat dynamics observed across the rest of their
198 genomes²⁴. In the highly dynamic repetitive genome of *Z. mays*, the profile of repeats
199 across the genome²⁵ and within the whole intron set (Supplementary Fig. 6a) both
200 suggests many recent insertions. However, in *A. trichopoda*, the intron sizes are
201 overall larger, and the genome size smaller than in *Z. mays* (Fig. 2a, b). In addition, an
202 analysis of introns in *A. trichopoda* and *G. montanum* highlighted a closer similarity
203 to each other (in terms of length distributions, repeat composition and divergence)
204 than either species has to conifers and *G. biloba*, despite a 4.8-fold difference in their
205 genome sizes (Fig. 2a, 2b, Supplementary Table 12).

206 Previous comparisons of orthologous introns have led to the suggestion that the
207 expansion of introns occurred early in the evolutionary history of conifers¹².
208 Comparisons of orthologous introns (with identical adjacent exons) between *P. taeda*
209 and *G. biloba* showed that introns identified as being long (> 6 kb) in *P. taeda* were

210 also typically long in their orthologues in *G. biloba*, containing, in both cases,
211 abundant LTR-RTs (both *gypsy*- and *cop*ia-like elements, Fig. 2c). These features
212 were likely to have been present in their most recent common ancestor (MRCA).
213 Using similar approaches to analyse the length and repeat content of 4,348
214 orthologous introns of *G. montanum* shared with *P. taeda* (Supplementary Note 8)
215 highlighted notable differences. Whilst the length of exons remained similar, a
216 substantial fraction of orthologous genes had longer introns in *P. taeda*
217 (Supplementary Fig. 6b). The introns identified as ‘short’ in *P. taeda* comprised *c.* 4%
218 repeats, rising to *c.* 56% in ‘long’ introns, largely through the accumulation of
219 LTR-RTs (especially *cop*ia elements) (Fig. 2d, Supplementary Table 13). In contrast,
220 introns in *G. montanum* that are orthologous to the ‘long’ introns of *P. taeda* (36% of
221 introns analysed) showed high proportions of LINEs. As with comparisons of all
222 introns, pairwise comparisons of orthologous introns in *G. montanum* and *A.*
223 *trichopoda* again showed some similarities in their introns, with both species having
224 abundant LINEs (Fig. 2e). Collectively, these data reveal a different repeat dynamic
225 within introns of *G. montanum* compared with the other gymnosperms.

226

227 (**Lack of**) *Whole genome duplication (WGD)*

228 All angiosperms are reported to have undergone at least one round of ancient WGD,
229 and in many lineages WGDs are recurrent and ongoing²⁶. In addition, a WGD event
230 has been proposed at the base of all seed plants *c.* 341 Mya (= *zeta* WGD²⁷), although
231 the underlying evidence for these two ancient WGD events has been recently
232 questioned²⁸. In gymnosperms, WGDs have been reported for conifers, *G. biloba* and
233 cycad (a likely shared WGD)^{14,29,30}. Although recent polyploidy seems common in
234 extant *Ephedra*³¹, evidence for ancient WGDs in gnetophytes is missing
235 (Supplementary Note 9 and Supplementary Fig. 7), except for a WGD in *Welwitschia*
236 which likely occurred after the divergence of its lineage from that leading to *Ephedra*
237 (Supplementary Fig. 7)²⁹. If indeed the ancient *zeta* WGD is shared by all seed plants,
238 the absence of evidence for this event in gnetophytes is best explained by their faster

239 rates of gene evolution compared with other gymnosperms^{32,33}, erasing all evidence of
240 this more than 300 million year old event (Supplementary Note 9 and Supplementary
241 Fig. 7).

242

243 ***Organization of functional protein domains***

244 To characterize the patterns of functional diversification in gene domains across land
245 plants, we used principal component analysis (PCA) to analyse the number of pfam
246 domains (conserved protein domains) in multiple species (Supplementary Note 10,
247 Supplementary Table 13). Our approach showed that angiosperms formed a discrete
248 cluster that was separate from the gymnosperms (Fig. 3a), with *G. montanum* being an
249 outlier. Indeed, heatmaps compiled from the pfam data that contributed most (top 10%)
250 to PCA1 and PCA2 showed that *G. montanum* formed a clade with the lycophyte *S.*
251 *moellendorffii* and the moss *Physcomitrella patens* (Fig. 3b), whilst the
252 non-gnetophyte gymnosperms formed a separate clade (Fig. 3b).

253 Given the distinct distributions of *G. montanum*, non-gnetophyte gymnosperms and
254 angiosperms in the PCA analysis, the data suggest that significant functional
255 diversification of the conserved protein domains has occurred since these major
256 lineages split. It may be surprising given the long divergence times (*c.* 300 Mya)², that
257 *G. biloba* and conifers retain similar conserved domain organizations (with similar
258 eigenvector values). This could reflect their relatively low substitution rates (on
259 average 7 × lower) compared with angiosperms³³.

260 An analysis of the pfam domain expansions that contributed most to the PCA1 and
261 PCA2 distributions amongst angiosperms (except *A. trichopoda*). included genes
262 associated with flower and organ development (Supplementary Table 15). In contrast,
263 non-gnetophyte gymnosperms showed large-scale specific expansions of pfam
264 domains in genes associated with defence and secondary metabolism, as previously
265 suggested (Supplementary Table 16)^{10,11}. The clustering of *G. montanum* with
266 non-seed plants in the heatmap (Fig. 3b) was a surprise, and may indicate the

267 approach has identified proteins that have diverged very little since the MRCA of seed
268 plants. Nevertheless, such an explanation is at odds with the hypothesis that the genes
269 of gnetophytes have diverged rapidly, given their comparatively high substitution rate
270 compared with other gymnosperms³³.

271

272 ***Growth form (shrubs and lianas) and leaf morphology***

273 Gnetophytes differ from other extant gymnosperms in growth form, with the unusual
274 and distinct form of *Welwitschia*, the shrub habit of *Ephedra* and the shrub and liana
275 habit and specialized leaf morphologies of *Gnetum*³⁴. Cellulose synthase (*CesA*) and
276 cellulose synthase-like (*Csl*) genes are considered to play a role in influencing the
277 biomechanical properties of the cell³⁵, hence potentially the distinctive growth forms
278 of gnetophytes are associated with the divergence of these genes. To explore this
279 hypothesis, *CesA* and *Csl* family members were examined in *G. montanum* and
280 compared with those in other seed plants. The total number of *CesA* and *Csl* family
281 members ranged about 3-fold amongst the seed plants analysed (*P. abies*, *P. taeda*, *A.*
282 *trichopoda*, *A. thaliana* and *O. sativa*). However, only *G. montanum* showed a large
283 expansion of the *Cs/B/H* gene subfamily (to 20 genes, Supplementary Table 17),
284 involving tandem duplications (Supplementary Fig. 9), and accounting for two-thirds
285 of its total *Csl* gene repertoire. Furthermore, transcriptome analysis showed that these
286 *Cs/B/H* genes were differentially expressed in leaves, stems and roots of *G. montanum*,
287 supporting an association with distinct growth forms and leaf morphologies
288 (Supplementary Fig. 9). In contrast, all other species analysed, including *Welwitschia*
289 and *Ephedra*, were seen to have only 1-6 *Cs/B/H* genes (at least based on
290 transcriptome analysis) (Supplementary Note 11, Supplementary Table 16,
291 Supplementary Fig. 8).

292 Another gene family associated with leaf morphology and development is the *WOX*
293 (*WUSCHEL-related homeobox*) family³⁶. Recent studies have shown that the
294 conserved family members *WOX3* and *WOX4*, which play a role in leaf
295 development, show diffuse *WOX3* expression at the leaf bases of *Arabidopsis* and

296 *Gnetum*, with such patterns being associated with the distinctive reticulate venation
297 observed in their leaves³⁷. Two unusual paralogues, GgWOXX and GgWOXY, were
298 previously reported to occur only in gnetophytes³⁷, and this is confirmed here in
299 phylogenetic reconstructions of gene family members (Supplementary Note 12,
300 Supplementary Fig. 10). These paralogues are unlikely to have arisen by
301 *Gnetum*-specific gene amplifications, as this would group them with other *Gnetum*
302 paralogues. Alternatively, these genes may correspond to ancestral seed plant
303 sequences that have been lost in other plant lineages. Potentially the different patterns
304 of gene loss, retention and amplification compared with other gymnosperms may be
305 associated with their distinctive growth forms.

306

307 **Vessels**

308 The presence of vessel-like water-conducting cells, morphologically distinct from
309 tracheids, is another feature that sets gnetophytes apart from other gymnosperms.
310 However, there has been long-standing debate as to whether gnetophyte “vessels” are
311 homologous to the “vessels” of angiosperms. In angiosperms,
312 VASCULAR-RELATED NAC-DOMAIN (VND) proteins *VNDI-7* are members of
313 the *NAC* domain class of transcription factors, *VND7* being a master regulator of
314 vessel formation in *Arabidopsis thaliana*³⁸, and *VNDI-6* being upstream regulators of
315 *VND7*³⁹. Although five *NAC* domain genes were identified in the genome of *G.*
316 *montanum*, no orthologues of *VND7* or *VNDI-3* in the sister clade were identified,
317 consistent with previous analyses of other gymnosperms¹², and suggesting that these
318 proteins are restricted to angiosperms (Supplementary Fig. 11). Nevertheless, *Gnetum*
319 does share the *VND4-6* clade with angiosperms and other gymnosperms. Furthermore,
320 *A. trichopoda*, which lacks angiosperm vessels, also lacks orthologues of *VNDI-3*, but
321 it does have *VND7* (Supplementary Fig. 11), indicating that the ability to form vessels
322 may have occurred after angiosperms diverged. Taken together, these data suggest a
323 greater dependency of vessel development on *VNDI-3* than is apparent from
324 experiments on *A. thaliana*. The most parsimonious explanation of our data is that

325 angiosperm vessel formation requires genes from the *VND7* clade (and potentially its
326 sister clade *VND1-3*), and that gymnosperms, including gnetophytes, which lack
327 sequences from both these clades cannot form structures that are homologous to
328 angiosperm vessels. Such an interpretation supports Carlquist's⁴⁰ morphological
329 interpretations of vessels. It is therefore most likely that different molecular
330 mechanisms underpin the origin and development of vessels in *Gnetum* and
331 angiosperms. Indeed, these new molecular data support the hypothesis based on
332 morphological studies that *Gnetum* vessels are actually more closely related to conifer
333 tracheids than angiosperm vessels and that vessels in the two groups are convergent
334 characters⁴⁰.

335

336 ***Water stress***

337 Extant species of *Gnetum* are unusual amongst gymnosperms in being restricted to
338 warm, mesic habitats⁴¹, this contrasts to conifers that are adapted to cold and
339 water-stressed environments. An analysis of genes involved in water and cold stress
340 revealed some substantial differences between conifers and *Gnetum*. The Late
341 Embryogenesis Abundant protein (LEA) gene family encodes crucial proteins that are
342 involved in protecting plants from desiccation or osmotic stresses associated with low
343 temperature^{42,43}. An analysis of LEA family members suggests that some members
344 have been reduced in number in *Gnetum* or expanded in conifers (e.g. LEA-3), or lost
345 completely in *Gnetum* (i.e. LEA-4, 5, 6). In addition, dehydrins, which play a role in
346 the response to cold/drought⁴⁴, had only two members in *G. montanum*, compared
347 with 38 in *P. abies*, 28 in *P. taeda* and 3-15 in angiosperms (Supplementary Table 19).
348 Further analysis of the *G. montanum* genome also revealed relatively few gene family
349 members of the AP2 domain containing protein families, which are involved in the
350 cold stress response^{45,46}, and GPX and GST families, involved in the oxidant stress
351 response^{47,48}. Taken together, these data appear consistent with the hypothesis that the
352 ecological shift to a warm, wet forest habitat is associated with a relaxation of
353 selection pressure on genes associated with water stress and low temperature.

354

355 **Conclusion**

356 Here, we have described the assembly, annotation, and comparative analysis of the
357 first gnetophyte genome, namely that of *G. montanum*. Its genome is particularly
358 enigmatic given a phylogenetic position within or sister to conifers. It also carries
359 genomic peculiarities that may reflect its morphological and ecological uniqueness
360 amongst gymnosperms. Comparisons of these genome features with the genomes of
361 conifers and *G. biloba* provide opportunities to predict the nature and direction of
362 genomic change accompanying the evolution of the lineage leading to *Gnetum* (Fig.
363 4). Assuming that gnetophytes do indeed form a clade that is sister to, or within, the
364 conifers, the following genomic features can be predicted to have been present in the
365 MRCA of the gymnosperms, as observed in *G. biloba*¹⁴ and conifers^{11,12}: (1) A large
366 genome size (1C > 10 Gb) comprised predominantly of a heterogeneous set of large
367 numbers of LTR-RTs associated with low levels of repeat deletion¹⁴; (2) Long introns
368 predominantly shaped by insertions of LTR-RTs (*gypsy* and *copia* elements); (3) Pfam
369 domains that show a profile distinct from angiosperms; If this is so, and assuming a
370 common ancestry of gnetophytes and conifers, these genomic characters, or their
371 signatures, have subsequently been lost or diverged considerably in the lineage
372 leading to *Gnetum*. This most likely involved the following genomic processes: (1)
373 Genome downsizing, leading to the relatively (for a gymnosperm) small genomes of
374 *Gnetum* species (1C= 2.25-4.11 Gb). This is supported by the high ratio of solo LTR /
375 intact LTR-RTs observed in the genome of *Gnetum* compared with conifers, and is
376 indicative of the activity of recombination-based processes, which can eliminate DNA
377 from the genome. Similar processes leading to genome downsizing have also been
378 reported in many angiosperms, resulting in small genomes despite the occurrence of
379 multiple rounds of polyploidy detected in many lineages⁴⁹; (2) Reduction in the size
380 of introns in *G. montanum* and a replacement of many of the LTR-RTs repeats with
381 LINEs to give rise to introns that are more similar to those of, for instance, *A.*
382 *trichopoda* than to other gymnosperms; (3) Elevated rates of sequence divergence

383 causing the erosion of a hypothesised shared seed-plant WGD event and leading to a
384 pattern of Pfam domains, which is distinct from the remaining gymnosperms; (4)
385 Expansion and contraction of specific gene families associated with adaptation to new
386 ecologies.

387

388 Methods summary

389 The sequenced *G. montanum* is a single mature female individual growing naturally
390 in Fairy Lake Botanical Garden, Shenzhen, China. Genome sequences were generated
391 using an Illumina platform and assembled with a novel hierarchical assembly strategy.
392 Gene annotations were determined by integrating results from both *de novo* prediction
393 approaches and alignment-based methods based on orthology and transcriptomic data.
394 RNA-seq was performed using an Illumina platform. All methods and bioinformatic
395 analyses are detailed in the Supplementary Information.

396

397 Data availability

398 The *G. montanum* genome project has been deposited at the NCBI under the
399 BioProject number PRJNA339497. The whole genome sequencing data were
400 deposited in the Sequence Read Archive (SRA) database under the accession number
401 SRX2052734, SRX2098865, SRX2099144, SRX2114825, SRX2114827,
402 SRX2134147, SRX2134160, SRX2134177, SRX2134180, SRX2134596, and
403 SRX2134624. And the *G. montanum* assemblies, gene sequences, and annotation data
404 are also available at the DRYAD website. The data or related program scripts that
405 support the findings of this study are available from the corresponding author upon
406 request.

407 Acknowledgements

408 Genome sequencing, assembly and annotation were conducted by the Novogene
409 Bioinformatics Institute, Beijing, China, mutual contracts were No. NHT140016 and
410 NVT140016004. This work was supported by funding from the Scientific Project of
411 Shenzhen Urban Administration (201519) and a Major Technical Research Project of
412 the Innovation of Science and Technology Commission of Shenzhen
413 (JSGG20140515164852417) Additional funding was provided in particular by the
414 Scientific Research Program of Sino-Africa Joint Research Center (SAJL201607). We
415 thank X.Q. Wang, G.W. Hu, Z.D. Chen and Y.H. Guo for comments on gnetophyte
416 phylogenetic relationships and ecological issues; H. Wu and X.P. Ning for discussion
417 of related organ development; K.K. Wan and S. Sun for additional help on the
418 analysis of repeats. We also thank X.Y for support of funding coordination. YVdP
419 acknowledges the Multidisciplinary Research Partnership ‘Bioinformatics: from
420 nucleotides to networks’ Project (no. 01MR0310W) of Ghent University, and funding
421 from the European Union Seventh Framework Programme (FP7/2007-2013) under
422 European Research Council Advanced Grant Agreement 322739-DOUBLEUP.

423

424 Author contributions

425 T.W. and X.M.W. conceived and initiated the study, managing the gnetophytes
426 (*Gnetum*, *Welwitschia*, *Ephedra*) genome sequencing project. T.W. designed the major
427 scientific objectives and led the manuscript preparation together with A.R.L., I.J.L.,
428 J.B.Z, L.J.K and Y.V.d.P. The collaboration between groups was close in all aspects of
429 the project. T.W., Z.M.L., L.F.L., A.R.L., I.J.L. and Z.J.L. are joint first authors,
430 H.P.X., Y.B.G, Y.L., L.Y.C. and W.C.W. are joint second authors. Z.M.L., J.B.Z., J.L.,
431 Y.L. performed the genome assembly and annotation; H.P.X., L.F.L., L.Y.C., L.M.,
432 X.R.Y. contributed to the RNA-seq and corresponding analysis. A.R.L., I.J.L., and
433 W.C.W. coordinated the *RepeatExplorer* analysis in gnetophytes and contributed to
434 the design of the analysis for investigating the dynamics of genome evolution. Z.M.L.,
435 J.B.Z., L.F.L., F.L., H.M.L., T.W., A.R.L., I.J.L., W.X. and Y.L. participated in the
436 analyses of LTR-RTs and comparisons of introns. R.L., T.W., Y.V.d.P., Z.L., Z.J.L. and
437 Z.M.L. were involved in the WGD determination; M.L., L.F.L., J.B.Z., J.Y., T.W.,
438 L.Z., Y.B.G. and Y.H.D. conducted PCA analysis of pfam domains. J.B.Z., T.W., J.L.,
439 L.F.L., L.J.K., Y.L. and Z.M.L. performed the analysis investigating the divergence of
440 gene families. J.L.H., P.L., Q.M., Y.L. and G.Q.Z. contributed to the analysis of
441 pseudogenes; Q.F.W., S.H.L. and S.Z.Z. helped with the collecting of *Welwitschia* and
442 *Ephedra*. Y.Y. provided experimental information on the taxonomic identity of the
443 species used for genome sequencing and collated the distribution records of
444 gnetophytes.

445 Additional Information

446 Information on reprints and permissions is available at <http://www.nature.com/reprints>.

447 Correspondence and requests for materials should be addressed to Q.F.W.

448 (qfwang@wbpcas.cn) or Y.V.d.P. (yves.vandepier@psb.vib-ugent.be) or J.B.Z.

449 (zhangjinbo@novogene.com) or X.M.W. (719921868@qq.com).

450

451 Competing interests

452 The authors declare no competing financial interests.

453

454 **References**

- 455 1 Rothwell, G. W. & Scheckler, S. E. *Biology of Ancestral Gymnosperms.*
456 *Origin and Evolution of Gymnosperms* (Columbia Uni. Press, 1988).
- 457 2 Lu, Y., Ran, J. H., Guo, D. M., Yang, Z. Y. & Wang, X. Q. Phylogeny and
458 divergence times of gymnosperms inferred from single-copy nuclear genes.
459 *PLoS One* **9**, e107679 (2014).
- 460 3 Doyle, J. A. Molecular and Fossil Evidence on the Origin of Angiosperms.
461 *Annu. Rev. Earth Planet. Sci.* **40**, 301-326 (2012).
- 462 4 Doyle, J. A. & Donoghue, M. J. Seed plant phylogeny and the origin of
463 angiosperms: An experimental cladistic approach. *Bot. Rev.* **52**, 321-431
464 (1986).
- 465 5 Crane, P. R. Phylogenetic Analysis of Seed Plants and the Origin of
466 Angiosperms. *Annals of the Missouri Botanical Garden* **72**, 716-793 (1985).
- 467 6 Mathews, S. Phylogenetic relationships among seed plants: Persistent
468 questions and the limits of molecular data. *Am. J. Bot.* **96**, 228-236 (2009).
- 469 7 Wang, X. Q. & Ran, J. H. Evolution and biogeography of gymnosperms. *Mol.*
470 *Phylogenet. Evol.* **75**, 24-40 (2014).
- 471 8 Wickett, N. J. *et al.* Phylotranscriptomic analysis of the origin and early
472 diversification of land plants. *Proc. Natl Acad. Sci. USA* **111**, E4859-4868
473 (2014).
- 474 9 Li, Z. *et al.* Single-Copy genes as molecular markers for phylogenomic studies
475 in seed plants. *Genome Biol. Evol.* **9**, 1130-1147 (2017).
- 476 10 Warren, R. L. *et al.* Improved white spruce (*Picea glauca*) genome assemblies
477 and annotation of large gene families of conifer terpenoid and phenolic
478 defense metabolism. *Plant J.* **83**, 189-212 (2015).
- 479 11 Neale, D. B. *et al.* Decoding the massive genome of loblolly pine using
480 haploid DNA and novel assembly strategies. *Genome Biol.* **15**, R59 (2014).
- 481 12 Nystedt, B. *et al.* The Norway spruce genome sequence and conifer genome
482 evolution. *Nature* **497**, 579-584 (2013).
- 483 13 Stevens, K. A. *et al.* Sequence of the sugar pine megagenome. *Genetics* **204**,
484 1613-1626 (2016).
- 485 14 Guan, R. *et al.* Draft genome of the living fossil *Ginkgo biloba*. *GigaScience*
486 **5**, 49 (2016).
- 487 15 Garcia-Gil, M. R. Evolutionary aspects of functional and pseudogene
488 members of the phytochrome gene family in Scots pine. *J. Mol. Evol.* **67**,
489 222-232 (2008).
- 490 16 Wicker, T. *et al.* A unified classification system for eukaryotic transposable
491 elements. *Nat. Rev. Genet.* **8**, 973-982 (2007).
- 492 17 Won, H. & Renner, S. S. Dating dispersal and radiation in the gymnosperm
493 Gnetum (Gnetales)--clock calibration when outgroup relationships are
494 uncertain. *Syst. Biol.* **55**, 610-622 (2006).
- 495 18 Hou, C., Humphreys, A. M., Thureborn, O. & Rydin, C. New insights into the
496 evolutionary history of *Gnetum* (Gnetales). *Taxon* **64**, 239-253 (2015).

- 497 19 Kejnovsky, E., Leitch, I. J. & Leitch, A. R. Contrasting evolutionary dynamics
498 between angiosperm and mammalian genomes. *Trends Ecol. Evol.* **24**,
499 572-582 (2009).
- 500 20 Ma, J., Devos, K. M. & Bennetzen, J. L. Analyses of LTR-retrotransposon
501 structures reveal recent and rapid genomic DNA loss in rice. *Genome Res.* **14**,
502 860-869 (2004).
- 503 21 Lim, K. Y. *et al.* Sequence of events leading to near-complete genome
504 turnover in allopolyploid *Nicotiana* within five million years. *New Phytol.*
505 **175**, 756-763 (2007).
- 506 22 Amborella Genome Project. The Amborella genome and the evolution of
507 flowering plants. *Science* **342**, 1241089 (2013).
- 508 23 Vinogradov, A. E. Intron-genome size relationship on a large evolutionary
509 scale. *J. Mol. Evol.* **49**, 376-384 (1999).
- 510 24 Wendel, J. F. *et al.* Intron size and genome size in plants. *Mol. Biol. Evol.* **19**,
511 2346-2352 (2002).
- 512 25 Schnable, P. S. *et al.* The B73 maize genome: complexity, diversity, and
513 dynamics. *Science* **326**, 1112-1115 (2009).
- 514 26 Van de Peer, Y., Mizrachi, E. & Marchal, K. The evolutionary significance of
515 polyploidy. *Nat. Rev. Genet.* (2017).
- 516 27 Jiao, Y. *et al.* Ancestral polyploidy in seed plants and angiosperms. *Nature*
517 **473**, 97-100 (2011).
- 518 28 Ruprecht, C. *et al.* Revisiting ancestral polyploidy in plants. *Sci. Adv.* **3**,
519 e1603195 (2017).
- 520 29 Li, Z. *et al.* Early genome duplications in conifers and other seed plants. *Sci.*
521 *Adv.* **1**, e1501084 (2015).
- 522 30 Roodt, D. *et al.* Evidence for an ancient whole genome duplication in the
523 cycad lineage. *PLoS One* **12**, e0184454 (2017).
- 524 31 Wu, H. *et al.* A high frequency of allopolyploid speciation in the
525 gymnospermous genus *Ephedra* and its possible association with some
526 biological and ecological features. *Mol. Ecol.* **25**, 1192-1210 (2016).
- 527 32 Hajibabaei, M., Xia, J. & Drouin, G. Seed plant phylogeny: gnetophytes are
528 derived conifers and a sister group to Pinaceae. *Mol. Phylogenet. Evol.* **40**,
529 208-217 (2006).
- 530 33 De La Torre, A. R., Li, Z., Van de Peer, Y. & Ingvarsson, P. K. Contrasting
531 rates of molecular evolution and patterns of selection among gymnosperms
532 and flowering plants. *Mol. Biol. Evol.* **34**, 1363-1377 (2017).
- 533 34 Frohlich, M. W. & Chase, M. W. After a dozen years of progress the origin of
534 angiosperms is still a great mystery. *Nature* **450**, 1184-1189 (2007).
- 535 35 Popper, Z. A. *et al.* Evolution and diversity of plant cell walls: from algae to
536 flowering plants. *Annu. Rev. Plant Biol.* **62**, 567-590 (2011).
- 537 36 Nakata, M. *et al.* Roles of the middle domain-specific *WUSCHEL-RELATED*
538 *HOMEODOMAIN* genes in early development of leaves in *Arabidopsis*. *Plant Cell*
539 **24**, 519-535 (2012).
- 540 37 Nardmann, J. & Werr, W. Symplesiomorphies in the *WUSCHEL* clade suggest

- 541 that the last common ancestor of seed plants contained at least four
542 independent stem cell niches. *New Phytol.* **199**, 1081-1092 (2013).
- 543 38 Yamaguchi, M. *et al.* VASCULAR-RELATED NAC-DOMAIN7 directly
544 regulates the expression of a broad range of genes for xylem vessel formation.
545 *Plant J.* **66**, 579-590 (2011).
- 546 39 Endo, H. *et al.* Multiple classes of transcription factors regulate the expression
547 of VASCULAR-RELATED NAC-DOMAIN7, a master switch of xylem vessel
548 differentiation. *Plant Cell Physiol.* **56**, 242-254 (2015).
- 549 40 Carlquist, S. Wood, bark and stem anatomy of New World species of *Gnetum*.
550 *Bot. J. Linn. Soc.* **120**, 1-19 (1996).
- 551 41 Ickert-Bond, S. M. & Renner, S. S. The Gnetales: Recent insights on their
552 morphology, reproductive biology, chromosome numbers, biogeography, and
553 divergence times. *J. Syst. Evol.* **54**, 1-16 (2016).
- 554 42 Hundertmark, M. & Hinch, D. K. LEA (Late Embryogenesis Abundant)
555 proteins and their encoding genes in *Arabidopsis thaliana*. *BMC Genomics* **9**,
556 1-22 (2008).
- 557 43 Gao, J. & Lan, T. Functional characterization of the late embryogenesis
558 abundant (LEA) protein gene family from *Pinus tabulaeformis* (Pinaceae) in
559 *Escherichia coli*. *Sci. Rep.* **6**, 19467 (2016).
- 560 44 Richard, S., Morency, M. J., Drevet, C., Jouanin, L. & Seguin, A. Isolation
561 and characterization of a dehydrin gene from white spruce induced upon
562 wounding, drought and cold stresses. *Plant Mol. Biol.* **43**, 1-10 (2000).
- 563 45 Chinnusamy, V., Zhu, J. & Zhu, J. K. Cold stress regulation of gene
564 expression in plants. *Trends Plant Sci.* **12**, 444-451 (2007).
- 565 46 Du, C. *et al.* Dynamic transcriptome analysis reveals AP2/ERF transcription
566 factors responsible for cold stress in rapeseed (*Brassica napus* L.). *Mol. Genet.*
567 *Genomics* **291**, 1053-1067 (2016).
- 568 47 Roxas, V. P., Smith Jr, R. K., Allen, E. R. & Allen, R. D. Overexpression of
569 glutathione S-transferase/glutathioneperoxidase enhances the growth of
570 transgenic tobacco seedlings during stress. *Nat. Biotechnol.* **15**, 988 (1997).
- 571 48 Zhao, J. *et al.* Global transcriptional profiling of a cold-tolerant rice variety
572 under moderate cold stress reveals different cold stress response mechanisms.
573 *Physiol. Plant* **154**, 381-394 (2015).
- 574 49 Wendel, J. F. The wondrous cycles of polyploidy in plants. *Am. J. Bot.* **102**,
575 1753-1756 (2015).
- 576

577 Figure Legends

578

579 **Fig. 1 | Morphological variation and geographical distribution of gnetophytes**
580 **and some other gymnosperms.** Top row from left to right, female cones of *Gnetum*
581 *montanum*, male cones of *Welwitschia mirabilis* and female cones of *Ephedra*
582 *equisetina* (Bar = 5 cm). Below, pantropical distribution of the three gnetophyte
583 genera, compared with three conifer species that are most abundant at higher latitudes
584 and altitudes. The range of genomes sizes (1C-values) found in the three genera
585 comprising gnetophytes and the three conifer species are also shown (data taken from
586 <http://data.kew.org/cvalues/> and unpublished data).

587

588 **Fig. 2 | Comparative analysis of seed plant intron morphologies.** (a) Intron length
589 distributions and genome sizes (1C-values, depicted by the relative circle size) are
590 shown for nine representative seed plants. (b) Distribution of sequence divergence for
591 four types of transposable elements (TEs) in introns of *A. trichopoda*, *G. montanum*,
592 *P. taeda*, and *G. biloba*. The data show that TEs in *G. montanum* and *A. trichopoda*
593 are more diverse than in *P. taeda* and *G. biloba*. The latter two species also show a
594 peak at around 10% sequence divergence probably reflecting a pulse of LTR-RT
595 expansions. (c), (d) and (e), Comparison of orthologous introns between *P. taeda* (Pta)
596 vs. *G. biloba* (Gbi) (c), *P. taeda* (Pta) vs. *G. montanum* (Gmo) (d) *G. montanum*
597 (Gmo) vs. *A. trichopoda* (Atr) (e). Two orthologous intron sets that differed more than
598 two-fold in length were examined, i.e. 'short' introns = 0.5-3 kb and 'long' introns \geq
599 6 kb. Orthologous introns that were 'long' in one species were also found to be 'long'
600 in the other species of the pair. Analysis of the TEs in orthologous introns showed the
601 'long' introns of *G. montanum* and *A. trichopoda* carried a high proportion of LINES,
602 contributing to intron expansion. In contrast, *gypsy* and *copia* LTR-RT elements
603 contributed most to intron expansion in *P. taeda* and *G. biloba*.

604

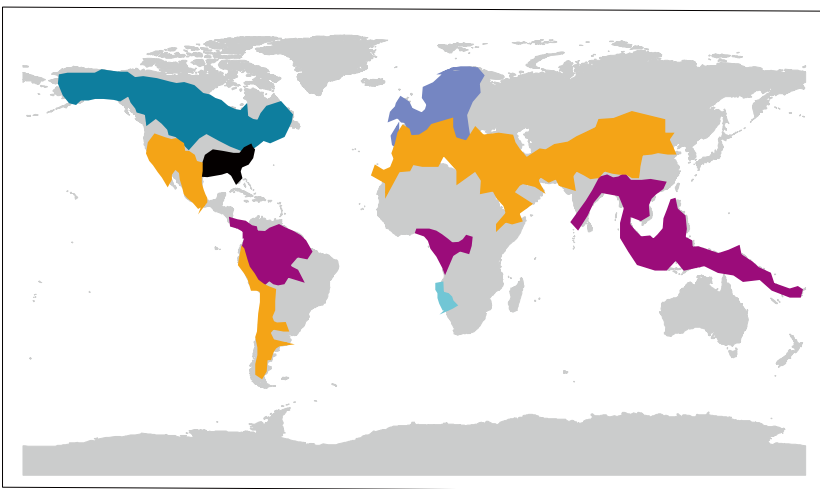
605 **Fig. 3 | Genome-wide analysis to show the contrasting diversification of**
606 **functional protein domains across land plants.** (a) PCA analysis of the occurrence
607 and number of pfam domains in multiple orthologous genes across land plants.
608 Plotting PC1 against PC2 reveals that monocots and eudicots cluster together, as do
609 conifers with *G. biloba*, whilst the remaining species are separate from these clusters.
610 (b) Heatmaps reveal the ancestral coding repertoires shared by *S. moellendorffii* and *G.*
611 *montanum*. Different patterns of expansion and contraction of the pfam domains are
612 seen for other gymnosperms and angiosperms (see **Supplementary Table 7** for
613 species name list and corresponding abbreviations).







614

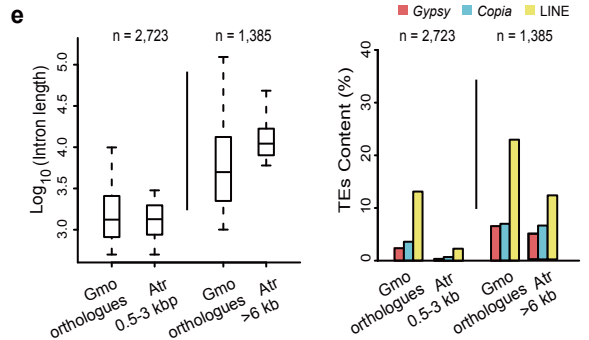
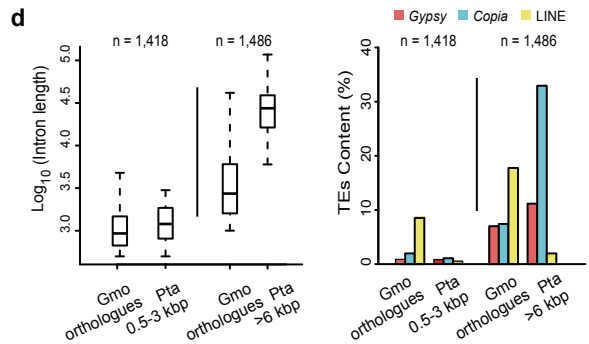
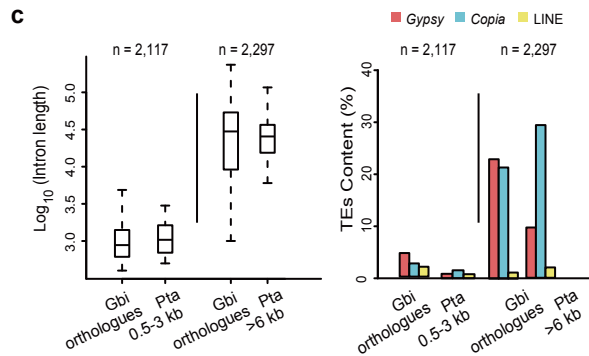
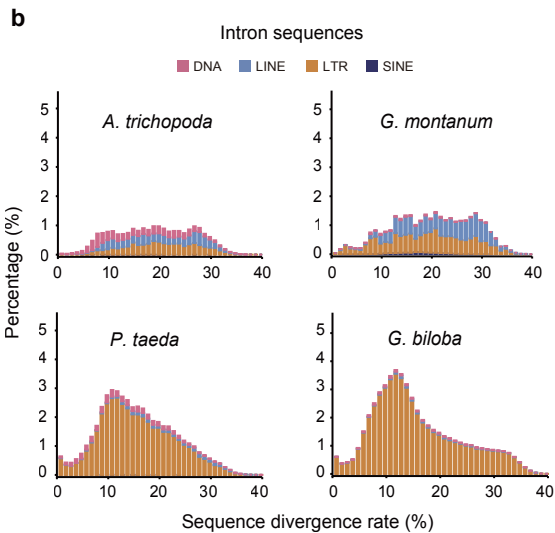
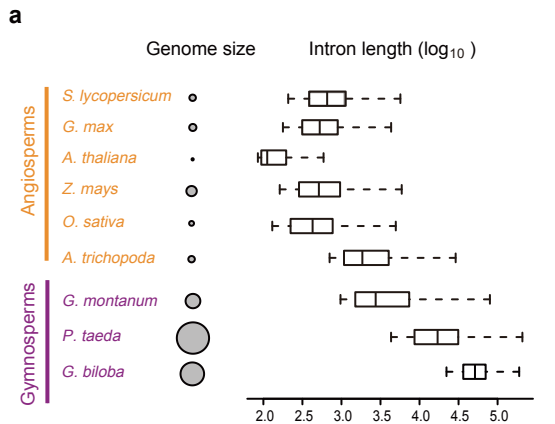
615 **Fig. 4 | Prediction of patterns of genome divergence across seed plants.** The origin
616 and evolution of distinctive genomic features observed in *G. montanum* genome are
617 inferred, assuming a phylogenetic placement of gnetophytes as sister to, or within
618 conifers. The predicted features shared by respective lineages are marked by coloured
619 circles. Likely whole genome duplication (WGD) events (red stars) and a putative
620 WGD event (grey star) are shown.

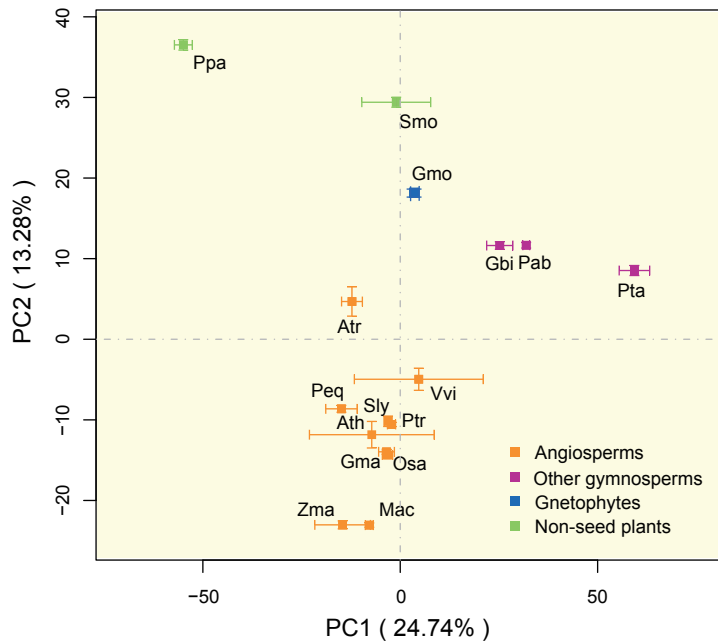
621

622



-  *Gnetum* (2-4 Gb)
-  *Ephedra* (8-18 Gb)
-  *Welwitschia* (8 Gb)
-  *Picea glauca* (22 Gb)
-  *Picea abies* (20 Gb)
-  *Pinus taeda* (22 Gb)



a**b**